110th Congress, 1st Session - - - - - - - - - - - - - - House Document 110–43

Y 1.1/7:110-43/V.4
Financial Disclosure Reports of

FINANCIAL DISCLOSURE REPORTS

OF MEMBERS OF THE U.S. HOUSE OF REPRESENTATIVES

FOR THE PERIOD BETWEEN

January 1, 2006 and December 31, 2006

COMMUNICATION FROM THE CLERK OF THE HOUSE

TRANSMITTING

THE ANNUAL COMPILATION OF PERSONAL FINANCIAL DISCLOSURE STATEMENTS AND AMENDMENTS THERETO FILED WITH THE CLERK OF THE HOUSE OF REPRESENTATIVES, PURSUANT TO RULE XXVI, CLAUSE 1, OF THE HOUSE RULES

(Volume 4 of 4)

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JUNE 28, 2007.—Referred to the Committee on Standards of Official Conduct and ordered to be printed

110th Congress, 1st Session - - - - - - - - - - - - - - House Document 110–43

FINANCIAL DISCLOSURE
REPORTS
OF MEMBERS OF THE U.S. HOUSE OF REPRESENTATIVES

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January 1, 2006 and December 31, 2006

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JUNE 28, 2007.—Referred to the Committee on Standards of Official Conduct and ordered to be printed

U.S. GOVERNMENT PRINTING OFFICE

36–373 WASHINGTON : 2007

LETTER OF SUBMITTAL

OFFICE OF THE CLERK,
HOUSE OF REPRESENTATIVES,
Washington, DC, June 29, 2007.

Hon. NANCY PELOSI,
The Speaker, The Capitol,
House of Representatives, Washington, DC.

DEAR MADAM SPEAKER: I hereby submit the annual compilation of personal financial disclosure statements filed with the Clerk of the House of Representatives pursuant to the Ethics in Government Act of 1978, as amended, 5 U.S.C. app. § 101–111 (1994), and the House Rule XXVI.

This compilation contains the personal financial disclosure statements and amendments thereto required to be filed by Members of the House pursuant to the Act and House Rule XXVI by May 15, 2007. The compilation includes reported financial transactions which occurred between January 1, 2006 and December 31, 2006.

House Rule XXVI provides that the annual compilation of reports filed with the Clerk be printed as a House document by August 1 and contain all such reports filed by Members within the period beginning January 1 and ending June 15 of each year. This compilation is submitted accordingly.

With best wishes, I am

Sincerely,

LORRAINE C. MILLER,
Clerk, House of Representatives.

Enclosures.

UNITED STATES HOUSE OF REPRESENTATIVES

2007 FINANCIAL DISCLOSURE STATEMENT

FORM A
For use by Members, officers, and employees

2007 MAY 15 PM 3:16
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

HAND DELIVERED

MC (Office Use Only)

Michael J Rogers (Full Name)

6899 Corrigan Dr (Mailing Address)

Brighton Mi

202 225-4872 Daytime Telephone:

Filer Status	[X] Member of the U.S. House of Representatives	State: Michigan District: 8th	[ ] Officer or Employee	Employing Office:
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination	Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [X]	No [ ]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes [ ]	No [X]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ]	No [X]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [ ]	No [X]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [X]	No [ ]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet *all three tests for exemption*?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Michael J Rogers	5/15/07

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Name Michael J Rogers Page 2 of 6

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	Accurate Technologies (Job change)	Spouse Salary	N/A
	Yazaki North America	Spouse Salary	N/A

For payments to charity in lieu of honoraria, use Schedule II.

Name Michael J Rogers | Page 3 of 6

SCHEDULE II — PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

	Source	Activity	Date	Amount
Examples:	Association of American Associations, Washington, DC	Speech	Feb. 2, 2006	$2,000
	XYZ Magazine	Article	Aug. 13, 2006	$500
	Diageo	Appearance	3/13/07	1,000

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Name Michael J Rogers

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (*i.e., one where you have the power to select the specific investments*), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the name of the business, the nature of the business, and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, *the value should be "None."*

BLOCK C — Type of Income

Check all columns that apply.
Check "None" if asset did not generate any income during the calendar year.

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was received.

BLOCK E — Transaction

Indicate if the asset had purchases (P), sales (S), or exchanges (E) exceeding $1000 in reporting year.

	Block A		Block B: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	Block C: NONE	DIV/IDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	Block D: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	Block E: P, S, E
SP, DC, JT	*Examples:*	SP	Mega Corp. Stock				X								X										X								P
			Simon & Schuster		Indefinite																Royalties									X			
			1st Bank of Paducah, KY accounts					X									X										X						
	Boz Mcdonalark Howell Michigan						X										X										X						
	Lasalle Bank				X													X						X									
	Star One Credit				X													X						X									
	Fidelity Dir Growth					X										X									X								
	Fidelity Value					X										X									X								

For additional assets and unearned income, use next page.

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Michael J Rogers

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset	BLOCK C Type of Income	BLOCK D Amount of Income	BLOCK E Transaction (P, S, E)
		A None; B $1 – $1,000; C $1,001 – $15,000; D $15,001 – $50,000; E $50,001 – $100,000; F $100,001 – $250,000; G $250,001 – $500,000; H $500,001 – $1,000,000; I $1,000,001 – $5,000,000; J $5,000,001 – $25,000,000; K $25,000,001 – $50,000,000; L Over $50,000,000	NONE; DIVIDENDS; RENT; INTEREST; CAPITAL GAINS; EXCEPTED/BLIND TRUST; Other Type of Income (Specify)	I None; II $1 – $200; III $201 – $1,000; IV $1,001 – $2,500; V $2,501 – $5,000; VI $5,001 – $15,000; VII $15,001 – $50,000; VIII $50,001 – $100,000; IX $100,001 – $1,000,000; X $1,000,001 – $5,000,000; XI Over $5,000,000	
* DC	Mich education Plan	C	DIVIDENDS	III	
* DC	Mich education Plan	C	DIVIDENDS	III	
	* contribution savings plan				
	B1- Petro	C	INTEREST	IV	
	(didn't meet 05 threshold)				

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Name Michael J Rogers

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
Example:	First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
	Brighton Commerce Bank	Line of Credit			X							

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $305 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $122 or less need not be added towards the $305 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$325

Use additional sheets if more space is required.

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DIAGEO

1301 K Street, NW
Suite 1000 East Tower
Washington, DC 20005

Tel 202-715-1105
Fax 202-715-1114

Diageo's 7th Annual Night of Irish Treasures

Sewall-Belmont House
March 13, 2007

Charitable Contributions

- Consistent with federal law and congressional rules, Diageo is pleased to make a contribution to charity in lieu of an honorarium in recognition of the attendance of Members at the Night of Irish Treasures reception.

- As the sponsor of the reception, Diageo will contribute $1,000 for each Member's appearance at the event. Of that total, $500 will be contributed to the USO, and $500 will be contributed to the Foundation to Eradicate Duchenne. Both are qualified charities under the Internal Revenue Code.

Reporting Requirements

- House and Senate rules require that Members disclose charitable contributions in lieu of honorarium on annual financial disclosure statements.

- The following must be disclosed:
  - the source of the contribution (Diageo);
  - the nature of the activity (an appearance);
  - the date of the contribution (March 13, 2007); and
  - the amount ($1000).

- Separately, on a confidential form, a Member must disclose the recipient charities (the USO and the Foundation to Eradicate Duchenne).

Thank you for participating in the Night of Irish Treasures. If you have any questions, please contact Mike Bertman of Diageo at (202) 715-1115.

UNITED STATES HOUSE OF REPRESENTATIVES

2007 FINANCIAL DISCLOSURE STATEMENT

FORM A
For use by Members, officers, and employees

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER
2007 MAY 15 AM 11:03
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
(Office Use Only)

Michael Dennis Rogers
(Full Name)
51 Pawnee Drive, Anniston Al. 36206 (256) 820-5312
(Mailing Address) (Daytime Telephone)

Filer Status	[X] Member of the U.S. House of Representatives	State: Alabama District: 3	[ ] Officer or Employee	Employing Office:
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination	Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes [ ]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [X]	No [ ]
IV. Did you, your spouse, or a dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [ ]	No [X]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [X]	No [ ]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Michael D. Rogers	5-11-07

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Name Michael D. Rogers | Page 1 of 7

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples.	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	Avery Auto Sales, Inc. (standards committee approved)	Consulting fee	$5,400
	Oxford Auto Finance, Inc.	Spouse Salary	N/A

For payments to charity in lieu of honoraria, use Schedule II.

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Name Michael D. Rogers

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the name of the business, the nature of the business, and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income Check all columns that apply. Check "None" if asset did not generate any income during the calendar year.

BLOCK D — Amount of Income For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was received.

BLOCK E — Transaction Indicate if the asset had purchases (P), sales (S), or exchanges (E) exceeding $1000 in reporting year.

SP, DC, JT	Asset	A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify, For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP	Mega Corp. Stock					X								X										X								P
DC	Simon & Schuster			Indefinite																Royalties									X			
JT	1st Bank of Paducah, KY accounts						X									X										X						
	Property Owned by (1/3 ownership) Venture Properties LLC AL																										X					
	① 1304 Quintard Ave., Anniston							X												Partner, Distribution												
	② 1310 Quintard Ave., Anniston					X																										
	③ 123 E. 13th St., Anniston				X																											
	Avery Auto Sales, Inc.							X							X		X										X					

For additional assets and unearned income, use next page.

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Michael D. Rogers

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction: P, S, E
	Capital Development, LLC							X						X							X										
	Property owned by SOS ownership																														
	Initial Investment, LLC																			Partner Income							X				
	① 1126 Snow St., Oxford, AL						X																								
	② 12 McCullers Lane, Oxford						X																								
Sp.	Oxford Auto Finance, Inc.							X						X							X										
	Farm Property located at 6605 Sales Rd, Weaver, AL									X										New residence	X										
Sp.	Compass Brokerage 401K (Not self-directed)					X								X							X										
Sp.	Southern Co. Stock			X											X								X								
Sp.	Noble Bank Stock						X							X							X										
	Cheaha Financial Group Stock			X										X							X										
	Note Receivable - Oxford Auto Fin						X										X										X				
	Note Receivable - Avery Auto Sales					X											X									X					
	Compass Bank Account			X													X					X									
	Noble Bank Account			X													X					X									
	Congressional Credit Union Account			X													X					X									
	Ft. McClellan Credit Union Account			X													X					X									
	Retirement Systems of Ala					X								X							X										

This page may be copied if more space is required.

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SCHEDULE IV — TRANSACTIONS

Name Michael D. Rogers

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE (401-K Rollover)	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10–12–06		X								
	Centennial Money Mkt. Tr.			X	6-12-06	X									
	Coca Cola Stock			X	6-12-06	X									
	Enron Stock			X	6-12-06	X									
	Lucent Tech., Inc Stock			X	6-12-06	X									
	Microsoft Stock			X	6-12-06	X									
	Pfizer Stock			X	6-12-06	X									
	Putnam Europe Growth Fund			X	6-12-06	X									
	Putnam Inv. Funds New Value			X	6-12-06	X									
	Putnam Vista Fund			X	6-12-06	X									
	Putnam College Adv. Plan			X	6-12-06		X								
	Expedition Money Mkt.			X	6-12-06	X									
	AIM Midcap Corp. Equity Fund			X	6-12-06	X									
	Calvert Income Fund A			X	6-12-06	X									
	Dreyfus Appreciation Fund			X	6-12-06	X									
	Franklin Mutual Discovery Fund			X	6-12-06	X									
	Gartmore Money Mkt. Fund			X	6-12-06	X									

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SCHEDULE IV — TRANSACTIONS

Name Michael D. Rogers

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE (401-K Rollover)	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10-12-06		X								
	Invesco Financial Services			X	6-12-06	X									
	Invesco Health Science Fund			X	6-12-06	X									
	One Group Small Growth			X	6-12-06	X									
	Opp. Capital Appreciation Fund Class A			X	6-12-06	X									
	Opp. Capital Income Fund			X	6-12-06	X									
	Opp. Global Opportunities Fund			X	6-12-06	X									
	Van Kemper Equity + Income			X	6-12-06	X									
	Van Kemper Emerging Growth			X	6-12-06	X									
	Southern Co. Stock			X	6-12-06	X									

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SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances, and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
JT	Wells Fargo Home Mortgage Co.	Mortgage loan on Farm Prop.						X				

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $305 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $122 or less need not be added towards the $305 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$325
None		

Use additional sheets if more space is required.

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SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Manager-Member	Venture Properties, LLC
Manager-Member	Initial Investments, LLC
Member	Capital Development, LLC

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
	None	

GPO: 2007 33-427 (mac)

Use additional sheets if more space is required.

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UNITED STATES HOUSE OF REPRESENTATIVES

2007 FINANCIAL DISCLOSURE STATEMENT

FORM A
For use by Members, officers, and employees

LEGISLATIVE RESOURCE CENTER
2007 MAY 15 AM 10: 34
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
HAND DELIVERED
MC
(Office Use Only)

Dana Tyrone Rohrabacher
(Full Name)
2300 Rayburn House Office Building Wash DC
(Mailing Address)
Daytime Telephone: 202-225-2415

Filer Status	[X] Member of the U.S. House of Representatives — State: CA District: 46	[ ] Officer or Employee	Employing Office:
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination — Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [X]	No [ ]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ]	No [X]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [ ]	No [X]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [X]	No [ ]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, §104 and 18 U.S.C. §1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Dana Rohrabacher	5/14/07

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SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	Committee to reelect Congressman Rohrabacher	SPOUSE SALARY	NA

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE II — PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
Examples: Association of American Associations, Washington, DC	Speech	Feb. 2, 2006	$2,000
Examples: XYZ Magazine	Article	Aug. 13, 2006	$500
New Yorker Festival NYC	Speech	10/5/06-10/7/06	$500

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Name: Dana Rohrabacher | Page 4 of 6

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the name of the business, the nature of the business, and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

Check all columns that apply.
Check "None" if asset did not generate any income during the calendar year.

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was received.

BLOCK E — Transaction

Indicate if the asset had purchases (P), sales (S), or exchanges (E) exceeding $1000 in reporting year.

SP, DC, JT	Block A	A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP	Examples: Mega Corp. Stock					X								X										X								P
	Simon & Schuster		Indefinite																	Royalties									X			
	1st Bank of Paducah, KY accounts						X									X									X							
	IRA Retirement account (attached)					X														IRA				N.A.								
	Home at 163 "D" S.E. Wash DC								X							X										X						

For additional assets and unearned income, use next page.

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AXA Advisors Investment Report

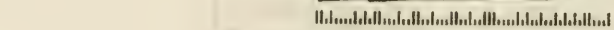
AXA Advisors, LLC

1290 Avenue of the Americas, 9th FL
New York, NY 10104-2702

CapAdvantage℠
Traditional IRA

Account Number: [redacted]
Statement Period: 12/01/2006 - 12/31/2006

IRA FBO D ROHRABACHER
PERSHING LLC AS CUSTODIAN
ROLLOVER ACCOUNT
[redacted]5



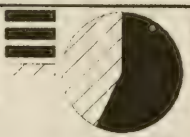
Your Financial Professional:
DWIGHT A HEIKKILA CFP CHFC CL
(310) 231-7702

Valuation at a Glance

	This Period	Year-to-Date
Beginning Account Value	$86,715.40	$0.00
Cash Deposits	0.00	9,623.52
Dividends/Interest	1,778.87	2,587.27
Fees	0.00	-925.54
Change in Account Value	-767.23	76,441.79
Ending Account Value	**$87,727.04**	**$87,727.04**
Estimated Annual Income	$1,222.21	

Asset Allocation

	Value Last Period	Value This Period	Percent Allocation
Cash and Cash Equivalents	530.29	666.94	1%
Fixed Income	6,521.25	6,671.25	7%
Equities	41,996.27	42,676.75	49%
Mutual Funds	37,667.59	37,712.10	43%
Investment Account Total (Pie Chart)	**$86,715.40**	**$87,727.04**	**100%**



Asset Allocation percentages are rounded to the nearest whole percentage.

Pie Chart allocation excludes all asset classes which net to a liability

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Summary of Gains and Losses

	Realized		Unrealized
	This Period	Year - to - Date	This Period
Short-Term Gain/Loss	0.00	989.92	3,246.92
Long-Term Gain/Loss	0.00	-14,834.23	2,393.90
Net Gain/Loss	**0.00**	**-13,844.31**	**5,640.82**

This summary excludes transactions where cost basis information is not available.

For Your Information

IRAs, regularly funded, can be an integral component of any successful retirement plan. The deadline for making your 2006 IRA contribution is April 16th. Don't lose this opportunity to fund your retirement. If you don't have an IRA, now may be the best time to open one. If you have an IRA held elsewhere, you may want to consider whether it will be appropriate for you to transfer your existing account(s) to AXA Advisors.
To open an IRA or transfer an existing IRA, please call your AXA Advisors financial professional today. GE 31362 (2/05) (Exp.2/07)

Customer Service Information

Your Financial Professional: DH5
DWIGHT A HEIKKILA CFP CHFC CL
AXA ADVISORS LLC
11845 W. OLYMPIC BLVD
SUITE 1100
LOS ANGELES CA 90064

Contact Information
Telephone Number: (310) 231-7702

Customer Service Information
Service Hours: Weekdays 08:30 a.m. - 06:00 p.m. ET
Customer Service Telephone Number: (866) 292-4545
Web Site: www.axaonline.com

Portfolio Holdings

Quantity	Opening Date	Account Number	Activity Ending	Opening Balance	Closing Balance	Accrued Income	Income This Year	30-Day Yield	Current Yield
Cash and Cash Equivalents 1.00% of Portfolio									
Money Market									
PERSHING GOVERNMENT ACCOUNT									
666.940	12/01/06	0001119204	12/29/06	530.29	666.94	0.00	56.38	4.34%	4.34%
Total Money Market				**$530.29**	**$666.94**	**$0.00**	**$56.38**		
Total Cash and Equivalents				**$530.29**	**$666.94**	**$0.00**	**$56.38**		

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AXA Advisors, LLC
1290 Avenue of the Americas, 9th FL
New York, NY 10104-2702

CapAdvantage℠
Traditional IRA

Statement Period: 12/01/2006 - 12/31/2006

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Adjusted Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income	Estimated Yield
Fixed Income 7.00% of Portfolio *(In Maturity Date Sequence)*									
Corporate Bonds									
GMAC LLC DEB DEFD INT 1 UNIT EQUALS $10,000 EXP 12/01/12 CALLABLE S & P Rating BB+ *Security Identifier:* 36186CAD5									
1.000	06/14/01	4,752.3500	4,752.35	6,671.2500	6,671.25	1,918.90	0.00		
Original Cost Basis: $4,752.35									
Total Corporate Bonds									
1.000			$4,752.35		$6,671.25	$1,918.90	$0.00	$0.00	
Total Fixed Income									
1.000			$4,752.35		$6,671.25	$1,918.90	$0.00	$0.00	

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss		Estimated Annual Income	Estimated Yield
Equities 49.00% of Portfolio									
Common Stocks									
GARMIN LTD COM ISIN#KYG372601099 Dividend Option: Cash *Security Identifier:* GRMN									
100.000	10/30/06	52.4400	5,244.00	55.6600	5,566.00	322.00		50.00	0.89%
129.000	10/30/06	52.4400	6,764.76	55.6600	7,180.14	415.38		64.50	0.89%
229.000	**Total**		**$12,008.76**		**$12,746.14**	**$737.38**		**$114.50**	
CISCO SYSTEMS INC Dividend Option: Cash *Security Identifier:* CSCO									
417.000	10/30/06	23.9600	9,991.32	27.3300	11,396.61	1,405.29			

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Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Equities *(continued)*								
Common Stocks *(continued)*								
NEWMONT MINING CORP (HLDG CO)								
Dividend Option: Cash								
Security Identifier: NEM								
200.000	08/16/04	42.7750	8,555.00	45.1500	9,030.00	475.00	80.00	0.88%
TEXAS INSTRUMENTS INC								
Dividend Option: Cash								
Security Identifier: TXN								
330.000	10/30/06	30.3080	10,001.77	28.8000	9,504.00	-497.77	52.80	0.55%
Total Common Stocks			$40,556.85		$42,676.75	$2,119.90	$247.30	
Total Equities			$40,556.85		$42,676.75	$2,119.90	$247.30	

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Estimated Yield
Mutual Funds 43.00% of Portfolio								
Mutual Funds								
CAPITAL WORLD GROWTH & INCOME								
FUND CLASS F								
Open End Fund								
Security Identifier: CWGFX								
Dividend Option: Reinvest Capital Gain Option: Reinvest								
639.318	03/09/06	37.5400	24,000.00	41.8700	26,768.25	2,768.25	504.42	1.88%
42.851	Reinvestments to Date	40.6770	1,743.04	41.8700	1,794.17	51.13	33.81	1.88%
682.169	Total		$25,743.04		$28,562.42	$2,819.38	$538.23	

AXA Advisors, LLC
1290 Avenue of the Americas, 9th FL
New York, NY 10104-2702

CapAdvantage℠
Traditional IRA

Statement Period: 12/01/2006 - 12/31/2006

Portfolio Holdings *(continued)*

Quantity	Acquisition Date	Unit Cost	Cost Basis	Market Price	Market Value	Unrealized Gain/Loss		Estimated Annual Income	Estimated Yield
Mutual Funds *(continued)*									
Mutual Funds *(continued)*									
OPPENHEIMER REAL ASSET CLASS A *N/C* 68380M108 EFF 1/2/07 Open End Fund									
Security Identifier 68380M108									
Dividend Option: Reinvest Capital Gain Option: Reinvest									
1,349.528	03/06/06	7.4100	10,000.00	6.5200	8,798.92	-1,201.08		365.72	4.15%
53.797	Reinvestments to Date	6.8230	367.04	6.5200	350.76	-16.28		14.58	4.15%
1,403.325	Total		$10,367.04		$9,149.68	-$1,217.36		$380.30	
Total Mutual Funds			$36,110.08		$37,712.10	$1,602.02		$918.53	
Total Mutual Funds			$36,110.08		$37,712.10	$1,602.02		$918.53	

	Cost Basis	Market Value	Unrealized Gain/Loss	Accrued Interest	Estimated Annual Income
Total Portfolio Holdings	$82,088.22	$87,727.04	$5,640.82	$0.00	$1,222.21

Cost Basis on fixed income securities is adjusted for amortization, accretion or principal paydowns. Original cost basis is provided under the corresponding tax lot.

[1] The cost basis of securities positions acquired prior to the availability of the PORTFOLIO EVALUATION SERVICE for this account, or delivered into this account, has been provided to PERSHING by your financial institution, and we make no representation as to the accuracy of such cost basis.

Accumulator Advisor(SM) is a service mark of AXA Equitable Life Insurance Company.

Disclosures and Other Information

Pricing - Securities prices may vary from actual liquidation value. Prices shown should only be used as a general guide to portfolio value. Prices are received from various pricing services. However, pricing services are sometimes unable to provide timely information. Where pricing sources are not readily available, particularly on certain debt securities, estimated prices may be generated by a matrix system taking various factors into consideration. The pricing of listed options takes into account the last closing price, as well as the current bid and offer prices. Where securities have not been priced, such securities have not been included in the Asset Allocation information at the beginning of this statement

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www.AXAonline.com

Account Number: [redacted]
IRA FBO D ROHRABACHER

Clearing Through Pershing LLC, A BNY Securities Group Co Solutions from The Bank of New York

One Pershing Plaza Jersey City New Jersey 07399

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Portfolio Holdings *(continued)*

Reinvestment - The dollar amount of Mutual Fund distributions, Money Market Fund dividend income, Bank Deposit interest income, or dividends for other securities shown on your Statement may have been reinvested. You will not receive confirmation of these reinvestments. However, information pertaining to these transactions which would otherwise appear on confirmations, including the time of execution and the name of the person from whom your security was purchased, will be furnished to you upon written request to your introducing firm. In dividend reinvestment transactions, Pershing acts as your agent and receives payment for order flow, the source and nature of which payment will be furnished to you upon written request to your introducing firm.

Option Disclosure - Information with respect to commissions and other charges incurred in connection with the execution of option transactions has been included in confirmations previously furnished to you. A summary of this information is available to you promptly upon your written request directed to your introducing firm. In order to assist your introducing firm in maintaining current background and financial information concerning your option accounts, please promptly advise them in writing of any material change in your investment objectives or financial situation. Expiring options which are valuable are exercised automatically pursuant to the exercise by exception procedure of the Options Clearing Corporation. Additional information regarding this procedure is available upon written request to your introducing firm.

Foreign Currency Transactions - Pershing may execute foreign currency transactions as principal for your account. Pershing may automatically convert foreign currency to or from U.S. dollars for dividends and similar corporate action transactions unless you instruct your financial organization oth erwise. Pershing's currency conversion rate will not exceed the highest interbank conversion rate identified from customary banking sources on the conversion date or the prior business day, increased by up to 1%, unless a particular rate is required by appl icable law. Your financial organization may also increase the currency conversion rate. This conversion rate may differ from rates in effect on the date you executed a transaction, incurred a charge, or received a credit. Transactions converted by agents (such as depositories) will be billed at the rates such agents use.

Proxy Vote - Securities held by you on margin (securities not fully paid for by you) may be lent by Pershing to itself or others in accordance with the terms outlined in the Margin Agreement. The right to vote your shares held on margin will be reduced by the amount of shares on loan. T he Proxy Voting Instruction Form sent to you may reflect a smaller number of shares entitled to vote than the number of shares in your margin account.

Transactions in Date Sequence

Process/ Settlement Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount
12/12/06	CASH DIVIDEND RECEIVED	OPPENHEIMER REAL ASSET CLASS A 1357.1920 SHRS RD 12/07 PD 12/11/06				311.86
12/12/06	REINVEST CASH INCOME	OPPENHEIMER REAL ASSET CLASS A 1357.1920 SHRS SHRS PURCH. AT $6.76000 RD 12/07 PD 12/11/06	46.133			-311.86
12/15/06	FOREIGN SECURITY DIVIDEND RECEIVED	229 SHRS GARMIN LTD COM ISIN#KYG372601099 RD 12/01 PD 12/15/06				114.50
12/22/06	LONG TERM CAPITAL GAIN DISTRIBUTION	CAPITAL WORLD GROWTH & INCOME FUND CLASS F 650.1590 SHRS RD 12/20 PD 12/21/06				1,169.64
12/22/06	CASH DIVIDEND RECEIVED	CAPITAL WORLD GROWTH & INCOME FUND CLASS F 650.1590 SHRS RD 12/20 PD 12/21/06				65.02
12/22/06	CASH DIVIDEND RECEIVED	CAPITAL WORLD GROWTH & INCOME FUND CLASS F 650.1590 SHRS RD 12/20 PD 12/21/06				95.70
12/22/06	REINVEST CASH INCOME	CAPITAL WORLD GROWTH & INCOME FUND CLASS F 650.1590 SHRS SHRS PURCH. AT $41.56000 RD 12/20 PD 12/21/06	1.564			-65.02

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1290 Avenue of the Americas, 9th FL
New York, NY 10104-2702

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Traditional IRA

Statement Period: 12/01/2006 - 12/31/2006

Transactions in Date Sequence *(continued)*

Process/ Settlement Date	Activity Type	Description	Quantity	Price	Accrued Interest	Amount
12/22/06	REINVEST CASH INCOME	CAPITAL WORLD GROWTH & INCOME FUND CLASS F 650.1590 SHRS SHRS PURCH. AT $41.56000 RD 12/20 PD 12/21/06	2.303			-95.70
12/22/06	REINVEST CASH INCOME	CAPITAL WORLD GROWTH & INCOME FUND CLASS F 650.1590 SHRS SHRS PURCH. AT $41.56000 RD 12/20 PD 12/21/06	28.143			-1,169.64
12/28/06	CASH DIVIDEND RECEIVED	200 SHRS NEWMONT MINING CORP (HLDG CO) RD 12/07 PD 12/28/06				20.00
12/29/06	MONEY MARKET FUND INCOME RECEIVED	PERSHING GOVT ACCT				2.15

Transactions Summary	Total Amount	Currency
UNITED STATES DOLLAR	136.85	USD

The price and quantity displayed may have been rounded.

Money Market Fund Detail

Date	Activity Type	Description	Amount	Share Balance
Sweep Money Market Fund				
PERSHING GOVERNMENT ACCOUNT				
Account Number: 0001119204 Current Yield: 4.34% Activity Ending: 12/29/06				
12/01/06	Opening Balance		530.29	530.29
12/18/06	Deposit	MONEY FUND PURCHASE	114.50	644.79
12/29/06	Deposit	MONEY FUND PURCHASE	20.00	664.79
12/29/06	Deposit	INCOME REINVEST	2.15	666.94
12/29/06	**Closing Balance**			**$666.94**
Total All Money Market Funds				**$666.94**

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Income and Expense Summary

	Current Period Tax Deferred	Year-to-Date Tax Deferred
Dividend Income		
Equities	607.08	1,350.65
Money Market	2.15	56.38
Total Dividends, Interest and Expenses	**$609.23**	**$1,407.03**
Distributions		
Capital Gains Distributions	1,169.64	1,180.24
Total Distributions	**$1,169.64**	**$1,180.24**

Schedule of Realized Gains and Losses Year-to-Date

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Short Term								
03/06/06	01/30/06	SELL	²AT&T INC COM	T	300.000	Please Provide	8,046.48	N/A
03/06/06	01/30/06	SELL	²VODAFONE GROUP PLC SPONS ADR C/A EFT	92857W100	300.000	Please Provide	6,579.48	N/A
10/02/06	03/07/06	SELL	ROYCE MICRO-CAP FUND	RYOTX	20.278	351.62	350.00	-1.62
10/30/06	01/30/06	SELL	²DUKE ENERGY CORP NEW COM	DUK	93.000	Please Provide	2,955.54	N/A
10/30/06	03/06/06	SELL	MUNDER MID-CAP CORE GROWTH FUND CLASS A	MGOAX	415.800	10,000.00	10,212.05	212.05
10/30/06	09/27/06	SELL	MUNDER MID-CAP CORE GROWTH FUND CLASS A	MGOAX	0.728	17.30	17.88	0.58
10/30/06	12/27/05	SELL	PETROLEUM & RES CORP	PEO	3.000	100.92	102.64	1.72
10/30/06	03/07/06	SELL	ROYCE MICRO-CAP FUND	RYOTX	844.774	14,648.38	15,425.57	777.19
Total Short Term						**$25,118.22**	**$43,689.64**	**$989.92**
Long Term								
03/06/06	07/29/02	SELL	ANHEUSER BUSCH COS INC	BUD	75.000	3,791.26	3,098.25	-693.01
03/06/06	01/27/00	SELL	DELL INC COM	DELL	200.000	7,691.54	5,828.14	-1,863.40
03/06/06	12/22/00	SELL	ERICSSON L M TEL CO ADR CL B SEK 10 NEW	ERIC	100.000	11,239.35	3,478.00	-7,761.35
03/06/06	08/16/04	SELL	NORTHROP GRUMMAN CORP (PREVIOUSLY	NOC	100.000	5,255.50	6,479.16	1,223.66
03/06/06	02/15/00	SELL	PFIZER INC COM	PFE	100.000	3,647.59	2,595.00	-1,052.59
03/06/06	Please Provide	SELL	²TEMECULA VALLEY BANCORP INC COM	TMCV	100.000	Please Provide	2,075.10	N/A
03/06/06	Please Provide	SELL	²TEMECULA VALLEY BANCORP INC COM	TMCV	200.000	Please Provide	4,150.00	N/A
03/06/06	04/06/00	SELL	TEMECULA VALLEY BANCORP INC COM	TMCV	200.000	1,255.57	4,150.00	2,894.43
03/06/06	01/27/00	SELL	TIME WARNER INC NEW COM	TWX	200.000	12,441.35	3,444.00	-8,997.35

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AXA Advisors, LLC
1290 Avenue of the Americas, 9th FL
New York, NY 10104-2702

CapAdvantage℠
Traditional IRA

Statement Period: 12/01/2006 - 12/31/2006

Schedule of Realized Gains and Losses Year-to-Date *(continued)*

Disposition Date	Acquisition Date	Closing Transaction	Description	Security Identifier	Quantity	Cost Basis	Proceeds	Realized Gain/Loss
Long Term *(continued)*								
10/30/06	07/29/02	SELL	PETROLEUM & RES CORP	PEO	50.000	1,013.87	1,710.50	696.63
10/30/06	07/29/02	SELL	PETROLEUM & RES CORP	PEO	41.000	833.42	1,402.61	569.19
10/30/06	12/27/02	SELL	PETROLEUM & RES CORP	PEO	4.000	76.30	136.84	60.54
10/30/06	12/27/03	SELL	PETROLEUM & RES CORP	PEO	5.000	108.67	171.05	62.38
10/30/06	12/27/04	SELL	PETROLEUM & RES CORP	PEO	3.000	75.99	102.63	26.64
Total Long Term						**$47,430.41**	**$38,821.28**	**-$14,834.23**
Total Short Term and Long Term						**$72,548.83**	**$82,510.92**	**-$13,844.31**

The information provided is meant as a general guide and should not be relied upon in the preparation of tax returns. No one associated with Pershing is authorized to give tax advice.

[2]Realized gains and losses are not reported for securities for which cost basis or proceeds are not available.

Messages

IN ACCORDANCE WITH FEDERAL REGULATIONS, INDIVIDUAL RETIREMENT ACCOUNT (IRA) HOLDERS, WITH THE EXCEPTION OF ROTH IRAS, WHO ARE THE AGE OF 70 1/2 OR OLDER MUST TAKE THEIR REQUIRED MINIMUM DISTRIBUTION (RMD) FOR THE YEAR IN WHICH THEY REACH AGE 70 1/2 AND EACH CALENDAR YEAR THEREAFTER. RMD AMOUNTS MUST BE DISTRIBUTED BY DECEMBER 31 OF EACH YEAR, EXCEPT FOR IRA PARTICIPANTS WHO TURN 70 1/2 DURING THE CURRENT YEAR. THESE PARTICIPANTS MAY DELAY THEIR CURRENT-YEAR RMD UNTIL APRIL 1 OF THE FOLLOWING YEAR.

BEGINNING IN FEBRUARY, YOUR RMD INFORMATION WILL BE INCLUDED ON YOUR BROKERAGE ACCOUNT STATEMENT. SHOULD YOU NEED TO HAVE YOUR CURRENT-YEAR RMD AMOUNT CALCULATED PRIOR TO RECEIVING THIS INFORMATION, PLEASE CONTACT YOUR INVESTMENT PROFESSIONAL OR FINANCIAL ORGANIZATION FOR ASSISTANCE WITH YOUR CALCULATION REQUEST. WITH THE EXCEPTION OF INHERITED IRAS, WE WILL INFORM THE INTERNAL REVENUE SERVICE (IRS) OF ALL IRA PARTICIPANTS WHO ARE REQUIRED TO TAKE AN RMD FROM THEIR IRA.

WE HAVE CONSOLIDATED TRADE CONFIRMATIONS IN RESPONSE TO REQUESTS FROM INVESTORS LIKE YOU TO REDUCE THE VOLUME OF PAPER YOU RECEIVE FROM US. CONFIRMATIONS FOR TRADE EXECUTIONS NOW PRINT ON BOTH SIDES OF A SHEET OF PAPER - EACH SIDE OF A PAGE IS NUMBERED, AND UP TO EIGHT SHEETS ARE INCLUDED IN ONE ENVELOPE. THIS MEANS THAT YOU MAY RECEIVE UP TO 15 TRADE CONFIRMATIONS AND ONE TERMS AND CONDITIONS PAGE PER ENVELOPE - REDUCING THE VOLUME OF PAPER YOU RECEIVE.

IT IS NOT TOO EARLY TO CONSIDER CONTRIBUTIONS TO YOUR TRADITIONAL INDIVIDUAL RETIREMENT ACCOUNT (IRA) OR ROTH IRA FOR THE 2006 TAX YEAR; THE MAXIMUM CONTRIBUTION LIMIT IS $4,000. INDIVIDUALS WHO ARE THE AGE OF 50 AND OLDER MAY MAKE AN ADDITIONAL CATCH-UP CONTRIBUTION OF $1,000 FOR THE TAX YEAR 2006.
WHEN MAKING YOUR ANNUAL CONTRIBUTION, PLEASE INDICATE IN WRITING THE TAX YEAR FOR WHICH THE CONTRIBUTION SHOULD BE APPLIED.

AXA ADVISORS, LLC STRIVES TO PROVIDE EXCELLENT CUSTOMER SERVICE, HOWEVER IN THE EVENT YOU NEED TO CONTACT US, PLEASE DIRECT YOUR INQUIRY TO THE AXA ADVISORS BROKER/DEALER SERVICES AT 1-800-355-2009 OR BY WRITING TO AXA FINANCIAL AT 1290 AVENUE OF THE AMERICAS, NEW YORK, NY 10104, ATTN: CUSTOMER RELATIONS OFFICE-12TH FLOOR. GE-26949 (REV. 11/06)
OBTAIN A COPY OF AXA ADVISORS' GUIDE TO MUTUAL FUND INVESTING. CLICK ON MUTUAL FUNDS UNDER INVESTING AT AXAONLINE.COM OR CALL YOUR FINANCIAL PROFESSIONAL. THE GUIDE DISCUSSES MUTUAL FUND PRICING, DISCOUNTS, AND REVENUE SHARING ARRANGEMENTS.

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Name Dana Rohrabacher | Page 5 of 6

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability										
			B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
	Example First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
	Citibank S. Hackensack NJ	Credit Card Debt		X								
	Wells Fargo Mortgage	Mortgage 163 D St					X					
	Congressional Credit	Home Equity Loan			X							

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $305 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $122 or less need not be added towards the $305 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example. Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$325

Use additional sheets if more space is required.

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were paid directly by the sponsor or were paid by you and reimbursed by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	City of Departure—Destination—City of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Number of days not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	DC—Chicago—DC	N	N	N	None
	Roycroft Corporation	Aug. 6–11	DC—Los Angeles—Cleveland	Y	Y	Y	2 Days
	Conference for Democracy and Free Trade (partial codel)	4/6 – 4/16	LAX - DC - Vienna - Doha Berlin - LAX	Y	Y	Y	3 days
	New Yorker Festival	10/5 - 10/7	Long Beach → NYC Long Beach	Y	N	N	NONE
	Young Americans Foundation	11/10 – 11/11	Long Beach → Santa Barbara → Long Beach	Y	Y	N	NONE

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U.S. HOUSE OF REPRESENTATIVES

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UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2006

FORM A
For use by Members, officers, and employees

Ileana Ros-Lehtinen
(Full Name)

2160 Raybum Building washington, DC 20515
(Mailing Address)

2253931
Daytime Telephone:

Filer Status	☑ Member of the U.S. House of Representatives	State: FL District: 18	☐ Officer Or Employee — Employing Office:
Report Type	☑ Annual (May 15) ☐ Amendment	☐ Termination	Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION – ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? Yes ☑ No ☐
If yes, complete and attach Schedule I.

II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? Yes ☑ No ☐
If yes, complete and attach Schedule II.

III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? Yes ☑ No ☐
If yes, complete and attach Schedule III.

IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? Yes ☐ No ☑
If yes, complete and attach Schedule IV.

V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? Yes ☐ No ☑
If yes, complete and attach Schedule V.

VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? Yes ☐ No ☑
If yes, complete and attach Schedule VI.

VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? Yes ☑ No ☐
If yes, complete and attach Schedule VII.

VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? Yes ☐ No ☑
If yes, complete and attach Schedule VIII.

IX. Did you have any reportable agreement or arrangement with an outside entity? Yes ☐ No ☑
If yes, complete and attach Schedule IX.

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-- Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? Yes ☐ No ☑

Exemptions-- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Yes ☐ No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Ileana Ros-Lehtinen	May 15, 2007

SCHEDULE I - EARNED INCOME

Name Ileana Ros-Lehtinen

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Dexter Lehtinen, Attorney at Law	Spouse's Law Practice	N/A

SCHEDULE II - PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

Name Ileana Ros-Lehtinen

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. A green envelope for transmitting the list is included in each Member's filing package. Employees may request a green envelope from the Clerk or use a plain envelope that is appropriately labeled.

Source	Activity	Date	Amount
HBO Real Time with Bill Maher	TV Appearance	March 17, 2006	$800
HBO Real Time with Bill Maher	TV Apperance	October 6, 2006	$800

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Ileana Ros-Lehtinen

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.		BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
DC	Alliance Premier Growth Fund	$15,001 - $50,000	CAPITAL GAINS	$1,001 - $2,500	
JT	Bank Atlantic	$1 - $1,000	INTEREST	$1 - $200	
DC	Commercial Bank of Florida	$1,001 - $15,000	INTEREST	$201 - $1,000	
JT	Commercial Bank of Florida	$50,001 - $100,000	INTEREST	$201 - $1,000	
SP	Community Bank of Homestead	$1,001 - $15,000	INTEREST	$1 - $200	
SP	Community Bank of Homestead (2IRA's)	$1,001 - $15,000	INTEREST	$1 - $200	

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SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Ileana Ros-Lehtinen

SP	Florida State Retirement Plan	$1,001 - $15,000	Other: NA	$1,001 - $2,500	
SP	House Property on 9855 SW 138 Street, Miami, FL	$250,001 - $500,000	RENT	$1,001 - $2,500	
SP	Mega Bank Stock	$1 - $1,000	DIVIDENDS	NONE	
JT	Nokia Corp. Stock	$1,001 - $15,000	DIVIDENDS	$1 - $200	
JT	Royal West Properties Loan	$15,001 - $50,000	INTEREST	$1 - $200	

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name Ileana Ros-Lehtinen

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's expense
HBO Real Time with Bill Maher	March 17-19	DC-Los Angeles-Miami	Y	N	N	1 Days
American Israel Public Affairs Committee (New England Region)	May 7-8	Miami-Boston-DC	Y	Y	N	0 Days
HBO Real Time with Bill Maher	Oct. 6-7	Miami-DC-Miami	N	N	N	0 Days

UNITED STATES HOUSE OF REPRESENTATIVES

2007 FINANCIAL DISCLOSURE STATEMENT

FORM A
For use by Members, officers, and employees

HAND DELIVERED
FOR THE RECORD
2007 MAY 14 AM 9:59
(Office Use Only)

Michael Avery Ross
(Full Name)
P.O. Box 374, Prescott, AR 71857 (202) 225-3772
(Mailing Address) Daytime Telephone

Filer Status	[✓] Member of the U.S. House of Representatives — State: AR District: 4	[ ] Officer or Employee	Employing Office:
Report Type	[✓] Annual (May 15)	[ ] Amendment	[ ] Termination — Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [✓] No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ] No [✓]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ] No [✓]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes [ ] No [✓]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [✓] No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [✓] No [ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [✓] No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ] No [✓]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [✓] No [ ]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ] No [✓]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ] No [✓]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Michael Avery Ross	5/14/2007

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SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	Ross Pharmacy, Inc, Prescott, AR 71857	Spouse Salary	NA

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the name of the business, the nature of the business, and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

Check all columns that apply.
Check "None" if asset did not generate any income during the calendar year.

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was received.

BLOCK E — Transaction

Indicate if the asset had purchases (P), sales (S), or exchanges (E) exceeding $1000 in reporting year.

	Block A		Block B: None	A $1 – $1,000	B $1,001 – $15,000	C $15,001 – $50,000	D $50,001 – $100,000	E $100,001 – $250,000	F $250,001 – $500,000	G $500,001 – $1,000,000	H $1,000,001 – $5,000,000	I $5,000,001 – $25,000,000	J $25,000,001 – $50,000,000	K Over $50,000,000	L	Block C: NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	Block D: None	I $1 – $200	II $201 – $1,000	III $1,001 – $2,500	IV $2,501 – $5,000	V $5,001 – $15,000	VI $15,001 – $50,000	VII $50,001 – $100,000	VIII $100,001 – $1,000,000	IX $1,000,001 – $5,000,000	X Over $5,000,000	XI	Block E: P, S, E
SP	Examples	SP	Mega Corp Stock				X									X										X									P
DC			Simon & Schuster		Indefinite																	Royalties									X				
JT			1st Bank of Paducah, KY accounts						X									X										X							
JT	Commercial Building 1470 W 1st N, Plasett AR									X								X											X						
JT	Commercial Building 114 E Elm, Plasett AR					X												X									X								
JT	Ross Pharmacy, Inc 1470 W 1st N, Plasett, AR									X						X							X												
JT	Bank of Plasett, AR					X													X						X										
SP	Simple IRA Fidelity mckesson Stock					X											X							X											
SP	Simple IRA Fidelity Growth & Income							X									X			X								X							

For additional assets and unearned income, use next page.

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Michael Avery Ross

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction: P, S, E
	Rollover IRA Fidelity Morgan Stanley				X										X							X										
	Rollover IRA Fidelity Magellan					X									X			X								X						
	Rollover IRA Fidelity Growth & Income					X									X			X								X						
	Simple IRA Fidelity Puritan			X											X			X					X									
	Simple IRA Fidelity Growth & Income			X											X			X					X									
	Simple IRA Fidelity Value			X											X			X					X									
	Simple IRA Fidelity Diversified International			X											X			X					X									
	Simple IRA Fidelity Growth Company			X										X							X											
ST	1/2 interest Commercial Lot, Hwy 67 N Pleasant, AR			X										X							X											
ST	Lot & Rental Home (4) AR			X										X							X											P
ST	Lot & Rental Home (2) Mathia, Pleasant, AR			X										X							X											S

This page may be copied if more space is required.

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SCHEDULE IV — TRANSACTIONS

Name Michael Avery Ross

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10–12–06		X								
JT	Lots Rolling Hills (4) purchased from Kenneth Carey & James R. Snell	X			1-9-06	X									
JT	Lots Rolling Hills (2) sold to James E Franklin & Linda B. Franklin		X		5-27-06	X									

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Name Michael Avery Ross | Page 6 of 7

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del				X						
JT	Bank of Prescott, AR	Mortgage on 1480 W 1st N Prescott, AR Commercial Bldg		X								
JT	Citi Cards, The Lakes, NV	Revolving Charge Acct	X									
JT	Chase Cards, Wilmington, DE	Revolving Charge Acct	X									

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $305 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $122 or less need not be added towards the $305 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example. Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$325
NA		

Use additional sheets if more space is required.

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Director	Ross Pharmacy, Inc, Prescott, AR

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
	NA	

GPO: 2007 33-427 (mac)

Use additional sheets if more space is required.

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2006

FORM A
For use by Members, officers, and employees

HAND DELIVERED
LEGISLATIVE RESOURCE CENTER
2007 MAY 14 PM 4:36
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

Name (Full Name)	Steven R. Rothman
Mailing Address	P.O. Box 650 Fair Lawn, NJ 07410
Daytime Telephone	202-225-5061

Filer Status	☑ Member of the U.S. House of Representatives — State: NJ District: 9th	☐ Officer Or Employee	Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☑ No ☐	
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐ No ☑	
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☑ No ☐	
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☑ No ☐	
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☐ No ☑	
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐ No ☑	
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☐ No ☑	
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☑ No ☐	
IX.	Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐ No ☑	

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts--	**Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?**	Yes ☐ No ☑
Exemptions--	**Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?**	Yes ☐ No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and wilfully falsifies, or who knowingly and wilfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.		5/10/07

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SCHEDULE I - EARNED INCOME

Name Steven R. Rothman

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Twinks Company, LLC, Fair Lawn, NJ	Manager's Fee	$24,780

SCHEDULE II - PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

Name Steven R. Rothman, M.C.

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. A green envelope for transmitting the list is included in each Member's filing package. Employees may request a green envelope from the Clerk or use a plain envelope that is appropriately labeled.

Source	Activity	Date	Amount

**** N O N E ****

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Steven R. Rothman

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
I	40 Ruta Court, So. Hackensack, NJ (33 1/3%)	$100,001 - $250,000	RENT	$15,001 - $50,000	N/A
I	600 Hollister Road Teterboro, NJ (33 1/3%)	$50,001 - $100,000	RENT	$15,001 - $50,000	N/A
I	Israel St. 7th Dev. Curr	$1,001 - $15,000	INTEREST	$1 - $200	N/A
I	Dreyfus NJ Money Market Fund	$1 - $1,000	INTEREST	$1 - $200	N/A
I	250 North Street Englewood, NJ (33% owner)	$100,001 - $250,000	RENT	$15,001 - $50,000	N/A

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SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Steven R. Rothman

I	4 E. Forest Avenue Englewood, NJ (33 1/3% owner)	$100,001 - $250,000	RENT	$5,001 - $15,000	N/A
I	175 Louis Street Hackensack, NJ; Sustar, LLC (Partial Owner)	$100,001 - $250,000	RENT	$15,001 - $50,000	N/A
I	30 Ruta Court South Hackensack, NJ (33% owner)	$100,001 - $250,000	RENT	$5,001 - $15,000	N/A
I	538-540 Huyler Street, South Hackensack, NJ (16 2/3 % owner)	$15,001 - $50,000	RENT	$5,001 - $15,000	N/A
i	550 Huyler Street, South Hackensack, NJ (16 2/3 % owner)	$250,001 - $500,000	RENT	$5,001 - $15,000	N/A
I	125 Louis Street South Hackensack, NJ (5.7% owner)	$100,001 - $250,000	RENT	$5,001 - $15,000	N/A
IRA	Openheimer Global Fund (Public Employees Benefits Co.); Formerly Oppenheimer Global Fnd Cls A	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	N/A
I	425 Victoria Terrace Ridgefield, NJ (16 2/3% owner)	$100,001 - $250,000	RENT	$15,001 - $50,000	N/A
I	80 Wesley Street, South Hackensack, NJ ; RNN LLC (33% owner)	$100,001 - $250,000	RENT	$201 - $1,000	N/A
I	150 Louis Street, South Hackensack, NJ (16 2/3% owner)	$100,001 - $250,000	RENT	$15,001 - $50,000	N/A
Partner	Dreyfus, NJ Money Mkt Fund (1/3 owner)	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	N/A

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Steven R. Rothman

Partner	Fidelity Mun.Money Mkt. Fund (1/3 owner)	$50,001 - $100,000	DIVIDENDS	$2,501 - $5,000	N/A
Partner	Citibank Savings Account (1/3 owner)	$1,001 - $15,000	INTEREST	$201 - $1,000	N/A
Partner	Roan Mun. Money Mkt. Fund (5.7% owner)	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500	N/A
Partner	17793 Southwick Way Boca Raton, FL (1/3 Owner)	$100,001 - $250,000	N/A	NONE	N/A
Partner	Partner - Summit Checking a/c (1/3 owner)	$1,001 - $15,000	N/A	NONE	N/A
DC Karen	125 Louis Street, South Hackensack, NJ (5.5% owner)	$15,001 - $50,000	RENT	$5,001 - $15,000	N/A
DC Karen	45 Ruta Court, S. Hackensack, NJ (6% owner)	$15,001 - $50,000	RENT	$2,501 - $5,000	N/A
DC John	125 Louis Street, South Hackensack, NJ (5.5% owner)	$15,001 - $50,000	RENT	$5,001 - $15,000	N/A
DC John	45 Ruta Court. South Hackensack, NJ (6 % owner)	$1,001 - $15,000	RENT	$2,501 - $5,000	N/A
DC Karen	Steven R. Rothman Irrev. Life Ins. Trust	$1,001 - $15,000	N/A	NONE	P
DC John	Steven R. Rothman Irrev. Life Ins. Trust	$1,001 - $15,000	N/A	NONE	P
I	Year End USA Port Mutual Fund	$1,001 - $15,000	DIVIDENDS	$1 - $200	S
I	New Jersey St. Gen. Obl. Ser D	$1,001 - $15,000	INTEREST	$201 - $1,000	S
I	Mercury Int'l Fd Cl.C	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	S

' Dependent Child

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Steven R. Rothman

I	Columbia Bank Acct	$1,001 - $15,000	None	NONE	N/A
DC Karen	Columbia Bank Acct	$1,001 - $15,000	INTEREST	$1 - $200	N/A
DC John	Columbia Bank Acct	$1,001 - $15,000	INTEREST	$1 - $200	N/A
Partner	Twinks Checking Account - Columbia Bank	$1,001 - $15,000	INTEREST	$1 - $200	N/A
Partner	Dreyfus NJ Money Mkt	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	N/A
DC Karen	Dreyfus NJ Money Mkt Fund	$1,001 - $15,000	DIVIDENDS	$1 - $200	N/A
DC John	Dreyfus NJ Money Mkt Fund	$1,001 - $15,000	DIVIDENDS	$1 - $200	N/A
Partner	Twinks LLC Columbia Bank	$15,001 - $50,000	INTEREST	$201 - $1,000	N/A
Partner	RNN LLC Columbia Bank Acct	$50,001 - $100,000	INTEREST	$201 - $1,000	N/A
Partner	Sustar LLC Columbia Bank	$15,001 - $50,000	INTEREST	$201 - $1,000	N/A
IRA	India Fund, Inc.	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	N/A
DC Karen	NM Daimler Chrysler NAH	$15,001 - $50,000	INTEREST	$201 - $1,000	S
DC Karen	CD Flagstar Bank FSB	$15,001 - $50,000	INTEREST	$201 - $1,000	S
DC Karen	India Fd Inc. Non Tr. RTS	$15,001 - $50,000	DIVIDENDS	$1 - $200	N/A

' Dependent Child

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Steven R. Rothman

*	DC John	NM Daimler Chrysler NAH	$15,001 - $50,000	INTEREST	$201 - $1,000	N/A
*	DC John	CD Flagstar Bank FSB	$15,001 - $50,000	INTEREST	$201 - $1,000	S
*	DC John	CD The First NA (formerly FNB Bar Harbor CD)	$1,001 - $15,000	INTEREST	$201 - $1,000	S
*	DC John	CD CIT Bank	$15,001 - $50,000	INTEREST	$201 - $1,000	PS (See Footnote)
*	DC John	India Fd Inc. Non Tr RTS	$15,001 - $50,000	DIVIDENDS	$1 - $200	N/A
*	DC Karen	CD GMAC Automotive Bank	$15,001 - $50,000	INTEREST	$201 - $1,000	N/A
*	DC Karen	Daimler Chrysler NA Hldg	$15,001 - $50,000	INTEREST	$1,001 - $2,500	N/A
	I	Vanguard Emerging Markets Index	$15,001 - $50,000	DIVIDENDS	$1 - $200	N/A
*	DC John	Vanguard Emeging Stock Index Fund	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	N/A
*	DC Karen	Vanguard Emerging Stock Stock Fund Index	$1 - $1,000	DIVIDENDS	$201 - $1,000	N/A
	I	Union Cnty NJ Imprv. Auth	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	P
	I	Neptune, NJ Sew Auth	$15,001 - $50,000	DIVIDENDS	$1 - $200	PS **
	I	Western Bank, Puerto Rico CD	$15,001 - $50,000	INTEREST	$1 - $200	PS **
	IRA	Countrywide Bank, NA	$15,001 - $50,000	INTEREST	$201 - $1,000	P

** Inadvertently omitted from 2005 Financial Disclosure Statement

* Dependent Child

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Steven R. Rothman

IRA	CD GMAC Bk Midvale, UT	$1,001 - $15,000	INTEREST	$1 - $200	P
I	I Shares MSCI Taiwan	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	P
* DC John	I Shares MSCI Taiwan	$50,001 - $100,000	DIVIDENDS	$201 - $1,000	P
* DC Karen	I Shares MSCI Taiwan	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	P
SP	American Capitol World Growth & Ind C.I C	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	P
SP	Blackrock Global	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	P
SP	Eaton Vance Worldwide Health	$1 - $1,000	DIVIDENDS	$1 - $200	P
SP	Loomis Sayles Strategic	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP	Merrill Lynch Bank USA RASP	$15,001 - $50,000	DIVIDENDS	$2,501 - $5,000	P

* Dependent Child

FOOTNOTES

Name Steven R. Rothman

Number	Section / Schedule	Footnote	This note refers to the following item
1	Schedule III	DC (Dependent Child) John; CD CIT Bank; $15,001 - $50,000; Interest; $201-$1000; Purchased all on 4/16/06; sold a portion on 6/26/06; some funds remain	DC John CD CIT BANK
2	Schedule III	SP - Congressman Rothman married Jennifer (Beckenstein) in August, 2006. Several of her holdings were purchased prior to 2006.	Spouse Assets

SCHEDULE IV - TRANSACTIONS

Name Steven R. Rothman

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction	
I	Year End USA Port Mutual Fund	S	10/30/01	$1,001 - $15,000	**
I	New Jersey St. Gen. Obl. Ser D	S	4/21/03	$1,001 - $15,000	**
I	Mercury Int'l Fd Cl.C	S	1/26/01	$1,001 - $15,000	**
DC Ka ren	NM Daimler Chrysler NAH	S	1/17/06	$15,001 - $50,000	
DC Ka ren	CD Flagstar Bank FSB	S	4/21/06	$15,001 - $50,000	
DC John	CD Flagstar Bank FSB	S	4/21/06	$15,001 - $50,000	
DC John	CD The First NA (Formerly FNB Bar Harbor CD)	S	6/26/06	$1,001 - $15,000	
DC John	CD CIT Bank	PS	Purchased 4/17/06; sold 6/26/06	$1,001 - $15,000	
I	Union Cnty NJ Imprv. Auth	P	3/10/06	$15,001 - $50,000	
I	Neptune, NJ Sew Auth	PS	Purchased 10/7/05; Sold 10/10/06	$15,001 - $50,000	

Dependent Child

** Inadvertently omitted from 2005 Financial Statement

SCHEDULE IV - TRANSACTIONS

Name Steven R. Rothman

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
I	Western Bank, Puerto Rico CD	PS	Purchased 12/08/05; Sold 11/13/06; 11/21/06; and 12/18/06	$1,001 - $15,000 **
IRA	Countrywide Bank, NA	P	5/31/05	$15,001 - $50,000
IRA	CD GMAC Bk Midvale, UT	P	6/06/05	$1,001 - $15,000
I	I Shares MSCI Taiwan	P	2/13/06	$15,001 - $50,000
DC Karen	I Shares MSCI Taiwan	P	2/13/06	$1,001 - $15,000
DC John	I Shares MSCI Taiwan	P	2/13/06	$1,001 - $15,000
DC Karen	Steven R. Rothman Irrev. Life Ins. Trust	P	3/5/06	$1,001 - $15,000
DC John	Steven R. Rothman Irrev. Life Ins. Trust	P	3/5/06	$1,001 - $15,000
SP	American Capitol World Growth & Ind C.I C	P	5/3/06	$15,001 - $50,000
SP	Blackrock Global	P	4/30/02	$15,001 - $50,000
SP	Eaton Vance Worldwide Health	P	4/25/02	$1,001 - $15,000

Dependent Child

** Inadvertently omitted from 2005 Financial Disclosure Statement

5797

SCHEDULE IV - TRANSACTIONS

Name Steven R. Rothman

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	Loomis Sayles Strategic	P	8/4/06	$1,001 - $15,000
SP	Merrill Lynch Bank USA RASP	P	9/18/06	$50,001 - $100,000

SCHEDULE V - LIABILITIES

Name Steven R. Rothman, M.C.

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report "revolving charge accounts" (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability

**** N O N E ****

SCHEDULE VI - GIFTS

Name Steven R. Rothman, M.C.

Report the source, a brief description, and the value of all gifts totaling more than $305 received by you, your spouse, or a dependent child from any source during the year. Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $122 or less need not be added towards the $305 disclosure threshold. Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value

**** N O N E ****

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name Steven R. Rothman, M.C.

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's expense
			Y	Y	Y	

**** N O N E ****

5801

SCHEDULE IX - AGREEMENTS

Name Steven R. Rothman, M.C.

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement

**** N O N E ****

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2006

FORM A
For use by Members, officers, and employees

HAND DELIVERED
LEGISLATIVE RESOURCE CENTER
2007 MAY 22 PM 12: 34
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
(Office Use Only)

Steven R. Rothman
(Full Name)

P.O. Box 650 Fair Lawn, NJ 07410
(Mailing Address)

202-225-5061
Daytime Telephone:

Filer Status	☑ Member of the U.S. House of Representatives — State: NJ District: 9th	☐ Officer Or Employee	Employing Office:
Report Type	☐ Annual (May 15)	☑ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION – ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes ☑ No ☐	
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes ☐ No ☑	
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes ☑ No ☐	
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes ☑ No ☐	
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes ☐ No ☑	
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes ☐ No ☑	
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? If yes, complete and attach Schedule VII.	Yes ☐ No ☑	
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes ☑ No ☐	
IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes ☐ No ☑	

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION – ANSWER EACH OF THESE QUESTIONS

Trusts--	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

CERTIFICATION – THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and wilfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.		5/22/07

5803

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Steven R. Rothman

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.		BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for Income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
I	40 Ruta Court, So. Hackensack, NJ (33 1/3%)	$100,001 - $250,000	RENT	$15,001 - $50,000	N/A
I	600 Hollister Road Teterboro, NJ (33 1/3%)	$50,001 - $100,000	RENT	$15,001 - $50,000	N/A
I	Israel St. 7th Dev. Curr	$1,001 - $15,000	INTEREST	$1 - $200	N/A
I	Dreyfus NJ Money Market Fund	$1 - $1,000	INTEREST	$1 - $200	N/A
I	250 North Street Englewood, NJ (33% owner)	$100,001 - $250,000	RENT	$15,001 - $50,000	N/A

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Steven R. Rothman

I	4 E. Forest Avenue Englewood, NJ (33 1/3% owner)	$100,001 - $250,000	RENT	$5,001 - $15,000	N/A
I	175 Louis Street Hackensack, NJ; Sustar, LLC (Partial Owner)	$100,001 - $250,000	RENT	$15,001 - $50,000	N/A
I	30 Ruta Court South Hackensack, NJ (33% owner)	$100,001 - $250,000	RENT	$5,001 - $15,000	N/A
I	538-540 Huyler Street, South Hackensack, NJ (16 2/3 % owner)	$15,001 - $50,000	RENT	$5,001 - $15,000	N/A
I	550 Huyler Street, South Hackensack, NJ (16 2/3 % owner)	$250,001 - $500,000	RENT	$5,001 - $15,000	N/A
I	125 Louis Street South Hackensack, NJ (5.7% owner)	$100,001 - $250,000	RENT	$5,001 - $15,000	N/A
IRA	Openheimer Global Fund (Public Employees Benefits Co.); Formerly Oppenheimer Global Fnd Cls A	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	N/A
I	425 Victoria Terrace Ridgefield, NJ (16 2/3% owner)	$100,001 - $250,000	RENT	$15,001 - $50,000	N/A
I	80 Wesley Street, South Hackensack, NJ ; RNN LLC (33% owner)	$100,001 - $250,000	RENT	$201 - $1,000	N/A
I	150 Louis Street, South Hackensack, NJ (16 2/3% owner)	$100,001 - $250,000	RENT	$15,001 - $50,000	N/A
Partner	Dreyfus, NJ Money Mkt Fund (1/3 owner)	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	N/A

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Steven R. Rothman

Partner	Fidelity Mun.Money Mkt. Fund (1/3 owner)	$50,001 - $100,000	DIVIDENDS	$2,501 - $5,000	N/A
Partner	Citibank Savings Account (1/3 owner)	$1,001 - $15,000	INTEREST	$201 - $1,000	N/A
Partner	Roan Mun. Money Mkt. Fund (5.7% owner)	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500	N/A
Partner	17793 Southwick Way Boca Raton, FL (1/3 Owner)	$100,001 - $250,000	N/A	NONE	N/A
Partner	Partner - Summit Checking a/c (1/3 owner)	$1,001 - $15,000	N/A	NONE	N/A
DC Karen	125 Louis Street, South Hackensack, NJ (5.5% owner)	$15,001 - $50,000	RENT	$5,001 - $15,000	N/A
DC Karen	45 Ruta Court, S. Hackensack, NJ (6% owner)	$15,001 - $50,000	RENT	$2,501 - $5,000	N/A
DC John	125 Louis Street, South Hackensack, NJ (5.5% owner)	$15,001 - $50,000	RENT	$5,001 - $15,000	N/A
DC John	45 Ruta Court. South Hackensack, NJ (6 % owner)	$1,001 - $15,000	RENT	$2,501 - $5,000	N/A
DC Karen	Steven R. Rothman Irrev. Life Ins. Trust	$1,001 - $15,000	N/A	NONE	P
DC John	Steven R. Rothman Irrev. Life Ins. Trust	$1,001 - $15,000	N/A	NONE	P
I	Year End USA Port Mutual Fund	$1,001 - $15,000	DIVIDENDS	NONE	S
I	New Jersey St. Gen. Obl. Ser D	$1,001 - $15,000	INTEREST	NONE	S
I	Mercury Int'l Fd Cl.C	$1,001 - $15,000	DIVIDENDS	NONE	S

I Dependent Child

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Steven R. Rothman

I	Columbia Bank Acct	$1,001 - $15,000	None	NONE	N/A
DC Karen	Columbia Bank Acct	$1,001 - $15,000	INTEREST	$1 - $200	N/A
DC John	Columbia Bank Acct	$1,001 - $15,000	INTEREST	$1 - $200	N/A
Partner	Twinks Checking Account - Columbia Bank	$1,001 - $15,000	INTEREST	$1 - $200	N/A
Partner	Dreyfus NJ Money Mkt	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	N/A
DC Karen	Dreyfus NJ Money Mkt Fund	$1,001 - $15,000	DIVIDENDS	$1 - $200	N/A
DC John	Dreyfus NJ Money Mkt Fund	$1,001 - $15,000	DIVIDENDS	$1 - $200	N/A
Partner	Twinks LLC Columbia Bank	$15,001 - $50,000	INTEREST	$201 - $1,000	N/A
Partner	RNN LLC Columbia Bank Acct	$50,001 - $100,000	INTEREST	$201 - $1,000	N/A
Partner	Sustar LLC Columbia Bank	$15,001 - $50,000	INTEREST	$201 - $1,000	N/A
IRA	India Fund, Inc.	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	N/A
DC Karen	NM Daimler Chrysler NAH	$15,001 - $50,000	INTEREST	NONE	S
DC Karen	CD Flagstar Bank FSB	$15,001 - $50,000	INTEREST	NONE	S
DC Karen	India Fd Inc. Non Tr. RTS	$15,001 - $50,000	DIVIDENDS	$1 - $200	N/A

* Dependent Child

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Steven R. Rothman

*	DC John	NM Daimler Chrysler NAH	$15,001 - $50,000	INTEREST	$201 - $1,000	N/A
*	DC John	CD Flagstar Bank FSB	$15,001 - $50,000	INTEREST	NONE	S
*	DC John	CD The First NA (formerly FNB Bar Harbor CD)	$1,001 - $15,000	INTEREST	NONE	S
*	DC John	CD CIT Bank	$15,001 - $50,000	INTEREST	$201 - $1,000	PS
*	DC John	India Fd Inc. Non Tr RTS	$15,001 - $50,000	DIVIDENDS	$1 - $200	N/A
*	DC Karen	CD GMAC Automotive Bank	$15,001 - $50,000	INTEREST	$201 - $1,000	N/A
*	DC Karen	Daimler Chrysler NA Hldg	$15,001 - $50,000	INTEREST	$1,001 - $2,500	N/A
	I	Vanguard Emerging Markets Index	$15,001 - $50,000	DIVIDENDS	$1 - $200	N/A
*	DC John	Vanguard Emeging Stock Index Fund	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	N/A
*	DC Karen	Vanguard Emerging Stock Stock Fund Index	$1 - $1,000	DIVIDENDS	$201 - $1,000	N/A
	I	Union Cnty NJ Imprv. Auth	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	P
	I	Neptune, NJ Sew Auth	$15,001 - $50,000	DIVIDENDS	NONE	PS **
	I	Western Bank, Puerto Rico CD	$15,001 - $50,000	INTEREST	NONE	PS **
	IRA	Countrywide Bank, NA	$15,001 - $50,000	INTEREST	$201 - $1,000	P

** Inadvertently omitted from 2005 Financial Disclosure Statement

* Dependent Child

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Steven R. Rothman

IRA	CD GMAC Bk Midvale, UT	$1,001 - $15,000	INTEREST	$1 - $200	P
I	I Shares MSCI Taiwan	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	P
DC John	I Shares MSCI Taiwan	$50,001 - $100,000	DIVIDENDS	$201 - $1,000	P
DC Karen	I Shares MSCI Taiwan	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	P
SP	American Capitol World Growth & Ind C.I C	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	P
SP	Blackrock Global	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	P
SP	Eaton Vance Worldwide Health	$1 - $1,000	DIVIDENDS	$1 - $200	P
SP	Loomis Sayles Strategic	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP	Merrill Lynch Bank USA RASP	$15,001 - $50,000	DIVIDENDS	$2,501 - $5,000	P

Dependent Child

SCHEDULE VIII - POSITIONS

Name Steven R. Rothman

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Trustee	John Abraham Rothman 1989 Trust
Trustee	Karen Bena Rothman 1991 Trust
Partner	Twinks Company, LLC
Partner	Roan Partnership, LP
Twinks Company, LLC is a tenant in common in these two organizations	Folman Company; Rofol Company
Partner	Sustar, LLC
Partner	RNN, LLC

SCHEDULE VIII - POSITIONS

Name Steven R. Rothman

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Trustee	John Abraham Rothman 1989 Trust
Trustee	Karen Bena Rothman 1991 Trust
Partner	Twinks Company, LLC
Partner	Roan Partnership, LP
Twinks Company, LLC is a tenant in common in these two organizations	Folman Company; Rofol Company
Partner	Sustar, LLC
Partner	RNN, LLC

UNITED STATES HOUSE OF REPRESENTATIVES

2007 FINANCIAL DISCLOSURE STATEMENT

FORM A
For use by Members, officers, and employees

LEGISLATIVE RESOURCE CENTER
2007 MAY 15 PM 12: 53
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

Lucille Roybal-Allard
(Full Name)
2330 Rayburn House Office Bldg. Washington, DC 20515
(Mailing Address)
Daytime Telephone: (202) 225-1766

MC HAND DELIVERED
(Office Use Only)

Filer Status	[X] Member of the U.S. House of Representatives — State: CA District: 34	[ ] Officer or Employee	Employing Office:
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination — Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [X]	No [ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [ ]	No [X]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ]	No [X]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, §104 and 18 U.S.C. §1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Lucille Roybal-Allard	5/15/07

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SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$5,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	ROYBAL FOUNDATION	SPOUSE SALARY	NA
	TRPI	ALLARD & ASSOC. CONSULTING FEE (SPOUSE)	$5,000

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE II — PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

	Source	Activity	Date	Amount
Examples:	Association of American Associations, Washington, DC	Speech	Feb. 2, 2006	$2,000
	XYZ Magazine	Article	Aug. 13, 2006	$500
	NA			

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the name of the business, the nature of the business, and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

Check all columns that apply.
Check "None" if asset did not generate any income during the calendar year.

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was received.

BLOCK E — Transaction

Indicate if the asset had purchases (P), sales (S), or exchanges (E) exceeding $1000 in reporting year.

	Block A		Block B: None	A $1 – $1,000	B $1,001 – $15,000	C $15,001 – $50,000	D $50,001 – $100,000	E $100,001 – $250,000	F $250,001 – $500,000	G $500,001 – $1,000,000	H $1,000,001 – $5,000,000	I $5,000,001 – $25,000,000	J $25,000,001 – $50,000,000	K Over $50,000,000	L	Block C: NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	Block D: None	I $1 – $200	II $201 – $1,000	III $1,001 – $2,500	IV $2,501 – $5,000	V $5,001 – $15,000	VI $15,001 – $50,000	VII $50,001 – $100,000	VIII $100,001 – $1,000,000	IX $1,000,001 – $5,000,000	X Over $5,000,000	Block E: Transaction
SP	Examples	SP	Mega Corp. Stock					X								X											X							P
DC			Simon & Schuster		Indefinite																	Royalties										X		
JT			1st Bank of Paducah, KY accounts						X									X										X						
JT	CONGRESSIONAL FEDERAL CREDIT UNION								X										X						X									
SP	BANK OF AMERICA (SEP)							X										NA									NA							
SP	NAVY FEDERAL CREDIT UNION (SEP)							X										NA									NA							
SP	NAVY FEDERAL CREDIT UNION (SAVING)					X													X						X									
SP	WELLS FARGO BANKING (CHECKING)					X													X					X										
SP	UNITED WAY CREDIT UNION				X														X					X										

For additional assets and unearned income, use next page.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Lucille Roybal-Allard

SP, OC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset	BLOCK C Type of Income	BLOCK D Amount of Income	BLOCK E Transaction (P, S, E)
SP	UNITED WAY RETIREMENT FUND	E $50,001 – $100,000	CAPITAL GAINS: NA	VI $5,001 – $15,000: NA	
SP	UNITED WAY LA RETIRE FUND	D $15,001 – $50,000	CAPITAL GAINS: NA	VI $5,001 – $15,000: NA	
SP	PENTAGON FEDERAL CREDIT UNION	C $1,001 – $15,000	INTEREST: X	III $201 – $1,000: X	
SP	PRUDENTIAL (HILL RETIREMENT)	D $15,001 – $50,000	CAPITAL GAINS: NA	VI $5,001 – $15,000: NA	
SP	MUTUAL OF AMERICA ROYBAL FOUNDATION	C $1,001 – $15,000	CAPITAL GAINS: NA	VI $5,001 – $15,000: NA	

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SCHEDULE IV — TRANSACTIONS

Name Lucille Roybal-Allard | Page 6 of 9

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.			Type of Transaction			Date	Amount of Transaction										
			PURCHASE	SALE	EXCHANGE	(MO/DAY/YR)	B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP, DC, JT	Asset															
SP	*Example:*	Mega Corporation Common Stock	X			10–12–06		X								
	NA															

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Name Lucille Roybal-Allard | Page 7 of 9

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			B $10,001 – $15,000	C $15,001 – $50,000	D $50,001 – $100,000	E $100,001 – $250,000	F $250,001 – $500,000	G $500,001 – $1,000,000	H $1,000,001 – $5,000,000	I $5,000,001 – $25,000,000	J $25,000,001 – $50,000,000	K Over $50,000,000
Example:	First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
	NA											

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $305 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $122 or less need not be added towards the $305 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$325
NA		

Use additional sheets if more space is required.

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were paid directly by the sponsor or were paid by you and reimbursed by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	City of Departure—Destination—City of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Number of days not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	DC—Chicago—DC	N	N	N	None
	Roycroft Corporation	Aug. 6–11	DC—Los Angeles—Cleveland	Y	Y	Y	2 Days
	NALEO	JUNE 23-25	DC-DALLAS-DC	Y	Y	Y	NONE

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SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
BOARD MEMBER EDWARD T. ALLARD III	U.S. CAPITOL HISTORICAL SOCIETY, WASHINGTON, DC
SEE ATTACHED FOR LUCILLE ROYBAL-ALLARD	

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement

GPO 2007 33-427 (mac)

Use additional sheets if more space is required.

Congresswoman Lucille Roybal-Allard
BOARDS AND ADVISORY COUNCILS
2007

-Angelus Plaza Activity Center Advisory Council

-Art Share Los Angeles Advisory Board

-Arthritis Foundation Southern California Chapter's Spanish Language Arthritis Empowerment Program Advisory Committee

-Azusa Street Memorial Committee Advisory Board

-Belmont Community Adult School Advisory Council

-Congressional Hispanic Caucus Institute Board of Directors

-Downtown Arts Development Association Advisory Board

-East LA Occupational Center Advisory Council

-HIV/AIDS Community Advisory Council

-Huntington Park-Bell-Gage Community Adult School Advisory Council

-Japanese American National Museum Honorary Board of Governors

-Korean American Coalition Honorary Board Member

-Las Madrinas of Ramona High School

-L.A. County /USC Medical Center Advisory Council

-NALEO Educational Fund Board of Directors

-Neighborhood Music Settlement Advisory Council

-Pacific Asian Consortium for Employment (PACE) Youth Build Advisory Committee

-Para Los Niños Advisory Board

-Rio Hondo Boys & Girls Club Advisory Council

-Roosevelt Community Adult School Advisory Council

-Padres Contra El Cancer Advisory Council

-USC Military Advisory Council/Department of Military Science

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UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2006

FORM A For use by Members, officers, and employees

Edward Randall Royce
(Full Name)

2185 Rayburn House Office Building Washington, DC 20515 (Mailing Address)

202-225-4111 Daytime Telephone:

Filer Status	☑ Member of the U.S. House of Representatives	State: CA District: 40	☐ Officer Or Employee — Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION – ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☑ No ☐	VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐ No ☑
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐ No ☑	VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☐ No ☑
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☑ No ☐	VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☐ No ☑
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☑ No ☐	IX.	Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐ No ☑
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☐ No ☑		**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION – ANSWER EACH OF THESE QUESTIONS

Trusts–	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions–	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

CERTIFICATION – THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and wilfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Edward R Royce	May 15 2007

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SCHEDULE I - EARNED INCOME

Name Edward Randall Royce

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Alcatel Lucent	Spouse Salary	N/A

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Edward Randall Royce

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.		BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
SP	Alcatel Lucent (Spouse IRA)	$1,001 - $15,000	DIVIDENDS	$1 - $200	
SP	Alger Small Cap. GR. (Spouse IRA)	$1,001 - $15,000	None	NONE	P
SP	American Century Inestments/Global Gold	$1,001 - $15,000	None	NONE	P
SP	American Century Investment/VISTA	None	None	NONE	PS
SP	Cancervax (Spouse IRA)	None	None	NONE	S
JT	Cash/CFCU Savings	$15,001 - $50,000	INTEREST	$1 - $200	

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SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Edward Randall Royce

SP	Cisco (Spouse IRA)	None	None	NONE	S
SP	Davis NY Venture FD INC (Spouse IRA)	$15,001 - $50,000	DIVIDENDS	$1 - $200	P
SP	EMC Corp (Spouse IRA)	None	None	NONE	S
SP	Fidelity Mutual Fund - International Equity (Spouse 401K)	$50,001 - $100,000	None	NONE	
SP	Harding Emerging Market (Spouse IRA)	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP	I-Shares Dow Jones (Spouse IRA)	None	CAPITAL GAINS	$2,501 - $5,000	S
SP	Janus Forty Fund (Spouse IRA)	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	P
SP	Lazard Emerging Portfolio (Spouse IRA)	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP	Microsoft (Spouse IRA)	None	None	NONE	S
JT	Money Market/CFCU	None	DIVIDENDS	$1,001 - $2,500	S
SP	Proctor & Gamble Stock (Spouse IRA)	$15,001 - $50,000	DIVIDENDS	$1 - $200	
SP	Qualcom (Spouse IRA)	None	CAPITAL GAINS	$1 - $200	S
SP	Royce Micro Cap Fund (Spouse IRA)	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP	SB Money Government Port (Spouse IRA)	$1,001 - $15,000	DIVIDENDS	$1 - $200	S

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Edward Randall Royce

SP	Touchstone Sands Corp. (Spouse IRA)	$1,001 - $15,000	None	NONE	P
SP	William Blair International (Spouse IRA)	$1,001 - $15,000	DIVIDENDS	$1 - $200	P

SCHEDULE IV - TRANSACTIONS

Name Edward Randall Royce

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	Cancervax (Spouse IRA)	S	4/06/06	$1,001 - $15,000
SP	Cisco (Spouse IRA)	S	4/6/06	$1,001 - $15,000
SP	I-Shares Dow Jones (Spouse IRA)	S	4/6/06	$15,001 - $50,000
SP	EMC Corp (Spouse IRA)	S	4/6/06	$1,001 - $15,000
SP	Microsoft (Spouse IRA)	S	4/6/06	$1,001 - $15,000
SP	Qualcom (Spouse IRA)	S	5/24/06	$1,001 - $15,000
SP	Davis NY Venture FD INC (Spouse IRA)	P	5/17/06	$15,001 - $50,000
SP	William Blair International (Spouse IRA)	P	5/17/06	$1,001 - $15,000
SP	Harding Emerging Market (Spouse IRA)	P	5/17/06	$1,001 - $15,000
SP	Janus Forty Fund (Spouse IRA)	P	5/17/06	$1,001 - $15,000
SP	Lazard Emerging Portfolio (Spouse IRA)	P	5/17/06	$1,001 - $15,000
SP	Royce Micro Cap Fund (Spouse IRA)	P	5/17/06	$1,001 - $15,000

SCHEDULE IV - TRANSACTIONS

Name Edward Randall Royce

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	Touchstone Sands Corp. (Spouse IRA)	P	5/17/06	$1,001 - $15,000
SP	SB Money Government Port (Spouse IRA)	S	12/13/06	$1,001 - $15,000
SP	Alger Small Cap. GR. (Spouse IRA)	P	5/17/06	$1,001 - $15,000
SP	American Century Investment/VISTA	P	4/10/06	$1,001 - $15,000
SP	American Century Investments/VISTA	S	8/23/06	$1,001 - $15,000
SP	American Century Inestments/Global Gold	P	8/23/06	$1,001 - $15,000

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5829

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2006

FORM A For use by Members, officers, and employees

Charles Albert Ruppersberger
(Full Name)

10 Highfield Court Cockeysville, MD 21030
(Mailing Address)

202-225-3061
Daytime Telephone

Filer Status: ☑ Member of the U.S. House of Representatives — State: MD District: 02 ☐ Officer Or Employee — Employing Office:

Report Type: ☑ Annual (May 15) ☐ Amendment ☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? Yes ☑ No ☐
If yes, complete and attach Schedule I.

II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? Yes ☐ No ☑
If yes, complete and attach Schedule II.

III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? Yes ☑ No ☐
If yes, complete and attach Schedule III.

IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? Yes ☑ No ☐
If yes, complete and attach Schedule IV.

V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? Yes ☐ No ☑
If yes, complete and attach Schedule V.

VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? Yes ☐ No ☑
If yes, complete and attach Schedule VI.

VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? Yes ☐ No ☑
If yes, complete and attach Schedule VII.

VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? Yes ☑ No ☐
If yes, complete and attach Schedule VIII.

IX. Did you have any reportable agreement or arrangement with an outside entity? Yes ☑ No ☐
If yes, complete and attach Schedule IX.

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-- Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? Yes ☐ No ☑

Exemptions-- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Yes ☐ No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and wilfully falsifies, or who knowingly and wilfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001)

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	C.A. Ruppersberger	5/15/07

SCHEDULE I - EARNED INCOME

Name Charles Albert Ruppersberger

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Baltimore County	Retirement Pension	$83,011.80

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Charles Albert Ruppersberger

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.		BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
JT	Bank of America	$15,001 - $50,000	INTEREST	$201-$1,000	
	FIDELITY CONTRAFUND	$100,001-$250,000	NONE	NONE	
	FIDELITY EQUITY INCOME FUND	$15,001-$50,000	NONE	NONE	
SP	FIRST MARINER	$1,001-$15,00	INTEREST	$201 - $1,000	
	GARTMORE NATIONWIDE FUND	$15,001-$50,000	NONE	NONE	
	GVITSM CAP VALUE	$1,001 - $15,000	NONE	NONE	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Charles Albert Ruppersberger

	JOHN J. MURPHY TRUST (FERRIS BAKER WATTS)	$100,001 - $250,000	DIVIDENDS	$5,001 - $15,000	
	LEGG MASON OPP TRUST (IRA)	$15,001 - $50,000	NONE	NONE	
	LEGG MASON SPECIAL INVESTMENT TRUST (IRA)	$250,001- $500,00	NONE	NONE	
JT	LEGG MASON VALUE TRUST (IRA)	$100,001 - $250,000	NONE	NONE	
SP	LEGG MASON, INC (IRA)	$15,001 - $50,000	NONE	NONE	
SP	MBNA CORPORATION	None	DIVIDENDS	$201-$1,000	S
	MFS HIGH INCOME FUND	$15,001-$50,000	NONE	NONE	
	MFS SUN LIFE FINANCIAL ANNUITIES (IRA)	$15,001 - $50,000	NONE	NONE	
SP	MFS SUN LIFE FINANCIAL ANNUITIES (IRA)	$15,001-$50,000	NONE	NONE	
	NEU BER GENESIS FUND	$1,001 - $15,000	NONE	NONE	
	OPPNHMR CAP APPR	$15,001-$50,000	NONE	NONE	
	Rupp & Assoc., Inc. Timonium, MD (TRUST)	$100,001 - $250,000	(TRUST)	$50,001-$100,000	
SP	SHENANDOAH VALLEY NATIONAL BANK	$50,001 - $100,000	INTEREST	$201 - $1,000	
JT	Summer Beach 608 Ocean City, MD	$250,001- $500,000	RENT	$5,001-$15,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Charles Albert Ruppersberger

SP	TIME WARNER INC	$1,001 - $15,000	NONE	NONE

SCHEDULE IV - TRANSACTIONS

Name Charles Albert Ruppersberger

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	MBNA CORPORATION	S	01-06	$15,001 - $50,000

SCHEDULE IX - AGREEMENTS

Name Charles Albert Ruppersberger

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
08-24-75	Baltimore County Employment Retirement System	Baltimore County Pension Plan & Deferred Compensation Plan (Effective 8/24/75)

UNITED STATES HOUSE OF REPRESENTATIVES

2007 FINANCIAL DISCLOSURE STATEMENT

FORM A
For use by Members, officers, and employees

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U.S. HOUSE OF REPRESENTATIVES
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(H)
HC

Bobby Lee Rush
(Full Name)

3534 So. Calumet Ave
Chicago Ill 60653
(Mailing Address)

202-225-4372
Daytime Telephone

Filer Status	☒ Member of the U.S. House of Representatives — State: District:	☐ Officer or Employee	Employing Office:
Report Type	☒ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

***A $200 penalty* shall be assessed against anyone who files more than 30 days late.**

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☒	No ☐	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐	No ☒
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐	No ☒	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☐	No ☒
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☐	No ☒	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☒	No ☐
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☐	No ☒	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐	No ☒
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☐	No ☒	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐	No ☒
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐	No ☒

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Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Bobby L Rush	6/13/07

5836

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	*Spouse Salary*	NA
	TREASURER'S Office, State of Illinois	Spouse Salary	N/A

For payments to charity in lieu of honoraria, use Schedule II.

Name Bobby L. Rush | Page 3 of 4

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Number of days not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	Wash., D.C.—Chicago—Wash., D.C.	N	N	N	None
	Roycroft Corporation	Aug. 6–11	Wash., D.C.—Los Angeles—Cleveland	Y	Y	Y	2 Days
	Aspen Institute (the Congressional Program)	4/9-14	Honolulu, Hawaii	Y	Y	Y	None
	Ford Motor Dealers Association	2/6/06	Kissimmee, Fla	Y	Y	No	None

This page may be copied if more space is required.

Name Bobby L. Rush | Page 4 of 4

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Member, Bd of Directors	Rebirth of Englewood Local Development Corp
Member, Bd of Directors	Beloved Community Family Services Corp

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement

Use additional sheets if more space is required.

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16

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2006

FORM A
For use by Members, officers, and employees

PAUL D. RYAN
(Full Name)

P.O. BOX 2194 JANESVILLE, WI 53547-2194
(Mailing Address)

202.225.3031
Daytime Telephone

Filer Status	☑ Member of the U.S. House of Representative	State: WI District: 1	☐ Officer Or Employee — Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☐ No ☑	
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☑ No ☐	
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☑ No ☐	
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☑ No ☐	
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☐ No ☑	
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐ No ☑	
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☑ No ☐	
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☑ No ☐	
IX.	Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐ No ☑	

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions-	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

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Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Paul D. Ryan	5/14/07

5840

SCHEDULE II - PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

Name PAUL D. RYAN

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. A green envelope for transmitting the list is included in each Member's filing package. Employees may request a green envelope from the Clerk or use a plain envelope that is appropriately labeled.

Source	Activity	Date	Amount
KNIGHTS OF COLUMBUS	SPEECH	July 1, 2006	$200

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name PAUL D. RYAN

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.		BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed catagories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
SP	AMERICAN INTERNATIONAL GROUP STOCK	$1,001 - $15,000	DIVIDENDS	$1 - $200	
SP	AVA O LIMITED CO., MINING, MADILL, OK (7.693% INTEREST)	$15,001 - $50,000	Other: PARTNERSHIP INCOME	$5,001 - $15,000	
SP	BAXTER INTERNATIONAL, INC. STOCK	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
SP	DUKE REALTY CORP. STOCK	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	
SP	EDWARDS LIFESCIENCES CORP. STOCK	$1,001 - $15,000	DIVIDENDS	NONE	
SP	FIDELITY CONTRAFUND FUND	$50,001 - $100,000	DIVIDENDS/CAPITAL GAINS	$5,001 - $15,000	P

5842

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name PAUL D. RYAN

SP	HARTFORD CAPITAL APPRECIATION FUND B	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	
SP	HARTFORD DISCIPLINED EQUITY FUND B	$1,001 - $15,000	DIVIDENDS	$1 - $200	
SP	HARTFORD GLOBAL HEALTH FUND B	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$1 - $200	
SP	HARTFORD GLOBAL LEADERS FUND B	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	
SP	HARTFORD GLOBAL TECHNOLOGY FUND B	$1,001 - $15,000	DIVIDENDS	NONE	
SP	HARTFORD MIDCAP FUND B	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$1,001 - $2,500	P
SP	HARTFORD STOCK FUND B	$1,001 - $15,000	DIVIDENDS	NONE	
SP	INTEL CORP. STOCK	$1,001 - $15,000	DIVIDENDS	$1 - $200	
SP	JANUS WORLDWIDE FUND	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	
JT	JOHNSON BANK - CHECKING ACCOUNT	$1,001 - $15,000	INTEREST	$1 - $200	
JT	JOHNSON BANK - MONEY MARKET ACCOUNTS	$1,001 - $15,000	INTEREST	$1 - $200	
SP	JP MORGAN CHASE & CO. STOCK	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
SP	LANDMARK BANK SAVINGS ACCOUNT	$1,001 - $15,000	INTEREST	$1 - $200	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name PAUL D. RYAN

SP	LOCL - (LAND OIL CO., LTD.), INVESTMENT, MADILL, OK (0.80843% INTEREST, INDIVIDUAL HOLDINGS FOLLOW)	$50,001 - $100,000	Other: PARTNERSHIP INCOME	$5,001 - $15,000	
SP	LOCL-FEDERATED CAPITAL RESERVES MONEY MARKET FUND	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
SP	LOCL-GRAND RIVER DAM AUTHORITY (OK) BONDS	$1,001 - $15,000	INTEREST	$1 - $200	
SP	LOCL-INTEREST IN COURAGE SPECIAL SITUATION FUND, LP, INVESTMENT, NASHVILLE, TN	$15,001 - $50,000	Other: PARTNERSHIP INCOME	$2,501 - $5,000	
SP	LOCL-INTEREST IN RED RIVER PINE LIMITED CO., TIMBER, MADILL, OK	$1,001 - $15,000	Other: PARTNERSHIP INCOME	NONE	
SP	LOCL-NOTE RECEIVABLE FROM J.B. COLEMAN, KINGSTON, OK	$1,001 - $15,000	INTEREST	$1 - $200	
SP	LOCL-REAL ESTATE IN ADA, OK	$1,001 - $15,000	RENT	$1 - $200	
SP	LOCL-REAL ESTATE IN KINGSTON, OK	$1,001 - $15,000	RENT	NONE	
SP	MELLON FINANCIAL CORP. STOCK	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
SP	OLD MUTUAL GROWTH FUND	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS	NONE	
SP	RED RIVER PINE LIMITED CO., TIMBER, MADILL, OK (7.41 % INTEREST)	$15,001 - $50,000	Other: PARTNERSHIP INCOME	NONE	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name PAUL D. RYAN

	RHIP - (RYAN-HUTTER INVESTMENT PARTNERSHIP), INVESTMENT, FT. LAUDERDALE, FL (20% INTEREST, INDIVIDUAL HOLDINGS FOLLOW)	$250,001 - $500,000	Other: PARTNERSHIP INCOME	$15,001 - $50,000	
	RHIP-BANK OF AMERICA CORP. STOCK	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
	RHIP-BANK OF NEW YORK CO., INC. STOCK	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
	RHIP-BRISTOL MYERS SQUIBB CO. STOCK	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
	RHIP-CITRIX SYSTEMS, INC. STOCK	$1,001 - $15,000	DIVIDENDS	NONE	
	RHIP-EATON VANCE TAX MANAGED DIVERSIFIED EQUITY INCOME FUND	$1,001 - $15,000	DIVIDENDS	NONE	P
	RHIP-FANNIE MAE VOTING STOCK	None	DIVIDENDS	$201 - $1,000	S
	RHIP-GENERAL ELECTRIC CO. STOCK	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
	RHIP-H&R BLOCK FINANCIAL ADVISORS MONEY MARKET	$1,001 - $15,000	INTEREST	$201 - $1,000	
	RHIP-HOME DEPOT, INC. STOCK	$100,001 - $250,000	DIVIDENDS	$1,001 - $2,500	
	RHIP-IBM CORP. STOCK	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	RHIP-PROCTER & GAMBLE CO. STOCK	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name PAUL D. RYAN

	RHIP-WELLS FARGO & CO. STOCK	$50,001 - $100,000	DIVIDENDS	$1,001 - $2,500	
	RHIP-ZIMMER HOLDINGS, INC. STOCK	$1,001 - $15,000	DIVIDENDS	NONE	
	RLP - (RYAN LIMITED PARTNERSHIP), INVESTMENT, MADISON, WI (20% INTEREST, INDIVIDUAL HOLDINGS FOLLOW)	$100,001 - $250,000	Other: PARTNERSHIP INCOME	$5,001 - $15,000	
	RLP-3M CO. STOCK	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	RLP-ABBOTT LABS STOCK	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
	RLP-ACCENTURE LTD STOCK	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	RLP-ALLSTATE CORP STOCK	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	RLP-ALTRIA GROUP INC STOCK	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	RLP-AMERICAN INTERNATIONAL GROUP STOCK	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	RLP-AMGEN, INC. STOCK	$1,001 - $15,000	DIVIDENDS	NONE	
	RLP-APACHE CORP. STOCK	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	RLP-APPLE COMPUTER INC	$1,001 - $15,000	DIVIDENDS	NONE	P
	RLP-AUTODESK INC STOCK	$1,001 - $15,000	DIVIDENDS	NONE	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name PAUL D. RYAN

	RLP-BANK OF AMERICA CORP STOCK	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	RLP-BBH INFLATION INDEXED SECURITIES FUND	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	RLP-BERKSHIRE HATHAWAY CLASS B STOCK	$1,001 - $15,000	DIVIDENDS	NONE	
	RLP-BP, PLC ADR	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	RLP-CAREMARK RX INC STOCK	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	RLP-CITIGROUP, INC. STOCK	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	RLP-CONOCOPHILLIPS STOCK	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	RLP-ECOLAB, INC. STOCK	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	RLP-EXXON MOBIL CORP	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
	RLP-FEDEX CORP. STOCK	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	RLP-FIRST AMERICAN INTERMEDIATE TERM BOND FUND Y	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
	RLP-FIRST AMERICAN MID CAP GROWTH FUND Y	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$1,001 - $2,500	
	RLP-FIRST AMERICAN PRIME OBLIGATION FUND Y	$1,001 - $15,000	INTEREST	$1 - $200	
	RLP-FIRST AMERICAN SHORT TERM BOND FUND Y	$1,001 - $15,000	DIVIDENDS	$1 - $200	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name PAUL D. RYAN

	RLP-FIRST AMERICAN SMALL CAP INDEX FUND Y	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	
	RLP-FIRST AMERICAN SMALL CAP SELECT FUND Y	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	
	RLP-FIRST DATA CORP STOCK	$1,001 - $15,000	DIVIDENDS	NONE	
	RLP-FISERV INC STOCK	$1,001 - $15,000	DIVIDENDS	NONE	
	RLP-GENERAL ELECTRIC CO. STOCK	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	RLP-HEWLETT PACKARD CO. STOCK	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	RLP-ILLINOIS TOOL WORKS INC STOCK	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	RLP-INTUIT, INC. STOCK	$1,001 - $15,000	DIVIDENDS	NONE	
	RLP-JC PENNY COMPANY INC STOCK	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	RLP-JOHNSON & JOHNSON STOCK	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	RLP-MARSHALL & ILLSLEY CORP. STOCK	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	RLP-MCDONALD'S CORP. STOCK	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	RLP-MCGRAW-HILL, INC. STOCK	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	RLP-MEDTRONIC, INC. STOCK	$1,001 - $15,000	DIVIDENDS	$1 - $200	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name PAUL D. RYAN

	RLP-MERRILL LYNCH & CO., INC. STOCK	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	RLP-MICROSOFT CORP. STOCK	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	RLP-MOTOROLA, INC. STOCK	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	RLP-OAKMARK GLOBAL FUND	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$1,001 - $2,500	P
	RLP-OMNICOM GROUP INC STOCK	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
	RLP-PEPSICO, INC. STOCK	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	RLP-PRAXAIR, INC. STOCK	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	RLP-PROCTOR & GAMBLE CO. STOCK	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	RLP-QUALCOMM, INC. STOCK	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	RLP-REPUBLIC SVCS INC STOCK	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	RLP-SCHLUMBERGER, LTD. STOCK	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	RLP-SCHWAB ONE MONEY MARKET	$1,001 - $15,000	INTEREST	$1 - $200	
	RLP-SCHWAB YIELDPLUS INV	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	P
	RLP-SECTOR SPDR ENERGY SELECT FUND	$1,001 - $15,000	DIVIDENDS	$1 - $200	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name PAUL D. RYAN

	RLP-STAPLES INC STOCK	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	RLP-STATE STREET CORP. STOCK	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	RLP-SYSCO CORP STOCK	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	RLP-TEXAS INSTRUMENTS INC STOCK	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	RLP-UNITED TECHNOLOGIES CORP. STOCK	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	RLP-US TREASURY I BOND (1/15/07)	$1,001 - $15,000	INTEREST	$1 - $200	
	RLP-VANGUARD GNMA FUND	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
	RLP-WAL-MART STORES, INC. STOCK	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	RLP-WALGREEN CO STOCK	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
	RLP-WELLPOINT INC STOCK	$1,001 - $15,000	DIVIDENDS	NONE	P
	RLP-WELLS FARGO & CO. STOCK	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	RLP-WYETH STOCK	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	RLP-ZIMMER HOLDINGS, INC. STOCK	$1,001 - $15,000	DIVIDENDS	NONE	
SP	SOUTHERN CO. STOCK	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name PAUL D. RYAN

SP	T. ROWE PRICE EQUITY INCOME FUND	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS	$1,001 - $2,500	P
SP	T. ROWE PRICE NEW HORIZONS FUND	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS	$1,001 - $2,500	P
SP	TENET HEALTHCARE STOCK	$1,001 - $15,000	DIVIDENDS	NONE	
SP	TXU CORP. STOCK	$1,001 - $15,000	CAPITAL GAINS	$201 - $1,000	
SP	VERIZON COMMUNICATIONS STOCK	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
DC	WELLS FARGO ADVANTAGE FUNDS EDVEST 529 COLLEGE SAVINGS PLAN AGED BASED PORTFOLIO FOR DAUGHTER ELIZABETH	$100,001 - $250,000	DIVIDENDS	NONE	
DC	WELLS FARGO ADVANTAGE FUNDS EDVEST 529 COLLEGE SAVINGS PLAN AGED BASED PORTFOLIO FOR SON CHARLES	$50,001 - $100,000	DIVIDENDS	NONE	

SCHEDULE IV - TRANSACTIONS

Name PAUL D. RYAN

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	T. ROWE PRICE EQUITY INCOME FUND REINVESTED DIVIDENDS	P	VARIOUS 2006	$1,001 - $15,000
SP	T. ROWE PRICE NEW HORIZONS FUND REINVESTED DIVIDENDS	P	12-18-06	$1,001 - $15,000
	RLP-ABBOTT LABS STOCK	P	9-6-06	$1,001 - $15,000
	RLP-APPLE COMPUTER INC	P	5-4-06 & 6-5-06	$1,001 - $15,000
	RLP-EXXON MOBIL CORP	P	6-20-06	$1,001 - $15,000
	RLP-OMNICOM GROUP INC STOCK	P	2-28-06	$1,001 - $15,000
	RLP-WALGREEN CO STOCK	P	9-26-06 & 10-3-06	$1,001 - $15,000
	RLP-WELLPOINT INC STOCK	P	5-5-06	$1,001 - $15,000
	RLP-INTEL INC STOCK	S	12-28-06	$1,001 - $15,000
	RLP-OAKMARK GLOBAL FUND REINVESTED DIVIDENDS	P	12-14-06	$1,001 - $15,000
	RLP-SCHWAB YIELDPLUS INV	P	4-6-06	$1,001 - $15,000
SP	FIDELITY CONTRAFUND REINVESTED DIVIDENDS/CAPITAL GAINS	P	2-6-06 & 12-26-06	$1,001 - $15,000

SCHEDULE IV - TRANSACTIONS

Name PAUL D. RYAN

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	HARTFORD MIDCAP FUND B REINVESTED DIVIDENDS	P	VARIOUS 2006	$1,001 - $15,000
	RHIP-EATON VANCE TAX MANAGED DIVERSIFIED EQUITY INCOME FUND	P	11-27-06	$1,001 - $15,000
	RHIP-FANNIE MAE	S	7-19-06	$15,001 - $50,000
	RHIP-FARMERS & MERCHANTS BANK 2.5% DUE 2-27-06	PS	1-12-06/2-27-06	$1,001 - $15,000
	RHIP-FIRST BANK PR 3.85% DUE 5-1-06	PS	2-21-06/5-10-06	$1,001 - $15,000
	RHIP-NATIONAL BANK & TRUST 4.3% DUE 5-24-06	PS	2-28-06/5-24-06	$1,001 - $15,000
	RHIP-NY COMMUNITY BANK 3.8% DUE 2-16-06	PS	1-12-06/2-16-06	$1,001 - $15,000
	RHIP-SOVEREIGN BANK 3.25% DUE 2-23-06	PS	1-12-06/2-23-06	$15,001 - $50,000
	RHIP-GENERAL ELECTRIC COMPANY	P	3-1-06	$1,001 - $15,000

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name PAUL D. RYAN

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's expense
HERITAGE FOUNDATION	JAN. 30-31	MILWAUKEE-BALTIMORE-DC	Y	Y	Y	NONE

SCHEDULE VIII - POSITIONS

Name PAUL D. RYAN

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
LIMITED PARTNER	RYAN LIMITED PARTNERSHIP
GENERAL PARTNER	RYAN-HUTTER INVESTMENT PARTNERSHIP

UNITED STATES HOUSE OF REPRESENTATIVES

2007 FINANCIAL DISCLOSURE STATEMENT

FORM A
For use by Members, officers, and employees

HAND DELIVERED
LEGISLATIVE RESOURCE CENTER
2007 MAY 15 PM 1:26
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
HC (Office Use Only)

(4)

Tim Ryan
(Full Name)

1504 Taft Ave
(Mailing Address)

Niles, OH 44446

Daytime Telephone: 202-225-5261

Filer Status	☒ Member of the U.S. House of Representatives — State: OHIO District: 17	☐ Officer or Employee	Employing Office:
Report Type	☒ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☐	No ☒	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐	No ☒
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐	No ☒	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☒	No ☐
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☒	No ☐	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☐	No ☒
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☐	No ☒	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐	No ☒
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☒	No ☐	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐	No ☒
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐	No ☒

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Tim Ryan	5/15/07

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Name Tim Ryan OH-17 | Page 2 of 4

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the name of the business, the nature of the business, and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

Check all columns that apply.
Check "None" if asset did not generate any income during the calendar year.

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was received.

BLOCK E — Transaction

Indicate if the asset had purchases (P), sales (S), or exchanges (E) exceeding $1000 in reporting year.

	BLOCK A		BLOCK B												BLOCK C							BLOCK D											BLOCK E
			A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP, DC, JT	*Examples:*	SP	Mega Corp. Stock				X								X										X								P
			Simon & Schuster		Indefinite																Royalties									X			
			1st Bank of Paducah, KY accounts					X									X									X							
	Wright Patterson (CFCU)				X													X					X										
	Sky Bank				X													X					X										
	Thrift Savings (TSP)						X									X		X							X								
	Morgan Stanley (managed)				X													X					X										S

For additional assets and unearned income, use next page.

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Name Tim Ryan - OH-17 | Page 3 of 4

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
	Weight Patman (CFCU)	personal loan		X								

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $305 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $122 or less need not be added towards the $305 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$325

Use additional sheets if more space is required.

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SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were paid directly by the sponsor or were paid by you and reimbursed by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	City of Departure—Destination—City of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Number of days not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	DC—Chicago—DC	N	N	N	None
	Roycroft Corporation	Aug. 6–11	DC—Los Angeles—Cleveland	Y	Y	Y	2 Days
	City of Akron Economic Development Mission	Jan 6 – Jan 13	Cleveland Newark Tel Aviv, Israel Cleveland	Y	Y	N	None

This page may be copied if more space is required.

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UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2006

FORM A — For use by Members, officers, and employees

HAND DELIVERED

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U.S. HOUSE OF REPRESENTATIVES

(4)

MC

(Full Name)	John Tony Salazar
(Mailing Address)	P.O. Box 510 Manassa, CO 81141
Daytime Telephone:	719-588-2451

Filer Status	☑ Member of the U.S. House of Representatives — State: CO, District: 3	☐ Officer Or Employee	Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes ☐ No ☑	
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes ☐ No ☑	
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes ☑ No ☐	
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes ☐ No ☑	
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes ☑ No ☐	
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes ☐ No ☑	
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? If yes, complete and attach Schedule VII.	Yes ☑ No ☐	
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes ☐ No ☑	
IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes ☐ No ☑	

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and wilfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	John T Salazar	5/14/07

5860

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name John Tony Salazar

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
JT	1187 acres of farmland in Conejos & Costilla Counties, Co.	$1,000,001 - $5,000,000	Farm Income	NONE	
JT	Spudseed.com	$1,001 - $15,000	DIVIDENDS	NONE	

5861

SCHEDULE V - LIABILITIES

Name John Tony Salazar

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report "revolving charge accounts" (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
JT	1st SW Bank of Alamosa, CO	Revolving Operating Land Loan	$500,001 - $1,000,000
JT	Farm Credit Service of So. Colorado	Land Loans	$100,001 - $250,000
JT	Farm Service Agency FMHA	Land Loans	$50,001 - $100,000
JT	Farm Credit Leasing	Equipment Lease	$50,001 - $100,000
JT	Wells Fargo Leasing	Building Lease	$100,001 - $250,000

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name John Tony Salazar

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure–Destination–Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's expense
World Potato Congress	Aug. 22-23	Alamosa-Boise-Alamosa	N	Y	N	None

UNITED STATES HOUSE OF REPRESENTATIVES

2007 FINANCIAL DISCLOSURE STATEMENT

FORM A
For use by Members, officers, and employees

HAND DELIVERY

FILE RESOURCE CENTER
2007 JUN 14 PM 4:24
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

MC

William T. Sali
(Full Name)

175 Linke Ct
(Mailing Address)

(208) 922-5377
Daytime Telephone:

Kuna, ID 83634

Filer Status	[X] Member of the U.S. House of Representatives — State: Idaho District: 1	[ ] Officer or Employee	Employing Office:
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination — Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes [ ]	No [X]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [X]	No [ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [ ]	No [X]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ]	No [X]	**Each question in this part must be answered and the *appropriate schedule attached for each "Yes" response.***		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form *and all attached schedules are true, complete and* correct to the best of my knowledge and belief.	William T. Sali	6-14-07

5864

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	State of Idaho	Salary	18,595
	William T. Sali, Atty	Salary	5,000.00

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Name William T. Sali

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in *"unearned" income during the year. For rental* property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. *For an active business* that is not publicly traded, in Block A state the name of the business, the nature of the business, and its geographic location. For additional information, see the instruction booklet *for the reporting* year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), *in the optional column on the far left.*

BLOCK B — Value of Asset

at close of reporting year.

If you use a valuation method other than fair market value, please specify the method used.

If an asset was sold and is included only because it generated income, the value *should be "None."*

BLOCK C — Type of Income

Check all columns that apply.

Check "None" if asset did not generate any income during the calendar year.

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific *investments*, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was received.

BLOCK E — Transaction

Indicate if the asset had purchases (P), sales (S), or exchanges (E) exceeding $1000 in reporting year.

	BLOCK A		BLOCK B												BLOCK C							BLOCK D											BLOCK E
			A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP, DC, JT	Examples	SP	Mega Corp. Stock				X								X										X								P
			Simon & Schuster			Indefinite															Royalties									X			
			1st Bank of Paducah, KY accounts					X										X								X							
JT	Public Retirement System of Il					X												X							X								

For additional assets and unearned income, use next page.

5866

SCHEDULE VIII — POSITIONS

Report *all* positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
President	American Monorail Corporation
Executive Director	Idaho Family Defense Fund

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement

GPO: 2007 33-427 (mac)

Use additional sheets if more space is required.

UNITED STATES HOUSE OF REPRESENTATIVES

2007 FINANCIAL DISCLOSURE STATEMENT

FORM A
For use by Members, officers, and employees

LEGISLATIVE RESOURCE CENTER
2007 MAY 15 PM 4:53
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U.S. HOUSE OF REPRESENTATIVES
MC HAND DELIVERED
(Office Use Only)

LINDA T. SÁNCHEZ
(Full Name)

20619 WARDHAM AVE.
LAKEWOOD, CA 90715
(Mailing Address)

(562) 429-8499
Daytime Telephone:

Filer Status	☒ Member of the U.S. House of Representatives	State: CA District: 39	☐ Officer or Employee	Employing Office:
Report Type	☒ Annual (May 15)	☐ Amendment	☐ Termination	Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☐	No ☒	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐	No ☒
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐	No ☒	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☒	No ☐
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☒	No ☐	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☐	No ☒
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☐	No ☒	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☒	No ☐
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☒	No ☐	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐	No ☒
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐	No ☒

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Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Linda T. Sánchez	5/15/2007

5868

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
NONE			

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE II — PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

	Source	Activity	Date	Amount
Examples:	Association of American Associations, Washington, DC	Speech	Feb. 2, 2006	$2,000
	XYZ Magazine	Article	Aug. 13, 2006	$500
	NONE			

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Name LINDA T. SÁNCHEZ

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the name of the business, the nature of the business, and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.

If you use a valuation method other than fair market value, please specify the method used.

If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

Check all columns that apply.

Check "None" if asset did not generate any income during the calendar year.

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was received.

BLOCK E — Transaction

Indicate if the asset had purchases (P), sales (S), or exchanges (E) exceeding $1000 in reporting year.

Block A			Block B: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	Block C: NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	Block D: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	Block E: P, S, E
SP,	Examples:	SP	Mega Corp. Stock					X							X											X								P
DC,			Simon & Schuster		Indefinite																Royalties									X			
JT			1st Bank of Paducah, KY accounts					X									X										X						
	REAL PROPERTY LAKEWOOD, CA									X							X									X							

For additional assets and unearned income, use next page.

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name LINDA T. SÁNCHEZ

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset												BLOCK C Type of Income							BLOCK D Amount of Income											BLOCK E Transaction
		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
	NO ADDITIONAL ASSETS																															

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SCHEDULE IV — TRANSACTIONS

Name LINDA T. SÁNCHEZ

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.			Type of Transaction			Date	Amount of Transaction									
			PURCHASE	SALE	EXCHANGE	(MO/DAY/YR)	B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP, DC, JT	Asset															
SP	*Example:*	Mega Corporation Common Stock	X			10–12–06		X								
	NONE															

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SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
	NELNET, COLORADO	STUDENT LOAN		X								

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $305 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $122 or less need not be added towards the $305 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$325
NONE		

Use additional sheets if more space is required.

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were paid directly by the sponsor or were paid by you and reimbursed by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	City of Departure—Destination—City of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Number of days not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	DC—Chicago—DC	N	N	N	None
	Roycroft Corporation	Aug. 6–11	DC—Los Angeles—Cleveland	Y	Y	Y	2 Days
	CENTER FOR LATIN AMERICAN STUDIES, U.C. BERKELEY	Feb. 24-27 2006	LOS ANGELES - SAN FRANCISCO - LOS ANGELES	Y	Y	N	NONE
	UA PLUMBING & PIPEFITTING INDUSTRY	Aug. 8-9 2006	LOS ANGELES - LAS VEGAS - LOS ANGELES	Y	Y	N	NONE
	INTERNATIONAL BROTHERHOOD OF ELECTRICAL WORKERS	Sept. 7-8 2006	LOS ANGELES - CLEVELAND - LOS ANGELES	Y	N	N	NONE
	OXFAM GREAT BRITAIN	NOV. 26-30 2006	LOS ANGELES - BOGOTA, COLOMBIA - LOS ANGELES	Y	Y	N	NONE

This page may be copied if more space is required.

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SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
NONE	

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
	IBEW PENSION	VESTED PENSION TO BE PAID UPON RETIREMENT

Use additional sheets if more space is required.

UNITED STATES HOUSE OF REPRESENTATIVES

2007 FINANCIAL DISCLOSURE STATEMENT

FORM A
For use by Members, officers, and employees

HAND DELIVERED

2007 MAY -8 AM 11:58

HC (Office Use Only)

LORETTA SANCHEZ
(Full Name)

1230 LONGWORTH
(Mailing Address)

WASHINGTON DC 20515

Daytime Telephone: 202 225-2965

Filer Status	☒ Member of the U.S. House of Representatives — State: CA District: 47	☐ Officer or Employee	Employing Office:
Report Type	☒ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☐	No ☒	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐	No ☒
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐	No ☒	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☒	No ☐
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☒	No ☐	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☒	No ☐
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☒	No ☐	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐	No ☒
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☒	No ☐	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐	No ☒
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐	No ☒

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, §104 and 18 U.S.C. §1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Loretta Sanchez	05-01-07

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the name of the business, the nature of the business, and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

Check all columns that apply.
Check "None" if asset did not generate any income during the calendar year.

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was received.

BLOCK E — Transaction

Indicate if the asset had purchases (P), sales (S), or exchanges (E) exceeding $1000 in reporting year.

	Block A		Block B: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	Block C: NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	Block D: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	Block E: P, S, E
SP, DC, JT	Examples:	SP	Mega Corp. Stock					X							X										X								P
			Simon & Schuster		Indefinite																Royalties									X			
			1st Bank of Paducah, KY accounts					X									X									X							
	DC TEACHERS CREDIT UNION			X														X					X										
	— SAVINGS & CHECKING																																
	CONGRESSIONAL CREDIT UNION			X														X					X										
	TRISTAR CAPITAL COMM											X							X			X										S
	— LEASING CORP. INGA, GA																																
	CROWELL WEEDON IRA					X												X						X									

For additional assets and unearned income, use next page.

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name LORETTA SANCHEZ

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset												BLOCK C Type of Income							BLOCK D Amount of Income												BLOCK E Transaction
		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
	1624 VIA ARRIBA, PALOS VERDES HOME									X						X											X						
	MORGAN STANLEY IRA				X												X						X										

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SCHEDULE IV — TRANSACTIONS

Name LORETTA SANCHEZ

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10–12–06		X								
	TRISTAR CAPITAL COMM STOCK		X		02-22-06		X								

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5880

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability: B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
Example:	First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
	DITECH CORP	MORTGAGE ON 1624 VIA ARRIBA, PV, CA					X					
	STEPHEN S. BRIXEY III	LOAN NOTE ON 1624 VIA ARRIBA, PV, CA				X						

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $305 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $122 or less need not be added towards the $305 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$325

Use additional sheets if more space is required.

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were paid directly by the sponsor or were paid by you and reimbursed by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	City of Departure—Destination—City of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Number of days not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar 2	DC—Chicago—DC	N	N	N	None
	Roycroft Corporation	Aug. 6–11	DC—Los Angeles—Cleveland	Y	Y	Y	2 Days
	ASPEN INSTITUTE	April 9-14	LAX - HONOLULU - LAX	Y	Y	N	None
	CENTER FOR LATIN AMERICAN STUDIES - UC BERKELEY	Feb 23-27	San Juan, PR - San Francisco	Y	Y	N	1 day
	BROWN UNIVERSITY	April 24-25	DCA - Providence RI - DCA	Y	N	N	None

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SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
TRUSTEE	CHAPMAN UNIVERSITY

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement

GPO: 2007 — 33-427 (mac)

Use additional sheets if more space is required.

UNITED STATES HOUSE OF REPRESENTATIVES

2007 FINANCIAL DISCLOSURE STATEMENT

FORM A
For use by Members, officers, and employees

HAND DELIVERED
LEGISLATIVE RESOURCE CENTER
2007 MAY 15 AM 11:55
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
(Office Use Only)

John Peter Spyros Sarbanes
(Full Name)

426 Cannon House Office Bldg
(Mailing Address)

202-225-4016
Daytime Telephone

Filer Status	[X] Member of the U.S. House of Representatives — State: District:	[ ] Officer or Employee	Employing Office:
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination — Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.** N/A	Yes [ ]	No [ ]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.** N/A	Yes [ ]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [X]	No [ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X]	No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ]	No [X]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	John P Sarbanes	5/14/07

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Name	Page
Sarbanes, JPS	2 of 7

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$8,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	Venable, LLP	Salary	90,032
	Baltimore County Maryland	Spouse Salary	n/a

For payments to charity in lieu of honoraria, use Schedule II.

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Name Sarbanes, JPS

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the name of the business, the nature of the business, and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts, any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

Check all columns that apply.
Check "None" if asset did not generate any income during the calendar year.

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was received.

BLOCK E — Transaction

Indicate if the asset had purchases (P), sales (S), or exchanges (E) exceeding $1000 in reporting year.

	Block A		Block B: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	Block C: NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	Block D: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	Block E
SP,		SP	Mega Corp. Stock					X							X										X								P
DC,	*Examples*		Simon & Schuster			Indefinite															Royalties									X			
JT			1st Bank of Paducah, KY accounts					X									X										X						
DC	Md College Investment Plan - L. Sarbanes				X													X						X									
DC	Md College Investment Plan - N. Sarbanes				X													X						X									
DC	Md College Investment Plan - S. Sarbanes					X												X						X									

For additional assets and unearned income, use next page.

5886

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Sarbanes, JPS

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
JT	Wachovia Bank IRA			X													X						X									
JT	Wachovia Crown Banking				X									X							X											
JT	Morgan Stanley MMFD			X											X							X										
JT	Morgan Stanley																															
	American Cup Mdgr				X										X			X					X									
	Amer Growth'd Amer				X										X			X						X								
	American WA Mutual				X										X			X						X								P
SP	Morgan Stanley MMF			X											X							X										
SP	Morgan Stanley CD			X													X					X										
	Charles Schwab																															
	Alliance Bernstein						X											X								X						
	Schwab S&P 500		X															X				X										
	PIMCO Total Return					X												X			X											
	Barclays Global Inv.		X															X				X										
JT	ING Direct			X													X						X									

This page may be copied if more space is required.

5887

SCHEDULE IV — TRANSACTIONS

Name Sarbanes, JPS

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10–12–06		X								
JT	American WA Mutual A	X			12-22-06	X									

This page may be copied if more space is required.

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Name Sarbanes, JPS | Page 6 of 7

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
JT	Chase Card Services	Revolving Credit	X									

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $305 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $122 or less need not be added towards the $305 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$325
	not required	

Use additional sheets if more space is required.

5889

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Partner, nonequity	Venable, LLP
Director	Public Justice Center
Director	Institute for Christian and Jewish Studies

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
	None	

GPO: 2007 33-427 (mac)

Use additional sheets if more space is required.

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2006

FORM A — For use by Members, officers, and employees

HAND DELIVERED

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U.S. HOUSE OF REPRESENTATIVES

HC

(Full Name)	H. James Saxton
(Mailing Address)	207 High Street Mount Holly, NJ 08060
Daytime Telephone:	(609) 261-5800
Filer Status	☑ Member of the U.S. House of Representatives — State: NJ District: 03 ☐ Officer Or Employee — Employing Office:
Report Type	☑ Annual (May 15) ☐ Amendment ☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION – ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☐ No ☑	
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐ No ☑	
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☑ No ☐	
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☑ No ☐	
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☑ No ☐	
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐ No ☑	
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☐ No ☑	
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☑ No ☐	
IX.	Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐ No ☑	

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION – ANSWER EACH OF THESE QUESTIONS

Trusts–	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions–	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

CERTIFICATION – THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	J Saxton	5/14/07

5891

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name H. James Saxton

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
	High Street, Mt. Holly, NJ	$250,001 - $500,000	Rent	$15,001 - $50,000	
	Avaya Inc	$1 - $1,000			
	Bank of America (formerly Fleet/Summit)	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	Bell South Corp	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
	Camden County Nu imp auth LES REVCNTY GTD Series B	$15,001 - $50,000	INTEREST	$201 - $1,000	
	Cisco	None			S

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SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name H. James Saxton

	Commerce Bank Checking	$1,001 - $15,000	Interest	$1 - $200	
	Corautus Genetics (IRA)	$1 - $1,000			
	Corning	None			S
	Deyfus MM (Allaince Bernstein MM)	$1,001 - $15,000	Dividends	$201 - $1,000	
	Deyfus MM (IRA) (Alliance Bernstein MM)	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	East Orange NJ0.0 020119	$15,001 - $50,000	INTEREST	$201 - $1,000	
	East Windsor NJ4.1 Rgl Sch	$15,001 - $50,000	INTEREST	$201 - $1,000	P
	Eaton Vance CLASSIO SR FLTG	$15,001 - $50,000	Dividends	$1,001 - $2,500	
	Emc Corp Mass	None			S
	Exxon Mobil Corp	None	DIVIDENDS	$1 - $200	S
	Federal Credit Union	$1 - $1,000	Dividends	$1 - $200	
	Fidelity Advisor SR11 (IRA)	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	Fidelity Advisor SRII	$15,001 - $50,000	None		
	Fidelity Advisor SRIII	$50,001 - $100,000	DIVIDENDS	$2,501 - $5,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name H. James Saxton

	Franklin Small Midcap Growth	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
	General Electric Co	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
	Hartford Mutual Midcap Fund	$15,001 - $50,000	DIVIDENDS	$5,001 - $15,000	
	Honeywell Intl	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
	JP Morgan Chase Co	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
	MEDCO Health Solutions	$1 - $1,000			
	NJ ST RFDG 4.125 040123	$1,001 - $15,000	INTEREST	$201 - $1,000	
	NJ ST.ENVMNTL4.25	$1,001 - $15,000	INTEREST	$1 - $200	P
	North Jersey Dist WTR Suppy Commn NJ	$1,001 - $15,000	INTEREST	$201 - $1,000	
	Oppenheimer MULTST NJ Muni	$50,001 - $100,000	DIVIDENDS	$1,001 - $2,500	
	Oppenheimer Rochester Nat Muni	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500	P
	Pfizer	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
	Pfizer(IRA)	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	Putnum Voyager	$1,001 - $15,000			

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name H. James Saxton

	Seligman New Tech Fund	$1,001 - $15,000			
	Sun America Style Focus multi	$15,001 - $50,000			
	Sun America Style Select-Large Cap	$15,001 - $50,000			
	Sun Micro Systems	None			S
	Texas Instruments(IRA)	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	Vishay International Tech	None			S
	Wachovia Corp	$15,001 - $50,000	Dividends	$201 - $1,000	
	Wachovia(IRA)	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	Wayne Township NJ Sch Dist	$1,001 - $15,000	INTEREST	$1 - $200	P

SCHEDULE IV - TRANSACTIONS

Name H. James Saxton

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	East Windsor NJ4.1 Rgl Sch	P	05/01/06	$15,001 - $50,000
	Wayne Township NJ Sch Dist	P	04/10/06	$1,001 - $15,000
	NJ ST.ENVMNTL4.25	P	11/07/06	$1,001 - $15,000
	Cisco	S	05/01/06	$1,001 - $15,000
	Corning	S	05/01/06	$1,001 - $15,000
	EMC Corp Mass	S	05/01/06	$1,001 - $15,000
	Exxon Mobil Corp	S	05/01/06	$1,001 - $15,000
	Sun Micro Systems	S	05/01/06	$1,001 - $15,000
	Vishay International Tech	S	05/01/06	$1,001 - $15,000

SCHEDULE V - LIABILITIES

Name H. James Saxton

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report "revolving charge accounts" (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
	Aurora Mortgage	Mortgage on High Street property	$50,001 - $100,000

SCHEDULE VIII - POSITIONS

Name H. James Saxton

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Member Board of Directors	Environmental Law Institute

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UNITED STATES HOUSE OF REPRESENTATIVES

2007 FINANCIAL DISCLOSURE STATEMENT

FORM A
For use by Members, officers, and employees

Janice D. Schakowsky
(Full Name)

1101 Ridge Ave.
Evanston IL 60202
(Mailing Address)

Daytime Telephone
(202) 225-2111

Filer Status	[X] Member of the U.S. House of Representatives — State: IL District: 9	[ ] Officer or Employee	Employing Office:
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination — Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [X]	No [ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [ ]	No [X]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [X]	No [ ]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Janice D. Schakowsky	5/13/07

5899

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	Strategic Consulting Group	Spouse's Salary	NA
	State of Illinois	Legislative Pension	$20,451.30

For payments to charity in lieu of honoraria, use Schedule II.

5900

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the name of the business, the nature of the business, and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

Check all columns that apply.
Check "None" if asset did not generate any income during the calendar year.

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was received.

BLOCK E — Transaction

Indicate if the asset had purchases (P), sales (S), or exchanges (E) exceeding $1000 in reporting year.

	Block A		Block B: None	$1 – $1,000	$1,001 – $15,000	$15,001 – $50,000	$50,001 – $100,000	$100,001 – $250,000	$250,001 – $500,000	$500,001 – $1,000,000	$1,000,001 – $5,000,000	$5,000,001 – $25,000,000	$25,000,001 – $50,000,000	Over $50,000,000	Block C: NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	Block D: None	$1 – $200	$201 – $1,000	$1,001 – $2,500	$2,501 – $5,000	$5,001 – $15,000	$15,001 – $50,000	$50,001 – $100,000	$100,001 – $1,000,000	$1,000,001 – $5,000,000	Over $5,000,000	Block E: S, P, E
SP	Examples	SP	Mega Corp. Stock					X								X										X							P
DC			Simon & Schuster			Indefinite															Royalties									X			
JT			1st Bank of Paducah, KY accounts						X									X										X					
			Amcap Fund Inc. Class A			X										X								X									
			Fundamental Investors Inc. Class A			X										X								X									
			Growth Fund of America Class A			X										X								X									
			Washington Mutual Investors Fund Class A			X										X									X								
			Euro Pacific Growth Fund Class A	X											X							X											
			Small Cap World Fund Class A			X										X								X									

For additional assets and unearned income, use next page.

5901

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Janice Schakowsky

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
	American High Interest Trust Class A			X											X								X									
	Intermediate Bond Fund Class A			X											X								X									
	Morgan Stanley - Dean Witter Tax Exempt Securities Trust Class 1		X														X					X										
	Oppenheimer & Co. Advantage Money Liquidity Fund (Cash Acct)			X											X							X										
JT	Patron College Advantage 1998			X											X						X											
JT	" " " 2000			X											X						X											
JT	" " " 2001			X											X						X											
JT	" " " 2001			X											X						X											
	La Salle Banking - checking			X													X					X										
	Northwestern Mutual Money Market (Deposit held at NMI Bank)			X													X															
SP	Private Bank - checking			X													X					X										

This page may be copied if more space is required.

Name Janice Schakowsky | Page 5 of 7

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
SP	Citibank A Advantage	Credit Card		X								

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $305 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $122 or less need not be added towards the $305 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$325

Use additional sheets if more space is required.

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were paid directly by the sponsor or were paid by you and reimbursed by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	City of Departure—Destination—City of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Number of days not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	DC—Chicago—DC	N	N	N	None
	Roycroft Corporation	Aug. 6–11	DC—Los Angeles—Cleveland	Y	Y	Y	2 Days
	The Congressional Program of the Aspen Institute	Jan 8-13	Chicago - Punta Mita, Mexico - Chicago	Y	Y	Y	none
	The White House Project	March 9-13	DC - Santiago - Chile - Chicago	Y	Y	N	none
	Yele Haiti Foundation	Nov. 29 - Dec. 4	Chicago - Port Au Prince, Haiti - Chicago	Y	Y	N	none

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SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Policy Council	Citizen Action of Illinois
Advisory Council	Midwest Palliative and Hospice Care Center
Advisory Board	Friends of Tsad Kadima
" "	Interfaith Housing - Chicago
" "	Between Friends
" "	HELP Network
" "	Tod Fund

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement

GPO: 2007 33-427 (mac)

Use additional sheets if more space is required.

UNITED STATES HOUSE OF REPRESENTATIVES

2007 FINANCIAL DISCLOSURE STATEMENT

FORM A
For use by Members, officers, and employees

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER
2007 MAY 15 PM 12: 38
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
(Office Use Only)

Adam Bennett Schiff
(Full Name)

87 North Raymond #800
Pasadena, CA 91105
(Mailing Address)

626-304-2727
Daytime Telephone:

Filer Status	[X] Member of the U.S. House of Representatives — State: CA District: 29	[ ] Officer or Employee	Employing Office:
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination — Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I**	Yes [ ]	No [X]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [X]	No [ ]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes [ ]	No [X]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [X]	No [ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X]	No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [X]	No [ ]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.		MAY 15, 2007

5906

SCHEDULE II — PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

	Source	Activity	Date	Amount
Examples	Association of American Associations, Washington, DC	Speech	Feb. 2, 2006	$2,000
	XYZ Magazine	Article	Aug. 13, 2006	$500
	Preston, GATES-Ellis LLP	Speech	2/28/06	$1,000.00

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Name ADAM B. Schiff

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investment(s), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the name of the business, the nature of the business, and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

Check all columns that apply.
Check "None" if asset did not generate any income during the calendar year.

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was received.

BLOCK E — Transaction

Indicate if the asset had purchases (P), sales (S), or exchanges (E) exceeding $1000 in reporting year.

	Block A		Block B: None	A: $1 – $1,000	B: $1,001 – $15,000	C: $15,001 – $50,000	D: $50,001 – $100,000	E: $100,001 – $250,000	F: $250,001 – $500,000	G: $500,001 – $1,000,000	H: $1,000,001 – $5,000,000	I: $5,000,001 – $25,000,000	J: $25,000,001 – $50,000,000	K: Over $50,000,000	L	Block C: NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	Block D: None	I: $1 – $200	II: $201 – $1,000	III: $1,001 – $2,500	IV: $2,501 – $5,000	V: $5,001 – $15,000	VI: $15,001 – $50,000	VII: $50,001 – $100,000	VIII: $100,001 – $1,000,000	IX: $1,000,001 – $5,000,000	X: Over $5,000,000	XI	Block E: P, S, E
SP, DC, JT	Examples:	SP	Mega Corp. Stock					X								X										X									P
			Simon & Schuster		Indefinite																	Royalties									X				
			1st Bank of Paducah, KY accounts						X									X											X						
	Constellation Brands Inc CL A					X										X							X												
	Dow Chemical					X											X							X											
	Fortune Brands					X											X							X											
	General Electric					X											X							X											
	Hewlett Packard					X											X							X											
	Pepsico					X											X							X											

For additional assets and unearned income, use next page.

5908

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name ADAM B. SCHIFF

SP. DC. JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction: P, S, E
	WellPoint			X										X							X											
	Wyeth								X						X			X											X			5
	I Shares DJ Select Divid Index				X										X									X								
	I Shares Trust S&P 500 Index				X										X									X								
	I Shares Trust Russell 2000 Index				X										X								X									
	Blackrock Mun. Yield Insd. Fund			X											X								X									
	Blackrock Mun. Holdings, Calif Ins. Fund			X											X								X									
	Fidelity Puritan IRA						X								X											X						
	DIAMONDS TRUST Ser 1			X											X								X									
	I Shares DJ Tech Sector Ind Fund			X											X							X										
	I Shares DJ US Fincl Sector Ind Fund			X											X							X										
	I Shares DJ US Hlthcare Sector Ind Fund			X											X							X										
	I Shares DJ US Indl Sector Ind Fund			X											X							X										
	NASDAQ 100 Shares			X											X							X										
	I Shares DJ Select Divid Index Ind IRA					X									X									X								
	UBS Wyeth Rot	X																		1/3 interest	X											
	Acco Brands Corp		X															X				X										

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SCHEDULE IV — TRANSACTIONS

Name Adam B. Schiff

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10–12–06		X								
	Wyeth Stock		X		1/10/06		X								
	Wyeth Stock		X		2/27/06		X								
	Wyeth Stock		X		8/28/06			X							
	Wyeth Stock		X		9/13/06		X								
	Wyeth Stock		X		10/17/06			X							

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5910

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
	UBS	MARGIN Account				X						

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $305 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $122 or less need not be added towards the $305 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$325

Use additional sheets if more space is required.

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Member, Board of Directors	Big Brothers Big Sisters of AMERICA

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement

GPO: 2007 33-427 (mac)

Use additional sheets if more space is required.

UNITED STATES HOUSE OF REPRESENTATIVES

2007 FINANCIAL DISCLOSURE STATEMENT

FORM A
For use by Members, officers, and employees

MAY 10 2007
LEGISLATIVE RESOURCE CENTER
2007 MAY 18 PM 3:42
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
(Office Use Only) MC

JEANNETTE H. SCHMIDT
(Full Name)

771 WARDS CORNER RD
(Mailing Address)

513-225-6861
Daytime Telephone:

LOVELAND OH 45140

Filer Status	[X] Member of the U.S. House of Representatives — State: OH District: 02	[ ] Officer or Employee	Employing Office:
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination — Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes [ ]	No [X]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [X]	No [ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X]	No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [X]	No [ ]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Jeanne Schmidt	5-10-07

5913

Name	JEAN SCHMIDT	Page 1 of 6

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	CITIGROUP - SMITH BARNEY	SPOUSE SALARY	N/A

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Name: JEAN SCHMIDT

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the name of the business, the nature of the business, and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income Check all columns that apply. Check "None" if asset did not generate any income during the calendar year.

BLOCK D — Amount of Income For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was received.

BLOCK E — Transaction Indicate if the asset had purchases (P), sales (S), or exchanges (E) exceeding $1000 in reporting year.

	Block A: Asset and/or Income Source	Block B: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	Block C: NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	Block D: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	Block E: P, S, E
SP, DC, JT	*Examples:* SP — Mega Corp. Stock					X								X										X								P
	DC — Simon & Schuster			Indefinite																Royalties									X			
	JT — 1st Bank of Paducah, KY accounts						X									X									X							
JT	LEGG MASON COMMON STOCK					X									X								X									S
JT	LEGG MASON OPPORTUNITY TR			X										X							X											P
	SMITH BARNEY MONEY MKT			X											X							X										
	LEGG MASON OPPORTUNITY TRUST			X										X							X											
SP	SMITH BARNEY BANK DEBOSIT			X													X						X									
SP	LEGG MASON OPPORTUNITY TR				X									X							X											

For additional assets and unearned income, use next page.

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name JEAN SCHMIDT

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction: P, S, E
SP	LEGG MASON EMERGING MKTS				X									X							P										P
SP	LEGG MASON VALUE TR			X										P							X										
SP	LEGG MASON SPECIAL INV TR			X										P							X										
SP	ROYCE MICRO CAP			X										P							X										
SP	LEGG MASON VALUE TR [redacted]					X								X							X										
SP	LEGG MASON SPEC. INV. TR						X							X							X										
SP	LEGG MASON OPPORTUNITY TR							X						X							X										
SP	ROYCE PENNSYLVANIA FUND						X							P							X										
	OHIO PUBLIC EMPLOYEE RET PLAN					X								X							X										
	[redacted]				X												X						P								
	CENTER BANK (MILFORD OH)				X												X							X							
	PROMIBLE BANK (MILFORD OH)			X													X						X								
	FIRST CHESTNUT BANK (BETHEL OH)			X													X						X								
JT	NATIONAL CITY BANK			X													X						X								
SP	SMITH BARNEY DEFERRED COMP						X								X										X						
SP	SMITH BARNEY PENSION PLAN			X													X					X									
SP	SMITH BARNEY DEFERRED STOCK PLAN						X								X										X						
SP	SMITH BARNEY STOCK PLAN				X										X									X							
	RTJ - LLC																X						X								
	(PARTNERSHIP HOLDINGS SEE ATTACHED)																														

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United States House of Representatives
Financial Disclosure Statement for Calendar Year 2006

Jeannette H. Schmidt
771 Wards Corner Rd.
Loveland, OH 45140

Listing of real estate assets held by various family partnerships and jointly with siblings. Jean Schmidt owns 25% of all of the partnerships listed below.

(1) OT Realty Enterprises LLC
Percentage owned: 25%
Corner of Loveland Miamiville Rd & Branch Hill / Guinea Pike, Loveland, OH 45140
Land leased to Walgreen Pharmacy
Value of percentage owned - $250,000 - $500,000
Net Income for percentage owned for 2006 - $15,000 - $50,000 (rent)
Note: This property was part of RTJJ LLC and was going to be transferred into a new partnership called the Gus Hoffman Family Limited Partnership. It was instead transferred into OT Realty Enterprises LLC during 2006.

(2) OT Realty Enterprises LLC II
Percentage owned: 25%
Corner of Loveland Miamiville Rd & Branch Hill / Guinea Pike, Loveland, OH 45140
Land leased to Kroger
Value of percentage owned - $250,000 - $500,000
Net Income for percentage owned for 2006 - $15,000 - $50,000 (rent)
Note: This property was part of RTJJ LLC and was transferred to OT Realty Enterprises II during 2006.

(3) OT Realty Enterprises LLC III
Percentage owned: 25%
Corner of Loveland Miamiville Rd & Branch Hill / Guinea Pike, Loveland, OH 45140
Land to be developed in the future
Value of percentage owned - $50,000 - $100,000
Net Income for percentage owned for 2006 – Zero
Note: This property was part of RTJJ LLC and was transferred to OT Realty Enterprises III during 2006.

(4) Jennifer Black Et All
Percentage owned: 25%
Corner of Loveland Miamiville Rd & Branch Hill / Guinea Pike, Loveland, OH 45140
Land adjacent to OT Realty Enterprises LLC II, 855 Loveland Miamiville Rd., Loveland, OH 45140 (1 small rental home & vacant land)
Value of percentage owned - $50,000 - $100,000
Net Income for percentage owned for 2006 - $1,000 - $2,500 (rent)
Note: This property was part of RTJJ LLC and was transferred to Jennifer Black Et All when OT Realty II was created.

(5) Gus Hoffman Second Family Limited Partnership
Percentage owned: 25%
Corner of Loveland Miamiville Rd & Branch Hill / Guinea Pike, Loveland, OH 45140
Farm Land
Value of percentage owned - $500,000 - $1,000,000
Net Income for percentage owned for 2006 - $2,500 - $5,000 (farm crops)
Note: This property had been reported as part of the farm owned by RTJJ LLC

(6) RTJJ LLC
Percentage owned: 25%
Corner of Loveland Miamiville Rd & Branch Hill / Guinea Pike, Loveland, OH 45140
Farm Land
Value of percentage owned - $1,000,000 - $5,000,000
Net Income for percentage owned for 2006 - $2,500 - $5,000 (farm crops)

(7) RTJJ LLC
Percentage owned: 25%
Moore Rd. & Bantam Rd., Clermont County OH
Vacant property
Value of percentage owned - $50,000 - $100,000
Net Income for percentage owned – zero

(8) RTJJ LLC
Percentage owned: 25%
1232 SR 28, Milford, OH 45150
Commercial Rental Property
Value of percentage owned - $50,000 - $100,000
Net Income for percentage owned for 2006 - $2,500 - $5,000 (rent)

(9) RTJJ LLC
Percentage owned: 25%
1236 SR 28, Milford, OH 45150 (SR 28 & Floyd Place)
Residential Rental Property (3 small homes & vacant lots)
One home and vacant lot purchased during 2006 - $15,000 - $50,000
Value of percentage owned - $100,000 - $250,000
Net Income for percentage owned for 2006 - $2,500 - $5,000 (rent)

(10)RTJJ LLC
Percentage owned: 25%
SR 132 & Judd Rd., Clermont County OH
Vacant Land
Value of percentage owned: $15,000 - $50,000
Net Income for percentage owned – zero
Note: This parcel of land was not reported in 2005 because it was thought to be owned by two of Jean Schmidt's siblings and not owned by RTJJ LLC. We just discovered the error.

SCHEDULE IV — TRANSACTIONS

Name JEAN SCHMIDT

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10-12-06		X								
SP	LEGG MASON GROWTH TRUST (SOLD)		X		12-11-06	X									
SP	LEGG MASON EMERGING MARKTS (BUY)	X			12-11-06	X									
JT	LEGG MASON COMMON STOCK		X		2-27-06			X							
JT	LEGG MASON COMMON STOCK		X		3-6-06			X							
JT	LEGG MASON COMMON STOCK		X		9-13-06		X								
JT	LEGG MASON COMMON STOCK		X		1-17-06			X							
JT	LEGG MASON OPPORTUNITY TRUST	X			12-8-06	X									

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Name	Page
JEAN SCHMIDT	5 of 6

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
JT	CITIGROUP SMITH BARNEY	MARGIN LOAN CAR PURCHASE		X								

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $305 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $122 or less need not be added towards the $305 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$325

Use additional sheets if more space is required.

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
PARTNER	RTJJ LLC FAMILY PARTNERSHIP
PARTNER	OT REALTY ENTERPRISES I, II, III
PARTNER	GVS HOFFMAN SECOND FAMILY LIMITED PARTNERSHIP
PARTNER	RTJJ LLC

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement

GPO: 2007 33-427 (mac)

Use additional sheets if more space is required.

UNITED STATES HOUSE OF REPRESENTATIVES

2007 FINANCIAL DISCLOSURE STATEMENT

FORM A
For use by Members, officers, and employees

HAND DELIVERED
LEGISLATIVE RESOURCE CENTER
2007 MAY 15 AM 10: 51
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

(5)

MC

ALLYSON Y. SCHWARTZ
(Full Name)

972 Frazier Rd.
(Mailing Address)

RYDAL PA 19046
Daytime Telephone: 202-225-6111

Filer Status	[X] Member of the U.S. House of Representatives — State: ____ District: ____	[ ] Officer or Employee — Employing Office:	**A *$200 penalty* shall be assessed against anyone who files more than 30 days late.**
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination — Termination Date:

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I**	Yes [X] No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ] No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ] No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes [ ] No [X]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X] No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ] No [X]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X] No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ] No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ] No [X]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ] No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ] No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Allyson Y. Schwartz	5/10/07

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Name	ALLYSON Y. SCHWARTZ	Page 1 of 5

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	Commonwealth of Pa.	Legislative Pension	17,300.
	University of Pa.	Spouse Salary	NA

For payments to charity in lieu of honoraria, use Schedule II.

Name: Allyson Y. Schwartz

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the name of the business, the nature of the business, and its geographic location. For additional information, see the Instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

Check all columns that apply.
Check "None" if asset did not generate any income during the calendar year.

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was received.

BLOCK E — Transaction

Indicate if the asset had purchases (P), sales (S), or exchanges (E) exceeding $1000 in reporting year.

SP, DC, JT	Asset	A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP	Mega Corp. Stock					X								X									X									P
DC	Simon & Schuster			Indefinite																Royalties									X			
JT	1st Bank of Paducah, KY accounts						X									X									X							
JT	Fidelity Growth + Income Fund			X											X		X					X										
JT	Fidelity Equity II Fund			X											X		X					X										
JT	Fidelity Magellan Fund			X											X		X					X										
JT	Vanguard Windsor II Fund				X										X		X						X									
JT	Vanguard Health Care Fund			X											X		X					X										
JT	Franklin Templeton-Mutual Beacon Fund			X											X		X					X										

For additional assets and unearned income, use next page.

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name ALLYSON Y. SCHWARTZ

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
	VANGUARD 500 Index Fund			X											X			X				X										
	LINCOLN Life						X													Retirement												
	UBS - Small Cap Stock Fund					X														"												
	Commonwealth of PA - Deferred Comp					X														"												
	VANGUARD 500 Index Fund					X														"												
SP	Fidelity Fund						X													"												
SP	UBS - Small Cap Stock Fund					X														"												
SP	Franklin Templeton Mutual Beacon						X													"												
SP	Harbor International Fund					X														"												
SP	Schwab S&P 500 Fund				X															"												
SP	Wachovia Mutual Funds				X															"												
SP	Israeli Bonds			X																"												
SP	PNC Bank - Fidelity Freedom Fund					X														"												
SP	VANGUARD Health Care Fund					X														"												
SP	VANGUARD 500 Index Fund					X														"												
SP	VANGUARD US Growth Fund				X															"												
SP	Black Rock S&P 500 Fund						X													"												
SP	TIAA/CREF Mutual Funds				X															"												
SP	Univ. of PA - VANGUARD Funds - 403b					X														"												
SP	VANGUARD Wellington Funds				X															"												
SP	VANGUARD Wellesly Fund			X																"												
SP	TIAA/CREF Funds					X														"												

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name: Allyson Y. Schwartz

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction: P. S. E
SP	Williams Co., 23rd St Properties, NY				X											X	X			Real Estate					X						
JT	House - 7 Gospel Path, Truro, MA							X								X								X							
JT	PNC Bank Checking Acct					X																X									

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SCHEDULE IV — TRANSACTIONS

Name ALLYSON Y. SCHWARTZ | Page 5 of 5

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10-12-06		X								
JT	Fidelity Growth & Income Mutual Fund		X		4/17/06	X									
JT	Vanguard Windsor II Mutual Fund		X		2/15/06		X								
JT	Vanguard Windsor II Mutual Fund		X		6/7/06		X								

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UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2006

FORM A
For use by Members, officers, and employees

HAND DELIVERED

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HOUSE OF REPRESENTATIVES
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Robert C. Scott (Full Name)	
7501 River Road, Suite 2-G Newport News, VA 23607-1767 (Mailing Address)	(757) 380-1000 Daytime Telephone:

Filer Status	☑ Member of the U.S. House of Representative	State: VA District: 3RD	☐ Officer Or Employee — Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☐ No ☑	
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐ No ☑	
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☑ No ☐	
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☑ No ☐	
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☑ No ☐	
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐ No ☑	
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☐ No ☑	
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☑ No ☐	
IX.	Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐ No ☑	

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	[signature]	5-15-07

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SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Robert C. Scott

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
AFLAC STOCK	$1,001 - $15,000	DIVIDENDS	$1 - $200	S
AMCAP FUND CL C	$1,001 - $15,000	None	NONE	P
AMERICAN BALANCED FUND CL C	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
AMERICAN EXPRESS STOCK	$1,001 - $15,000	DIVIDENDS	$1 - $200	
AMERICAN FUNDS GROWTH FUND CL C	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
AMERICAN INTL GROUP STOCK	$1,001 - $15,000	DIVIDENDS/Other: (SUB PMT IN LIEU)	$1 - $200	P

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Robert C. Scott

	ARIEL (GROWTH) FUND	$1,001 - $15,000	CAPITAL GAINS	$201 - $1,000	S
	ARIEL APPRECIATION FUND	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS/Other: (SUB PMTS IN LIEU)	$201 - $1,000	S
	ARIEL FOCUS FUND	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
	ASTON GROWTH FUND CL N	$1,001 - $15,000	CAPITAL GAINS	$201 - $1,000	
	ASTON MID CAP FUND CL N	$1,001 - $15,000	CAPITAL GAINS	$201 - $1,000	
	ASTON MONTAG & CALDWELL GROWTH FUND CL N	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$1 - $200	
	ASTON VEREDUS AGGRESSIVE GROWTH FUND CL N	$1,001 - $15,000	None	NONE	
	AUDIBLE STOCK	$1,001 - $15,000	None	NONE	P
	AUTOMATIC DATA PROCESSING STOCK	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	S
	BERKSHIRE HATHAWAY CL B STOCK	$15,001 - $50,000	None	NONE	P
	BEST BUY STOCK	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	BRIDGEWAY BLUE CHIP 35 INDEX FUND	$1,001 - $15,000	DIVIDENDS	$1 - $200	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Robert C. Scott

BRIDGEWAY ULTRA SMALL CO MARKET FUND	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$1 - $200	P
BROWN CAP MGMT EQUITY FUND	$1,001 - $15,000	None	NONE	P
BROWN CAPITAL MGMT SMALL CO FUND	$1,001 - $15,000	CAPITAL GAINS	$201 - $1,000	P
CAMPBELL SOUP STOCK	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
CAPITAL INCOME BLDR FUND CL A	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$1 - $200	P
CAPITAL INCOME BLDR FUND CL C	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	P
COLGATE-PALMOLIVE STOCK	$1,001 - $15,000	DIVIDENDS	$1 - $200	
DELL STOCK	$1,001 - $15,000	None	NONE	P
DIAMOND UNIT TRUST SERIES 1 STOCK	$1,001 - $15,000	DIVIDENDS/Other: SUB PMTS IN LIEU (Please specify)/Other: (Please specify)	$1 - $200	P
DODGE & COX BALANCED FUND	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	
DODGE & COX INT'L STOCK FUND	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	
DODGE & COX STOCK FUND	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	P
DOLLAR GENERAL STOCK	$1,001 - $15,000	DIVIDENDS	$1 - $200	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Robert C. Scott

DOLLAR TREE STORES STOCK	$1,001 - $15,000	None	NONE	S
DOMINI EUROPEAN SOCIAL EQUITY FUND	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$1 - $200	P
DOMINI SOCIAL EQUITY FUND	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
EDGAR LOMAX VALUE FUND	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	PS
ELECTRONIC ARTS STOCK	$1,001 - $15,000	None	NONE	PS
EUROPACIFIC GROWTH FUND CL C	$1,001 - $15,000	None	NONE	P
EXCELSIOR EMERGING MARKETS FUND	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	
EXCELSIOR VALUE & RESTRUCTURING FUND	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
FIDELITY DIVIDEND GROWTH FUND	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	PS
FIDELITY LOW PRICED STOCK FUND	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$1 - $200	PS
FUNDAMENTAL INVS FUND CL C	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
GENERAL ELECTRIC STOCK	$1,001 - $15,000	DIVIDENDS	$1 - $200	
HARBOR CAPITAL APPRECIATION FUND	$15,001 - $50,000	None	NONE	P
HARBOR GLOBAL VALUE FUND	$1,001 - $15,000	None	NONE	P

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Robert C. Scott

HARBOR INTL FUND	$1,001 - $15,000	None	NONE	P
HARLEY DAVIDSON STOCK	$1,001 - $15,000	DIVIDENDS	$1 - $200	
HOME DEPOT	$1,001 - $15,000	DIVIDENDS	$1 - $200	
INCOME FUND AMER CL C	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
JENSEN PORTFOLIO FUND	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
JOHNSON & JOHNSON STOCK	$1,001 - $15,000	DIVIDENDS	$1 - $200	
JULIUS BAER INTL EQUITY A FUND	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	S
LEGG MASON EMERGING MARKET TRUST FUND	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$1,001 - $2,500	PS
LEGG MASON GROWTH TRUST FUND	$1,001 - $15,000	None	NONE	P
LEGG MASON OPPORTUNITY TRUST FUND	$1,001 - $15,000	CAPITAL GAINS	$1 - $200	P
LEGG MASON SPL INVESTMENT TRUST PRIMARY SHARES FUND	$1,001 - $15,000	CAPITAL GAINS	$1,001 - $2,500	P
LEGG MASON VALUE TRUST FUND	$15,001 - $50,000	None	NONE	P
LIBERTY MEDIA CAP SER A FUND	$1,001 - $15,000	None	NONE	P
LOWES STOCK	$1,001 - $15,000	DIVIDENDS	$1 - $200	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Robert C. Scott

MAIRS & POWER BALANCE FUND	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	P
MAIRS & POWER GROWTH FUND	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	P
MARSICO FOCUS FUND	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	PS
MASS MUTUAL AMERICAN CENTURY VIP INC & GROWTH FUND	$1,001 - $15,000	None	NONE	P
MASS MUTUAL FIDELITY VIP CONTRAFUND	$1,001 - $15,000	None	NONE	P
MASS MUTUAL OPPENHEIMER CORE BOND	$1,001 - $15,000	None	NONE	P
MASS MUTUAL OPPENHEIMER INTL GROWTH FUND	$1,001 - $15,000	None	NONE	P
MASS MUTUAL OPPENHEIMER MAIN STREET FUND	$1,001 - $15,000	None	NONE	P
MASS MUTUAL PANORAMA GROWTH FUND	$1,001 - $15,000	None	NONE	P
MASS MUTUAL PANORAMA TOTAL RETURN FUND	$1,001 - $15,000	None	NONE	P
MASS MUTUAL T. ROEW PRICE MID CAP GROWTH FUND	$1,001 - $15,000	None	NONE	P
MASTERS SELECT EQUITY FUND	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	P
MASTERS SELECT EQUITY FUND	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	P

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Robert C. Scott

MASTERS SELECT VALUE FUND	$1,001 - $15,000	None	NONE	P
MICROSOFT STOCK	$1,001 - $15,000	DIVIDENDS	$1 - $200	
NEW ECONOMY FUND CL C	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
NORTHEAST INVESTORS GROWTH FUND	$15,001 - $50,000	None	NONE	
NORTHEAST INVESTORS TRUST FUND	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
OAKMARK SELECT FUND	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	S
PAYCHEX STOCK	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
PENINSULA PROFIT PROS INVESTMENT CLUB (NO INDIVIDUAL SECURITIES OR TRANSACTIONS REPORTABLE)	$1,001 - $15,000	DIVIDENDS/INTEREST/CAPITAL GAINS	$1,001 - $2,500	PS
PRAXAIR STOCK	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
PROCTOR & GAMBLE STOCK	$1,001 - $15,000	DIVIDENDS	$1 - $200	
PROFIT LOMAX VALUE FUND	$1,001 - $15,000	CAPITAL GAINS	$201 - $1,000	P
SELECTED AMERICAN SHARES CL S FUND	$1,001 - $15,000	DIVIDENDS	$1 - $200	
SELECTED SPECIAL SHARES FUND	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$1 - $200	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Robert C. Scott

SIGMA ALDRICH STOCK	$1,001 - $15,000	DIVIDENDS	$1 - $200	
SMALLCAP WORLD FUND CL C	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SUNTRUST BANKS STOCK	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SYNOVUS FINANCIAL STOCK	$1,001 - $15,000	DIVIDENDS	$1 - $200	
SYSCO STOCK	$1,001 - $15,000	DIVIDENDS	$1 - $200	
THIRD AVE INTL VALUE FUND	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	S
THIRD AVE REAL ESTATE VALUE FUND	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	
THIRD AVE SMALL CAP VALUE FUND	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$1 - $200	PS
THIRD AVE VALUE FUND	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	P
TORRAY FUND	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS	$1,001 - $2,500	P
TREX STOCK	$1,001 - $15,000	None	NONE	PS
VANGUARD CONSUMER STAPLES FUND	$1,001 - $15,000	DIVIDENDS	$1 - $200	
VANGUARD HEALTH CARE FUND	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS	$1,001 - $2,500	P
WALGREEN STOCK	$1,001 - $15,000	DIVIDENDS	$1 - $200	P

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Robert C. Scott

	WASHINGTON MUTUALS INVESTORS FUND CL C	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
	WENDY'S STOCK	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
	WILLIAM BLAIR INTL GROWTH FUND CL N	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	S
	YUM STOCK	$1,001 - $15,000	DIVIDENDS	$1 - $200	P

SCHEDULE IV - TRANSACTIONS

Name Robert C. Scott

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	DODGE & COX STOCK FUND	P	01-18-06	$1,001 - $15,000
	VANGUARD CONSUMER STAPLES FUND	P	01-20-06	$1,001 - $15,000
	AFLAC STOCK	S	01-20-06	$1,001 - $15,000
	DIAMOND TRUST UNIT SER 1 STOCK	P	01-20-06	$1,001 - $15,000
	MASTERS SELECT EQUITY FUND	P	10-25-06	$1,001 - $15,000
	BRIDGEWAY ULTRA-SMALL COMPANY FUND	P	VARIOUS TRANSACTIONS LESS THAN $1000	$1,001 - $15,000
	EXCELSIOR VALUE & RESTRUCTURING FUND	P	VARIOUS TRANSACTIONS LESS THAN $1000	$1,001 - $15,000
	VANGUARD HEALTH CARE FUND	P	VARIOUS TRANSACTIONS LESS THAN $1000	$1,001 - $15,000
	THIRD AVE INTL VALUE FUND	S	01-03-06	$1,001 - $15,000
	THIRD AVE VALUE FUND	P	01-04-06	$1,001 - $15,000

SCHEDULE IV - TRANSACTIONS

Name Robert C. Scott

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SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	ARIEL FOCUS FUND	P	04-11-06	$1,001 - $15,000
	THIRD AVE VALUE FUND	P	VARIOUS TRANSACTIONS LESS THAN $1000	$1,001 - $15,000
	ARIEL FOCUS FUND	P	VARIOUS TRANSACTIONS LESS THAN $1000	$1,001 - $15,000
	LEGG MASON EMERGING MARKETS TRUST FUND	S	03-07-06	$1,001 - $15,000
	LEGG MASON EMERGING MARKETS TRUST FUND	S	12-26-06	$1,001 - $15,000
	LEGG MASON EMERGING MARKETS TRUST FUND	P	VARIOUS TRANSACTIONS LESS THAN $1000	$1,001 - $15,000
	LEGG MASON SPECIAL INV TRUST FUND	P	VARIOUS TRANSACTIONS LESS THAN $1000	$1,001 - $15,000
	NORTHEAST INVESTORS TRUST FUND	P	VARIOUS TRANSACTIONS LESS THAN $1000	$1,001 - $15,000

SCHEDULE IV - TRANSACTIONS

Name Robert C. Scott

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SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	PROFIT LOMAX VALUE FUND	P	VARIOUS TRANSACTIONS LESS THAN $1000	$1,001 - $15,000
	JENSEN PORTFOLIO FUND	P	VARIOUS TRANSACTIONS LESS THAN $1000	$1,001 - $15,000
	MARSICO FOCUS FUND	P	VARIOUS TRANSACTIONS LESS THAN $1000	$1,001 - $15,000
	BROWN CAPITAL MGT EQUITY FUND	P	VARIOUS TRANSACTIONS LESS THAN $1000	$1,001 - $15,000
	WEITZ HICKORY FUND	S	01-05-06	$1,001 - $15,000
	WEITZ VALUE FUND	S	01-05-06	$1,001 - $15,000
	THIRD AVE SMALL CAP VALUE FUND	S	02-28-06	$1,001 - $15,000
	MASTERS SELECT EQUITY FUND	P	04-11-06	$1,001 - $15,000
	MASTERS SELECT FUND	P	12-28-06	$1,001 - $15,000

SCHEDULE IV - TRANSACTIONS

Name Robert C. Scott

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SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	TELEFLEX STOCK	S	01-03-06	$1,001 - $15,000
	TREX STOCK	S	01-03-06	$1,001 - $15,000
	SARA LEE STOCK	S	03-21-06	$1,001 - $15,000
	DELL STOCK	P	05-22-06	$1,001 - $15,000
	ELECTRONIC ARTS STOCK	P	05-22-06	$1,001 - $15,000
	LIBERTY MEDIA CAP SER A FUND	P	07-05-06	$1,001 - $15,000
	TREX	P	11-28-06	$1,001 - $15,000
	RPM STOCK	S	03-14-06	$1,001 - $15,000
	AMERICAN BALANCED FUND CL C	P	03-14-06	$1,001 - $15,000
	EUROPACIFIC GROWTH FUND CL C	P	03-14-06	$1,001 - $15,000
	FUNDAMENTAL INVESTORS FUND CL C	P	03-14-06	$1,001 - $15,000
	INCOME FUND OF AMERICA	P	03-14-06	$1,001 - $15,000

SCHEDULE IV - TRANSACTIONS

Name Robert C. Scott

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	NEW ECONOMY FUND CL C	P	03-14-06	$1,001 - $15,000
	SMALL CAP WORLD FUND CL C	P	03-14-06	$1,001 - $15,000
	DIONEX STOCK	S	11-30-06	$1,001 - $15,000
	DISNEY (WALT) STOCK	S	01-18-06	$1,001 - $15,000
	ELECTRONICS ARTS STOCK	S	03-14-06	$1,001 - $15,000
	OMNICOM GROUP STOCK	S	03-14-06	$1,001 - $15,000
	RADIO ONE STOCK	S	03-20-06	$1,001 - $15,000
	AUDIBLE STOCK	P	07-07-06	$1,001 - $15,000
	AUDIBLE STOCK	P	10-03-06	$1,001 - $15,000
	AUTOMATIC DATA PROCESSING STOCK	S	01-18-06	$1,001 - $15,000
	DELL STOCK	P	02-21-06	$1,001 - $15,000
	GROWTH FUND OF AMERICA	P	03-14-06	$1,001 - $15,000

SCHEDULE V - LIABILITIES

Name Robert C. Scott

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report "revolving charge accounts" (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
	CHASE BANK	CREDIT CARD	$15,001 - $50,000
	E*TRADE BROKERAGE	MARGIN BALANCE	$50,001 - $100,000
	FIDELITY BROKERAGE	MARGIN BALANCE	$50,001 - $100,000
	LEGG MASON BROKERAGE	MARGIN BALANCE	$15,001 - $50,000
	AMERITRADE BROKERAGE	MARGIN BALANCE	$15,001 - $50,000
	WACHOVIA BROKERAGE	MARGIN BALANCE	$50,001 - $100,000

SCHEDULE VIII - POSITIONS

Name Robert C. Scott

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
BOARD MEMBER	HAMPTON ROADS MARCH OF DIMES

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were paid directly by the sponsor or were paid by you and reimbursed by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	City of Departure—Destination—City of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Number of days not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	DC—Chicago—DC	N	N	N	None
	Roycroft Corporation	Aug. 6–11	DC—Los Angeles—Cleveland	Y	Y	Y	2 Days
	CBC Institute	Aug 10-12	Norfolk, VA to Tunica	X	X		0

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UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2006

FORM A
For use by Members, officers, and employees

HAND DELIVERED
2007 MAY 10 PM 2:48
(Office Use Only)

F. James Sensenbrenner, Jr
(Full Name)

2449 Raybum House Office Building Washington, DC 20515
(Mailing Address)

202/225-5101
Daytime Telephone:

Filer Status	☑ Member of the U.S. House of Representatives	State: WI District: 05	☐ Officer Or Employee	Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination	Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes ☑ No ☐	VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes ☐ No ☑
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes ☐ No ☑	VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? If yes, complete and attach Schedule VII.	Yes ☑ No ☐
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes ☑ No ☐	VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes ☑ No ☐
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes ☑ No ☐	IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes ☐ No ☑
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes ☐ No ☑		Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts--	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app., § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	F. James Sensenbrenner Jr	5/10/07

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SCHEDULE I - EARNED INCOME

Name F. James Sensenbrenner, Jr

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Sensenbrenner Family Trust	Trustee Fees	$25,000

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name F. James Sensenbrenner, Jr

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
	3M Company	$100,001 - $250,000	DIVIDENDS	$2,501 - $5,000	
	Abbott Laboratories	$500,001 - $1,000,000	DIVIDENDS	$5,001 - $15,000	
	Alcatel-Lucent	$1,001 - $15,000	None	NONE	E
	Allstate Insurance stock	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
SP	Altria Group	$250,001 - $500,000	DIVIDENDS	$15,001 - $50,000	
	AT&T stock	$100,001 - $250,000	DIVIDENDS	$2,501 - $5,000	P

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name F. James Sensenbrenner, Jr

	Bell South	N/A	DIVIDENDS	$1,001 - $2,500	Merge w/AT&T
	BP PLC	$100,001 - $250,000	DIVIDENDS	$5,001 - $15,000	
	Centerpoint Energy	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	Comcast	$1,001 - $15,000	None	NONE	split
	Darden Restaurants	$50,001 - $100,000	DIVIDENDS	$201 - $1,000	
	Delphi Automotive	$1 - $1,000	None	NONE	
	Dunn & Bradstreet	$100,001 - $250,000	None	NONE	
	DuPont stock	$50,001 - $100,000	DIVIDENDS	$1,001 - $2,500	
	Eastman Chemical	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
	Eastman Kodak	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
	El Paso Energy	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	Exxon Mobil	$500,001 - $1,000,000	DIVIDENDS	$5,001 - $15,000	
	Gartner Group	$15,001 - $50,000	None	NONE	
	General Electric	$500,001 - $1,000,000	DIVIDENDS	$15,001 - $50,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name F. James Sensenbrenner, Jr

	General Mills	$100,001 - $250,000	DIVIDENDS	$2,501 - $5,000	
	General Motors	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
	Halliburton	None	DIVIDENDS	$1,001 - $2,500	S
	Hospira	$15,001 - $50,000	None	NONE	
	IDEARC	$1,001 - $15,000	None	NONE	spin-off Verizon
	Imation	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	IMS Health	$100,001 - $250,000	DIVIDENDS	$201 - $1,000	
	JP Morgan Chase	$50,001 - $100,000	INTEREST	$1,001 - $2,500	
	JP Morgan Chase	$100,001 - $250,000	DIVIDENDS	$5,001 - $15,000	
DC	JP Morgan Chase in trust	$15,001 - $50,000	INTEREST	$5,001 - $15,000	
DC	JP Morgan Chase in trust	$100,001 - $250,000	DIVIDENDS	$15,001 - $50,000	
	JP Morgan Chase IRA	$100,001 - $250,000	N/A	N/A	
SP	JP Morgan Chase, Money Market	$50,001 - $100,000	INTEREST	$1,001 - $2,500	
	Kellogg Corp.	$100,001 - $250,000	DIVIDENDS	$2,501 - $5,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name F. James Sensenbrenner, Jr

	Kimberly-Clark	$250,001 - $500,000	DIVIDENDS	$15,001 - $50,000	S
DC	Kimberly-Clark (in trust)	$500,001 - $1,000,000	DIVIDENDS	$15,001 - $50,000	
DC	Lord Abbett Fund	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
	Medco Health	$250,001 - $500,000	None	NONE	
	Merck & Co.	$1,000,001 - $5,000,000	DIVIDENDS	$50,001 - $100,000	
	Monsanto Corp.	$100,001 - $250,000	DIVIDENDS	$1,001 - $2,500	split
	Moody's Corp.	$100,001 - $250,000	DIVIDENDS	$1,001 - $2,500	
	Morgan Stanley/Dean Whitter	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
	NCR Corp.	$1,001 - $15,000	None	NONE	
DC	Neenah Paper (in trust)	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	Newell Rubbermaid	$50,001 - $100,000	DIVIDENDS	$1,001 - $2,500	
	Pactive Corp.	$1,001 - $15,000	None	NONE	
	Pfizer	$500,001 - $1,000,000	DIVIDENDS	$15,001 - $50,000	
	PG&E	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name F. James Sensenbrenner, Jr

	Qwest	$1,001 - $15,000	None	NONE	
	Reliant Energy	$1,001 - $15,000	None	NONE	
	RH Donnelly	$15,001 - $50,000	None	NONE	
	Sandusky Voting Trust	$1 - $1,000	None	NONE	
DC	Schweitzer Maudit (in trust)	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	See Attachments	N/A	N/A	N/A	
SP	Sensient Technology	$50,001 - $100,000	DIVIDENDS	$2,501 - $5,000	
	Solutia	$1,001 - $15,000	None	NONE	
	Tenneco Automotive	$1,001 - $15,000	None	NONE	
	Unisys	$1,001 - $15,000	None	NONE	
	US Bank Corp.	$100,001 - $250,000	DIVIDENDS	$2,501 - $5,000	
	Verizon	$50,001 - $100,000	DIVIDENDS	$1,001 - $2,500	P
	Vodaphone	$1,001 - $15,000	DIVIDENDS	$1,001 - $2,500	S
	Weenergies (Wisconsin Energy)	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name F. James Sensenbrenner, Jr

	Wisconsin State Retirement Fund	$250,001 - $500,000	N/A	N/A	

SCHEDULE IV - TRANSACTIONS

Name F. James Sensenbrenner, Jr

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Halliburton	S	12-21-06 12-28-06	$100,001 - $250,000
	AT&T stock	P	various (drip)	$1,001 - $15,000
	Kimberly-Clark	S	various	$250,001 - $500,000
	Vodaphone	S	8-30-06	$1,001 - $15,000
	Verizon	P	various (drip)	$1,001 - $15,000

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name F. James Sensenbrenner, Jr

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's expense
International Management & Development Institute	Feb. 18-24	DC-Liechtenstein-Berlin-DC	Y	Y	Y	None
U.S.-Japan Leigl. Exchangae Program-GW University	Nov. 26-Dec.1	DC-Seoul-DC	Y	Y	Y	None

SCHEDULE VIII - POSITIONS

Name F. James Sensenbrenner, Jr

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Trustee	Sensenbrenner Family Trust
Co-Trustee	Separate trusts established for benefit of Frank J. Sensenbrenner, III, and Robert Alan Sensenbrenner

FOOTNOTES

Number	Section / Schedule	Footnote	This note refers to the following item
1	Schedule III	Alcatel bought out Lucent	Alcatel-Lucent

F. JAMES SENSENBRENNER, JR.
FIFTH DISTRICT, WISCONSIN
COMMITTEE ON THE JUDICIARY
COMMITTEE ON SCIENCE AND TECHNOLOGY

WASHINGTON OFFICE
ROOM 2449
RAYBURN HOUSE OFFICE BUILDING
WASHINGTON, DC 20515-4905
202-225-5101

DISTRICT OFFICE
120 BISHOPS WAY, ROOM 154
BROOKFIELD, WI 53005-6294
262-784-1111

OUTSIDE MILWAUKEE METRO CALLING AREA
1-800-242-1119

Congress of the United States
House of Representatives
Washington, DC 20515–4905

MR. SENSENBRENNER. Mr. Speaker, through the following statement, I am making my financial net worth as of March 31, 2007, a matter of public record. I have filed similar statements for each of the twenty-eight preceding years I have served in the Congress.

ASSETS

REAL PROPERTY

Single family residence at 609 Ft. Williams Parkway, City of Alexandria, Virginia, at assessed valuation. (Assessed at $1,523,982). Ratio of assessed to market value: 100% (Unencumbered)	$1,523,982.00
Condominium at N76 W14726 North Point Drive, Village of Menomonee Falls, Waukesha County, Wisconsin, at assessor's estimated market value. (Unencumbered)	$148,300.00
Undivided 25/44ths interest in single family residence at N52 W32654 Maple Lane, Village of Chenequa, Waukesha County, Wisconsin, at 25/44ths of assessor's estimated market value of $1,659,700.	$943,011.36

TOTAL REAL PROPERTY $2,615,293.36

2007 disclosure

Common & Preferred Stock	# of shares	$ per share	Value
Abbott Laboratories, Inc.	12200	55.80	680,760.00
Alcatel-Lucent	135	11.82	1,595.70
Allstate Corporation	370	60.06	22,222.20
AT&T	2944.044	39.43	116,083.65
JP Morgan Chase	4539	48.38	219,596.82
Benton County Mining Company	333	0.00	0.00
BP PLC	3604	64.75	233,359.00
Centerpoint Energy	300	17.94	5,382.00
Chenequa Country Club Realty Co.	1	0.00	0.00
Comcast	634	25.95	16,452.30
Darden Restaurants, Inc.	1440	41.19	59,313.60
Delphi Automotive	212	2.90	614.80
Dunn & Bradstreet, Inc.	2500	91.20	228,000.00
E.I. DuPont de Nemours Corp.	1200	49.43	59,316.00
Eastman Chemical Co.	270	63.33	17,099.10
Eastman Kodak	1080	22.56	24,364.80
El Paso Energy	150	14.47	2,170.50
Exxon Mobil Corp.	9728	75.45	733,977.60
Gartner Group	651	23.95	15,591.45
General Electric Co.	15600	35.36	551,616.00
General Mills, Inc.	2280	58.22	132,741.60
General Motors Corp.	304	30.64	9,314.56
Hospira	1220	40.90	49,898.00
Idearc	67	35.10	2,351.70
Imation Corp.	99	40.38	3,997.62
IMS Health	5000	29.66	148,300.00
Kellogg Corp.	3200	51.43	164,576.00
Kimberly-Clark Corp.	6384	68.49	437,240.16
Merck & Co., Inc.	34078	44.17	1,505,225.26
3M Company	2000	76.43	152,860.00
Medco Health	4109	72.53	298,025.77
Monsanto Corporation	2852.315	54.96	156,763.23
Moody's	2500	62.06	155,150.00
Morgan Stanley/Dean Whitter	312	78.76	24,573.12
NCR Corp.	68	47.77	3,248.36
Newell Rubbermaid	1676	31.09	52,106.84
JP Morgan Liquid Assets Money Mkt	4533.72	1.00	4,533.72
Pactiv Corp.	200	33.74	6,748.00
PG&E Corp.	175	48.27	8,447.25
Pfizer	22211	25.26	561,049.86
Qwest	571	8.99	5,133.29
Reliant Energy	300	20.32	6,096.00
RH Donnelly Corp.	500	70.89	35,445.00
Sandusky Voting Trust	26	1.00	26.00
Solutia	1672	0.68	1,138.63
Tenneco Automotive	182	25.46	4,633.72
Unisys, Inc.	167	8.43	1,407.81
US Bank Corp.	3081	34.97	107,742.57

2007 disclosure

Verizon	1373.891	37.92	52,097.95
Vodaphone	323	26.86	8,675.78
Weenergies (Wisconsin Energy)	1022	48.52	49,587.44

TOTAL COMMON & PREFERRED STOCKS AND BONDS . $7,136,650.77

2007 disclosure

Life Insurance Policies	Face $	Surrender $
Northwestern Mutual #4378000	12,000.00	75,412.27
Northwestern Mutual #4574061	30,000.00	181,284.03
Massachusetts Mutual #4116575	10,000.00	11,520.97
Massachusetts Mutual #4228344	100,000.00	286,415.27
American General Life Ins. #5-1607059L	175,000.00	40,950.00
TOTAL LIFE INSURANCE POLICIES		$595,582.54

2007 disclosure

BANK & SAVINGS & LOAN ACCOUNTS	BALANCE
JP Morgan Chase Bank, checking account	8,098.33
JP Morgan Chase Bank, savings account	43,935.47
M&I Lake Country Bank, Hartland, WI, checking account	10,236.24
M&I Lake Country Bank, Hartland, WI, savings	368.64
Burke & Herbert Bank, Alexandria, VA, checking account	1,998.58
JP Morgan, IRA accounts	118,610.24
TOTAL BANK & SAVINGS & LOAN ACCOUNTS	$183,247.50

2007 disclosure

MISCELLANEOUS	VALUE
1994 Cadillac Deville - retail value	$3,700.00
1989 Cadillac Fleetwood - retail value	$2,475.00
1996 Buick Regal - retail value	$3,100.00
1991 Buick Century automobile - retail value	$1,750.00
Office furniture & equipment (estimated)	$1,000.00
Furniture, clothing & personal property (estimated)	$180,000.00
Stamp collection (estimated)	$100,000.00
Interest in Wisconsin retirement fund	$377,350.61
Deposits in Congressional Retirement Fund	$175,108.36
Deposits in Federal Thrift Savings Plan	$273,226.53
Traveller's checks	$7,800.00
17 ft. Boston Whaler boat & 70 hp Johnson outboard motor (estimated)	$7,000.00
20 ft. Pontoon boat & 40 hp Mercury outboard motor	$13,000.00
TOTAL MISCELLANEOUS	$1,145,510.50
TOTAL ASSETS	$11,676,284.67

2007 disclosure

LIABILITIES

None

TOTAL LIABILITIES	$0.00
NET WORTH	$11,676,284.67

2007 disclosure

STATEMENT OF 2006 TAXES PAID

Federal income tax	$129,694.00
Wisconsin income tax	$36,794.00
Menomonee Falls, WI property tax	$2,343.00
Chenequa, WI property tax	$23,791.00
Alexandria, VA property tax	$12,177.00

I further declare that I am trustee of a trust established under the will of my later father, Frank James Sensenbrenner, Sr., for the benefit of my sister, Margaret A. Sensenbrenner, and of my two sons, F. James Sensenbrenner, III, and Robert Alan Sensenbrenner. I am further the direct beneficiary of five trusts, but have no control over the assets of either trust. My wife, Cheryl Warren Sensenbrenner, and I are trustees of separate trusts established for the benefit of each son.
Also, I am neither an officer nor a director of any corporation organized under the laws of the State of Wisconsin or of any other state or foreign country.

F. JAMES SENSENBRENNER, JR.,
Member of Congress

Annual Account Statement

ROBERT ALAN SENSENBRENNER 1993 TRUST
Account number [redacted]
January 1, 2006 - December 31, 2006

JPMORGAN CHASE BANK, N.A.
111 EAST WISCONSIN AVENUE, WI1-2053
MILWAUKEE, WI 53202

Your Advisor(s):
LYNN E PAULL
(414) 977-2027 or (800) 926-4556

Thank you for the opportunity to serve your financial needs. We appreciate your confidence in us.

Please remember to check the Bulletin Board (located at the back of your statement) for important messages.

Don't hesitate to contact your advisor if you have any questions, comments or concerns.

05038 02044
F JAMES SENSENBRENNER JR
609 FORT WILLIAMS PKWY
ALEXANDRIA VA 22304-1813

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JPMorgan

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Holdings summary

	Value on December 31, 2006	Value on December 31, 2005	Change in value
Cash equivalents	$40,410.89		
Equities	381,650.16		
Fixed income	0.00		
Other	0.00		
Total holdings	**$422,061.05**	$370,256.01	**$51,805.04**
Accruals (income earned but not yet received)	$97.52		
Total holdings with accruals	**$422,158.57**		

Income summary

Income	Statement period	January 1 to December 31
Dividends (domestic/foreign/mutual fund income)	$5,486.11	$5,486.11
Interest (taxable/non-taxable)	482.40	482.40
Other income	0.00	0.00
Total income	**$5,968.51**	$5,968.51
Realized gain/(loss)		
	$26,206.37	$26,206.37

The income summary includes taxable and tax-exempt income for both principal and income beneficiaries, please refer to the Transaction detail for an income breakdown. These amounts represent approximate income and gain/(loss) amounts and should not be used for final Internal Revenue Service reporting.

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JPMorgan

Market reconciliation

	Statement period	January 1 to December 31
Beginning market value	$370,256.01	$370,256.01
Receipts	9,413.57	9,413.57
Disbursements	(16,569.65)	(16,569.65)
Income	5,968.51	5,968.51
Capital gains distributions	25,781.20	25,781.20
Change in asset market value	27,211.41	27,211.41
Ending market value	$422,061.05	$422,061.05

The Market reconciliation provides a summary of all activity in the account. The Receipts and Disbursements categories may include Non-Cash Transactions such as transfers within the account, name change transactions, asset receipts and distributions, and stock split transactions. The Transaction detail section provides an itemized list of all transactions. The Transaction summary provides a summary of cash receipt and disbursement transactions.

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Transaction summary

	Income	Principal
Beginning cash balance 1/1/06	$0.00	$0.00
Cash receipts		
Dividends (domestic/foreign/mutual fund income)	$5,486.11	$0.00
Interest (taxable/non-taxable)	482.40	0.00
Other cash receipts	4,998.60	30,196.17
Total cash receipts	$10,967.11	$30,196.17
Cash disbursements		
Cash disbursements	$(9,413.57)	$(4,049.00)
Administrative expenses	(1,553.54)	(1,553.54)
Total cash disbursements	$(10,967.11)	$(5,602.54)
Investment transactions		
Purchases	$0.00	$(104,366.96)
Sales and maturities	0.00	79,773.33
Total investment transactions	$0.00	$(24,593.63)
Ending cash balance 12/31/06	$0.00	$0.00

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JPMorgan

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ROBERT ALAN SENSENBRENNER 1993 TRUST
Account number: [redacted] · January 1 - December 31, 2006

Only the Asset allocation analysis includes mutual fund holdings. The Bond quality and maturity analyses (if applicable) are displayed excluding mutual funds.

Investment objective: Growth Fiduciary

Captures the upside return potential of risky assets (equities and medium volatility hedge funds) while maintaining a modest exposure to low volatility and stable return assets (fixed income, cash, and medium volatility hedge funds) for portfolio efficiency and some downside protection.

Asset allocation

9.6% - Cash equivalents

90.4% - Equities

90.4% - *Mutual funds*

The Asset allocation may not be exactly as described in the investment objective due to Other Asset holdings.

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JPMorgan

Holdings detail

Cash equivalents

Very liquid assets

Description	Quantity	Share price or % of par	Market value	Tax cost	Unrealized gain(loss)	Current yield	Estimated annual income
JPMORGAN LIQUID ASSTS MNY MKT INVEST	40,410.890	1.000	$40,410.89	$40,410.89	$0.00	4.89%	$1,976.09
Total cash equivalents			**$40,410.89**	**$40,410.89**	**$0.00**		**$1,976.09**

Equities

Mutual funds

Description	Quantity	Share price or % of par	Market value	Tax cost	Unrealized gain(loss)	Current yield	Estimated annual income
JPMORGAN DIVERSIFIED MID CAP GROWTH	1,710.889	23.370	$39,983.48	$29,403.69	$10,579.79	0.00%	$0.00
JPMORGAN DIVERSIFIED MID CAP VALUE	2,269.432	14.700	33,360.65	30,034.69	3,325.96	1.28%	426.65
JPMORGAN HIGHBRIDGE STAT MKT NEUT FD	638.162	15.870	10,127.63	10,000.00	127.63	1.05%	106.57
JPMORGAN INTERNATIONAL EQUITY INDEX	2,746.659	27.890	76,604.32	48,168.77	28,435.55	2.13%	1,628.77
JPMORGAN INTREPID AMERICA FUND	1,439.737	27.910	40,183.06	35,000.00	5,183.06	0.87%	348.42
JPMORGAN LARGE CAP GROWTH FUND	4,180.944	16.920	70,741.57	57,392.28	13,349.29	0.00%	0.00
JPMORGAN LARGE CAP VALUE FUND	4,097.399	17.190	70,434.29	54,204.28	16,230.01	1.63%	1,147.27
JPMORGAN SMALL CAP GROWTH FUND	876.785	11.360	9,960.28	7,891.92	2,068.36	0.00%	0.00
JPMORGAN SMALL CAP VALUE FUND	591.877	23.840	14,110.35	8,215.85	5,894.50	0.71%	100.03

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JPMorgan

Holdings detail continued

Description	Quantity	Share price or % of par	Market value	Tax cost	Unrealized gain/(loss)	Current yield	Estimated annual income
JPMORGAN US EQUITY FUND	1,426.195	11.320	$16,144.53	$13,231.35	$2,913.18	1.18%	$191.11
Total equities			$381,650.16	$293,542.83	$88,107.33		$3,948.82
Total holdings			**$422,061.05**	**$333,953.72**	**$88,107.33**		**$5,924.91**
Accruals (income earned but not yet received)			$97.52				
Total holdings with accruals			**$422,158.57**				

Assets identified with an asterisk (*) at the beginning of the asset name are held in the Invested Income Portfolio.

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Transaction detail

Date	Description	Realized gain/(loss)	Income	Principal
Beginning cash balance 1/1/06			$0.00	$0.00
	COMBINED PURCHASES FOR THE PERIOD 1/ 1/06 - 12/31/06 OF JPMORGAN LIQUID ASSTS MNY MKT INVEST INVESTMENTS AT TAX COST: $39,366.96			$(39,366.96)
	COMBINED SALES FOR THE PERIOD 1/ 1/06 - 12/31/06 OF JPMORGAN LIQUID ASSTS MNY MKT INVEST INVESTMENTS AT TAX COST: $-26,666.08			26,666.08
1/3/06	PURCHASED 1,439.737 SHARES OF JPMORGAN INTREPID AMERICA FUND TRADE DATE 12/30/05 1,439.737 SHARES AT $24.31 INVESTMENTS AT TAX COST: $35,000.00			(35,000.00)
1/3/06	PURCHASED 663.423 SHARES OF JPMORGAN INTERNATIONAL EQUITY INDEX TRADE DATE 12/30/05 663.423 SHARES AT $22.61 INVESTMENTS AT TAX COST: $15,000.00			(15,000.00)
1/3/06	PURCHASED 643.501 SHARES OF JPMORGAN CORE PLUS BOND FUND TRADE DATE 12/30/05 643.501 SHARES AT $7.77 INVESTMENTS AT TAX COST: $5,000.00			(5,000.00)
1/3/06	SOLD 3,187.614 SHARES OF JPMORGAN US EQUITY FUND TRADE DATE 12/30/05 3,187.614 SHARES AT $10.98 INVESTMENTS AT TAX COST: $-34,604.67	$395.33		35,000.00

JPMorgan

Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
1/3/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN CORE BOND FUND $0.04/SHARE ON 555.901 SHARES DUE 1/3/06		$22.24	
1/3/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN CORE PLUS BOND FUND $0.032/SHARE ON 979.057 SHARES DUE 1/3/06		31.33	
1/3/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN DIVERSIFIED MID CAP VALUE $0.02563/SHARE ON 2,269.432 SHARES DUE 1/3/06		58.17	
1/3/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN INTERNATIONAL EQUITY INDEX $0.39435/SHARE ON 2,083.236 SHARES DUE 1/3/06		821.52	
1/3/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN LARGE CAP VALUE FUND $0.06854/SHARE ON 4,097.399 SHARES DUE 1/3/06		280.84	
1/3/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN SMALL CAP VALUE FUND $0.05806/SHARE ON 591.877 SHARES DUE 1/3/06		34.36	
1/3/06	CASH RECEIPT OF INTEREST EARNED ON JPMORGAN LIQUID ASSTS MNY MKT INVEST INTEREST FROM 12/1/05 TO 12/31/05		48.32	
1/12/06	ADMINISTRATIVE FEES COLLECTED THROUGH DECEMBER MONTH END		(108.87)	$(108.87)
2/1/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN CORE BOND FUND $0.039/SHARE ON 555.901 SHARES DUE 2/1/06		21.68	

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Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
2/1/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN CORE PLUS BOND FUND $0.031/SHARE ON 1,622.558 SHARES DUE 2/1/06		$50.30	
2/1/06	CASH RECEIPT OF INTEREST EARNED ON JPMORGAN LIQUID ASSTS MNY MKT INVEST INTEREST FROM 1/1/06 TO 1/31/06		32.66	
2/13/06	ADMINISTRATIVE FEES COLLECTED THROUGH JANUARY MONTH END		(108.89)	$(108.89)
3/1/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN CORE BOND FUND $0.039/SHARE ON 555.901 SHARES DUE 3/1/06		21.68	
3/1/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN CORE PLUS BOND FUND $0.031/SHARE ON 1,622.558 SHARES DUE 3/1/06		50.30	
3/1/06	CASH RECEIPT OF INTEREST EARNED ON JPMORGAN LIQUID ASSTS MNY MKT INVEST INTEREST FROM 2/1/06 TO 2/28/06		28.68	
3/13/06	ADMINISTRATIVE FEES COLLECTED THROUGH FEBRUARY MONTH END		(108.89)	(108.89)
4/3/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN CORE BOND FUND $0.041/SHARE ON 555.901 SHARES DUE 4/3/06		22.79	
4/3/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN CORE PLUS BOND FUND $0.031/SHARE ON 1,622.558 SHARES DUE 4/3/06		50.30	
4/3/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN DIVERSIFIED MID CAP VALUE $0.07308/SHARE ON 2,269.432 SHARES DUE 4/3/06		165.85	

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Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
4/3/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN LARGE CAP VALUE FUND $0.06618/SHARE ON 4,097.399 SHARES DUE 4/3/06		$271.17	
4/3/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN SMALL CAP VALUE FUND $0.03812/SHARE ON 591.877 SHARES DUE 4/3/06		22.56	
4/3/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN US EQUITY FUND $0.03032/SHARE ON 1,426.195 SHARES DUE 4/3/06		43.24	
4/3/06	CASH RECEIPT OF INTEREST EARNED ON JPMORGAN LIQUID ASSTS MNY MKT INVEST INTEREST FROM 3/1/06 TO 3/31/06		29.86	
4/6/06	CASH DISBURSEMENT PAID TO U S TREASURY FIDUCIARY FEDERAL INCOME TAX ***WITX TAX DUE ON FORM 1041 FOR CALENDAR YR RTN			$(3,033.00)
4/6/06	CASH DISBURSEMENT PAID TO WISCONSIN DEPT OF REVENUE FIDUCIARY STATE INCOME TAX ***WITX TAX DUE ON STATE FIDUCIARY CALENDAR YR RTN			(504.00)
4/12/06	ADMINISTRATIVE FEES COLLECTED THROUGH MARCH MONTH END	(108.89)		(108.89)
4/12/06	CASH DISBURSEMENT PAID TO WISCONSIN DEPT OF REVENUE FIDUCIARY EST STATE TAX ***WITX INSTALLMENT ON STATE FIDUCIARY INCOME TAX			(512.00)

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Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
5/1/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN CORE BOND FUND $0.039/SHARE ON 555.901 SHARES DUE 5/1/06		$21.68	
5/1/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN CORE PLUS BOND FUND $0.031/SHARE ON 1,622.558 SHARES DUE 5/1/06		50.30	
5/1/06	CASH RECEIPT OF INTEREST EARNED ON JPMORGAN LIQUID ASSTS MNY MKT INVEST INTEREST FROM 4/1/06 TO 4/30/06		19.84	
5/12/06	ADMINISTRATIVE FEES COLLECTED THROUGH APRIL MONTH END		(109.09)	$(109.09)
5/26/06	PURCHASED 638.162 SHARES OF JPMORGAN HIGHBRIDGE STAT MKT NEUT FD TRADE DATE 5/25/06 638.162 SHARES AT $15.67 INVESTMENTS AT TAX COST: $10,000.00			(10,000.00)
5/26/06	SOLD 555.901 SHARES OF JPMORGAN CORE BOND FUND TRADE DATE 5/25/06 555.901 SHARES AT $10.39 INVESTMENTS AT TAX COST: $-5,615.37	$160.44		5,775.81
5/26/06	SOLD 1,622.558 SHARES OF JPMORGAN CORE PLUS BOND FUND TRADE DATE 5/25/06 1,622.558 SHARES AT $7.60 INVESTMENTS AT TAX COST: $-12,462.04	(130.60)		12,331.44

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JPMorgan

Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
6/1/06	CASH RECEIPT OF INTEREST EARNED ON JPMORGAN LIQUID ASSTS MNY MKT INVEST INTEREST FROM 5/1/06 TO 5/31/06		$24.41	
6/12/06	ADMINISTRATIVE FEES COLLECTED THROUGH MAY MONTH END		(109.09)	$(109.09)
7/3/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN DIVERSIFIED MID CAP VALUE $0.04303/SHARE ON 2,269.432 SHARES DUE 7/3/06		97.65	
7/3/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN LARGE CAP VALUE FUND $0.07485/SHARE ON 4,097.399 SHARES DUE 7/3/06		306.69	
7/3/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN SMALL CAP VALUE FUND $0.05407/SHARE ON 591.877 SHARES DUE 7/3/06		32.00	
7/3/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN US EQUITY FUND $0.03464/SHARE ON 1,426.195 SHARES DUE 7/3/06		49.40	
7/3/06	CASH RECEIPT OF INTEREST EARNED ON JPMORGAN LIQUID ASSTS MNY MKT INVEST INTEREST FROM 6/1/06 TO 6/30/06		47.86	
7/5/06	FIDUCIARY TAX RETURN PREP COLLECTED 2005 FORMS 1041/2			(245.00)
7/5/06	FIDUCIARY TAX RETURN PREP COLLECTED 2005 FORMS 1041/2		(245.00)	
7/12/06	ADMINISTRATIVE FEES COLLECTED THROUGH JUNE MONTH END		(109.10)	(109.10)

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Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
8/1/06	CASH RECEIPT OF INTEREST EARNED ON JPMORGAN LIQUID ASSTS MNY MKT INVEST INTEREST FROM 7/1/06 TO 7/31/06		$50.44	
8/14/06	ADMINISTRATIVE FEES COLLECTED THROUGH JULY MONTH END		(109.16)	$(109.16)
8/31/06	RECEIVE LT CAPITAL GAINS DISTRIBUTION ON JPMORGAN INTREPID AMERICA FUND $0.0113/SHARE ON 1,439.737 SHARES DUE 8/31/06 LT CAPITAL GAIN OF $16.31 ON FEDERAL COST LT CAPITAL GAIN OF $16.31 ON STATE COST	$16.31		16.31
8/31/06	RECEIVE LT CAPITAL GAINS DISTRIBUTION ON JPMORGAN US EQUITY FUND $0.1228/SHARE ON 1,426.195 SHARES DUE 8/31/06 LT CAPITAL GAIN OF $175.12 ON FEDERAL COST LT CAPITAL GAIN OF $175.12 ON STATE COST	175.12		175.12
9/1/06	CASH RECEIPT OF INTEREST EARNED ON JPMORGAN LIQUID ASSTS MNY MKT INVEST INTEREST FROM 8/1/06 TO 8/31/06		50.61	
9/12/06	ADMINISTRATIVE FEES COLLECTED THROUGH AUGUST MONTH END		(109.15)	(109.15)
10/2/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN DIVERSIFIED MID CAP VALUE $0.02419/SHARE ON 2,269.432 SHARES DUE 10/2/06		54.90	
10/2/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN LARGE CAP VALUE FUND $0.06493/SHARE ON 4,097.399 SHARES DUE 10/2/06		266.04	

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JPMorgan

Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
10/2/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN SMALL CAP VALUE FUND $0.02537/SHARE ON 591.877 SHARES DUE 10/2/06		$15.02	
10/2/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN US EQUITY FUND $0.03231/SHARE ON 1,426.195 SHARES DUE 10/2/06		46.08	
10/2/06	CASH RECEIPT OF INTEREST EARNED ON JPMORGAN LIQUID ASSTS MNY MKT INVEST INTEREST FROM 9/1/06 TO 9/30/06		49.50	
10/12/06	ADMINISTRATIVE FEES COLLECTED THROUGH SEPTEMBER MONTH END		(109.14)	$(109.14)
11/1/06	CASH RECEIPT OF INTEREST EARNED ON JPMORGAN LIQUID ASSTS MNY MKT INVEST INTEREST FROM 10/1/06 TO 10/31/06		52.12	
11/13/06	ADMINISTRATIVE FEES COLLECTED THROUGH OCTOBER MONTH END		(109.13)	(109.13)
12/1/06	CASH RECEIPT OF INTEREST EARNED ON JPMORGAN LIQUID ASSTS MNY MKT INVEST INTEREST FROM 11/1/06 TO 11/30/06		50.10	
12/12/06	ADMINISTRATIVE FEES COLLECTED THROUGH NOVEMBER MONTH END		(109.14)	(109.14)

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Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
12/18/06	RECEIVE LT CAPITAL GAINS DISTRIBUTION ON JPMORGAN DIVERSIFIED MID CAP GROWTH $3.5847/SHARE ON 1,710.889 SHARES DUE 12/18/06 LT CAPITAL GAIN OF $6,133.02 ON FEDERAL COST LT CAPITAL GAIN OF $6,133.02 ON STATE COST	$6,133.02		$6,133.02
12/18/06	RECEIVE ST CAPITAL GAINS DISTRIBUTION ON JPMORGAN DIVERSIFIED MID CAP GROWTH $0.6058/SHARE ON 1,710.889 SHARES DUE 12/18/06 ST CAPITAL GAIN OF $1,036.51 ON FEDERAL COST ST CAPITAL GAIN OF $1,036.51 ON STATE COST	1,036.51	$1,036.51	
12/18/06	RECEIVE LT CAPITAL GAINS DISTRIBUTION ON JPMORGAN SMALL CAP GROWTH FUND $0.9407/SHARE ON 876.785 SHARES DUE 12/18/06 LT CAPITAL GAIN OF $824.76 ON FEDERAL COST LT CAPITAL GAIN OF $824.76 ON STATE COST	824.76		824.76
12/18/06	RECEIVE ST CAPITAL GAINS DISTRIBUTION ON JPMORGAN SMALL CAP GROWTH FUND $0.8496/SHARE ON 876.785 SHARES DUE 12/18/06 ST CAPITAL GAIN OF $744.93 ON FEDERAL COST ST CAPITAL GAIN OF $744.93 ON STATE COST	744.93	744.93	
12/18/06	RECEIVE LT CAPITAL GAINS DISTRIBUTION ON JPMORGAN INTREPID AMERICA FUND $0.0469/SHARE ON 1,439.737 SHARES DUE 12/18/06 LT CAPITAL GAIN OF $67.54 ON FEDERAL COST LT CAPITAL GAIN OF $67.54 ON STATE COST	67.54		67.54

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Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
12/18/06	RECEIVE LT CAPITAL GAINS DISTRIBUTION ON JPMORGAN HIGHBRIDGE STAT MKT NEUT FD $0.00/SHARE ON 838.162 SHARES DUE 12/18/06 LT CAPITAL GAIN OF $0.03 ON FEDERAL COST LT CAPITAL GAIN OF $0.03 ON STATE COST	$0.03		$0.03
12/18/06	RECEIVE ST CAPITAL GAINS DISTRIBUTION ON JPMORGAN HIGHBRIDGE STAT MKT NEUT FD $0.0372/SHARE ON 838.162 SHARES DUE 12/18/06 ST CAPITAL GAIN OF $23.72 ON FEDERAL COST ST CAPITAL GAIN OF $23.72 ON STATE COST	23.72	$23.72	
12/18/06	RECEIVE LT CAPITAL GAINS DISTRIBUTION ON JPMORGAN INTERNATIONAL EQUITY INDEX $0.1296/SHARE ON 2,746.659 SHARES DUE 12/18/06 LT CAPITAL GAIN OF $355.82 ON FEDERAL COST LT CAPITAL GAIN OF $355.82 ON STATE COST	355.82		355.82
12/18/06	RECEIVE ST CAPITAL GAINS DISTRIBUTION ON JPMORGAN INTERNATIONAL EQUITY INDEX $0.0269/SHARE ON 2,746.659 SHARES DUE 12/18/06 ST CAPITAL GAIN OF $73.78 ON FEDERAL COST ST CAPITAL GAIN OF $73.78 ON STATE COST	73.78	73.78	
12/18/06	RECEIVE LT CAPITAL GAINS DISTRIBUTION ON JPMORGAN DIVERSIFIED MID CAP VALUE $2.6273/SHARE ON 2,269.432 SHARES DUE 12/18/06 LT CAPITAL GAIN OF $5,962.41 ON FEDERAL COST LT CAPITAL GAIN OF $5,962.41 ON STATE COST	5,962.41		5,962.41

Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
12/18/06	RECEIVE ST CAPITAL GAINS DISTRIBUTION ON JPMORGAN DIVERSIFIED MID CAP VALUE $0.2252/SHARE ON 2,269.432 SHARES DUE 12/18/06 ST CAPITAL GAIN OF $510.99 ON FEDERAL COST ST CAPITAL GAIN OF $510.99 ON STATE COST	$510.99	$510.99	
12/18/06	RECEIVE LT CAPITAL GAINS DISTRIBUTION ON JPMORGAN LARGE CAP VALUE FUND $1.0771/SHARE ON 4,097.399 SHARES DUE 12/18/06 LT CAPITAL GAIN OF $4,413.23 ON FEDERAL COST LT CAPITAL GAIN OF $4,413.23 ON STATE COST	4,413.23		$4,413.23
12/18/06	RECEIVE ST CAPITAL GAINS DISTRIBUTION ON JPMORGAN LARGE CAP VALUE FUND $0.4537/SHARE ON 4,097.399 SHARES DUE 12/18/06 ST CAPITAL GAIN OF $1,858.99 ON FEDERAL COST ST CAPITAL GAIN OF $1,858.99 ON STATE COST	1,858.99	1,858.99	
12/18/06	RECEIVE LT CAPITAL GAINS DISTRIBUTION ON JPMORGAN SMALL CAP VALUE FUND $2.6498/SHARE ON 591.877 SHARES DUE 12/18/06 LT CAPITAL GAIN OF $1,568.37 ON FEDERAL COST LT CAPITAL GAIN OF $1,568.37 ON STATE COST	1,568.37		1,568.37
12/18/06	RECEIVE ST CAPITAL GAINS DISTRIBUTION ON JPMORGAN SMALL CAP VALUE FUND $0.3495/SHARE ON 591.877 SHARES DUE 12/18/06 ST CAPITAL GAIN OF $206.88 ON FEDERAL COST ST CAPITAL GAIN OF $206.88 ON STATE COST	206.88	206.88	

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Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
12/18/06	RECEIVE LT CAPITAL GAINS DISTRIBUTION ON JPMORGAN US EQUITY FUND $0.8877/SHARE ON 1,426.195 SHARES DUE 12/18/06 LT CAPITAL GAIN OF $1,265.99 ON FEDERAL COST LT CAPITAL GAIN OF $1,265.99 ON STATE COST	$1,265.99		$1,265.99
12/18/06	RECEIVE ST CAPITAL GAINS DISTRIBUTION ON JPMORGAN US EQUITY FUND $0.3806/SHARE ON 1,426.195 SHARES DUE 12/18/06 ST CAPITAL GAIN OF $542.80 ON FEDERAL COST ST CAPITAL GAIN OF $542.80 ON STATE COST	542.80	$542.80	
12/26/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN DIVERSIFIED MID CAP VALUE $0.04818/SHARE ON 2,269.432 SHARES DUE 12/26/06		109.34	
12/26/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN HIGHBRIDGE STAT MKT NEUT FD $0.1668/SHARE ON 638.162 SHARES DUE 12/26/06		106.45	
12/26/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN INTERNATIONAL EQUITY INDEX $0.59263/SHARE ON 2,746.659 SHARES DUE 12/26/06		1,627.75	
12/26/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN INTREPID AMERICA FUND $0.24225/SHARE ON 1,439.737 SHARES DUE 12/26/06		348.78	
12/26/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN LARGE CAP VALUE FUND $0.07388/SHARE ON 4,097.399 SHARES DUE 12/26/06		302.72	
12/28/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN SMALL CAP VALUE FUND $0.05155/SHARE ON 591.877 SHARES DUE 12/26/06		30.51	

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Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
12/26/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN US EQUITY FUND $0.03679/SHARE ON 1,426.195 SHARES DUE 12/26/06		$52.47	
12/29/06	CASH DISBURSEMENT TRANSFER TO PRINCIPAL		(9,413.57)	
12/29/06	CASH RECEIPT TRANSFER FROM INCOME			$9,413.57
			Income	Principal
Ending cash balance 12/31/06			$0.00	$0.00

No pending trades.

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IMPORTANT INFORMATION

JPMorgan Funds are not bank deposits, not FDIC insured, not obligations of or guaranteed by JPMorgan Chase Bank, N.A. or its affiliates, or any federal or state government, government agency or government sponsored agency and involve investment risks (including possible loss of principal amount invested). JPMorgan Chase Bank, N.A., or its affiliates, including the legal entity providing this statement, may receive fees for providing various services to various mutual funds. Prospectuses, fee disclosure statements and annual reports for JPMorgan Funds are always available. Please contact your advisor, or JPMorgan Distribution Services (800) 480-4111 (www.jpmorganfunds.com) for further information.

This statement is not an official document for income tax reporting purposes. Please contact your JPMorgan advisor if your financial needs or restrictions change.

State law may require that an action for breach of trust based on matters disclosed in this statement be commenced within a certain time period after receipt of the statement. The following states require that we tell you the period - Florida within 6 months (FL ST 737.307) Michigan within 1 year (MCL 700.7307) Ohio within 2 years (OUTC 5810.05). Questions, please consult your attorney.

General: When reviewing your Bond quality table, the percentages are rounded to zero when the percentage for a rating category is less than one percent. When reviewing your Holdings detail, the market value listed for each asset may not equal the quantity multiplied by the share price due to rounding, however the value referenced in the Market Value column is an accurate figure. Market values noted in the Market Reconciliation and Transaction detail are as of the end of the statement period. The market value on the date of the transaction may be different. Please be aware that the information shown in the Realized Gain/Loss column (found in the Transaction detail section of your statement) and the Unrealized Gain/Loss on the Asset listing are based on tax cost, even though the assets on your statement may be carried at book value.

Life insurance values are shown at cash surrender value as most recently reported by you or the Insurance Company. If the value shown is $1.00, we have not received a cash surrender value.

Common trust funds: The most recent audited annual report of the Bank's common trust funds is available upon request at no charge.

Market value and pricing: Market value and prices for publicly-traded securities have been obtained from sources believed to be reliable without guarantee of accuracy. Certain assets may not be held by us and their reporting is at the request of and for the convenience of the account owner. Where applicable, refer to your broker, investment manager or other third party statement for valuation, and transaction information.

Structured investments: Structured investments may involve significant risk (including loss of investment) and have limited liquidity. See the prospectus or offering memorandum for a full discussion. Structured investments may be an obligation of JPMorgan Chase Bank, N.A. or an affiliate of JPMorgan Chase Bank, N.A.

Pooled private investments, closely held corporations/other assets: Certain assets, including but not limited to, pooled private investments, non-publicly traded and infrequently traded securities, derivatives, partnership interests and tangible assets are generally illiquid, the value of which may have been provided to us by third parties who may not be independent of the issuer or manager. Such information is reflected as of the last date provided to us, and is not independently verified. Prices, some of which may be provided by pricing services or other sources that we deem reliable, are not guaranteed for accuracy or as realizable values. Values may not represent the actual terms at which transactions or securities could be bought or sold or new transactions could be entered into or the actual terms on which existing transactions could be liquidated as of the date of this statement.

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Hedge funds: Hedge fund managers generally calculate a fund's value (the "Hedge Fund Value"), 10-20 business days following the last business day of the month. For that reason, hedge fund NAVs shown may be preliminary and subject to change. In general, the NAV is stated net of management and incentive fees. The NAV is calculated according to the General Partner's or Manager's stated methodology. Please refer to the limited partnership agreement, limited liability company agreement or other operative documentation for each hedge fund for the specific valuation methodology used by the General Partner or Manager for that hedge fund.

JPMorgan expressly disclaims any responsibility for (1) the accuracy of the information and the methodology used by the General Partner or Manager in deriving valuations, (2) any errors or omissions in compiling or disseminating the valuations, and (3) any use to which the valuations are put. Valuations are provided for information purposes only and are intended solely for your own use.

Hedge funds generally allow subscriptions either on a monthly or quarterly basis. Your interest in a hedge fund or hedge fund of funds is generally established as of the first business day of the subscription period. Due to early funding requirements by the applicable fund, your account may be debited for the subscription amount prior to the subscription date. Please refer to the applicable hedge fund's operative documentation for further details.

Hedge fund redemptions are generally on a monthly, quarterly or annual basis but can sometimes be subject to a multi-year lockup before a redemption is permitted. For redemptions, there is generally a notification period that can be substantial before the actual redemption date. Redemption proceeds are generally paid 3-5 business days after the final NAV is issued, but can be subject to a holdback of a portion of the proceeds until an annual audit of the Fund has been completed. Please refer to the applicable hedge fund's operative documentation for further details. Additionally, accounting methodology for hedge funds is determined by the operative documentation; please consult the operative documentation for more information.

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Annual Account Statement

ROBERT ALAN SENSENBRENNER TR
Account number [redacted]
January 1, 2006 - December 31, 2006

~~JPMORGAN CHASE BANK, N.A.~~
111 EAST WISCONSIN AVENUE, WI1-2053
MILWAUKEE, WI 53202

Your Advisor(s):
LYNN E PAULL
(414) 977-2027 or (800) 928-4556

Thank you for the opportunity to serve your financial needs. We appreciate your confidence in us.

Please remember to check the Bulletin Board (located at the back of your statement) for important messages.

Don't hesitate to contact your advisor if you have any questions, comments or concerns.

05038 01998
F JAMES SENSENBRENNER JR
609 FORT WILLIAMS PKWY
ALEXANDRIA VA 22304-1813

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Holdings summary

	Value on December 31, 2006	Value on December 31, 2005	Change in value
Cash equivalents	$19,834.90		
Equities	159,342.74		
Fixed income	0.00		
Other	0.00		
Total holdings	**$179,177.64**	$158,452.70	**$20,724.94**
Accruals (income earned but not yet received)	$51.03		
Total holdings with accruals	**$179,228.67**		

Income summary

Income	Statement period	January 1 to December 31
Dividends (domestic/foreign/mutual fund income)	$2,145.01	$2,145.01
Interest (taxable/non-taxable)	244.26	244.26
Other income	0.00	0.00
Total income	**$2,389.27**	$2,389.27
Realized gain/(loss)		
	$12,187.42	$12,187.42

The income summary includes taxable and tax-exempt income for both principal and income beneficiaries, please refer to the Transaction detail for an income breakdown. These amounts represent approximate income and gain/(loss) amounts and should not be used for final Internal Revenue Service reporting.

Market reconciliation

	Statement period	January 1 to December 31
Beginning market value	$158,452.70	$158,452.70
Receipts	3,933.89	3,933.89
Disbursements	(8,189.19)	(8,189.19)
Income	2,389.27	2,389.27
Capital gains distributions	11,903.62	11,903.62
Change in asset market value	10,687.35	10,687.35
Ending market value	$179,177.64	$179,177.64

The Market reconciliation provides a summary of all activity in the account. The Receipts and Disbursements categories may include Non-Cash Transactions such as transfers within the account, name change transactions, asset receipts and distributions, and stock split transactions. The Transaction detail section provides an itemized list of all transactions. The Transaction summary provides a summary of cash receipt and disbursement transactions.

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Transaction summary

	Income	Principal
Beginning cash balance 1/1/06	$0.00	$0.00
Cash receipts		
Dividends (domestic/foreign/mutual fund income)	$2,145.01	$0.00
Interest (taxable/non-taxable)	244.26	0.00
Other cash receipts	2,346.77	13,490.74
Total cash receipts	$4,736.04	$13,490.74
Cash disbursements		
Cash disbursements	$(3,933.89)	$(2,651.00)
Administrative expenses	(802.15)	(802.15)
Total cash disbursements	$(4,736.04)	$(3,453.15)
Investment transactions		
Purchases	$0.00	$(37,954.11)
Sales and maturities	0.00	27,916.52
Total investment transactions	$0.00	$(10,037.59)
Ending cash balance 12/31/06	$0.00	$0.00

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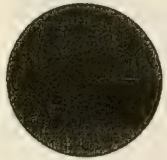
Only the Asset allocation analysis includes mutual fund holdings. The Bond quality and maturity analyses (if applicable) are displayed excluding mutual funds.

Investment objective: Growth Fiduciary

Captures the upside return potential of risky assets (equities and medium volatility hedge funds) while maintaining a modest exposure to low volatility and stable return assets (fixed income, cash, and medium volatility hedge funds) for portfolio efficiency and some downside protection.

Asset allocation



- 11.1% - Cash equivalents
- 88.9% - Equities
  - 88.9% - *Mutual funds*

The Asset allocation may not be exactly as described in the investment objective due to Other Asset holdings.

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Holdings detail

Cash equivalents

Very liquid assets

Description	Quantity	Share price or % of par	Market value	Tax cost	Unrealized gain/(loss)	Current yield	Estimated annual income
JPMORGAN LIQUID ASSTS MNY MKT INVEST	19,834.900	1.000	$19,834.90	$19,834.90	$0.00	4.89%	$969.93
Total cash equivalents			$19,834.90	$19,834.90	$0.00		$969.93

Equities

Mutual funds

Description	Quantity	Share price or % of par	Market value	Tax cost	Unrealized gain/(loss)	Current yield	Estimated annual income
JPMORGAN DIVERSIFIED MID CAP GROWTH	742.379	23.370	$17,349.40	$11,627.88	$5,721.52	0.00%	$0.00
JPMORGAN DIVERSIFIED MID CAP VALUE	975.481	14.700	14,339.57	12,576.63	1,762.94	1.28%	183.39
JPMORGAN INTERNATIONAL EQUITY FUND	144.721	37.540	5,432.83	3,692.86	1,739.97	1.33%	72.07
JPMORGAN INTERNATIONAL EQUITY INDEX	902.933	27.890	25,182.80	14,205.90	10,976.90	2.13%	535.44
JPMORGAN INTREPID AMERICA FUND	514.192	27.910	14,351.10	12,500.00	1,851.10	0.87%	124.43
JPMORGAN LARGE CAP GROWTH FUND	1,787.320	16.920	30,241.45	22,983.59	7,257.86	0.00%	0.00
JPMORGAN LARGE CAP VALUE FUND	1,733.112	17.190	29,792.20	22,062.77	7,729.43	1.63%	485.27
JPMORGAN SMALL CAP GROWTH FUND	377.929	11.360	4,293.27	3,432.37	860.90	0.00%	0.00
JPMORGAN SMALL CAP VALUE FUND	259.720	23.840	6,191.72	3,513.69	2,678.03	0.71%	43.89

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Holdings detail continued

Description	Quantity	Share price or % of par	Market value	Tax cost	Unrealized gain(loss)	Current yield	Estimated annual income
JPMORGAN US EQUITY FUND	1,074.947	11.320	$12,168.40	$9,972.69	$2,195.71	1.18%	$144.04
Total equities			$159,342.74	$116,568.38	$42,774.36		$1,588.53
Total holdings			$179,177.64	$136,403.28	$42,774.36		$2,558.46
Accruals (income earned but not yet received)			$51.03				
Total holdings with accruals			$179,228.67				

Assets identified with an asterisk (*) at the beginning of the asset name are held in the Invested Income Portfolio.

Transaction detail

Date	Description	Realized gain/(loss)	Income	Principal
	Beginning cash balance 1/1/06		$0.00	$0.00
	COMBINED PURCHASES FOR THE PERIOD 1/ 1/06 - 12/31/06 OF JPMORGAN LIQUID ASSTS MNY MKT INVEST INVESTMENTS AT TAX COST: $20,454.11			$(20,454.11)
	COMBINED SALES FOR THE PERIOD 1/ 1/06 - 12/31/06 OF JPMORGAN LIQUID ASSTS MNY MKT INVEST INVESTMENTS AT TAX COST: $-11,453.57			11,453.57
1/3/06	PURCHASED 514.192 SHARES OF JPMORGAN INTREPID AMERICA FUND TRADE DATE 12/30/05 514.192 SHARES AT $24.31 INVESTMENTS AT TAX COST: $12,500.00			(12,500.00)
1/3/06	PURCHASED 221.141 SHARES OF JPMORGAN INTERNATIONAL EQUITY INDEX TRADE DATE 12/30/05 221.141 SHARES AT $22.61 INVESTMENTS AT TAX COST: $5,000.00			(5,000.00)
1/3/06	SOLD 910.747 SHARES OF JPMORGAN US EQUITY FUND TRADE DATE 12/30/05 910.747 SHARES AT $10.98 INVESTMENTS AT TAX COST: $-9,705.04	$294.96		10,000.00
1/3/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN CORE BOND FUND $0.04/SHARE ON 284.583 SHARES DUE 1/3/06		$10.58	
1/3/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN CORE PLUS BOND FUND $0.032/SHARE ON 488.675 SHARES DUE 1/3/06		15.64	

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Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
1/3/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN DIVERSIFIED MID CAP VALUE $0.02563/SHARE ON 975.481 SHARES DUE 1/3/06		$25.00	
1/3/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN INTERNATIONAL EQUITY INDEX ~~$0.39435/SHARE ON 681.703 SHARES DUE 1/3/06~~		268.86	
1/3/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN LARGE CAP VALUE FUND $0.06854/SHARE ON 1,733.112 SHARES DUE 1/3/06		118.79	
1/3/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN SMALL CAP VALUE FUND $0.05806/SHARE ON 259.72 SHARES DUE 1/3/06		15.08	
1/3/06	CASH RECEIPT OF INTEREST EARNED ON JPMORGAN LIQUID ASSTS MNY MKT INVEST INTEREST FROM 12/1/05 TO 12/31/05		17.17	
1/12/06	ADMINISTRATIVE FEES COLLECTED THROUGH DECEMBER MONTH END		(48.59)	$(48.59)
2/1/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN CORE BOND FUND $0.039/SHARE ON 264.583 SHARES DUE 2/1/06		10.32	
2/1/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN CORE PLUS BOND FUND $0.031/SHARE ON 488.675 SHARES DUE 2/1/06		15.15	
2/1/06	CASH RECEIPT OF INTEREST EARNED ON JPMORGAN LIQUID ASSTS MNY MKT INVEST INTEREST FROM 1/1/06 TO 1/31/06		13.62	
2/13/06	ADMINISTRATIVE FEES COLLECTED THROUGH JANUARY MONTH END		(46.57)	(46.57)

Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
3/1/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN CORE BOND FUND $0.039/SHARE ON 264.583 SHARES DUE 3/1/06		$10.32	
3/1/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN CORE PLUS BOND FUND $0.031/SHARE ON 488.675 SHARES DUE 3/1/06		15.15	
3/1/06	CASH RECEIPT OF INTEREST EARNED ON JPMORGAN LIQUID ASSTS MNY MKT INVEST INTEREST FROM 2/1/06 TO 2/28/06		11.25	
3/13/06	ADMINISTRATIVE FEES COLLECTED THROUGH FEBRUARY MONTH END		(46.57)	$(46.57)
4/3/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN CORE BOND FUND $0.041/SHARE ON 264.583 SHARES DUE 4/3/06		10.85	
4/3/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN CORE PLUS BOND FUND $0.031/SHARE ON 488.675 SHARES DUE 4/3/06		15.15	
4/3/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN DIVERSIFIED MID CAP VALUE $0.07308/SHARE ON 975.481 SHARES DUE 4/3/06		71.29	
4/3/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN INTERNATIONAL EQUITY FUND $0.04472/SHARE ON 144.721 SHARES DUE 4/3/06		6.47	
4/3/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN LARGE CAP VALUE FUND $0.06618/SHARE ON 1,733.112 SHARES DUE 4/3/06		114.70	

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Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
4/3/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN SMALL CAP VALUE FUND $0.03812/SHARE ON 259.72 SHARES DUE 4/3/06		$9.90	
4/3/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN US EQUITY FUND $0.03032/SHARE ON 1,074.947 SHARES DUE 4/3/06		32.59	
4/3/06	CASH RECEIPT OF INTEREST EARNED ON JPMORGAN LIQUID ASSTS MNY MKT INVEST INTEREST FROM 3/1/06 TO 3/31/06		12.57	
4/6/06	CASH DISBURSEMENT PAID TO U S TREASURY FIDUCIARY FEDERAL INCOME TAX ***WITX TAX DUE ON FORM 1041 FOR CALENDAR-YR RTN			$(1,008.00)
4/6/06	CASH DISBURSEMENT PAID TO WISCONSIN DEPT OF REVENUE FIDUCIARY STATE INCOME TAX ***WITX TAX DUE ON STATE FIDUCIARY CALENDAR YR RTN			(149.00)
4/12/06	ADMINISTRATIVE FEES COLLECTED THROUGH MARCH MONTH END		(46.57)	(46.57)
4/12/06	CASH DISBURSEMENT PAID TO U S TREASURY FIDUCIARY EST FED INCOME TAX ***WITX FEDERAL ESTIMATED TAX			(1,288.00)
4/12/06	CASH DISBURSEMENT PAID TO WISCONSIN DEPT OF REVENUE FIDUCIARY EST STATE TAX ***WITX INSTALLMENT ON STATE FIDUCIARY INCOME TAX			(208.00)

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Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
5/1/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN CORE BOND FUND $0.039/SHARE ON 264.583 SHARES DUE 5/1/06		$10.32	
5/1/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN CORE PLUS BOND FUND $0.031/SHARE ON 488.675 SHARES DUE 5/1/06		15.15	
5/1/06	CASH RECEIPT OF INTEREST EARNED ON JPMORGAN LIQUID ASSTS MNY MKT INVEST INTEREST FROM 4/1/06 TO 4/30/06		6.53	
5/12/06	ADMINISTRATIVE FEES COLLECTED THROUGH APRIL MONTH END		(46.39)	$(46.39)
5/26/06	SOLD 264.583 SHARES OF JPMORGAN CORE BOND FUND TRADE DATE 5/25/06 264.583 SHARES AT $10.39 INVESTMENTS AT TAX COST: $-2,694.84	$54.18		2,749.02
5/26/06	SOLD 488.675 SHARES OF JPMORGAN CORE PLUS BOND FUND TRADE DATE 5/25/06 488.675 SHARES AT $7.60 INVESTMENTS AT TAX COST: $-3,779.27	(65.34)		3,713.93
6/1/06	CASH RECEIPT OF INTEREST EARNED ON JPMORGAN LIQUID ASSTS MNY MKT INVEST INTEREST FROM 5/1/06 TO 5/31/06		8.99	
6/12/06	ADMINISTRATIVE FEES COLLECTED THROUGH MAY MONTH END		(46.39)	(46.39)
7/3/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN DIVERSIFIED MID CAP VALUE $0.04303/SHARE ON 975.481 SHARES DUE 7/3/06		41.97	

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Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
7/3/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN INTERNATIONAL EQUITY FUND $0.35035/SHARE ON 144.721 SHARES DUE 7/3/06		$50.70	
7/3/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN LARGE CAP VALUE FUND $0.07485/SHARE ON 1,733.112 SHARES DUE 7/3/06		129.72	
7/3/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN SMALL CAP VALUE FUND $0.05407/SHARE ON 259.72 SHARES DUE 7/3/06		14.04	
7/3/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN US EQUITY FUND $0.03464/SHARE ON 1,074.947 SHARES DUE 7/3/06		37.24	
7/3/06	CASH RECEIPT OF INTEREST EARNED ON JPMORGAN LIQUID ASSTS MNY MKT INVEST INTEREST FROM 5/1/06 TO 6/30/06		28.06	
7/5/06	FIDUCIARY TAX RETURN PREP COLLECTED 2005 FORMS 1041/ 2			$(245.00)
7/5/06	FIDUCIARY TAX RETURN PREP COLLECTED 2005 FORMS 1041/ 2		(245.00)	
7/12/06	ADMINISTRATIVE FEES COLLECTED THROUGH JUNE MONTH END		(46.38)	(46.38)
8/1/06	CASH RECEIPT OF INTEREST EARNED ON JPMORGAN LIQUID ASSTS MNY MKT INVEST INTEREST FROM 7/1/06 TO 7/31/06		28.97	
8/14/06	ADMINISTRATIVE FEES COLLECTED THROUGH JULY MONTH END		(46.32)	(46.32)

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Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
8/31/06	RECEIVE LT CAPITAL GAINS DISTRIBUTION ON JPMORGAN INTREPID AMERICA FUND $0.0113/SHARE ON 514.192 SHARES DUE 8/31/06 LT CAPITAL GAIN OF $5.83 ON FEDERAL COST LT CAPITAL GAIN OF $5.83 ON STATE COST	$5.83		$5.83
8/31/06	RECEIVE LT CAPITAL GAINS DISTRIBUTION ON JPMORGAN US EQUITY FUND $0.1228/SHARE ON 1,074.947 SHARES DUE 8/31/06 LT CAPITAL GAIN OF $131.99 ON FEDERAL COST LT CAPITAL GAIN OF $131.99 ON STATE COST	131.99		131.99
9/1/06	CASH RECEIPT OF INTEREST EARNED ON JPMORGAN LIQUID ASSTS MNY MKT INVEST INTEREST FROM 8/1/06 TO 8/31/06		$29.08	
9/12/06	ADMINISTRATIVE FEES COLLECTED THROUGH AUGUST MONTH END		(46.34)	(46.34)
10/2/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN DIVERSIFIED MID CAP VALUE $0.02419/SHARE ON 975.481 SHARES DUE 10/2/06		23.60	
10/2/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN INTERNATIONAL EQUITY FUND $0.0189/SHARE ON 144.721 SHARES DUE 10/2/06		2.74	
10/2/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN LARGE CAP VALUE FUND $0.06493/SHARE ON 1,733.112 SHARES DUE 10/2/06		112.53	
10/2/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN SMALL CAP VALUE FUND $0.02537/SHARE ON 259.72 SHARES DUE 10/2/06		6.59	

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Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
10/2/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN US EQUITY FUND $0.03231/SHARE ON 1,074.947 SHARES DUE 10/2/06		$34.73	
10/2/06	CASH RECEIPT OF INTEREST EARNED ON JPMORGAN LIQUID ASSTS MNY MKT INVEST INTEREST FROM 9/1/06 TO 9/30/06		28.69	
10/12/06	ADMINISTRATIVE FEES COLLECTED THROUGH SEPTEMBER MONTH END		(46.34)	$(46.34)
11/1/06	CASH RECEIPT OF INTEREST EARNED ON JPMORGAN LIQUID ASSTS MNY MKT INVEST INTEREST FROM 10/1/06 TO 10/31/06		30.19	
11/13/06	ADMINISTRATIVE FEES COLLECTED THROUGH OCTOBER MONTH END		(46.35)	(46.35)
12/1/06	CASH RECEIPT OF INTEREST EARNED ON JPMORGAN LIQUID ASSTS MNY MKT INVEST INTEREST FROM 11/1/06 TO 11/30/06		29.14	
12/12/06	ADMINISTRATIVE FEES COLLECTED THROUGH NOVEMBER MONTH END		(46.34)	(46.34)
12/18/06	RECEIVE LT CAPITAL GAINS DISTRIBUTION ON JPMORGAN DIVERSIFIED MID CAP GROWTH $3.5847/SHARE ON 742.379 SHARES DUE 12/18/06 LT CAPITAL GAIN OF $2,661.20 ON FEDERAL COST LT CAPITAL GAIN OF $2,661.20 ON STATE COST	$2,661.20		2,661.20

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Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
12/18/06	RECEIVE ST CAPITAL GAINS DISTRIBUTION ON JPMORGAN DIVERSIFIED MID CAP GROWTH $0.6058/SHARE ON 742.379 SHARES DUE 12/18/06 ST CAPITAL GAIN OF $449.76 ON FEDERAL COST ST CAPITAL GAIN OF $449.76 ON STATE COST	$449.76	$449.76	
12/18/06	RECEIVE LT CAPITAL GAINS DISTRIBUTION ON JPMORGAN SMALL CAP GROWTH FUND $0.9407/SHARE ON 377.929 SHARES DUE 12/18/06 LT CAPITAL GAIN OF $355.50 ON FEDERAL COST LT CAPITAL GAIN OF $355.50 ON STATE COST	355.50		$355.50
12/18/06	RECEIVE ST CAPITAL GAINS DISTRIBUTION ON JPMORGAN SMALL CAP GROWTH FUND $0.8496/SHARE ON 377.929 SHARES DUE 12/18/06 ST CAPITAL GAIN OF $321.10 ON FEDERAL COST ST CAPITAL GAIN OF $321.10 ON STATE COST	321.10	321.10	
12/18/06	RECEIVE LT CAPITAL GAINS DISTRIBUTION ON JPMORGAN INTREPID AMERICA FUND $0.0469/SHARE ON 514.192 SHARES DUE 12/18/06 LT CAPITAL GAIN OF $24.12 ON FEDERAL COST LT CAPITAL GAIN OF $24.12 ON STATE COST	24.12		24.12
12/18/06	RECEIVE LT CAPITAL GAINS DISTRIBUTION ON JPMORGAN INTERNATIONAL EQUITY INDEX $0.1296/SHARE ON 902.933 SHARES DUE 12/18/06 LT CAPITAL GAIN OF $116.98 ON FEDERAL COST LT CAPITAL GAIN OF $116.98 ON STATE COST	116.98		116.98

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Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
12/18/06	RECEIVE ST CAPITAL GAINS DISTRIBUTION ON JPMORGAN INTERNATIONAL EQUITY INDEX $0.0269/SHARE ON 902.933 SHARES DUE 12/18/06 ST CAPITAL GAIN OF $24.25 ON FEDERAL COST ST CAPITAL GAIN OF $24.25 ON STATE COST	$24.25	$24.25	
12/18/06	RECEIVE LT CAPITAL GAINS DISTRIBUTION ON JPMORGAN DIVERSIFIED MID CAP VALUE $2.6273/SHARE ON 975.481 SHARES DUE 12/18/06 LT CAPITAL GAIN OF $2,562.85 ON FEDERAL COST LT CAPITAL GAIN OF $2,562.85 ON STATE COST	2,562.85		$2,562.85
12/18/06	RECEIVE ST CAPITAL GAINS DISTRIBUTION ON JPMORGAN DIVERSIFIED MID CAP VALUE $0.2252/SHARE ON 975.481 SHARES DUE 12/18/06 ST CAPITAL GAIN OF $219.64 ON FEDERAL COST ST CAPITAL GAIN OF $219.64 ON STATE COST	219.64	219.64	
12/18/06	RECEIVE LT CAPITAL GAINS DISTRIBUTION ON JPMORGAN INTERNATIONAL EQUITY FUND $1.3079/SHARE ON 144.721 SHARES DUE 12/18/06 LT CAPITAL GAIN OF $189.27 ON FEDERAL COST LT CAPITAL GAIN OF $189.27 ON STATE COST	189.27		189.27
12/18/06	RECEIVE ST CAPITAL GAINS DISTRIBUTION ON JPMORGAN INTERNATIONAL EQUITY FUND $0.3166/SHARE ON 144.721 SHARES DUE 12/18/06 ST CAPITAL GAIN OF $45.82 ON FEDERAL COST ST CAPITAL GAIN OF $45.82 ON STATE COST	45.82	45.82	

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Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
12/18/06	RECEIVE LT CAPITAL GAINS DISTRIBUTION ON JPMORGAN LARGE CAP VALUE FUND $1.0771/SHARE ON 1,733.112 SHARES DUE 12/18/06 LT CAPITAL GAIN OF $1,866.70 ON FEDERAL COST LT CAPITAL GAIN OF $1,866.70 ON STATE COST	$1,866.70		$1,866.70
12/18/06	RECEIVE ST CAPITAL GAINS DISTRIBUTION ON JPMORGAN LARGE CAP VALUE FUND $0.4537/SHARE ON 1,733.112 SHARES DUE 12/18/06 ST CAPITAL GAIN OF $786.31 ON FEDERAL COST ST CAPITAL GAIN OF $786.31 ON STATE COST	786.31	$786.31	
12/18/06	RECEIVE LT CAPITAL GAINS DISTRIBUTION ON JPMORGAN SMALL CAP VALUE FUND $2.6498/SHARE ON 259.72 SHARES DUE 12/18/06 LT CAPITAL GAIN OF $688.21 ON FEDERAL COST LT CAPITAL GAIN OF $688.21 ON STATE COST	688.21		688.21
12/18/06	RECEIVE ST CAPITAL GAINS DISTRIBUTION ON JPMORGAN SMALL CAP VALUE FUND $0.3495/SHARE ON 259.72 SHARES DUE 12/18/06 ST CAPITAL GAIN OF $90.78 ON FEDERAL COST ST CAPITAL GAIN OF $90.78 ON STATE COST	90.78	90.78	
12/18/06	RECEIVE LT CAPITAL GAINS DISTRIBUTION ON JPMORGAN US EQUITY FUND $0.8877/SHARE ON 1,074.947 SHARES DUE 12/18/06 LT CAPITAL GAIN OF $954.20 ON FEDERAL COST LT CAPITAL GAIN OF $954.20 ON STATE COST	954.20		954.20

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Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
12/18/06	RECEIVE ST CAPITAL GAINS DISTRIBUTION ON JPMORGAN US EQUITY FUND $0.3806/SHARE ON 1,074.947 SHARES DUE 12/18/06 ST CAPITAL GAIN OF $409.11 ON FEDERAL COST ST CAPITAL GAIN OF $409.11 ON STATE COST	$409.11	$409.11	
12/26/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN DIVERSIFIED MID CAP VALUE $0.04818/SHARE ON 975.481 SHARES DUE 12/26/06		47.00	
12/26/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN INTERNATIONAL EQUITY FUND $0.08422/SHARE ON 144.721 SHARES DUE 12/26/06		12.19	
12/26/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN INTERNATIONAL EQUITY INDEX $0.59263/SHARE ON 902.933 SHARES DUE 12/26/06		535.11	
12/26/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN INTREPID AMERICA FUND $0.24225/SHARE ON 514.192 SHARES DUE 12/26/06		124.56	
12/26/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN LARGE CAP VALUE FUND $0.07388/SHARE ON 1,733.112 SHARES DUE 12/26/06		128.04	
12/26/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN SMALL CAP VALUE FUND $0.05155/SHARE ON 259.72 SHARES DUE 12/26/06		13.39	
12/26/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN US EQUITY FUND $0.03679/SHARE ON 1,074.947 SHARES DUE 12/26/06		39.55	
12/29/06	CASH DISBURSEMENT TRANSFER TO PRINCIPAL		(3,933.89)	

Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
				$3,933.89
12/29/06	CASH RECEIPT TRANSFER FROM INCOME			
			Income	Principal
Ending cash balance 12/31/06			$0.00	$0.00

No pending trades.

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IMPORTANT INFORMATION

JPMorgan Funds are not bank deposits, not FDIC insured, not obligations of or guaranteed by JPMorgan Chase Bank, N.A. or its affiliates, or any federal or state government, government agency or government sponsored agency and involve investment risks (including possible loss of principal amount invested). JPMorgan Chase Bank, N.A., or its affiliates, including the legal entity providing this statement, may receive fees for providing various services to various mutual funds. Prospectuses, fee disclosure statements and annual reports for JPMorgan Funds are always available. Please contact your advisor, or JPMorgan Distribution Services (800) 480-4111 (www.jpmorganfunds.com) for further information.

This statement is not an official document for income tax reporting purposes. Please contact your JPMorgan advisor if your financial needs or restrictions change.

State law may require that an action for breach of trust based on matters disclosed in this statement be commenced within a certain time period after receipt of the statement. The following states require that we tell you the period - Florida within 6 months (FL ST 737.307) Michigan within 1 year (MCL 700.7307) Ohio within 2 years (OUTC 5810.05). Questions, please consult your attorney.

General: When reviewing your Bond quality table, the percentages are rounded to zero when the percentage for a rating category is less than one percent. When reviewing your Holdings detail, the market value listed for each asset may not equal the quantity multiplied by the share price due to rounding, however the value referenced in the Market Value column is an accurate figure. Market values noted in the Market Reconciliation and Transaction detail are as of the end of the statement period. The market value on the date of the transaction may be different. Please be aware that the information shown in the Realized Gain/Loss column (found in the Transaction detail section of your statement) and the Unrealized Gain/Loss on the Asset listing are based on tax cost, even though the assets on your statement may be carried at book value.

Life insurance values are shown at cash surrender value as most recently reported by you or the Insurance Company. If the value shown is $1.00, we have not received a cash surrender value.

Common trust funds: The most recent audited annual report of the Bank's common trust funds is available upon request at no charge.

Market value and pricing: Market value and prices for publicly-traded securities have been obtained from sources believed to be reliable without guarantee of accuracy. Certain assets may not be held by us and their reporting is at the request of and for the convenience of the account owner. Where applicable, refer to your broker, investment manager or other third party statement for valuation, and transaction information.

Structured investments: Structured investments may involve significant risk (including loss of investment) and have limited liquidity. See the prospectus or offering memorandum for a full discussion. Structured investments may be an obligation of JPMorgan Chase Bank, N.A. or an affiliate of JPMorgan Chase Bank, N.A.

Pooled private investments, closely held corporations/other assets: Certain assets, including but not limited to, pooled private investments, non-publicly traded and infrequently traded securities, derivatives, partnership interests and tangible assets are generally illiquid, the value of which may have been provided to us by third parties who may not be independent of the issuer or manager. Such information is reflected as of the last date provided to us, and is not independently verified. Prices, some of which may be provided by pricing services or other sources that we deem reliable, are not guaranteed for accuracy or as realizable values. Values may not represent the actual terms at which transactions or securities could be bought or sold or new transactions could be entered into or the actual terms on which existing transactions could be liquidated as of the date of this statement.

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Hedge funds: Hedge fund managers generally calculate a fund's value (the "Hedge Fund Value"), 10-20 business days following the last business day of the month. For that reason, hedge fund NAVs shown may be preliminary and subject to change. In general, the NAV is stated net of management and incentive fees. The NAV is calculated according to the General Partner's or Manager's stated methodology. Please refer to the limited partnership agreement, limited liability company agreement or other operative documentation for each hedge fund for the specific valuation methodology used by the General Partner or Manager for that hedge fund.

JPMorgan expressly disclaims any responsibility for (1) the accuracy of the information and the methodology used by the General Partner or Manager in deriving valuations, (2) any errors or omissions in compiling or disseminating the valuations, and (3) any use to which the valuations are put. Valuations are provided for information purposes only and are intended solely for your own use.

Hedge funds generally allow subscriptions either on a monthly or quarterly basis. Your interest in a hedge fund or hedge fund of funds is generally established as of the first business day of the subscription period. Due to early funding requirements by the applicable fund, your account may be debited for the subscription amount prior to the subscription date. Please refer to the applicable hedge fund's operative documentation for further details.

Hedge fund redemptions are generally on a monthly, quarterly or annual basis but can sometimes be subject to a multi-year lockup before a redemption is permitted. For redemptions, there is generally a notification period that can be substantial before the actual redemption date. Redemption proceeds are generally paid 3-5 business days after the final NAV is issued, but can be subject to a holdback of a portion of the proceeds until an annual audit of the Fund has been completed. Please refer to the applicable hedge fund's operative documentation for further details. Additionally, accounting methodology for hedge funds is determined by the operative documentation; please consult the operative documentation for more information.

Annual Account Statement

M L SMITH 1976 TR FBO F JAMES JR
Account number [redacted]
January 1, 2006 - December 31, 2006

JPMORGAN CHASE BANK, N.A.
111 EAST WISCONSIN AVENUE, WI1-2053
MILWAUKEE, WI 53202

Your Advisor(s):
LYNN E PAULL
(414) 977-2027 or (800) 926-4556

Thank you for the opportunity to serve your financial needs. We appreciate your confidence in us.

Please remember to check the Bulletin Board (located at the back of your statement) for important messages.

Don't hesitate to contact your advisor if you have any questions, comments or concerns.

05030 01381
F JAMES SENSENBRENNER JR
609 FORT WILLIAMS PKWY
ALEXANDRIA VA 22304-1813

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Holdings summary

	Value on December 31, 2006	Value on December 31, 2005	Change in value
Cash equivalents	$34,985.08		
Equities	809,109.26		
Fixed income	302,319.12		
Other	0.00		
Total holdings	**$1,146,413.46**	$1,039,025.12	**$107,388.34**
Accruals (Income earned but not yet received)	$2,522.10		
Total holdings with accruals	$1,148,935.56		

Income summary

Income	Statement period	January 1 to December 31
Dividends (domestic/foreign/mutual fund income)	$30,470.74	$30,470.74
Interest (taxable/non-taxable)	955.38	955.38
Other income	0.00	0.00
Total income	**$31,426.12**	$31,426.12
Realized gain/(loss)		
	$66,231.44	$66,231.44

The income summary includes taxable and tax-exempt income for both principal and income beneficiaries, please refer to the Transaction detail for an income breakdown. These amounts represent approximate income and gain/(loss) amounts and should not be used for final Internal Revenue Service reporting.

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Market reconciliation

	Statement period	January 1 to December 31
Beginning market value	$1,039,025.12	$1,039,025.12
Receipts	16,662.50	16,662.50
Disbursements	(70,322.88)	(70,322.88)
Income	31,426.12	31,426.12
Capital gains distributions	16,311.95	16,311.95
Change in asset market value	113,310.65	113,310.65
Ending market value	$1,146,413.46	$1,146,413.46

The Market reconciliation provides a summary of all activity in the account. The Receipts and Disbursements categories may include Non-Cash Transactions such as transfers within the account, name change transactions, asset receipts and distributions, and stock split transactions. The Transaction detail section provides an itemized list of all transactions. The Transaction summary provides a summary of cash receipt and disbursement transactions.

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Transaction summary

	Income	Principal
Beginning cash balance 1/1/06	$0.00	$0.00
Cash receipts		
Dividends (domestic/foreign/mutual fund income)	$30,470.74	$0.00
Interest (taxable/non-taxable)	955.38	0.00
Other cash receipts	2,449.57	13,862.38
Total cash receipts	$33,875.69	$13,862.38
Cash disbursements		
Cash disbursements	$(28,321.14)	$(14,230.00)
Administrative expenses	(5,554.55)	(5,554.69)
Total cash disbursements	$(33,875.69)	$(19,784.69)
Investment transactions		
Purchases	$0.00	$(146,988.49)
Sales and maturities	0.00	152,910.80
Total investment transactions	$0.00	$5,922.31
Ending cash balance 12/31/06	$0.00	$0.00

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JPMorgan

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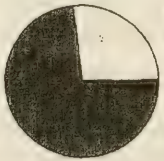
M L SMITH 1976 TR FBO F JAMES JR
Account number: [redacted] • January 1 - December 31, 2006

Only the Asset allocation analysis includes mutual fund holdings. The Bond quality and maturity analyses (if applicable) are displayed excluding mutual funds.

Investment objective: Growth Fiduciary

Captures the upside return potential of risky assets (equities and medium volatility hedge funds) while maintaining a modest exposure to low volatility and stable return assets (fixed income, cash, and medium volatility hedge funds) for portfolio efficiency and some downside protection.

Asset allocation



- 3.1% - **Cash equivalents**
- 70.5% - **Equities**
  - 15.0% - *Health care*
  - 13.9% - *Mutual funds*
  - 13.8% - *Energy*
  - 6.9% - *Finance*
  - 6.5% - *Capital equipment*
  - 14.4% - *Other equities*
- 26.4% - **Fixed income**
  - 26.4% - *Mutual funds*

The Asset allocation may not be exactly as described in the Investment objective due to Other Asset holdings.

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Holdings detail

Cash equivalents

Very liquid assets

Description	Quantity	Share price or % of par	Market value	Tax cost	Unrealized gain(loss)	Current yield	Estimated annual income
JPMORGAN LIQUID ASSTS MNY MKT INVEST	34,985.080	1.000	$34,985.08	$34,985.08	$0.00	4.89%	$1,710.77
Total cash equivalents			$34,985.08	$34,985.08	$0.00		$1,710.77

Equities

Banks

Description	Quantity	Share price or % of par	Market value	Tax cost	Unrealized gain(loss)	Current yield	Estimated annual income
BANK OF AMERICA CORP	400.000	53.390	$21,356.00	$18,313.00	$3,043.00	4.20%	$896.00
US BANCORP DEL NEW	500.000	36.190	18,095.00	14,617.00	3,478.00	4.42%	800.00
Total banks			$39,451.00	$32,930.00	$6,521.00		$1,696.00
Capital equipment							
GENERAL ELEC CO	2,000.000	37.210	74,420.00	6,312.50	68,107.50	3.01%	2,240.00
Consumer non-durable							
PEPSICO INC	200.000	62.550	12,510.00	10,208.00	2,302.00	1.92%	240.00
Energy							
BP P L C SPONSORED ADR	352.000	67.100	23,619.20	3,960.83	19,658.37	3.43%	811.01
EXXON MOBIL CORP	1,750.000	76.630	134,102.50	12,102.34	122,000.16	1.67%	2,240.00
Total energy			$157,721.70	$16,063.17	$141,658.53		$3,051.01

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Holdings detail continued

Description	Quantity	Share price or % of par	Market value	Tax cost	Unrealized gain(loss)	Current yield	Estimated annual income
Finance							
ALLSTATE CORP	500.000	65.110	$32,555.00	$27,786.50	$4,768.50	2.15%	$700.00
CITIGROUP INC	300.000	55.700	16,710.00	12,621.00	4,089.00	3.52%	588.00
GOLDMAN SACHS GROUP INC	150.000	199.350	29,902.50	15,771.00	14,131.50	0.70%	210.00
Total finance			$79,167.50	$56,178.50	$22,989.00		$1,498.00
Health care							
MERCK & CO INC	1,000.000	43.600	43,600.00	4,321.69	39,278.31	3.49%	1,520.00
PFIZER INC	5,000.000	25.900	129,500.00	8,039.77	121,460.23	4.48%	5,800.00
Total health care			$173,100.00	$12,361.46	$160,738.54		$7,320.00
Industrial commodities							
DU PONT E I DE NEMOURS & CO	600.000	48.710	29,226.00	7,325.00	21,901.00	3.04%	888.00
Mutual funds							
JPMORGAN ASIA EQUITY FUND	815.328	29.880	24,198.94	20,000.00	4,198.94	0.34%	82.35
JPMORGAN DIVERSIFIED MID CAP GROWTH	1,551.831	23.370	36,266.29	25,000.00	11,266.29	0.00%	0.00
JPMORGAN DIVERSIFIED MID CAP VALUE	2,214.651	14.700	32,555.37	26,000.00	6,555.37	1.28%	416.35
JPMORGAN INTERNATIONAL EQUITY INDEX	1,678.346	27.890	46,809.07	30,200.00	16,609.07	2.13%	995.26
JPMORGAN SMALL CAP GROWTH FUND	862.069	11.360	9,793.10	7,000.00	2,793.10	0.00%	0.00

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Holdings detail continued

Description	Quantity	Share price or % of par	Market value	Tax cost	Unrealized gain(loss)	Current yield	Estimated annual income
JPMORGAN SMALL CAP VALUE FUND	419.664	23.840	$10,004.79	$7,000.00	$3,004.79	0.71%	$70.92
Total mutual funds			$159,627.56	$115,200.00	$44,427.56		$1,564.88
Other utilities							
DOMINION RES INC VA NEW	150.000	83.840	12,576.00	9,907.50	2,668.50	3.29%	414.00
Retail							
HOME DEPOT INC	350.000	40.160	14,056.00	14,956.00	(900.00)	2.24%	315.00
Technology							
CISCO SYS INC	900.000	27.330	24,597.00	8,393.75	16,203.25	0.00%	0.00
INTERNATIONAL BUSINESS MACHS CORP	200.000	97.150	19,430.00	10,063.75	9,366.25	1.23%	240.00
QUALCOMM INC	350.000	37.790	13,226.50	12,790.75	435.75	1.27%	168.00
Total technology			$57,253.50	$31,248.25	$26,005.25		$408.00
Total equities			**$809,109.26**	**$312,690.38**	**$496,418.88**		**$19,634.89**

Fixed Income

Mutual funds

Description	Quantity	Share price or % of par	Market value	Tax cost	Unrealized gain(loss)	Current yield	Yield to maturity	Estimated annual income
JPMORGAN INTERMEDIATE TAX FREE BOND FUND SELECT CLASS(VSITX)	18,185.417	10.630	$193,310.98	$180,500.00	$12,810.98	3.85%		$7,437.84

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Holdings detail continued

Description	Quantity	Share price or % of par	Market value	Tax cost	Unrealized gain(loss)	Current yield	Yield to maturity	Estimated annual income
JPMORGAN MUNICIPAL INCOME BOND FUND SELECT CLASS(HLTAX)	11,180.322	9.750	$109,008.14	$108,499.99	$508.15	3.69%		$4,024.92
Total fixed income			$302,319.12	$288,999.99	$13,319.13			$11,462.76
Total holdings			**$1,146,413.46**	**$636,675.45**	**$509,738.01**			**$32,808.42**
Accruals (income earned but not yet received)			$2,522.10					
Total holdings with accruals			**$1,148,935.56**					

Assets identified with an asterisk (*) at the beginning of the asset name are held in the Invested Income Portfolio.

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Transaction detail

			Income	Principal
Beginning cash balance 1/1/06			$0.00	$0.00
Cash receipts				
Foreign dividends				
Date	*Description*	*Realized gain/(loss)*	*Income*	*Principal*
3/13/06	CASH RECEIPT OF DIVIDEND EARNED ON BP P L C SPONSORED ADR $0.5625/SHARE ON 352 SHARES DUE 3/13/06 FOREIGN CASH DIVIDEND		$198.00	
6/5/06	CASH RECEIPT OF DIVIDEND EARNED ON BP P L C SPONSORED ADR $0.5625/SHARE ON 352 SHARES DUE 6/5/06 FOREIGN CASH DIVIDEND		198.00	
9/5/06	CASH RECEIPT OF DIVIDEND EARNED ON BP P L C SPONSORED ADR $0.5895/SHARE ON 352 SHARES DUE 9/5/06 FOREIGN CASH DIVIDEND		207.50	
12/4/06	CASH RECEIPT OF DIVIDEND EARNED ON BP P L C SPONSORED ADR $0.5895/SHARE ON 352 SHARES DUE 12/4/06 FOREIGN CASH DIVIDEND		207.50	
Total foreign dividends			$811.00	$0.00

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Transaction detail continued

Domestic dividends

Date	Description	Realized gain/(loss)	Income	Principal
1/3/06	CASH RECEIPT OF DIVIDEND EARNED ON VIACOM INC CL B $0.07/SHARE ON 500 SHARES DUE 1/1/06		$35.00	
1/3/06	CASH RECEIPT OF DIVIDEND EARNED ON ALLSTATE CORP $0.32/SHARE ON 200 SHARES DUE 1/3/06		64.00	
1/3/06	CASH RECEIPT OF DIVIDEND EARNED ON MERCK & CO INC $0.38/SHARE ON 1,000 SHARES DUE 1/3/06		380.00	
1/3/06	CASH RECEIPT OF DIVIDEND EARNED ON PEPSICO INC $0.26/SHARE ON 200 SHARES DUE 1/3/06		52.00	
1/17/06	CASH RECEIPT OF DIVIDEND EARNED ON US BANCORP DEL NEW $0.33/SHARE ON 500 SHARES DUE 1/16/06		165.00	
1/25/06	CASH RECEIPT OF DIVIDEND EARNED ON GENERAL ELEC CO $0.25/SHARE ON 2,500 SHARES DUE 1/25/06		625.00	
2/15/06	CASH RECEIPT OF DIVIDEND EARNED ON NORTH FORK BANCORPORATION INC N Y $0.25/SHARE ON 300 SHARES DUE 2/15/06		75.00	

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Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
2/23/06	CASH RECEIPT OF DIVIDEND EARNED ON GOLDMAN SACHS GROUP INC $0.25/SHARE ON 150 SHARES DUE 2/23/06		$37.50	
2/24/06	CASH RECEIPT OF DIVIDEND EARNED ON CITIGROUP INC $0.49/SHARE ON 300 SHARES DUE 2/24/06		147.00	
3/7/06	CASH RECEIPT OF DIVIDEND EARNED ON PFIZER INC $0.24/SHARE ON 6,000 SHARES DUE 3/7/06		1,440.00	
3/10/06	CASH RECEIPT OF DIVIDEND EARNED ON EXXON MOBIL CORP $0.32/SHARE ON 2,000 SHARES DUE 3/10/06		640.00	
3/10/06	CASH RECEIPT OF DIVIDEND EARNED ON INTERNATIONAL BUSINESS MACHS CORP $0.20/SHARE ON 200 SHARES DUE 3/10/06		40.00	
3/14/06	CASH RECEIPT OF DIVIDEND EARNED ON DU PONT E I DE NEMOURS & CO $0.37/SHARE ON 600 SHARES DUE 3/14/06		222.00	
3/20/06	CASH RECEIPT OF DIVIDEND EARNED ON DOMINION RES INC-VA NEW $0.69/SHARE ON 150 SHARES DUE 3/20/06		103.50	
3/23/06	CASH RECEIPT OF DIVIDEND EARNED ON HOME DEPOT INC $0.15/SHARE ON 350 SHARES DUE 3/23/06		52.50	

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Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
3/24/06	CASH RECEIPT OF DIVIDEND EARNED ON BANK OF AMERICA CORP $0.50/SHARE ON 300 SHARES DUE 3/24/06		$150.00	
3/31/06	CASH RECEIPT OF DIVIDEND EARNED ON PEPSICO INC $0.26/SHARE ON 200 SHARES DUE 3/31/06		52.00	
4/3/06	CASH RECEIPT OF DIVIDEND EARNED ON CBS CORP NEW CL B $0.16/SHARE ON 250 SHARES DUE 4/1/06		40.00	
4/3/06	CASH RECEIPT OF DIVIDEND EARNED ON ALLSTATE CORP $0.35/SHARE ON 200 SHARES DUE 4/3/06		70.00	
4/3/06	CASH RECEIPT OF DIVIDEND EARNED ON MERCK & CO INC $0.38/SHARE ON 1,000 SHARES DUE 4/3/06		380.00	
4/17/06	CASH RECEIPT OF DIVIDEND EARNED ON US BANCORP DEL NEW $0.33/SHARE ON 500 SHARES DUE 4/17/06		165.00	
4/25/06	CASH RECEIPT OF DIVIDEND EARNED ON GENERAL ELEC CO $0.25/SHARE ON 2,500 SHARES DUE 4/25/06		625.00	
5/25/06	CASH RECEIPT OF DIVIDEND EARNED ON GOLDMAN SACHS GROUP INC $0.35/SHARE ON 150 SHARES DUE 5/25/06		52.50	

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Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
5/26/06	CASH RECEIPT OF DIVIDEND EARNED ON CITIGROUP INC $0.49/SHARE ON 300 SHARES DUE 5/26/06		$147.00	
6/6/06	CASH RECEIPT OF DIVIDEND EARNED ON PFIZER INC $0.24/SHARE ON 6,000 SHARES DUE 6/6/06		1,440.00	
6/9/06	CASH RECEIPT OF DIVIDEND EARNED ON EXXON MOBIL CORP $0.32/SHARE ON 2,000 SHARES DUE 6/9/06		640.00	
6/12/06	CASH RECEIPT OF DIVIDEND EARNED ON INTERNATIONAL BUSINESS MACHS CORP $0.30/SHARE ON 200 SHARES DUE 6/10/06		60.00	
6/12/06	CASH RECEIPT OF DIVIDEND EARNED ON DU PONT E I DE NEMOURS & CO $0.37/SHARE ON 600 SHARES DUE 6/12/06		222.00	
6/20/06	CASH RECEIPT OF DIVIDEND EARNED ON DOMINION RES INC VA NEW $0.69/SHARE ON 150 SHARES DUE 6/20/06		103.50	
6/22/06	CASH RECEIPT OF DIVIDEND EARNED ON HOME DEPOT INC $0.15/SHARE ON 350 SHARES DUE 6/22/06		52.50	
6/23/06	CASH RECEIPT OF DIVIDEND EARNED ON BANK OF AMERICA CORP $0.50/SHARE ON 400 SHARES DUE 6/23/06		200.00	

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Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
6/30/06	CASH RECEIPT OF DIVIDEND EARNED ON PEPSICO INC $0.30/SHARE ON 200 SHARES DUE 6/30/06		$60.00	
7/3/06	CASH RECEIPT OF DIVIDEND EARNED ON ALLSTATE CORP $0.35/SHARE ON 350 SHARES DUE 7/3/06		122.50	
7/3/06	CASH RECEIPT OF DIVIDEND EARNED ON MERCK & CO INC $0.38/SHARE ON 1,000 SHARES DUE 7/3/06		380.00	
7/17/06	CASH RECEIPT OF DIVIDEND EARNED ON US BANCORP DEL NEW $0.33/SHARE ON 500 SHARES DUE 7/17/06		165.00	
7/25/06	CASH RECEIPT OF DIVIDEND EARNED ON GENERAL ELEC CO $0.25/SHARE ON 2,000 SHARES DUE 7/25/06		500.00	
8/24/06	CASH RECEIPT OF DIVIDEND EARNED ON GOLDMAN SACHS GROUP INC $0.35/SHARE ON 150 SHARES DUE 8/24/06		52.50	
8/25/06	CASH RECEIPT OF DIVIDEND EARNED ON CITIGROUP INC $0.49/SHARE ON 300 SHARES DUE 8/25/06		147.00	
9/5/06	CASH RECEIPT OF DIVIDEND EARNED ON PFIZER INC $0.24/SHARE ON 5,000 SHARES DUE 9/5/06		1,200.00	

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Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
9/11/06	CASH RECEIPT OF DIVIDEND EARNED ON INTERNATIONAL BUSINESS MACHS CORP $0.30/SHARE ON 200 SHARES DUE 9/9/06		$60.00	
9/11/06	CASH RECEIPT OF DIVIDEND EARNED ON EXXON MOBIL CORP $0.32/SHARE ON 1,750 SHARES DUE 9/11/06		560.00	
9/12/06	CASH RECEIPT OF DIVIDEND EARNED ON DU PONT E I DE NEMOURS & CO $0.37/SHARE ON 600 SHARES DUE 9/12/06		222.00	
9/20/06	CASH RECEIPT OF DIVIDEND EARNED ON DOMINION RES INC VA NEW $0.69/SHARE ON 150 SHARES DUE 9/20/06		103.50	
9/21/06	CASH RECEIPT OF DIVIDEND EARNED ON HOME DEPOT INC $0.15/SHARE ON 350 SHARES DUE 9/21/06		52.50	
9/22/06	CASH RECEIPT OF DIVIDEND EARNED ON BANK OF AMERICA CORP $0.56/SHARE ON 400 SHARES DUE 9/22/06		224.00	
9/29/06	CASH RECEIPT OF DIVIDEND EARNED ON PEPSICO INC $0.30/SHARE ON 200 SHARES DUE 9/29/06		60.00	
10/2/06	CASH RECEIPT OF DIVIDEND EARNED ON ALLSTATE CORP $0.35/SHARE ON 350 SHARES DUE 10/2/06		122.50	

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Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
10/2/06	CASH RECEIPT OF DIVIDEND EARNED ON MERCK & CO INC $0.38/SHARE ON 1,000 SHARES DUE 10/2/06		$380.00	
10/16/06	CASH RECEIPT OF DIVIDEND EARNED ON US BANCORP DEL NEW $0.33/SHARE ON 500 SHARES DUE 10/16/06		165.00	
10/25/06	CASH RECEIPT OF DIVIDEND EARNED ON GENERAL ELEC CO $0.25/SHARE ON 2,000 SHARES DUE 10/25/06		500.00	
11/20/06	CASH RECEIPT OF DIVIDEND EARNED ON GOLDMAN SACHS GROUP INC $0.35/SHARE ON 150 SHARES DUE 11/20/06		52.50	
11/22/06	CASH RECEIPT OF DIVIDEND EARNED ON CITIGROUP INC $0.49/SHARE ON 300 SHARES DUE 11/22/06		147.00	
12/5/06	CASH RECEIPT OF DIVIDEND EARNED ON PFIZER INC $0.24/SHARE ON 5,000 SHARES DUE 12/5/06		1,200.00	
12/11/06	CASH RECEIPT OF DIVIDEND EARNED ON INTERNATIONAL BUSINESS MACHS CORP $0.30/SHARE ON 200 SHARES DUE 12/9/06		60.00	
12/11/06	CASH RECEIPT OF DIVIDEND EARNED ON EXXON MOBIL CORP $0.32/SHARE ON 1,750 SHARES DUE 12/11/06		560.00	

Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
12/14/06	CASH RECEIPT OF DIVIDEND EARNED ON DU PONT E I DE NEMOURS & CO $0.37/SHARE ON 600 SHARES DUE 12/14/06		$222.00	
12/14/06	CASH RECEIPT OF DIVIDEND EARNED ON HOME DEPOT INC $0.225/SHARE ON 350 SHARES DUE 12/14/06		78.75	
12/20/06	CASH RECEIPT OF DIVIDEND EARNED ON DOMINION RES INC VA NEW $0.69/SHARE ON 150 SHARES DUE 12/20/06		103.50	
12/22/06	CASH RECEIPT OF DIVIDEND EARNED ON BANK OF AMERICA CORP $0.56/SHARE ON 400 SHARES DUE 12/22/06		224.00	
Total domestic dividends			$16,202.25	$0.00
Mutual fund income				
1/3/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN DIVERSIFIED MID CAP VALUE $0.02563/SHARE ON 2,214.851 SHARES DUE 1/3/06		56.76	
1/3/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN INTERMEDIATE TAX FREE BOND $0.034/SHARE ON 18,185.417 SHARES DUE 1/3/06		618.30	
1/3/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN INTERNATIONAL EQUITY INDEX $0.39435/SHARE ON 883.117 SHARES DUE 1/3/06		348.26	

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JPMorgan

Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
1/3/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN MUNICIPAL INCOME BOND FUND $0.03/SHARE ON 11,180.322 SHARES DUE 1/3/06		$335.41	
1/3/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN SMALL CAP VALUE FUND $0.05806/SHARE ON 419.664 SHARES DUE 1/3/06		24.37	
2/1/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN INTERMEDIATE TAX FREE BOND $0.036/SHARE ON 18,185.417 SHARES DUE 2/1/06		654.68	
2/1/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN MUNICIPAL INCOME BOND FUND $0.027/SHARE ON 11,180.322 SHARES DUE 2/1/06		301.87	
3/1/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN INTERMEDIATE TAX FREE BOND $0.03/SHARE ON 18,185.417 SHARES DUE 3/1/06		545.56	
3/1/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN MUNICIPAL INCOME BOND FUND $0.028/SHARE ON 11,180.322 SHARES DUE 3/1/06		313.05	
4/3/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN DIVERSIFIED MID CAP VALUE $0.07308/SHARE ON 2,214.651 SHARES DUE 4/3/06		161.85	

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Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
4/3/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN INTERMEDIATE TAX FREE BOND $0.035/SHARE ON 18,185.417 SHARES DUE 4/3/06		$636.49	
4/3/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN MUNICIPAL INCOME BOND FUND $0.031/SHARE ON 11,180.322 SHARES DUE 4/3/06		346.59	
4/3/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN SMALL CAP VALUE FUND $0.03812/SHARE ON 419.664 SHARES DUE 4/3/06		16.00	
5/1/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN INTERMEDIATE TAX FREE BOND $0.033/SHARE ON 18,185.417 SHARES DUE 5/1/06		600.12	
5/1/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN MUNICIPAL INCOME BOND FUND $0.031/SHARE ON 11,180.322 SHARES DUE 5/1/06		346.59	
6/1/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN INTERMEDIATE TAX FREE BOND $0.035/SHARE ON 18,185.417 SHARES DUE 6/1/06		636.49	
6/1/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN MUNICIPAL INCOME BOND FUND $0.031/SHARE ON 11,180.322 SHARES DUE 6/1/06		346.59	
7/3/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN DIVERSIFIED MID CAP VALUE $0.04303/SHARE ON 2,214.651 SHARES DUE 7/3/06		95.30	

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Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
7/3/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN INTERMEDIATE TAX FREE BOND $0.034/SHARE ON 18,185.417 SHARES DUE 7/3/06		$618.30	
7/3/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN MUNICIPAL INCOME BOND FUND $0.029/SHARE ON 11,180.322 SHARES DUE 7/3/06		324.23	
7/3/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN SMALL CAP VALUE FUND $0.05407/SHARE ON 419.664 SHARES DUE 7/3/06		22.69	
8/1/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN INTERMEDIATE TAX FREE BOND $0.035/SHARE ON 18,185.417 SHARES DUE 8/1/06		636.49	
8/1/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN MUNICIPAL INCOME BOND FUND $0.031/SHARE ON 11,180.322 SHARES DUE 8/1/06		346.59	
9/1/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN INTERMEDIATE TAX FREE BOND $0.038/SHARE ON 18,185.417 SHARES DUE 9/1/06		654.68	
9/1/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN MUNICIPAL INCOME BOND FUND $0.03/SHARE ON 11,180.322 SHARES DUE 9/1/06		335.41	
10/2/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN DIVERSIFIED MID CAP VALUE $0.02419/SHARE ON 2,214.651 SHARES DUE 10/2/06		53.57	

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Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
10/2/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN INTERMEDIATE TAX FREE BOND $0.033/SHARE ON 18,185.417 SHARES DUE 10/2/06		$600.12	
10/2/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN MUNICIPAL INCOME BOND FUND $0.03/SHARE ON 11,180.322 SHARES DUE 10/2/06		335.41	
10/2/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN SMALL CAP VALUE FUND $0.02537/SHARE ON 419.864 SHARES DUE 10/2/06		10.65	
11/1/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN INTERMEDIATE TAX FREE BOND $0.035/SHARE ON 18,185.417 SHARES DUE 11/1/06		636.49	
11/1/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN MUNICIPAL INCOME BOND FUND $0.031/SHARE ON 11,180.322 SHARES DUE 11/1/06		346.59	
12/1/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN INTERMEDIATE TAX FREE BOND $0.033/SHARE ON 18,185.417 SHARES DUE 12/1/06		600.12	
12/1/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN MUNICIPAL INCOME BOND FUND $0.031/SHARE ON 11,180.322 SHARES DUE 12/1/06		346.59	
12/26/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN ASIA EQUITY FUND $0.10095/SHARE ON 815.328 SHARES DUE 12/26/06		82.31	

JPMorgan

Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
12/26/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN DIVERSIFIED MID CAP VALUE $0.04818/SHARE ON 2,214.651 SHARES DUE 12/26/06		$106.70	
12/26/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN INTERNATIONAL EQUITY INDEX $0.59263/SHARE ON 1,678.346 SHARES DUE 12/26/06		994.64	
12/26/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN SMALL CAP VALUE FUND $0.05155/SHARE ON 419.664 SHARES DUE 12/26/06		21.63	
Total mutual fund income			$13,457.49	$0.00
Taxable interest				
1/3/06	CASH RECEIPT OF INTEREST EARNED ON JPMORGAN LIQUID ASSTS MNY MKT INVEST INTEREST FROM 12/1/05 TO 12/31/05		47.01	
2/1/06	CASH RECEIPT OF INTEREST EARNED ON JPMORGAN LIQUID ASSTS MNY MKT INVEST INTEREST FROM 1/1/06 TO 1/31/06		76.76	
3/1/06	CASH RECEIPT OF INTEREST EARNED ON JPMORGAN LIQUID ASSTS MNY MKT INVEST INTEREST FROM 2/1/06 TO 2/28/06		72.89	
4/3/06	CASH RECEIPT OF INTEREST EARNED ON JPMORGAN LIQUID ASSTS MNY MKT INVEST INTEREST FROM 3/1/06 TO 3/31/06		69.58	

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Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
5/1/06	CASH RECEIPT OF INTEREST EARNED ON JPMORGAN LIQUID ASSTS MNY MKT INVEST INTEREST FROM 4/1/06 TO 4/30/06		$52.50	
6/1/06	CASH RECEIPT OF INTEREST EARNED ON JPMORGAN LIQUID ASSTS MNY MKT INVEST INTEREST FROM 5/1/06 TO 5/31/06		58.15	
7/3/06	CASH RECEIPT OF INTEREST EARNED ON JPMORGAN LIQUID ASSTS MNY MKT INVEST INTEREST FROM 6/1/06 TO 6/30/06		102.08	
8/1/06	CASH RECEIPT OF INTEREST EARNED ON JPMORGAN LIQUID ASSTS MNY MKT INVEST INTEREST FROM 7/1/06 TO 7/31/06		113.13	
9/1/06	CASH RECEIPT OF INTEREST EARNED ON JPMORGAN LIQUID ASSTS MNY MKT INVEST INTEREST FROM 8/1/06 TO 8/31/06		118.54	
10/2/06	CASH RECEIPT OF INTEREST EARNED ON JPMORGAN LIQUID ASSTS MNY MKT INVEST INTEREST FROM 9/1/06 TO 9/30/06		84.44	
11/1/06	CASH RECEIPT OF INTEREST EARNED ON JPMORGAN LIQUID ASSTS MNY MKT INVEST INTEREST FROM 10/1/06 TO 10/31/06		74.17	

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JPMorgan

Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
12/1/06	CASH RECEIPT OF INTEREST EARNED ON JPMORGAN LIQUID ASSTS MNY MKT INVEST INTEREST FROM 11/1/06 TO 11/30/06		$86.13	
Total taxable interest			$955.38	$0.00
Other cash receipts				
11/29/06	RECEIVE LT CAPITAL GAINS DISTRIBUTION ON JPMORGAN INTERMEDIATE TAX FREE BOND $0.0014/SHARE ON 18,185.417 SHARES DUE 11/29/06 LT CAPITAL GAIN OF $24.55 ON FEDERAL COST LT CAPITAL GAIN OF $24.55 ON STATE COST	$24.55		$24.55
12/18/06	RECEIVE LT CAPITAL GAINS DISTRIBUTION ON JPMORGAN DIVERSIFIED MID CAP GROWTH $3.5847/SHARE ON 1,551.831 SHARES DUE 12/18/06 LT CAPITAL GAIN OF $5,562.84 ON FEDERAL COST LT CAPITAL GAIN OF $5,562.84 ON STATE COST	5,562.84		5,562.84
12/18/06	RECEIVE ST CAPITAL GAINS DISTRIBUTION ON JPMORGAN DIVERSIFIED MID CAP GROWTH $0.6058/SHARE ON 1,551.831 SHARES DUE 12/18/06 ST CAPITAL GAIN OF $940.15 ON FEDERAL COST ST CAPITAL GAIN OF $940.15 ON STATE COST	940.15	940.15	

6045

Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
12/18/06	RECEIVE LT CAPITAL GAINS DISTRIBUTION ON JPMORGAN SMALL CAP GROWTH FUND $0.9407/SHARE ON 862.089 SHARES DUE 12/18/06 LT CAPITAL GAIN OF $810.91 ON FEDERAL COST LT CAPITAL GAIN OF $810.91 ON STATE COST	$810.91		$810.91
12/18/06	RECEIVE ST CAPITAL GAINS DISTRIBUTION ON JPMORGAN SMALL CAP GROWTH FUND $0.8496/SHARE ON 862.089 SHARES DUE 12/18/06 ST CAPITAL GAIN OF $732.43 ON FEDERAL COST ST CAPITAL GAIN OF $732.43 ON STATE COST	732.43	$732.43	
12/18/06	RECEIVE LT CAPITAL GAINS DISTRIBUTION ON JPMORGAN ASIA EQUITY FUND $0.3877/SHARE ON 815.328 SHARES DUE 12/18/06 LT CAPITAL GAIN OF $316.13 ON FEDERAL COST LT CAPITAL GAIN OF $316.13 ON STATE COST	316.13		316.13
12/18/06	RECEIVE ST CAPITAL GAINS DISTRIBUTION ON JPMORGAN ASIA EQUITY FUND $0.1062/SHARE ON 815.328 SHARES DUE 12/18/06 ST CAPITAL GAIN OF $86.57 ON FEDERAL COST ST CAPITAL GAIN OF $86.57 ON STATE COST	86.57	86.57	

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JPMorgan

Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
12/18/06	RECEIVE LT CAPITAL GAINS DISTRIBUTION ON JPMORGAN INTERNATIONAL EQUITY INDEX $0.1296/SHARE ON 1,678.346 SHARES DUE 12/18/06 LT CAPITAL GAIN OF $217.43 ON FEDERAL COST LT CAPITAL GAIN OF $217.43 ON STATE COST	$217.43		$217.43
12/18/06	RECEIVE ST CAPITAL GAINS DISTRIBUTION ON JPMORGAN INTERNATIONAL EQUITY INDEX $0.0269/SHARE ON 1,678.346 SHARES DUE 12/18/06 ST CAPITAL GAIN OF $45.08 ON FEDERAL COST ST CAPITAL GAIN OF $45.08 ON STATE COST	45.08	$45.08	
12/18/06	RECEIVE LT CAPITAL GAINS DISTRIBUTION ON JPMORGAN DIVERSIFIED MID CAP VALUE $2.6273/SHARE ON 2,214.651 SHARES DUE 12/18/06 LT CAPITAL GAIN OF $5,818.49 ON FEDERAL COST LT CAPITAL GAIN OF $5,818.49 ON STATE COST	5,818.49		5,818.49
12/18/06	RECEIVE ST CAPITAL GAINS DISTRIBUTION ON JPMORGAN DIVERSIFIED MID CAP VALUE $0.2252/SHARE ON 2,214.651 SHARES DUE 12/ ST CAPITAL GAIN OF $498.65 ON FEDERAL CC ST CAPITAL GAIN OF $498.65 ON STATE COST	498.65	498.65	

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Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
12/18/06	RECEIVE LT CAPITAL GAINS DISTRIBUTION ON JPMORGAN SMALL CAP VALUE FUND $2.6498/SHARE ON 419.664 SHARES DUE 12/18/06 LT CAPITAL GAIN OF $1,112.03 ON FEDERAL COST LT CAPITAL GAIN OF $1,112.03 ON STATE COST	$1,112.03		$1,112.03
12/18/06	RECEIVE ST CAPITAL GAINS DISTRIBUTION ON JPMORGAN SMALL CAP VALUE FUND $0.3495/SHARE ON 419.664 SHARES DUE 12/18/06 ST CAPITAL GAIN OF $146.69 ON FEDERAL COST ST CAPITAL GAIN OF $146.69 ON STATE COST	146.69	$146.69	
Total other cash receipts			$2,449.57	$13,862.38
Total cash receipts			**$33,875.69**	**$13,862.38**

Cash disbursements

Other cash disbursements

Date	Description	Income	Principal
1/11/06	CASH DISBURSEMENT PAID TO U S TREASURY FIDUCIARY EST FED INCOME TAX ***WITX FEDERAL ESTIMATED TAX		$(1,877.00)
3/10/06	CASH DISBURSEMENT PAID TO JPMCHASE WISCONSIN[redacted] ACH TRANSFER TO CHECKING ACCT PAID FOR F JAMES SENSENBRENNER JR [redacted]	$(6,223.26)	

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JPMorgan

Transaction detail continued

Date	Description	Income	Principal
4/6/06	CASH DISBURSEMENT PAID TO U S TREASURY FIDUCIARY FEDERAL INCOME TAX ***WITX TAX DUE ON FORM 1041 FOR CALENDAR YR RTN		$(2,679.00)
4/6/06	CASH DISBURSEMENT PAID TO WISCONSIN DEPT OF REVENUE FIDUCIARY STATE INCOME TAX ***WITX TAX DUE ON STATE FIDUCIARY CALENDAR YR RTN		(443.00)
4/12/06	CASH DISBURSEMENT PAID TO U S TREASURY FIDUCIARY EST FED INCOME TAX ***WITX FEDERAL ESTIMATED TAX		(63.00)
4/12/06	CASH DISBURSEMENT PAID TO WISCONSIN DEPT OF REVENUE FIDUCIARY EST STATE TAX ***WITX INSTALLMENT ON STATE FIDUCIARY INCOME TAX		(54.00)
6/9/06	CASH DISBURSEMENT PAID TO U S TREASURY FIDUCIARY EST FED INCOME TAX ***WITX FEDERAL ESTIMATED TAX		(188.00)
6/12/06	CASH DISBURSEMENT PAID TO JPMCHASE WISCONSIN [redacted] ACH TRANSFER TO CHECKING ACCT PAID FOR F JAMES SENSENBRENNER JR [redacted]	$(6,313.52)	

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Transaction detail continued

Date	Description	Income	Principal
9/11/05	CASH DISBURSEMENT PAID TO JPMCHASE WISCONSIN [redacted] ACH TRANSFER TO CHECKING ACCT PAID FOR F JAMES SENSENBRENNER JR [redacted]	$(6,078.70)	
9/11/06	CASH DISBURSEMENT PAID TO U S TREASURY FIDUCIARY EST FED INCOME TAX ***WITX FEDERAL ESTIMATED TAX		$(7,543.00)
9/11/06	CASH DISBURSEMENT PAID TO WISCONSIN DEPT OF REVENUE FIDUCIARY EST STATE TAX ***WITX INSTALLMENT ON STATE FIDUCIARY INCOME TAX		(1,383.00)
12/11/06	CASH DISBURSEMENT PAID TO JPMCHASE WISCONSIN [redacted] ACH TRANSFER TO CHECKING ACCT PAID FOR F JAMES SENSENBRENNER JR [redacted]	(5,886.06)	
12/29/06	CASH DISBURSEMENT PAID TO JPMCHASE WISCONSIN [redacted] ACH TRANSFER TO CHECKING ACCT PAID FOR F JAMES SENSENBRENNER JR [redacted]	(3,819.60)	
Total other cash disbursements		$(28,321.14)	$(14,230.00)

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Transaction detail continued

Administrative expenses

Date	Description	Income	Principal
1/12/06	ADMINISTRATIVE FEES COLLECTED THROUGH DECEMBER MONTH END	$(429.48)	$(429.48)
2/13/06	ADMINISTRATIVE FEES COLLECTED THROUGH JANUARY MONTH END	(442.70)	(442.72)
3/13/06	ADMINISTRATIVE FEES COLLECTED THROUGH FEBRUARY MONTH END	(442.00)	(442.00)
4/12/06	ADMINISTRATIVE FEES COLLECTED THROUGH MARCH MONTH END	(445.04)	(445.06)
5/12/06	ADMINISTRATIVE FEES COLLECTED THROUGH APRIL MONTH END	(450.10)	(450.11)
6/12/06	ADMINISTRATIVE FEES COLLECTED THROUGH MAY MONTH END	(437.79)	(437.79)
7/5/06	FIDUCIARY TAX RETURN PREP COLLECTED 2005 FORMS 1041/2		(245.00)
7/5/06	FIDUCIARY TAX RETURN PREP COLLECTED 2005 FORMS 1041/2	(245.00)	
7/12/06	ADMINISTRATIVE FEES COLLECTED THROUGH JUNE MONTH END	(420.73)	(420.75
8/14/06	ADMINISTRATIVE FEES COLLECTED THROUGH JULY MONTH END	(433.51)	(433.52
9/12/06	ADMINISTRATIVE FEES COLLECTED THROUGH AUGUST MONTH END	(442.13)	(442.14

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Transaction detail continued

Date	Description	Income	Principal
10/12/06	ADMINISTRATIVE FEES COLLECTED THROUGH SEPTEMBER MONTH END	$(447.55)	$(447.55)
11/13/06	ADMINISTRATIVE FEES COLLECTED THROUGH OCTOBER MONTH END	(455.04)	(455.06)
12/12/06	ADMINISTRATIVE FEES COLLECTED THROUGH NOVEMBER MONTH END	(463.50)	(463.51)
Total administrative expenses		$(5,554.55)	$(5,554.69)
Total cash disbursements		**$(33,875.69)**	**$(19,784.69)**

Investment transactions

Purchases

Date	Description	Realized gain/(loss)	Income	Principal
	COMBINED PURCHASES FOR THE PERIOD 1/ 1/06 - 12/31/06 OF JPMORGAN LIQUID ASSTS MNY MKT INVEST INVESTMENTS AT TAX COST: $66,063.24			$(66,063.24)
3/20/06	PURCHASED 150 SHARES OF ALLSTATE CORP TRADE DATE 3/15/06 PURCHASED THROUGH SPEAR, LEEDS & KELLOGG PAID $4.50 BROKERAGE 150 SHARES AT $54.76 INVESTMENTS AT TAX COST: $8,218.50			(8,218.50)

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JPMorgan

Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
3/20/06	PURCHASED 150 SHARES OF VIACOM INC NEW CL B TRADE DATE 3/15/06 PURCHASED THROUGH SPEAR, LEEDS & KELLOGG PAID $4.50 BROKERAGE 150 SHARES AT $38.93 INVESTMENTS AT TAX COST: $5,844.00			$(5,844.00)
3/20/06	PURCHASED 100 SHARES OF BANK OF AMERICA CORP TRADE DATE 3/15/06 PURCHASED THROUGH SPEAR, LEEDS & KELLOGG PAID $3.00 BROKERAGE 100 SHARES AT $45.97 INVESTMENTS AT TAX COST: $4,600.00			(4,600.00)
6/5/06	PURCHASED 815.328 SHARES OF JPMORGAN ASIA EQUITY FUND TRADE DATE 6/2/06 815.328 SHARES AT $24.53 INVESTMENTS AT TAX COST: $20,000.00			(20,000.00)
6/5/06	PURCHASED 795.229 SHARES OF JPMORGAN INTERNATIONAL EQUITY INDEX TRADE DATE 6/2/06 795.229 SHARES AT $25.15 INVESTMENTS AT TAX COST: $20,000.00			(20,000.00)

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Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
11/17/06	PURCHASED 150 SHARES OF ALLSTATE CORP TRADE DATE 11/14/06 PURCHASED THROUGH SPEAR, LEEDS & KELLOGG PAID $4.50 BROKERAGE 150 SHARES AT $63.1167 INVESTMENTS AT TAX COST: $9,472.00			$(9,472.00)
11/17/06	PURCHASED 350 SHARES OF QUALCOMM INC TRADE DATE 11/14/06 PURCHASED THROUGH SPEAR, LEEDS & KELLOGG PAID $10.50 BROKERAGE 350 SHARES AT $36.515 INVESTMENTS AT TAX COST: $12,790.75			(12,790.75)
Total purchases			$0.00	$(146,988.49)

Sales and maturities

	COMBINED SALES FOR THE PERIOD 1/1/06 - 12/31/06 OF JPMORGAN LIQUID ASSTS MNY MKT INVEST INVESTMENTS AT TAX COST: $-54,450.34			54,450.34

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JPMorgan

Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
3/20/06	SOLD 300 SHARES OF NORTH FORK BANCORPORATION INC N Y TRADE DATE 3/15/06 SOLD THROUGH SPEAR, LEEDS & KELLOGG PAID $9.00 BROKERAGE PAID $0.27 SEC FEE 300 SHARES AT $29.03 INVESTMENTS AT TAX COST: $-8,447.98	$2,251.75		$8,899.73
3/20/06	SOLD 250 SHARES OF CBS CORP NEW CL B TRADE DATE 3/15/06 SOLD THROUGH SPEAR, LEEDS & KELLOGG PAID $7.50 BROKERAGE PAID $0.19 SEC FEE 250 SHARES AT $23.90 INVESTMENTS AT TAX COST: $-6,498.30	(530.99)		5,967.31
6/5/06	SOLD 500 SHARES OF GENERAL ELEC CO TRADE DATE 5/31/06 SOLD THROUGH SPEAR, LEEDS & KELLOGG PAID $15.00 BROKERAGE PAID $0.52 SEC FEE 500 SHARES AT $34.07 INVESTMENTS AT TAX COST: $-1,578.12	15,441.36		17,019.48

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Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
6/5/06	SOLD 1,000 SHARES OF PFIZER INC TRADE DATE 5/31/06 SOLD THROUGH SPEAR, LEEDS & KELLOGG PAID $30.00 BROKERAGE PAID $0.72 SEC FEE 1,000 SHARES AT $23.54 INVESTMENTS AT TAX COST: $-1,607.96	$21,901.32		$23,509.28
6/5/06	SOLD 250 SHARES OF EXXON MOBIL CORP TRADE DATE 5/31/06 SOLD THROUGH MER LYCH/PIERCE/FENER&SMTH N.Y PAID $7.50 BROKERAGE PAID $0.47 SEC FEE 250 SHARES AT $60.59 INVESTMENTS AT TAX COST: $-1,728.91	13,410.62		15,139.53
11/17/06	SOLD 400 SHARES OF VIACOM INC NEW CL B TRADE DATE 11/14/06 SOLD THROUGH SPEAR, LEEDS & KELLOGG PAID $12.00 BROKERAGE PAID $0.46 SEC FEE 400 SHARES AT $38.695 INVESTMENTS AT TAX COST: $-15,744.70	(279.18)		15,465.52

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Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
11/17/06	SOLD 500 SHARES OF DELL INC TRADE DATE 11/14/06 SOLD THROUGH MER LYCH/PIERCE/FENER&SMTH N.Y PAID $15.00 BROKERAGE PAID $0.39 SEC FEE 500 SHARES AT $25.35 INVESTMENTS AT TAX COST: $-14,935.00	$(2,275.39)		$12,659.61
Total sales and maturities			$0.00	$152,910.80
Total investment transactions			**$0.00**	**$5,922.31**

Other investment transactions (non-cash)

Date	Description	Income	Principal
1/4/06	MANDATORY PLAN OF MERGER/SEPARATION DELIVERED 500 SHARES OF VIACOM INC CL B EFFECTIVE DATE 12/31/05 MARKET VALUE OF $16,662.50 PLAN OF MERGER TO VIACOM INC NEW CL B & CBS CORP NEW CL B CUSIP [redacted] INVESTMENTS AT TAX COST: $-16,399.00		

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Transaction detail continued

Date	Description	Income	Principal
1/4/06	MANDATORY PLAN OF MERGER/SEPARATION RECEIVED 250 SHARES OF VIACOM INC NEW CL B EFFECTIVE DATE 12/31/05 DISTRIBUTION 01/04/06 0.5 SHARES FOR EACH SHARE HELD OF VIACOM INC CL B MARKET VALUE OF $10,287.50 PLAN OF MERGER FROM VIACOM INC CL B CUSIP: [redacted] INVESTMENTS AT TAX COST: $9,900.70		

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Transaction detail continued

Date	Description	Income	Principal
1/4/06	MANDATORY PLAN OF MERGER/SEPARATION RECEIVED 250 SHARES OF CBS CORP NEW CL B EFFECTIVE DATE 12/31/05 DISTRIBUTION 01/04/06 0.5 SHARES FOR EACH SHARE HELD OF VIACOM INC CL B MARKET VALUE OF $6,375.00 PLAN OF MERGER FROM VIACOM INC CL B CUSIP: [redacted] INVESTMENTS AT TAX COST: $6,498.30		
Total other investment transactions (non-cash)		**$0.00**	**$0.00**

	Income	Principal
Ending cash balance 12/31/06	**$0.00**	**$0.00**

No pending trades.

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IMPORTANT INFORMATION

JPMorgan Funds are not bank deposits, not FDIC insured, not obligations of or guaranteed by JPMorgan Chase Bank, N.A. or its affiliates, or any federal or state government, government agency or government sponsored agency and involve investment risks (including possible loss of principal amount invested). JPMorgan Chase Bank, N.A., or its affiliates, including the legal entity providing this statement, may receive fees for providing various services to various mutual funds. Prospectuses, fee disclosure statements and annual reports for JPMorgan Funds are always available. Please contact your advisor, or JPMorgan Distribution Services (800) 480-4111 (www.jpmorganfunds.com) for further information.

This statement is not an official document for income tax reporting purposes. Please contact your JPMorgan advisor if your financial needs or restrictions change.

State law may require that an action for breach of trust based on matters disclosed in this statement be commenced within a certain time period after receipt of the statement. The following states require that we tell you the period - Florida within 6 months (FL ST 737.307) Michigan within 1 year (MCL 700.7307) Ohio within 2 years (OUTC 5810.05). Questions, please consult your attorney.

General: When reviewing your Bond quality table, the percentages are rounded to zero when the percentage for a rating category is less than one percent. When reviewing your Holdings detail, the market value listed for each asset may not equal the quantity multiplied by the share price due to rounding, however the value referenced in the Market Value column is an accurate figure. Market values noted in the Market Reconciliation and Transaction detail are as of the end of the statement period. The market value on the date of the transaction may be different. Please be aware that the information shown in the Realized Gain/Loss column (found in the Transaction detail section of your statement) and the Unrealized Gain/Loss on the Asset listing are based on tax cost, even though the assets on your statement may be carried at book value.

Life insurance values are shown at cash surrender value as most recently reported by you or the insurance Company. If the value shown is $1.00, we have not received a cash surrender value.

Common trust funds: The most recent audited annual report of the Bank's common trust funds is available upon request at no charge.

Market value and pricing: Market value and prices for publicly-traded securities have been obtained from sources believed to be reliable without guarantee of accuracy. Certain assets may not be held by us and their reporting is at the request of and for the convenience of the account owner. Where applicable, refer to your broker, investment manager or other third party statement for valuation, and transaction information.

Structured investments: Structured investments may involve significant risk (including loss of investment) and have limited liquidity. See the prospectus or offering memorandum for a full discussion. Structured investments may be an obligation of JPMorgan Chase Bank, N.A. or an affiliate of JPMorgan Chase Bank, N.A.

Pooled private investments, closely held corporations/other assets: Certain assets, including but not limited to, pooled private investments, non-publicly traded and infrequently traded securities, derivatives, partnership interests and tangible assets are generally illiquid, the value of which may have been provided to us by third parties who may not be independent of the issuer or manager. Such information is reflected as of the last date provided to us, and is not independently verified. Prices, some of which may be provided by pricing services or other sources that we deem reliable, are not guaranteed for accuracy or as realizable values. Values may not represent the actual terms at which transactions or securities could be bought or sold or new transactions could be entered into or the actual terms on which existing transactions could be liquidated as of the date of this statement.

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Hedge funds: Hedge fund managers generally calculate a fund's value (the "Hedge Fund Value"), 10-20 business days following the last business day of the month. For that reason, hedge fund NAVs shown may be preliminary and subject to change. In general, the NAV is stated net of management and incentive fees. The NAV is calculated according to the General Partner's or Manager's stated methodology. Please refer to the limited partnership agreement, limited liability company agreement or other operative documentation for each hedge fund for the specific valuation methodology used by the General Partner or Manager for that hedge fund.

JPMorgan expressly disclaims any responsibility for (1) the accuracy of the information and the methodology used by the General Partner or Manager in deriving valuations, (2) any errors or omissions in compiling or disseminating the valuations, and (3) any use to which the valuations are put. Valuations are provided for information purposes only and are intended solely for your own use.

Hedge funds generally allow subscriptions either on a monthly or quarterly basis. Your interest in a hedge fund or hedge fund of funds is generally established as of the first business day of the subscription period. Due to early funding requirements by the applicable fund, your account may be debited for the subscription amount prior to the subscription date. Please refer to the applicable hedge fund's operative documentation for further details.

Hedge fund redemptions are generally on a monthly, quarterly or annual basis but can sometimes be subject to a multi-year lockup before a redemption is permitted. For redemptions, there is generally a notification period that can be substantial before the actual redemption date. Redemption proceeds are generally paid 3-5 business days after the final NAV is issued, but can be subject to a holdback of a portion of the proceeds until an annual audit of the Fund has been completed. Please refer to the applicable hedge fund's operative documentation for further details. Additionally, accounting methodology for hedge funds is determined by the operative documentation; please consult the operative documentation for more information.

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Summary | Bulletin board

Annual Account Statement

CHERYL W SENSENBRENNER CUSTODY
Account number [redacted]
January 1, 2006 - December 31, 2006

JPMORGAN CHASE BANK, N.A.
111 EAST WISCONSIN AVENUE, WI1-2053
MILWAUKEE, WI 53202

Your Advisor(s):
LYNN E PAULL
(414) 977-2027 or (800) 928-4556

Thank you for the opportunity to serve your financial needs. We appreciate your confidence in us.

Please remember to check the Bulletin Board (located at the back of your statement) for important messages.

Don't hesitate to contact your advisor if you have any questions, comments or concerns.

05030 00106
CHERYL SENSENBRENNER
609 FORT WILLIAMS PARKWAY
ALEXANDRIA VA 22304

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Holdings summary

	Value on December 31, 2006	Value on December 31, 2005	Change in value
Cash equivalents	$59,719.55		
Equities	135,338.14		
Fixed income	0.00		
Other	0.00		
Total holdings	**$195,057.69**	$169,941.40	**$25,116.29**
Accruals (income earned but not yet received)	$1,604.17		
Total holdings with accruals	**$196,661.86**		

Income summary

Income	Statement period	January 1 to December 31
Dividends (domestic/foreign/mutual fund income)	$5,141.02	$5,141.02
Interest (taxable/non-taxable)	2,470.57	2,470.57
Other income	0.00	0.00
Total income	**$7,611.59**	$7,611.59
Realized gain/(loss)		
	$0.00	$0.00

The income summary includes taxable and tax-exempt income for both principal and income beneficiaries, please refer to the Transaction detail for an income breakdown. These amounts represent approximate income and gain/(loss) amounts and should not be used for final Internal Revenue Service reporting.

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Market reconciliation

	Statement period	January 1 to December 31
Beginning market value	$169,941.40	$169,941.40
Receipts	20,748.57	20,748.57
Disbursements	(20,748.57)	(20,748.57)
Income	7,611.59	7,611.59
Capital gains distributions	0.00	0.00
Change in asset market value	17,504.70	17,504.70
Ending market value	$195,057.69	$195,057.69

The Market reconciliation provides a summary of all activity in the account. The Receipts and Disbursements categories may include Non-Cash Transactions such as transfers within the account, name change transactions, asset receipts and distributions, and stock split transactions. The Transaction detail section provides an itemized list of all transactions. The Transaction summary provides a summary of cash receipt and disbursement transactions.

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Transaction summary

	Income	Principal
Beginning cash balance 1/1/06	$(20,748.57)	$20,748.57
Cash receipts		
Dividends (domestic/foreign/mutual fund income)	$5,141.02	$0.00
Interest (taxable/non-taxable)	2,470.57	0.00
Other cash receipts	20,748.57	0.00
Total cash receipts	$28,360.16	$0.00
Cash disbursements		
Cash disbursements	$0.00	$(20,748.57)
Administrative expenses	0.00	0.00
Total cash disbursements	$0.00	$(20,748.57)
Investment transactions		
Purchases	$0.00	$(7,611.59)
Sales and maturities	0.00	0.00
Total investment transactions	$0.00	$(7,611.59)
Ending cash balance 12/31/06	$7,611.59	$(7,611.59)

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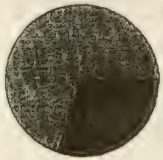
Only the Asset allocation analysis includes mutual fund holdings. The Bond quality and maturity analyses (if applicable) are displayed excluding mutual funds.

Investment objective: Limited to Cash Management Only

This strategy seeks to provide a high level of current income, liquidity and principal stability by investing in high-quality money market securities. Principal volatility is not expected to be an issue.

Asset allocation



30.6% - **Cash equivalents**

69.4% - **Equities**

89.4% - *Consumer non-durable*

The Asset allocation may not be exactly as described in the Investment objective due to Other Asset holdings.

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Holdings detail

Cash equivalents

Very liquid assets Description	Quantity	Share price or % of par	Market value	Tax cost	Unrealized gain(loss)	Current yield	Estimated annual income
JPMORGAN LIQUID ASSTS MNY MKT INVEST	59,719.550	1.000	$59,719.55	$59,719.55	$0.00	4.89%	$2,920.29
INCOME CASH	7,611.590	1.000	7,611.59	7,611.59	0.00	0.00%	0.00
PRINCIPAL CASH	(7,611.590)	1.000	(7,611.59)	(7,611.59)	0.00	0.00%	0.00
Total cash equivalents			$59,719.55	$59,719.55	$0.00		$2,920.29

Equities

Consumer non-durable Description	Quantity	Share price or % of par	Market value	Tax cost	Unrealized gain(loss)	Current yield	Estimated annual income
ALTRIA GROUP INC	1,577.000	85.820	$135,338.14	$0.00	$135,338.14	4.01%	$5,424.88
Total equities			$135,338.14	$0.00	$135,338.14		$5,424.88
Total holdings			$195,057.69	$59,719.55	$135,338.14		$8,345.17
Accruals (income earned but not yet received)			$1,604.17				
Total holdings with accruals			$196,661.86				

Assets identified with an asterisk (*) at the beginning of the asset name are held in the Invested Income Portfolio.

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Transaction detail

		Income	Principal
Beginning cash balance 1/1/06		$(20,748.57)	$20,748.57
Cash receipts			
Domestic dividends			
Date	*Description*	*Income*	*Principal*
1/10/06	CASH RECEIPT OF DIVIDEND EARNED ON ALTRIA GROUP INC $0.80/SHARE ON 1,577 SHARES DUE 1/10/06	$1,261.60	
4/10/06	CASH RECEIPT OF DIVIDEND EARNED ON ALTRIA GROUP INC $0.80/SHARE ON 1,577 SHARES DUE 4/10/06	1,261.60	
7/10/06	CASH RECEIPT OF DIVIDEND EARNED ON ALTRIA GROUP INC $0.80/SHARE ON 1,577 SHARES DUE 7/10/06	1,261.60	
10/10/06	CASH RECEIPT OF DIVIDEND EARNED ON ALTRIA GROUP INC $0.86/SHARE ON 1,577 SHARES DUE 10/10/06	1,356.22	
Total domestic dividends		$5,141.02	$0.00
Taxable interest			
1/3/06	CASH RECEIPT OF INTEREST EARNED ON JPMORGAN LIQUID ASSTS MNY MKT INVEST INTEREST FROM 12/1/05 TO 12/31/05	164.37	

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Transaction detail continued

Date	Description	Income	Principal
2/1/06	CASH RECEIPT OF INTEREST EARNED ON JPMORGAN LIQUID ASSTS MNY MKT INVEST INTEREST FROM 1/1/06 TO 1/31/06	$173.23	
3/1/06	CASH RECEIPT OF INTEREST EARNED ON JPMORGAN LIQUID ASSTS MNY MKT INVEST INTEREST FROM 2/1/06 TO 2/28/06	163.43	
4/3/06	CASH RECEIPT OF INTEREST EARNED ON JPMORGAN LIQUID ASSTS MNY MKT INVEST INTEREST FROM 3/1/06 TO 3/31/06	186.21	
5/1/06	CASH RECEIPT OF INTEREST EARNED ON JPMORGAN LIQUID ASSTS MNY MKT INVEST INTEREST FROM 4/1/06 TO 4/30/06	191.68	
6/1/06	CASH RECEIPT OF INTEREST EARNED ON JPMORGAN LIQUID ASSTS MNY MKT INVEST INTEREST FROM 5/1/06 TO 5/31/06	210.60	
7/3/06	CASH RECEIPT OF INTEREST EARNED ON JPMORGAN LIQUID ASSTS MNY MKT INVEST INTEREST FROM 6/1/06 TO 6/30/06	208.93	
8/1/06	CASH RECEIPT OF INTEREST EARNED ON JPMORGAN LIQUID ASSTS MNY MKT INVEST INTEREST FROM 7/1/06 TO 7/31/06	227.74	
9/1/06	CASH RECEIPT OF INTEREST EARNED ON JPMORGAN LIQUID ASSTS MNY MKT INVEST INTEREST FROM 8/1/06 TO 8/31/06	233.78	

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Transaction detail continued

Date	Description	Income	Principal
10/2/06	CASH RECEIPT OF INTEREST EARNED ON JPMORGAN LIQUID ASSTS MNY MKT INVEST INTEREST FROM 9/1/06 TO 9/30/06	$229.32	
11/1/06	CASH RECEIPT OF INTEREST EARNED ON JPMORGAN LIQUID ASSTS MNY MKT INVEST INTEREST FROM 10/1/06 TO 10/31/06	242.84	
12/1/06	CASH RECEIPT OF INTEREST EARNED ON JPMORGAN LIQUID ASSTS MNY MKT INVEST INTEREST FROM 11/1/06 TO 11/30/06	238.44	
Total taxable interest		$2,470.57	$0.00
Other cash receipts			
1/5/06	CASH RECEIPT TRANSFER FROM PRINCIPAL	20,748.57	
Total other cash receipts		$20,748.57	$0.00
Total cash receipts		**$28,360.16**	**$0.00**

Cash disbursements

Other cash disbursements

Date	Description	Income	Principal
1/5/06	CASH DISBURSEMENT TRANSFER TO INCOME		$(20,748.57)
Total other cash disbursements		$0.00	$(20,748.57)
Total cash disbursements		**$0.00**	**$(20,748.57)**

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Transaction detail continued

Investment transactions

Purchases

Date	Description	Income	Principal
	COMBINED PURCHASES FOR THE PERIOD 1/1/06 - 12/31/06 OF JPMORGAN LIQUID ASSTS MNY MKT INVEST INVESTMENTS AT TAX COST: $7,611.59		$(7,611.59)
Total purchases		$0.00	$(7,611.59)
Total investment transactions		$0.00	$(7,611.59)

	Income	Principal
Ending cash balance 12/31/06	$7,611.59	$(7,611.59)

No pending trades.

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IMPORTANT INFORMATION

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Pooled private investments, closely held corporations/other assets: Certain assets, including but not limited to, pooled private investments, non-publicly traded and infrequently traded securities, derivatives, partnership interests and tangible assets are generally illiquid, the value of which may have been provided to us by third parties who may not be independent of the issuer or manager. Such information is reflected as of the last date provided to us, and is not independently verified. Prices, some of which may be provided by pricing services or other sources that we deem reliable, are not guaranteed for accuracy or as realizable values. Values may not represent the actual terms at which transactions or securities could be bought or sold or new transactions could be entered into or the actual terms on which existing transactions could be liquidated as of the date of this statement.

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Hedge funds: Hedge fund managers generally calculate a fund's value (the "Hedge Fund Value"), 10-20 business days following the last business day of the month. For that reason, hedge fund NAVs shown may be preliminary and subject to change. In general, the NAV is stated net of management and incentive fees. The NAV is calculated according to the General Partner's or Manager's stated methodology. Please refer to the limited partnership agreement, limited liability company agreement or other operative documentation for each hedge fund for the specific valuation methodology used by the General Partner or Manager for that hedge fund.

JPMorgan expressly disclaims any responsibility for (1) the accuracy of the information and the methodology used by the General Partner or Manager in deriving valuations, (2) any errors or omissions in compiling or disseminating the valuations, and (3) any use to which the valuations are put. Valuations are provided for information purposes only and are intended solely for your own use.

Hedge funds generally allow subscriptions either on a monthly or quarterly basis. Your interest in a hedge fund or hedge fund of funds is generally established as of the first business day of the subscription period. Due to early funding requirements by the applicable fund, your account may be debited for the subscription amount prior to the subscription date. Please refer to the applicable hedge fund's operative documentation for further details.

Hedge fund redemptions are generally on a monthly, quarterly or annual basis but can sometimes be subject to a multi-year lockup before a redemption is permitted. For redemptions, there is generally a notification period that can be substantial before the actual redemption date. Redemption proceeds are generally paid 3-5 business days after the final NAV is issued, but can be subject to a holdback of a portion of the proceeds until an annual audit of the Fund has been completed. Please refer to the applicable hedge fund's operative documentation for further details. Additionally, accounting methodology for hedge funds is determined by the operative documentation; please consult the operative documentation for more information.

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Annual Account Statement

EXEMPT T/U/W F SENSENBRENNER PCTAA
Account number [redacted]
January 1, 2006 - December 31, 2006

JPMORGAN CHASE BANK, N.A.
111 EAST WISCONSIN AVENUE, WI1-2053
MILWAUKEE, WI 53202

Your Advisor(s):
LYNN E PAULL
(414) 977-2027 or (800) 926-4556

Thank you for the opportunity to serve your financial needs. We appreciate your confidence in us.

Please remember to check the Bulletin Board (located at the back of your statement) for important messages.

Don't hesitate to contact your advisor if you have any questions, comments or concerns.

05030 01928
F JAMES SENSENBRENNER JR
609 FORT WILLIAMS PKWY
ALEXANDRIA VA 22304-1813

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Holdings summary

	Value on December 31, 2006	Value on December 31, 2005	Change in value
Cash equivalents	$100,742.57		
Equities	4,204,828.65		
Fixed income	0.00		
Other	0.00		
Total holdings	$4,305,571.22	$3,931,394.88	$374,176.34
Accruals (income earned but not yet received)	$10,863.92		
Total holdings with accruals	$4,316,435.14		

Income summary

Income	Statement period	January 1 to December 31
Dividends (domestic/foreign/mutual fund income)	$90,595.41	$90,595.41
Interest (taxable/non-taxable)	2,811.98	2,811.98
Other income	0.00	0.00
Total income	$93,408.39	$93,408.39
Realized gain/(loss)		
	$482,863.25	$482,863.25

The income summary includes taxable and tax-exempt income for both principal and income beneficiaries, please refer to the Transaction detail for an income breakdown. These amounts represent approximate income and gain/(loss) amounts and should not be used for final Internal Revenue Service reporting.

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Market reconciliation

	Statement period	January 1 to December 31
Beginning market value	$3,931,394.88	$3,931,394.88
Receipts	108,692.27	108,692.27
Disbursements	(352,117.18)	(352,117.18)
Income	93,408.39	93,408.39
Capital gains distributions	41,281.39	41,281.39
Change in asset market value	482,911.47	482,911.47
Ending market value	$4,305,571.22	$4,305,571.22

The Market reconciliation provides a summary of all activity in the account. The Receipts and Disbursements categories may include Non-Cash Transactions such as transfers within the account, name change transactions, asset receipts and distributions, and stock split transactions. The Transaction detail section provides an itemized list of all transactions. The Transaction summary provides a summary of cash receipt and disbursement transactions.

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Transaction summary

	Income	Principal
Beginning cash balance 1/1/06	$(55,606.82)	$55,606.82
Cash receipts		
Dividends (domestic/foreign/mutual fund income)	$90,596.41	$0.00
Interest (taxable/non-taxable)	2,811.98	0.00
Other cash receipts	62,059.40	35,301.76
Total cash receipts	$155,467.79	$35,301.76
Cash disbursements		
Cash disbursements	$(125,480.84)	$(152,919.35)
Administrative expenses	(11,864.71)	(11,864.78)
Total cash disbursements	$(137,345.55)	$(164,784.13)
Investment transactions		
Purchases	$0.00	$(1,038,569.99)
Sales and maturities	0.00	1,149,930.12
Total investment transactions	$0.00	$111,360.13
Ending cash balance 12/31/06	$(37,484.58)	$37,484.58

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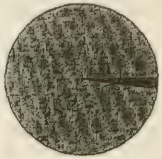
Only the Asset allocation analysis includes mutual fund holdings. The Bond quality and maturity analyses (if applicable) are displayed excluding mutual funds.

Investment objective: Growth Investment Management

Captures the upside return potential of risky assets (equities and medium volatility hedge funds) while maintaining a modest exposure to low volatility and stable return assets (fixed income, cash, and medium volatility hedge funds) for portfolio efficiency and some downside protection.

Asset allocation



2.3% - Cash equivalents

97.7% - Equities
- 18.1% - *Consumer non-durable*
- 11.0% - *Technology*
- 9.6% - *Health care*
- 9.0% - *Banks*
- 8.2% - *Finance*
- 41.8% - *Other equities*

The Asset allocation may not be exactly as described in the Investment objective due to Other Asset holdings.

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Holdings detail

Cash equivalents

Very liquid assets

Description	Quantity	Share price or % of par	Market value	Tax cost	Unrealized gain(loss)	Current yield	Estimated annual income
JPMORGAN LIQUID ASSTS MNY MKT INVEST	100,742.570	1.000	$100,742.57	$100,742.57	$0.00	4.89%	$4,926.31
INCOME CASH	(37,484.580)	1.000	(37,484.58)	(37,484.58)	0.00	0.00%	0.00
PRINCIPAL CASH	37,484.580	1.000	37,484.58	37,484.58	0.00	0.00%	0.00
Total cash equivalents			$100,742.57	$100,742.57	$0.00		$4,926.31

Equities

Banks

Description	Quantity	Share price or % of par	Market value	Tax cost	Unrealized gain(loss)	Current yield	Estimated annual income
BANK OF AMERICA CORP	2,000.000	53.390	$106,780.00	$28,303.00	$78,477.00	4.20%	$4,480.00
JPMORGAN CHASE & CO	3,102.000	48.300	149,826.60	20,051.42	129,775.18	2.82%	4,218.72
US BANCORP DEL NEW	3,648.000	36.190	132,021.12	9,315.14	122,705.98	4.42%	5,836.80
Total banks			$388,627.72	$57,669.56	$330,958.16		$14,535.52

Capital equipment

Description	Quantity	Share price or % of par	Market value	Tax cost	Unrealized gain(loss)	Current yield	Estimated annual income
CORNING INC	3,500.000	18.710	65,485.00	76,465.00	(10,980.00)	0.00%	0.00
GENERAL ELEC CO	2,500.000	37.210	93,025.00	80,740.70	12,284.30	3.01%	2,800.00
TYCO INTL LTD NEW	2,500.000	30.400	76,000.00	54,435.00	21,565.00	1.32%	1,000.00
3M CO	1,000.000	77.930	77,930.00	70,873.00	7,057.00	2.36%	1,840.00
Total capital equipment			$312,440.00	$282,513.70	$29,926.30		$5,640.00

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Holdings detail continued

Description	Quantity	Share price or % of par	Market value	Tax cost	Unrealized gain(loss)	Current yield	Estimated annual income
Consumer capital spending							
JOHNSON CTLS INC	1,000.000	85.920	$85,920.00	$17,952.00	$67,968.00	1.54%	$1,320.00
Consumer cyclical							
CBS CORP NEW CL B	2,000.000	31.180	62,360.00	53,603.03	8,756.97	2.57%	1,600.00
COMCAST CORP NEW CL A	1,750.000	42.330	74,077.50	50,825.51	23,251.99	0.00%	0.00
Total consumer cyclical			$136,437.50	$104,428.54	$32,008.96		$1,600.00
Consumer non-durable							
KIMBERLY CLARK CORP	11,500.000	67.950	781,425.00	156,203.49	625,221.51	2.88%	22,540.00
Defense							
UNITED TECHNOLOGIES CORP	1,000.000	62.520	62,520.00	51,035.50	11,484.50	1.69%	1,060.00
Energy							
CHEVRON CORP	1,539.000	73.530	113,162.67	53,787.64	59,375.03	2.83%	3,201.12
CONOCOPHILLIPS	1,500.000	71.950	107,925.00	89,490.00	18,435.00	2.00%	2,160.00
EXXON MOBIL CORP	1,500.000	76.630	114,945.00	65,676.80	49,268.20	1.67%	1,920.00
Total energy			$336,032.67	$208,954.44	$127,078.23		$7,281.12
Finance							
ALLSTATE CORP	1,000.000	65.110	65,110.00	59,980.00	5,130.00	2.15%	1,400.00
BEAR STEARNS COS INC	500.000	162.780	81,390.00	40,224.00	41,166.00	0.79%	640.00
CITIGROUP INC	2,500.000	55.700	139,250.00	116,755.00	22,495.00	3.52%	4,900.00
FHLMC	1,000.000	67.900	67,900.00	55,505.00	12,395.00	2.95%	2,000.00
Total finance			$353,650.00	$272,464.00	$81,186.00		$8,940.00

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Holdings detail continued

Description	Quantity	Share price or % of par	Market value	Tax cost	Unrealized gain(loss)	Current yield	Estimated annual income
Health care							
AMGEN INC	1,500.000	68.310	$102,465.00	$97,065.00	$5,400.00	0.00%	$0.00
JOHNSON & JOHNSON	2,000.000	66.020	132,040.00	112,529.50	19,510.50	2.27%	3,000.00
MEDTRONIC INC	800.000	53.510	42,808.00	37,351.00	5,457.00	0.82%	352.00
UNITEDHEALTH GROUP INC	2,500.000	53.730	134,325.00	95,182.75	39,142.25	0.06%	75.00
Total health care			$411,638.00	$342,128.25	$69,509.75		$3,427.00
Industrial commodities							
ALCOA INC	3,000.000	30.010	90,030.00	90,390.00	(360.00)	2.00%	1,800.00
Mutual funds							
ISHARES TR MSCI EAFE INDEX FD	1,000.000	73.220	73,220.00	73,900.00	(680.00)	0.00%	0.00
JPMORGAN ASIA EQUITY FUND	2,205.558	29.680	65,460.96	50,000.00	15,460.96	0.34%	222.76
JPMORGAN DIVERSIFIED MID CAP GROWTH	4,023.573	23.370	94,030.90	85,675.05	8,355.85	0.00%	0.00
JPMORGAN DIVERSIFIED MID CAP VALUE	5,316.966	14.700	78,159.40	85,197.39	(7,037.99)	1.28%	999.59
Total mutual funds			$310,871.26	$294,772.44	$16,098.82		$1,222.35
Other utilities							
DOMINION RES INC VA NEW	500.000	83.840	41,920.00	32,052.00	9,868.00	3.29%	1,380.00
SOUTHERN CO	400.000	36.860	14,744.00	2,802.50	11,941.50	4.20%	620.00
Total other utilities			$56,664.00	$34,854.50	$21,809.50		$2,000.00

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Holdings detail continued

Retail Description	Quantity	Share price or % of par	Market value	Tax cost	Unrealized gain(loss)	Current yield	Estimated annual income
CVS CORP	1,500.000	30.910	$46,365.00	$33,892.50	$12,472.50	0.50%	$232.50
HOME DEPOT INC	1,500.000	40.160	60,240.00	56,309.00	3,931.00	2.24%	1,350.00
KOHLS CORP	1,000.000	68.430	68,430.00	52,083.50	16,346.50	0.00%	0.00
TARGET CORP	1,500.000	57.050	85,575.00	65,628.00	19,947.00	0.84%	720.00
Total retail			$260,610.00	$207,913.00	$52,697.00		$2,302.50
Technology							
CISCO SYS INC	4,000.000	27.330	109,320.00	79,704.00	29,616.00	0.00%	0.00
HEWLETT PACKARD CO	2,500.000	41.190	102,975.00	54,077.00	48,898.00	0.78%	800.00
INTERNATIONAL BUSINESS MACHS CORP	750.000	97.150	72,862.50	56,428.00	16,434.50	1.23%	900.00
MICROSOFT CORP	3,000.000	29.860	89,580.00	70,578.20	19,001.80	1.34%	1,200.00
QUALCOMM INC	1,500.000	37.790	56,685.00	57,840.00	(1,155.00)	1.27%	720.00
TEXAS INSTRS INC	1,500.000	28.800	43,200.00	36,705.00	6,495.00	0.56%	240.00
Total technology			$474,622.50	$355,332.20	$119,290.30		$3,860.00
Telephone utilities							
VERIZON COMMUNICATIONS	2,000.000	37.240	74,480.00	69,200.57	5,279.43	4.35%	3,240.00
Transportation							
CSX CORP	2,000.000	34.430	68,860.00	29,420.00	39,440.00	1.16%	800.00
Total equities			$4,204,828.65	$2,575,232.19	$1,629,596.46		$81,568.49
Total holdings			**$4,305,571.22**	**$2,675,974.76**	**$1,629,596.46**		**$86,494.80**

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Holdings detail continued

Accruals (Income earned but not yet received)	$10,863.92
Total holdings with accruals	**$4,316,435.14**

Assets identified with an asterisk (*) at the beginning of the asset name are held in the Invested Income Portfolio.

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Transaction detail

	Income	Principal
Beginning cash balance 1/1/06	$(55,606.82)	$55,606.82

Cash receipts

Foreign dividends

Date	Description	Realized gain/(loss)	Income	Principal
2/2/06	CASH RECEIPT OF DIVIDEND EARNED ON TYCO INTL LTD NEW $0.10/SHARE ON 2,500 SHARES DUE 2/2/06 FOREIGN CASH DIVIDEND		$250.00	
5/3/06	CASH RECEIPT OF DIVIDEND EARNED ON TYCO INTL LTD NEW $0.10/SHARE ON 2,500 SHARES DUE 5/3/06 FOREIGN CASH DIVIDEND		250.00	
8/1/06	CASH RECEIPT DIVIDEND - FOREIGN TYCO INTL LTD NEW C#902124106 FOREIGN CASH DIVIDEND		250.00	
11/9/06	CASH RECEIPT OF DIVIDEND EARNED ON TYCO INTL LTD NEW $0.10/SHARE ON 2,500 SHARES DUE 11/9/06 FOREIGN CASH DIVIDEND		250.00	
Total foreign dividends			$1,000.00	$0.00

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Transaction detail continued

Domestic dividends

Date	Description	Realized gain/(loss)	Income	Principal
1/3/06	CASH RECEIPT OF DIVIDEND EARNED ON VIACOM INC CL B $0.07/SHARE ON 1,000 SHARES DUE 1/1/06		$70.00	
1/3/06	CASH RECEIPT OF DIVIDEND EARNED ON JOHNSON CTLS INC $0.28/SHARE ON 1,000 SHARES DUE 1/3/06		280.00	
1/4/06	CASH RECEIPT OF DIVIDEND EARNED ON HEWLETT PACKARD CO $0.08/SHARE ON 2,500 SHARES DUE 1/4/06		200.00	
1/4/06	CASH RECEIPT OF DIVIDEND EARNED ON KIMBERLY CLARK CORP $0.45/SHARE ON 24,000 SHARES DUE 1/4/06		10,800.00	
1/17/06	CASH RECEIPT OF DIVIDEND EARNED ON US BANCORP DEL NEW $0.33/SHARE ON 3,648 SHARES DUE 1/16/06		1,203.84	
1/25/06	CASH RECEIPT OF DIVIDEND EARNED ON GENERAL ELEC CO $0.25/SHARE ON 1,300 SHARES DUE 1/25/06		325.00	
1/27/06	CASH RECEIPT OF DIVIDEND EARNED ON BEAR STEARNS COS INC $0.28/SHARE ON 500 SHARES DUE 1/27/06		140.00	

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Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
1/27/06	CASH RECEIPT OF DIVIDEND EARNED ON MEDTRONIC INC $0.09625/SHARE ON 800 SHARES DUE 1/27/06		$77.00	
1/31/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN CHASE & CO $0.34/SHARE ON 3,102 SHARES DUE 1/31/06		1,054.68	
2/1/06	CASH RECEIPT OF DIVIDEND EARNED ON VERIZON COMMUNICATIONS $0.405/SHARE ON 1,500 SHARES DUE 2/1/06		607.50	
2/3/06	CASH RECEIPT OF DIVIDEND EARNED ON CVS CORP $0.03875/SHARE ON 1,500 SHARES DUE 2/3/06		58.13	
2/13/06	CASH RECEIPT OF DIVIDEND EARNED ON TEXAS INSTRS INC $0.03/SHARE ON 1,500 SHARES DUE 2/13/06		45.00	
2/24/06	CASH RECEIPT OF DIVIDEND EARNED ON CITIGROUP INC $0.49/SHARE ON 2,500 SHARES DUE 2/24/06		1,225.00	
2/27/06	CASH RECEIPT OF DIVIDEND EARNED ON ALCOA INC $0.15/SHARE ON 1,500 SHARES DUE 2/25/06		225.00	
3/1/06	CASH RECEIPT OF DIVIDEND EARNED ON INTEL CORP $0.10/SHARE ON 3,000 SHARES DUE 3/1/06		300.00	

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Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
3/6/06	CASH RECEIPT OF DIVIDEND EARNED ON SOUTHERN CO $0.3725/SHARE ON 400 SHARES DUE 3/6/06		$149.00	
3/10/06	CASH RECEIPT OF DIVIDEND EARNED ON CHEVRON CORP $0.45/SHARE ON 1,539 SHARES DUE 3/10/06		692.55	
3/10/06	CASH RECEIPT OF DIVIDEND EARNED ON EXXON MOBIL CORP $0.32/SHARE ON 1,500 SHARES DUE 3/10/06		480.00	
3/10/06	CASH RECEIPT OF DIVIDEND EARNED ON INTERNATIONAL BUSINESS MACHS CORP $0.20/SHARE ON 750 SHARES DUE 3/10/06		150.00	
3/10/06	CASH RECEIPT OF DIVIDEND EARNED ON TARGET CORP $0.10/SHARE ON 950 SHARES DUE 3/10/06		95.00	
3/10/06	CASH RECEIPT OF DIVIDEND EARNED ON UNITED TECHNOLOGIES CORP $0.22/SHARE ON 1,000 SHARES DUE 3/10/06		220.00	
3/13/06	CASH RECEIPT OF DIVIDEND EARNED ON 3M CO $0.46/SHARE ON 750 SHARES DUE 3/12/06		345.00	
3/14/06	CASH RECEIPT OF DIVIDEND EARNED ON JOHNSON & JOHNSON $0.33/SHARE ON 2,000 SHARES DUE 3/14/06		660.00	

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Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
3/15/06	CASH RECEIPT OF DIVIDEND EARNED ON CSX CORP $0.13/SHARE ON 1,000 SHARES DUE 3/15/06		$130.00	
3/17/06	CASH RECEIPT OF DIVIDEND EARNED ON AMERICAN INTL GROUP INC $0.15/SHARE ON 750 SHARES DUE 3/17/06		112.50	
3/20/06	CASH RECEIPT OF DIVIDEND EARNED ON DOMINION RES INC VA NEW $0.69/SHARE ON 500 SHARES DUE 3/20/06		345.00	
3/23/06	CASH RECEIPT OF DIVIDEND EARNED ON HOME DEPOT INC $0.15/SHARE ON 1,500 SHARES DUE 3/23/06		225.00	
3/24/06	CASH RECEIPT OF DIVIDEND EARNED ON BANK OF AMERICA CORP $0.50/SHARE ON 1,600 SHARES DUE 3/24/06		800.00	
3/31/06	CASH RECEIPT OF DIVIDEND EARNED ON FHLMC $0.47/SHARE ON 1,000 SHARES DUE 3/31/06		470.00	
3/31/06	CASH RECEIPT OF DIVIDEND EARNED ON JOHNSON CTLS INC $0.28/SHARE ON 1,000 SHARES DUE 3/31/06		280.00	
4/3/06	CASH RECEIPT OF DIVIDEND EARNED ON CBS CORP NEW CL B $0.16/SHARE ON 750 SHARES DUE 4/1/06		120.00	

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Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
4/4/06	CASH RECEIPT OF DIVIDEND EARNED ON KIMBERLY CLARK CORP $0.49/SHARE ON 21,500 SHARES DUE 4/4/06		$10,535.00	
4/5/06	CASH RECEIPT OF DIVIDEND EARNED ON HEWLETT PACKARD CO $0.08/SHARE ON 2,500 SHARES DUE 4/5/06		200.00	
4/17/06	CASH RECEIPT OF DIVIDEND EARNED ON UNITEDHEALTH GROUP INC $0.03/SHARE ON 1,800 SHARES DUE 4/17/06		54.00	
4/17/06	CASH RECEIPT OF DIVIDEND EARNED ON US BANCORP DEL NEW $0.33/SHARE ON 3,648 SHARES DUE 4/17/06		1,203.84	
4/25/06	CASH RECEIPT OF DIVIDEND EARNED ON GENERAL ELEC CO $0.25/SHARE ON 1,300 SHARES DUE 4/25/06		325.00	
4/28/06	CASH RECEIPT OF DIVIDEND EARNED ON BEAR STEARNS COS INC $0.28/SHARE ON 500 SHARES DUE 4/28/06		140.00	
4/28/06	CASH RECEIPT OF DIVIDEND EARNED ON MEDTRONIC INC $0.09625/SHARE ON 800 SHARES DUE 4/28/06		77.00	
5/1/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN CHASE & CO $0.34/SHARE ON 3,102 SHARES DUE 4/30/06		1,054.68	

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Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
5/1/06	CASH RECEIPT OF DIVIDEND EARNED ON VERIZON COMMUNICATIONS $0.405/SHARE ON 2,000 SHARES DUE 5/1/06		$810.00	
5/3/06	CASH RECEIPT OF DIVIDEND EARNED ON CVS CORP $0.03875/SHARE ON 1,500 SHARES DUE 5/3/06		58.13	
5/22/06	CASH RECEIPT OF DIVIDEND EARNED ON TEXAS INSTRS INC $0.03/SHARE ON 1,500 SHARES DUE 5/22/06		45.00	
5/25/06	CASH RECEIPT OF DIVIDEND EARNED ON ALCOA INC $0.15/SHARE ON 1,500 SHARES DUE 5/25/06		225.00	
5/26/06	CASH RECEIPT OF DIVIDEND EARNED ON CITIGROUP INC $0.49/SHARE ON 2,500 SHARES DUE 5/26/06		1,225.00	
6/1/06	CASH RECEIPT OF DIVIDEND EARNED ON CONOCOPHILLIPS $0.36/SHARE ON 1,000 SHARES DUE 6/1/06		360.00	
6/1/06	CASH RECEIPT OF DIVIDEND EARNED ON INTEL CORP $0.10/SHARE ON 3,000 SHARES DUE 6/1/06		300.00	
6/6/06	CASH RECEIPT OF DIVIDEND EARNED ON SOUTHERN CO $0.3875/SHARE ON 400 SHARES DUE 6/6/06		155.00	

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Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
6/9/06	CASH RECEIPT OF DIVIDEND EARNED ON EXXON MOBIL CORP $0.32/SHARE ON 1,500 SHARES DUE 6/9/06		$480.00	
6/12/06	CASH RECEIPT OF DIVIDEND EARNED ON INTERNATIONAL BUSINESS MACHS CORP $0.30/SHARE ON 750 SHARES DUE 6/10/06		225.00	
6/12/06	CASH RECEIPT OF DIVIDEND EARNED ON TARGET CORP $0.10/SHARE ON 950 SHARES DUE 6/10/06		95.00	
6/12/06	CASH RECEIPT OF DIVIDEND EARNED ON UNITED TECHNOLOGIES CORP $0.265/SHARE ON 1,000 SHARES DUE 6/10/06		265.00	
6/12/06	CASH RECEIPT OF DIVIDEND EARNED ON CHEVRON CORP $0.52/SHARE ON 1,539 SHARES DUE 6/12/06		800.28	
6/12/06	CASH RECEIPT OF DIVIDEND EARNED ON 3M CO $0.46/SHARE ON 750 SHARES DUE 6/12/06		345.00	
6/13/06	CASH RECEIPT OF DIVIDEND EARNED ON JOHNSON & JOHNSON $0.375/SHARE ON 2,000 SHARES DUE 6/13/06		750.00	
6/15/06	CASH RECEIPT OF DIVIDEND EARNED ON CSX CORP $0.13/SHARE ON 1,000 SHARES DUE 6/15/06		130.00	

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JPMorgan

Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
6/16/06	CASH RECEIPT OF DIVIDEND EARNED ON AMERICAN INTL GROUP INC $0.15/SHARE ON 750 SHARES DUE 6/16/06		$112.50	
6/20/06	CASH RECEIPT OF DIVIDEND EARNED ON DOMINION RES INC VA NEW $0.69/SHARE ON 500 SHARES DUE 6/20/06		345.00	
6/22/06	CASH RECEIPT OF DIVIDEND EARNED ON HOME DEPOT INC $0.15/SHARE ON 1,500 SHARES DUE 6/22/06		225.00	
6/23/06	CASH RECEIPT OF DIVIDEND EARNED ON BANK OF AMERICA CORP $0.50/SHARE ON 1,600 SHARES DUE 6/23/06		800.00	
6/30/06	CASH RECEIPT OF DIVIDEND EARNED ON FHLMC $0.47/SHARE ON 1,000 SHARES DUE 6/30/06		470.00	
6/30/06	CASH RECEIPT OF DIVIDEND EARNED ON JOHNSON CTLS INC $0.28/SHARE ON 1,000 SHARES DUE 6/30/06		280.00	
7/3/06	CASH RECEIPT OF DIVIDEND EARNED ON CBS CORP NEW CL B $0.18/SHARE ON 2,000 SHARES DUE 7/1/06		360.00	
7/5/06	CASH RECEIPT OF DIVIDEND EARNED ON HEWLETT PACKARD CO $0.08/SHARE ON 2,500 SHARES DUE 7/5/06		200.00	

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Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
7/5/06	CASH RECEIPT OF DIVIDEND EARNED ON KIMBERLY CLARK CORP $0.49/SHARE ON 19,000 SHARES DUE 7/5/06		$9,310.00	
7/17/06	CASH RECEIPT OF DIVIDEND EARNED ON US BANCORP DEL NEW $0.33/SHARE ON 3,648 SHARES DUE 7/17/06		1,203.84	
7/25/06	CASH RECEIPT OF DIVIDEND EARNED ON GENERAL ELEC CO $0.25/SHARE ON 2,000 SHARES DUE 7/25/06		500.00	
7/28/06	CASH RECEIPT OF DIVIDEND EARNED ON BEAR STEARNS COS INC $0.28/SHARE ON 500 SHARES DUE 7/28/06		140.00	
7/28/06	CASH RECEIPT OF DIVIDEND EARNED ON MEDTRONIC INC $0.11/SHARE ON 800 SHARES DUE 7/28/06		88.00	
7/31/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN CHASE & CO $0.34/SHARE ON 3,102 SHARES DUE 7/31/06		1,054.68	
8/1/06	CASH RECEIPT OF DIVIDEND EARNED ON CVS CORP $0.03875/SHARE ON 1,500 SHARES DUE 8/1/06		58.13	
8/1/06	CASH RECEIPT OF DIVIDEND EARNED ON VERIZON COMMUNICATIONS $0.405/SHARE ON 2,000 SHARES DUE 8/1/06		810.00	

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JPMorgan

Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
8/21/06	CASH RECEIPT OF DIVIDEND EARNED ON TEXAS INSTRS INC $0.03/SHARE ON 1,500 SHARES DUE 8/21/06		$45.00	
8/25/06	CASH RECEIPT OF DIVIDEND EARNED ON ALCOA INC $0.15/SHARE ON 1,500 SHARES DUE 8/25/06		225.00	
8/25/06	CASH RECEIPT OF DIVIDEND EARNED ON CITIGROUP INC $0.49/SHARE ON 2,500 SHARES DUE 8/25/06		1,225.00	
9/1/06	CASH RECEIPT OF DIVIDEND EARNED ON CONOCOPHILLIPS $0.36/SHARE ON 1,000 SHARES DUE 9/1/06		360.00	
9/6/06	CASH RECEIPT OF DIVIDEND EARNED ON SOUTHERN CO $0.3875/SHARE ON 400 SHARES DUE 9/6/06		155.00	
9/11/06	CASH RECEIPT OF DIVIDEND EARNED ON INTERNATIONAL BUSINESS MACHS CORP $0.30/SHARE ON 750 SHARES DUE 9/9/06		225.00	
9/11/06	CASH RECEIPT OF DIVIDEND EARNED ON TARGET CORP $0.12/SHARE ON 950 SHARES DUE 9/10/06		114.00	
9/11/06	CASH RECEIPT OF DIVIDEND EARNED ON UNITED TECHNOLOGIES CORP $0.265/SHARE ON 1,000 SHARES DUE 9/10/06		265.00	

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Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
9/11/06	CASH RECEIPT OF DIVIDEND EARNED ON CHEVRON CORP $0.52/SHARE ON 1,539 SHARES DUE 9/11/06		$800.28	
9/11/06	CASH RECEIPT OF DIVIDEND EARNED ON EXXON MOBIL CORP $0.32/SHARE ON 1,500 SHARES DUE 9/11/06		480.00	
9/12/06	CASH RECEIPT OF DIVIDEND EARNED ON JOHNSON & JOHNSON $0.375/SHARE ON 2,000 SHARES DUE 9/12/06		750.00	
9/12/06	CASH RECEIPT OF DIVIDEND EARNED ON 3M CO $0.46/SHARE ON 750 SHARES DUE 9/12/06		345.00	
9/14/06	CASH RECEIPT OF DIVIDEND EARNED ON MICROSOFT CORP $0.09/SHARE ON 2,000 SHARES DUE 9/14/06		180.00	
9/15/06	CASH RECEIPT OF DIVIDEND EARNED ON AMERICAN INTL GROUP INC $0.165/SHARE ON 750 SHARES DUE 9/15/06		123.75	
9/15/06	CASH RECEIPT OF DIVIDEND EARNED ON CSX CORP $0.10/SHARE ON 2,000 SHARES DUE 9/15/06		200.00	
9/20/06	CASH RECEIPT OF DIVIDEND EARNED ON DOMINION RES INC VA NEW $0.69/SHARE ON 500 SHARES DUE 9/20/06		345.00	

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Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
9/21/06	CASH RECEIPT OF DIVIDEND EARNED ON HOME DEPOT INC $0.15/SHARE ON 1,500 SHARES DUE 9/21/06		$225.00	
9/22/06	CASH RECEIPT OF DIVIDEND EARNED ON BANK OF AMERICA CORP $0.56/SHARE ON 2,000 SHARES DUE 9/22/06		1,120.00	
9/29/06	CASH RECEIPT OF DIVIDEND EARNED ON FHLMC $0.47/SHARE ON 1,000 SHARES DUE 9/29/06		470.00	
9/29/06	CASH RECEIPT OF DIVIDEND EARNED ON JOHNSON CTLS INC $0.28/SHARE ON 1,000 SHARES DUE 9/29/06		280.00	
10/2/06	CASH RECEIPT OF DIVIDEND EARNED ON CBS CORP NEW CL B $0.20/SHARE ON 2,000 SHARES DUE 10/1/06		400.00	
10/3/06	CASH RECEIPT OF DIVIDEND EARNED ON KIMBERLY CLARK CORP $0.49/SHARE ON 16,500 SHARES DUE 10/3/06		8,085.00	
10/4/06	CASH RECEIPT OF DIVIDEND EARNED ON HEWLETT PACKARD CO $0.08/SHARE ON 2,500 SHARES DUE 10/4/06		200.00	
10/16/06	CASH RECEIPT OF DIVIDEND EARNED ON US BANCORP DEL NEW $0.33/SHARE ON 3,648 SHARES DUE 10/16/06		1,203.84	

6103

Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
10/25/06	CASH RECEIPT OF DIVIDEND EARNED ON GENERAL ELEC CO $0.25/SHARE ON 2,000 SHARES DUE 10/25/06		$500.00	
10/27/06	CASH RECEIPT OF DIVIDEND EARNED ON BEAR STEARNS COS INC $0.28/SHARE ON 500 SHARES DUE 10/27/06		140.00	
10/27/06	CASH RECEIPT OF DIVIDEND EARNED ON MEDTRONIC INC $0.11/SHARE ON 800 SHARES DUE 10/27/06		88.00	
10/31/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN CHASE & CO $0.34/SHARE ON 3,102 SHARES DUE 10/31/06		1,054.68	
11/1/06	CASH RECEIPT OF DIVIDEND EARNED ON CVS CORP $0.03875/SHARE ON 1,500 SHARES DUE 11/1/06		58.13	
11/1/06	CASH RECEIPT OF DIVIDEND EARNED ON VERIZON COMMUNICATIONS $0.405/SHARE ON 2,000 SHARES DUE 11/1/06		810.00	
11/20/06	CASH RECEIPT OF DIVIDEND EARNED ON TEXAS INSTRS INC $0.04/SHARE ON 1,500 SHARES DUE 11/20/06		60.00	
11/22/06	CASH RECEIPT OF DIVIDEND EARNED ON CITIGROUP INC $0.49/SHARE ON 2,500 SHARES DUE 11/22/06		1,225.00	

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JPMorgan

Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
11/27/06	CASH RECEIPT OF DIVIDEND EARNED ON ALCOA INC $0.15/SHARE ON 2,500 SHARES DUE 11/25/06		$375.00	
12/1/06	CASH RECEIPT OF DIVIDEND EARNED ON CONOCOPHILLIPS $0.36/SHARE ON 1,500 SHARES DUE 12/1/06		540.00	
12/6/06	CASH RECEIPT OF DIVIDEND EARNED ON SOUTHERN CO $0.3875/SHARE ON 400 SHARES DUE 12/6/06		155.00	
12/11/06	CASH RECEIPT OF DIVIDEND EARNED ON INTERNATIONAL BUSINESS MACHS CORP $0.30/SHARE ON 750 SHARES DUE 12/9/06		225.00	
12/11/06	CASH RECEIPT OF DIVIDEND EARNED ON TARGET CORP $0.12/SHARE ON 1,500 SHARES DUE 12/10/06		180.00	
12/11/06	CASH RECEIPT OF DIVIDEND EARNED ON UNITED TECHNOLOGIES CORP $0.265/SHARE ON 1,000 SHARES DUE 12/10/06		265.00	
12/11/06	CASH RECEIPT OF DIVIDEND EARNED ON CHEVRON CORP $0.52/SHARE ON 1,539 SHARES DUE 12/11/06		800.28	
12/11/06	CASH RECEIPT OF DIVIDEND EARNED ON EXXON MOBIL CORP $0.32/SHARE ON 1,500 SHARES DUE 12/11/06		480.00	

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Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
12/12/06	CASH RECEIPT OF DIVIDEND EARNED ON JOHNSON & JOHNSON $0.375/SHARE ON 2,000 SHARES DUE 12/12/06		$750.00	
12/12/06	CASH RECEIPT OF DIVIDEND EARNED ON 3M CO $0.46/SHARE ON 1,000 SHARES DUE 12/12/06		460.00	
12/14/06	CASH RECEIPT OF DIVIDEND EARNED ON HOME DEPOT INC $0.225/SHARE ON 1,500 SHARES DUE 12/14/06		337.50	
12/14/06	CASH RECEIPT OF DIVIDEND EARNED ON MICROSOFT CORP $0.10/SHARE ON 3,000 SHARES DUE 12/14/06		300.00	
12/15/06	CASH RECEIPT OF DIVIDEND EARNED ON CSX CORP $0.10/SHARE ON 2,000 SHARES DUE 12/15/06		200.00	
12/20/06	CASH RECEIPT OF DIVIDEND EARNED ON DOMINION RES INC VA NEW $0.69/SHARE ON 500 SHARES DUE 12/20/06		345.00	
12/22/06	CASH RECEIPT OF DIVIDEND EARNED ON BANK OF AMERICA CORP $0.56/SHARE ON 2,000 SHARES DUE 12/22/06		1,120.00	

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Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
12/29/06	CASH RECEIPT OF DIVIDEND EARNED ON FHLMC $0.50/SHARE ON 1,000 SHARES DUE 12/29/06		$500.00	
Total domestic dividends			$86,490.74	$0.00
Mutual fund income				
1/3/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN DIVERSIFIED MID CAP VALUE $0.02563/SHARE ON 5,316.966 SHARES DUE 1/3/06		136.27	
1/3/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN SMALL CAP VALUE FUND $0.05806/SHARE ON 1,202.384 SHARES DUE 1/3/06		69.81	
4/3/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN DIVERSIFIED MID CAP VALUE $0.07308/SHARE ON 5,316.966 SHARES DUE 4/3/06		388.56	
4/3/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN SMALL CAP VALUE FUND $0.03812/SHARE ON 1,202.384 SHARES DUE 4/3/06		45.83	
7/3/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN DIVERSIFIED MID CAP VALUE $0.04303/SHARE ON 5,316.966 SHARES DUE 7/3/06		228.79	
7/3/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN SMALL CAP VALUE FUND $0.05407/SHARE ON 1,202.384 SHARES DUE 7/3/06		65.01	

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Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
10/2/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN DIVERSIFIED MID CAP VALUE $0.02419/SHARE ON 5,316.966 SHARES DUE 10/2/06		$128.62	
10/2/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN SMALL CAP VALUE FUND $0.02537/SHARE ON 1,202.384 SHARES DUE 10/2/06		30.50	
12/26/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN ASIA EQUITY FUND $0.10095/SHARE ON 2,205.558 SHARES DUE 12/26/06		222.65	
12/26/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN DIVERSIFIED MID CAP VALUE $0.04818/SHARE ON 5,316.966 SHARES DUE 12/26/06		256.17	
12/28/06	CASH RECEIPT OF DIVIDEND EARNED ON ISHARES TR MSCI EAFE INDEX FD $1.53346/SHARE ON 1,000 SHARES DUE 12/28/06		1,533.46	
Total mutual fund income			$3,105.67	$0.00
Taxable interest				
1/3/06	CASH RECEIPT OF INTEREST EARNED ON JPMORGAN LIQUID ASSTS MNY MKT INVEST INTEREST FROM 12/1/05 TO 12/31/05		169.17	
2/1/06	CASH RECEIPT OF INTEREST EARNED ON JPMORGAN LIQUID ASSTS MNY MKT INVEST INTEREST FROM 1/1/06 TO 1/31/06		245.39	

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Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
3/1/06	CASH RECEIPT OF INTEREST EARNED ON JPMORGAN LIQUID ASSTS MNY MKT INVEST INTEREST FROM 2/1/06 TO 2/28/06		$229.94	
4/3/06	CASH RECEIPT OF INTEREST EARNED ON JPMORGAN LIQUID ASSTS MNY MKT INVEST INTEREST FROM 3/1/06 TO 3/31/06		312.58	
5/1/06	CASH RECEIPT OF INTEREST EARNED ON JPMORGAN LIQUID ASSTS MNY MKT INVEST INTEREST FROM 4/1/06 TO 4/30/06		194.36	
6/1/06	CASH RECEIPT OF INTEREST EARNED ON JPMORGAN LIQUID ASSTS MNY MKT INVEST INTEREST FROM 5/1/06 TO 5/31/06		198.35	
7/3/06	CASH RECEIPT OF INTEREST EARNED ON JPMORGAN LIQUID ASSTS MNY MKT INVEST INTEREST FROM 6/1/06 TO 6/30/06		243.43	
8/1/06	CASH RECEIPT OF INTEREST EARNED ON JPMORGAN LIQUID ASSTS MNY MKT INVEST INTEREST FROM 7/1/06 TO 7/31/06		266.80	
9/1/06	CASH RECEIPT OF INTEREST EARNED ON JPMORGAN LIQUID ASSTS MNY MKT INVEST INTEREST FROM 8/1/06 TO 8/31/06		282.30	
10/2/06	CASH RECEIPT OF INTEREST EARNED ON JPMORGAN LIQUID ASSTS MNY MKT INVEST INTEREST FROM 9/1/06 TO 9/30/06		274.59	

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Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
11/1/06	CASH RECEIPT OF INTEREST EARNED ON JPMORGAN LIQUID ASSTS MNY MKT INVEST INTEREST FROM 10/1/06 TO 10/31/06		$230.53	
12/1/06	CASH RECEIPT OF INTEREST EARNED ON JPMORGAN LIQUID ASSTS MNY MKT INVEST INTEREST FROM 11/1/06 TO 11/30/06		164.54	
Total taxable interest			$2,811.98	$0.00
Other cash receipts				
1/3/06	CASH RECEIPT TRANSFER FROM PRINCIPAL		55,606.82	
10/12/06	CASH RECEIPT CLASS ACTION SETTLEMENT CHECK FROM FIRST CHICAGO SECURITIES LITIGATION CUSIP[redacted] FOR SYNOPSIS SEE WWW.STRATEGICCLAIMS.NET RCVD PROCEEDS FROM CLOSED ACCT 9572007410			$472.95
12/18/06	RECEIVE LT CAPITAL GAINS DISTRIBUTION ON JPMORGAN DIVERSIFIED MID CAP GROWTH $3.5847/SHARE ON 4,023.573 SHARES DUE 12/18/06 LT CAPITAL GAIN OF $14,423.30 ON FEDERAL COST LT CAPITAL GAIN OF $14,423.30 ON STATE COST	$14,423.30		14,423.30

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Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
12/18/06	RECEIVE ST CAPITAL GAINS DISTRIBUTION ON JPMORGAN DIVERSIFIED MID CAP GROWTH $0.6058/SHARE ON 4,023.573 SHARES DUE 12/18/06 ST CAPITAL GAIN OF $2,437.60 ON FEDERAL COST ST CAPITAL GAIN OF $2,437.60 ON STATE COST	$2,437.60	$2,437.60	
12/18/06	RECEIVE LT CAPITAL GAINS DISTRIBUTION ON JPMORGAN SMALL CAP GROWTH FUND $0.9407/SHARE ON 2,546.252 SHARES DUE 12/18/06 LT CAPITAL GAIN OF $2,395.15 ON FEDERAL COST LT CAPITAL GAIN OF $2,395.15 ON STATE COST	2,395.15		$2,395.15
12/18/06	RECEIVE ST CAPITAL GAINS DISTRIBUTION ON JPMORGAN SMALL CAP GROWTH FUND $0.8496/SHARE ON 2,546.252 SHARES DUE 12/18/06 ST CAPITAL GAIN OF $2,163.35 ON FEDERAL COST ST CAPITAL GAIN OF $2,163.35 ON STATE COST	2,163.35	2,163.35	
12/18/06	RECEIVE LT CAPITAL GAINS DISTRIBUTION ON JPMORGAN ASIA EQUITY FUND $0.3877/SHARE ON 2,205.558 SHARES DUE 12/18/06 LT CAPITAL GAIN OF $855.16 ON FEDERAL COST LT CAPITAL GAIN OF $855.16 ON STATE COST	855.16		855.16

Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
12/18/06	RECEIVE ST CAPITAL GAINS DISTRIBUTION ON JPMORGAN ASIA EQUITY FUND $0.1062/SHARE ON 2,205.558 SHARES DUE 12/18/06 ST CAPITAL GAIN OF $234.19 ON FEDERAL COST ST CAPITAL GAIN OF $234.19 ON STATE COST	$234.19	$234.19	
12/18/06	RECEIVE LT CAPITAL GAINS DISTRIBUTION ON JPMORGAN DIVERSIFIED MID CAP VALUE $2.6273/SHARE ON 5,316.966 SHARES DUE 12/18/06 LT CAPITAL GAIN OF $13,969.10 ON FEDERAL COST LT CAPITAL GAIN OF $13,969.10 ON STATE COST	13,969.10		$13,969.10
12/18/06	RECEIVE ST CAPITAL GAINS DISTRIBUTION ON JPMORGAN DIVERSIFIED MID CAP VALUE $0.2252/SHARE ON 5,316.966 SHARES DUE 12/18/06 ST CAPITAL GAIN OF $1,197.17 ON FEDERAL COST ST CAPITAL GAIN OF $1,197.17 ON STATE COST	1,197.17	1,197.17	
12/18/06	RECEIVE LT CAPITAL GAINS DISTRIBUTION ON JPMORGAN SMALL CAP VALUE FUND $2.6498/SHARE ON 1,202.384 SHARES DUE 12/18/06 LT CAPITAL GAIN OF $3,186.10 ON FEDERAL COST LT CAPITAL GAIN OF $3,186.10 ON STATE COST	3,186.10		3,186.10

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JPMorgan

Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
12/18/06	RECEIVE ST CAPITAL GAINS DISTRIBUTION ON JPMORGAN SMALL CAP VALUE FUND $0.3495/SHARE ON 1,202.384 SHARES DUE 12/18/06 ST CAPITAL GAIN OF $420.27 ON FEDERAL COST ST CAPITAL GAIN OF $420.27 ON STATE COST	$420.27	$420.27	
Total other cash receipts			$62,059.40	$35,301.76
Total cash receipts			**$155,467.79**	**$35,301.76**

Cash disbursements

Other cash disbursements

Date	Description	Income	Principal
1/3/06	CASH DISBURSEMENT TRANSFER TO INCOME		$(55,606.82)
1/11/06	CASH DISBURSEMENT PAID TO U S TREASURY FIDUCIARY EST FED INCOME TAX ***WITX FEDERAL ESTIMATED TAX		(8,281.00)
3/31/06	CASH DISBURSEMENT PAID TO ACCOUNT [redacted] TO ANOTHER ACCOUNT PAID FOR MARGARET ANITA SENSENBRENNER TRANSFER FROM [redacted]	$(29,233.46)	

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Transaction detail continued

Date	Description	Income	Principal
4/6/06	CASH DISBURSEMENT PAID TO U S TREASURY FIDUCIARY FEDERAL INCOME TAX ***WITX TAX DUE ON FORM 1041 FOR CALENDAR YR RTN		$(38,845.00)
4/6/06	CASH DISBURSEMENT PAID TO WISCONSIN DEPT OF REVENUE FIDUCIARY STATE INCOME TAX ***WITX TAX DUE ON STATE FIDUCIARY CALENDAR YR RTN		(19.00)
6/9/06	CASH DISBURSEMENT PAID TO U S TREASURY FIDUCIARY EST FED INCOME TAX ***WITX FEDERAL ESTIMATED TAX		(21,800.00)
6/9/06	CASH DISBURSEMENT PAID TO WISCONSIN DEPT OF REVENUE FIDUCIARY EST STATE TAX ***WITX INSTALLMENT ON STATE FIDUCIARY INCOME TAX		(3,663.00)
8/30/06	CASH DISBURSEMENT PAID TO ACCOUNT [redacted] TO ANOTHER ACCOUNT PAID FOR MARGARET ANITA SENSENBRENNER TRANSFER FROM [redacted]	$(29,233.46)	
9/11/06	CASH DISBURSEMENT PAID TO U S TREASURY FIDUCIARY EST FED INCOME TAX ***WITX FEDERAL ESTIMATED TAX		(12,048.00)

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JPMorgan

Transaction detail continued

Date	Description	Income	Principal
9/11/06	CASH DISBURSEMENT PAID TO WISCONSIN DEPT OF REVENUE FIDUCIARY EST STATE TAX ***WITX INSTALLMENT ON STATE FIDUCIARY INCOME TAX		$(2,048.00)
10/2/06	CASH DISBURSEMENT PAID TO ACCOUNT [redacted] TO ANOTHER ACCOUNT PAID FOR MARGARET ANITA SENSENBRENNER TRANSFER FROM [redacted]	$(29,233.46)	
10/31/06	CASH DISBURSEMENT PAID TO JPMCHASE WISCONSIN [redacted] ACH TRANSFER TO SAVINGS ACCT PAID FOR F JAMES SENSENBRENNER JR [redacted] N/O F JAMES SENSENBRENNER JR	(8,547.00)	
10/31/06	CASH DISBURSEMENT PAID TO JPMCHASE WISCONSIN [redacted] ACH TRANSFER TO SAVINGS ACCT PAID FOR F JAMES SENSENBRENNER JR [redacted] N/O F JAMES SENSENBRENNER JR		(8,547.00)
12/11/06	CASH DISBURSEMENT PAID TO FOLEY & LARDNER LLP LEGAL FEE PAID FOR F JAMES SENSENBRENNER JR ** [redacted]		(473.53)

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Transaction detail continued

Date	Description	Income	Principal
12/27/06	CASH DISBURSEMENT PAID TO WISCONSIN DEPT OF REVENUE FIDUCIARY EST STATE TAX ***WITX INSTALLMENT ON STATE FIDUCIARY INCOME TAX		$(1,788.00)
12/29/06	CASH DISBURSEMENT PAID TO ACCOUNT [redacted] TO ANOTHER ACCOUNT PAID FOR MARGARET ANITA SENSENBRENNER TRANSFER FROM [redacted]	$(29,233.46)	
Total other cash disbursements		$(125,480.84)	$(152,919.35)
Administrative expenses			
1/12/06	ADMINISTRATIVE FEES COLLECTED THROUGH DECEMBER MONTH END	(905.03)	(905.05)
2/13/06	ADMINISTRATIVE FEES COLLECTED THROUGH JANUARY MONTH END	(899.76)	(899.76)
3/13/06	ADMINISTRATIVE FEES COLLECTED THROUGH FEBRUARY MONTH END	(907.43)	(907.44)
4/12/06	ADMINISTRATIVE FEES COLLECTED THROUGH MARCH MONTH END	(937.69)	(937.70)
5/12/06	ADMINISTRATIVE FEES COLLECTED THROUGH APRIL MONTH END	(948.74)	(948.74)
6/12/06	ADMINISTRATIVE FEES COLLECTED THROUGH MAY MONTH END	(930.07)	(930.07)
7/12/06	ADMINISTRATIVE FEES COLLECTED THROUGH JUNE MONTH END	(941.66)	(941.67)

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Transaction detail continued

Date	Description	Income	Principal
8/3/06	FIDUCIARY TAX RETURN PREP COLLECTED 2005 FORMS 1041/2	$(370.00)	
8/3/06	FIDUCIARY TAX RETURN PREP COLLECTED 2005 FORMS 1041/2		$(370.00)
8/14/06	ADMINISTRATIVE FEES COLLECTED THROUGH JULY MONTH END	(948.12)	(948.12)
9/12/06	ADMINISTRATIVE FEES COLLECTED THROUGH AUGUST MONTH END	(967.75)	(967.75)
10/12/06	ADMINISTRATIVE FEES COLLECTED THROUGH SEPTEMBER MONTH END	(1,017.21)	(1,017.22)
11/13/06	ADMINISTRATIVE FEES COLLECTED THROUGH OCTOBER MONTH END	(1,040.03)	(1,040.04)
12/12/06	ADMINISTRATIVE FEES COLLECTED THROUGH NOVEMBER MONTH END	(1,051.22)	(1,051.22)
Total administrative expenses		$(11,864.71)	$(11,864.78)
Total cash disbursements		**$(137,345.55)**	**$(164,784.13)**

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Transaction detail continued

Investment transactions

Purchases

Date	Description	Realized gain/(loss)	Income	Principal
	COMBINED PURCHASES FOR THE PERIOD 1/ 1/06 - 12/31/06 OF JPMORGAN LIQUID ASSTS MNY MKT INVEST INVESTMENTS AT TAX COST: $309,220.29			$(309,220.29)
3/17/06	PURCHASED 1,000 SHARES OF CONOCOPHILLIPS TRADE DATE 3/14/06 PURCHASED THROUGH SPEAR, LEEDS & KELLOGG PAID $30.00 BROKERAGE 1,000 SHARES AT $60.00 INVESTMENTS AT TAX COST: $60,030.00			(60,030.00)
3/17/06	PURCHASED 700 SHARES OF GENERAL ELEC CO TRADE DATE 3/14/06 PURCHASED THROUGH SPEAR, LEEDS & KELLOGG PAID $21.00 BROKERAGE 700 SHARES AT $33.69 INVESTMENTS AT TAX COST: $23,604.00			(23,604.00)
3/17/06	PURCHASED 250 SHARES OF AMGEN INC TRADE DATE 3/14/06 PURCHASED THROUGH SPEAR, LEEDS & KELLOGG PAID $7.50 BROKERAGE 250 SHARES AT $74.72 INVESTMENTS AT TAX COST: $18,687.50			(18,687.50)

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Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
3/17/06	PURCHASED 500 SHARES OF VERIZON COMMUNICATIONS TRADE DATE 3/14/06 PURCHASED THROUGH SPEAR, LEEDS & KELLOGG PAID $15.00 BROKERAGE 500 SHARES AT $34.24 INVESTMENTS AT TAX COST: $17,135.00			$(17,135.00)
3/17/06	PURCHASED 1,250 SHARES OF CBS CORP NEW CL B TRADE DATE 3/14/06 PURCHASED THROUGH SPEAR, LEEDS & KELLOGG PAID $37.50 BROKERAGE 1,250 SHARES AT $24.0192 INVESTMENTS AT TAX COST: $30,061.50			(30,061.50)
6/9/06	PURCHASED 2,205.558 SHARES OF JPMORGAN ASIA EQUITY FUND TRADE DATE 6/8/06 2,205.558 SHARES AT $22.67 INVESTMENTS AT TAX COST: $50,000.00			(50,000.00)
6/13/06	PURCHASED 2,000 SHARES OF MICROSOFT CORP TRADE DATE 6/8/06 PURCHASED THROUGH KNIGHT SECURITIES BROADCORT PAID $60.00 BROKERAGE 2,000 SHARES AT $22.0691 INVESTMENTS AT TAX COST: $44,198.20			(44,198.20)

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Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
6/13/06	PURCHASED 700 SHARES OF UNITEDHEALTH GROUP INC TRADE DATE 6/8/06 PURCHASED THROUGH MER LYCH/PIERCE/FENER&SMTH N.Y PAID $21.00 BROKERAGE 700 SHARES AT $46.00 INVESTMENTS AT TAX COST: $32,221.00			$(32,221.00)
6/13/06	PURCHASED 400 SHARES OF BANK OF AMERICA CORP TRADE DATE 6/8/06 PURCHASED THROUGH MER LYCH/PIERCE/FENER&SMTH N.Y PAID $12.00 BROKERAGE 400 SHARES AT $48.81 INVESTMENTS AT TAX COST: $19,536.00			(19,536.00)
9/19/06	PURCHASED 500 SHARES OF CONOCOPHILLIPS TRADE DATE 9/14/06 PURCHASED THROUGH SPEAR, LEEDS & KELLOGG PAID $15.00 BROKERAGE 500 SHARES AT $58.89 INVESTMENTS AT TAX COST: $29,460.00			(29,460.00)

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Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
9/19/06	PURCHASED 1,000 SHARES OF ALLSTATE CORP TRADE DATE 9/14/06 PURCHASED THROUGH SPEAR, LEEDS & KELLOGG PAID $30.00 BROKERAGE 1,000 SHARES AT $59.95 INVESTMENTS AT TAX COST: $59,980.00			$(59,980.00)
9/19/06	PURCHASED 250 SHARES OF 3M CO TRADE DATE 9/14/06 PURCHASED THROUGH SPEAR, LEEDS & KELLOGG PAID $7.50 BROKERAGE 250 SHARES AT $73.536 INVESTMENTS AT TAX COST: $18,391.50			(18,391.50)
9/19/06	PURCHASED 1,000 SHARES OF ALCOA INC TRADE DATE 9/14/06 PURCHASED THROUGH SPEAR, LEEDS & KELLOGG PAID $30.00 BROKERAGE 1,000 SHARES AT $27.907 INVESTMENTS AT TAX COST: $27,937.00			(27,937.00)

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Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
9/19/06	PURCHASED 550 SHARES OF TARGET CORP TRADE DATE 9/14/06 PURCHASED THROUGH MER LYCH/PIERCE/FENER&SMTH N.Y PAID $16.50 BROKERAGE 550 SHARES AT $53.33 INVESTMENTS AT TAX COST: $29,348.00			$(29,348.00)
9/19/06	PURCHASED 1,000 SHARES OF MICROSOFT CORP TRADE DATE 9/14/06 PURCHASED THROUGH MER LYCH/PIERCE/FENER&SMTH N.Y PAID $30.00 BROKERAGE 1,000 SHARES AT $26.35 INVESTMENTS AT TAX COST: $26,380.00			(26,380.00)
9/19/06	PURCHASED 2,500 SHARES OF CORNING INC TRADE DATE 9/14/06 PURCHASED THROUGH CANTOR FITZGERALD & CO, NEW YK PAID $75.00 BROKERAGE 2,500 SHARES AT $22.92 INVESTMENTS AT TAX COST: $57,375.00			(57,375.00)

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Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
12/22/06	PURCHASED 1,500 SHARES OF QUALCOMM INC TRADE DATE 12/19/06 PURCHASED THROUGH MER LYCH/PIERCE/FENER&SMTH N.Y PAID $45.00 BROKERAGE 1,500 SHARES AT $38.53 INVESTMENTS AT TAX COST: $57,840.00			$(57,840.00)
12/22/06	PURCHASED 1,000 SHARES OF CORNING INC TRADE DATE 12/19/06 PURCHASED THROUGH MER LYCH/PIERCE/FENER&SMTH N.Y PAID $30.00 BROKERAGE 1,000 SHARES AT $19.06 INVESTMENTS AT TAX COST: $19,090.00			(19,090.00)
12/22/06	PURCHASED 500 SHARES OF GENERAL ELEC CO TRADE DATE 12/19/06 PURCHASED THROUGH MER LYCH/PIERCE/FENER&SMTH N.Y PAID $15.00 BROKERAGE 500 SHARES AT $37.90 INVESTMENTS AT TAX COST: $18,965.00			(18,965.00)

Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
12/22/06	PURCHASED 1,000 SHARES OF ISHARES TR MSCI EAFE INDEX FD TRADE DATE 12/18/06 PURCHASED THROUGH MER LYCH/PIERCE/FENER&SMTH N.Y PAID $30.00 BROKERAGE 1,000 SHARES AT $73.87 INVESTMENTS AT TAX COST: $73,900.00			$(73,900.00)
12/22/06	PURCHASED 500 SHARES OF ALCOA INC TRADE DATE 12/19/06 PURCHASED THROUGH MER LYCH/PIERCE/FENER&SMTH N.Y PAID $15.00 BROKERAGE 500 SHARES AT $30.39 INVESTMENTS AT TAX COST: $15,210.00			(15,210.00)
Total purchases			$0.00	$(1,038,569.99)
Sales and maturities				
	COMBINED SALES FOR THE PERIOD 1/ 1/06 - 12/31/06 OF JPMORGAN LIQUID ASSTS MNY MKT INVEST INVESTMENTS AT TAX COST: $-279,235.88			279,235.88

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Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
3/17/06	SOLD 2,500 SHARES OF KIMBERLY CLARK CORP TRADE DATE 3/14/06 SOLD THROUGH SPEAR, LEEDS & KELLOGG PAID $75.00 BROKERAGE PAID $4.55 SEC FEE 2,500 SHARES AT $59.194 INVESTMENTS AT TAX COST: $-33,957.28	$113,948.17		$147,905.45
3/17/06	SOLD 750 SHARES OF VIACOM INC NEW CL B TRADE DATE 3/14/06 SOLD THROUGH SPEAR, LEEDS & KELLOGG PAID $22.50 BROKERAGE PAID $0.90 SEC FEE 750 SHARES AT $38.878 INVESTMENTS AT TAX COST: $-35,867.47	(6,732.37)		29,135.10
6/13/06	SOLD 3,000 SHARES OF INTEL CORP TRADE DATE 6/8/06 SOLD THROUGH KNIGHT SECURITIES BROADCORT PAID $90.00 BROKERAGE PAID $1.58 SEC FEE 3,000 SHARES AT $17.1222 INVESTMENTS AT TAX COST: $-79,492.00	(28,216.98)		51,275.02

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Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
6/13/06	SOLD 2,500 SHARES OF KIMBERLY CLARK CORP TRADE DATE 6/8/06 SOLD THROUGH CANTOR FITZGERALD & CO, NEW YK PAID $75.00 BROKERAGE PAID $4.61 SEC FEE 2,500 SHARES AT $59.9393 INVESTMENTS AT TAX COST: $-33,957.28	$115,811.35		$149,768.63
9/19/06	SOLD 750 SHARES OF AMERICAN INTL GROUP INC TRADE DATE 9/14/06 SOLD THROUGH SPEAR, LEEDS & KELLOGG PAID $22.50 BROKERAGE PAID $1.51 SEC FEE 750 SHARES AT $65.30 INVESTMENTS AT TAX COST: $-42,644.50	6,306.49		48,950.99
9/19/06	SOLD 2,500 SHARES OF DELL INC TRADE DATE 9/14/06 SOLD THROUGH KNIGHT SECURITIES BROADCORT PAID $75.00 BROKERAGE PAID $1.67 SEC FEE 2,500 SHARES AT $21.69 INVESTMENTS AT TAX COST: $-81,885.00	(27,736.67)		54,148.33

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Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
9/19/06	SOLD 2,500 SHARES OF KIMBERLY CLARK CORP TRADE DATE 9/14/06 SOLD THROUGH CANTOR FITZGERALD & CO, NEW YK PAID $75.00 BROKERAGE PAID $4.97 SEC FEE 2,500 SHARES AT $64.75 INVESTMENTS AT TAX COST: $-33,957.28	$127,837.75		$161,795.03
12/20/06	SOLD 2,546.252 SHARES OF JPMORGAN SMALL CAP GROWTH FUND TRADE DATE 12/19/06 2,546.252 SHARES AT $11.27 INVESTMENTS AT TAX COST: $-25,499.32	3,196.94		28,696.26
12/20/06	SOLD 1,202.384 SHARES OF JPMORGAN SMALL CAP VALUE FUND TRADE DATE 12/19/06 1,202.384 SHARES AT $23.77 INVESTMENTS AT TAX COST: $-25,261.54	3,319.13		28,580.67
12/22/06	SOLD 2,500 SHARES OF KIMBERLY CLARK CORP TRADE DATE 12/19/06 SOLD THROUGH MER LYCH/PIERCE/FENER&SMTH N.Y PAID $75.00 BROKERAGE PAID $5.15 SEC FEE 2,500 SHARES AT $67.096 INVESTMENTS AT TAX COST: $-33,957.28	133,702.57		167,659.85

Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
12/22/06	SOLD 100 SHARES OF IDEARC INC TRADE DATE 12/19/06 SOLD THROUGH MER LYCH/PIERCE/FENER&SMTH N.Y PAID $3.00 BROKERAGE PAID $0.09 SEC FEE 100 SHARES AT $27.82 INVESTMENTS AT TAX COST: $-2,633.43	$145.48		$2,778.91
Total sales and maturities			$0.00	$1,149,930.12
Total investment transactions			**$0.00**	**$111,360.13**

Other investment transactions (non-cash)

Date	Description	Income	Principal
1/4/06	MANDATORY PLAN OF MERGER/SEPARATION DELIVERED 1,500 SHARES OF VIACOM INC CL B EFFECTIVE DATE 12/31/05 MARKET VALUE OF $49,987.50 PLAN OF MERGER TO VIACOM INC NEW CL B & CBS CORP NEW CL B CUSIP: [redacted] INVESTMENTS AT TAX COST: $-59,409.00		

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Transaction detail continued

Date	Description	Income	Principal
1/4/06	MANDATORY PLAN OF MERGER/SEPARATION RECEIVED 750 SHARES OF VIACOM INC NEW CL B EFFECTIVE DATE 12/31/05 DISTRIBUTION 01/04/06 0.5 SHARES FOR EACH SHARE HELD OF VIACOM INC CL B MARKET VALUE OF $30,862.50 PLAN OF MERGER FROM VIACOM INC CL B CUSIP: [redacted] INVESTMENTS AT TAX COST: $35,867.47		
1/4/06	MANDATORY PLAN OF MERGER/SEPARATION RECEIVED 750 SHARES OF CBS CORP NEW CL B EFFECTIVE DATE 12/31/05 DISTRIBUTION 01/04/06 0.5 SHARES FOR EACH SHARE HELD OF VIACOM INC CL B MARKET VALUE OF $19,125.00 PLAN OF MERGER FROM VIACOM INC CL B CUSIP: [redacted] INVESTMENTS AT TAX COST: $23,541.53		
8/16/06	STOCK SPLIT 2 FOR 1 STOCK SPLIT ON CSX CORP DUE 8/15/06 1,000 ADDITIONAL SHARES RECEIVED		

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Transaction detail continued

Date	Description	Income	Principal
11/20/06	SPIN OFF RECEIVED 100 SHARES DISTRIBUTION AT 0.05 SHARES OF IDEARC INC FOR EACH SHARE OF VERIZON COMMUNICATIONS DUE 11/17/06 MARKET VALUE OF $2,625.00 SPIN-OFF FROM VERIZON COMMUNICATIONS CUSIP:[redacted]		
11/22/06	SPIN OFF FEDERAL COST BASIS OF IDEARC INC ADJUSTED BY $572.45 OLD: $0.00 /NEW $572.45 SPIN-OFF TO IDEARC INC / CUSIP#[redacted] INVESTMENTS AT TAX COST: $572.45		
11/22/06	SPIN OFF STATE COST BASIS OF IDEARC INC ADJUSTED BY $572.45 OLD: $0.00 /NEW $572.45 SPIN-OFF TO IDEARC INC / CUSIP#[redacted]		

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Transaction detail continued

Date	Description	Income	Principal
11/22/06	SPIN OFF FEDERAL COST BASIS OF IDEARC INC ADJUSTED BY $628.17 OLD: $0.00 /NEW $628.17 SPIN-OFF TO IDEARC INC / CUSIP#[redacted] INVESTMENTS AT TAX COST: $628.17		
11/22/06	SPIN OFF STATE COST BASIS OF IDEARC INC ADJUSTED BY $628.17 OLD: $0.00 /NEW $628.17 SPIN-OFF TO IDEARC INC / CUSIP[redacted]		
11/22/06	SPIN OFF FEDERAL COST BASIS OF IDEARC INC ADJUSTED BY $857.83 OLD: $0.00 /NEW $857.83 SPIN-OFF TO IDEARC INC / CUSIP#[redacted] INVESTMENTS AT TAX COST: $857.83		
11/22/06	SPIN OFF STATE COST BASIS OF IDEARC INC ADJUSTED BY $857.83 OLD: $0.00 /NEW $857.83 SPIN-OFF TO IDEARC INC / CUSIP#[redacted]		

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Transaction detail continued

Date	Description	Income	Principal
11/22/06	SPIN OFF FEDERAL COST BASIS OF IDEARC INC ADJUSTED BY $574.98 OLD: $0.00 /NEW $574.98 SPIN-OFF TO IDEARC INC / CUSIP#[redacted] INVESTMENTS AT TAX COST: $574.98		
11/22/06	SPIN OFF STATE COST BASIS OF IDEARC INC ADJUSTED BY $574.98 OLD: $0.00 /NEW $574.98 SPIN-OFF TO IDEARC INC / CUSIP#[redacted]		
11/22/06	SPIN OFF FEDERAL COST BASIS OF VERIZON COMMUNICATIONS ADJUSTED BY $-572.45 OLD: $15,615.00 /NEW $15,042.55 SPIN-OFF TO IDEARC INC / CUSIP#[redacted] INVESTMENTS AT TAX COST: $-572.45		
11/22/06	SPIN OFF STATE COST BASIS OF VERIZON COMMUNICATIONS ADJUSTED BY $-572.45 OLD: $15,615.00 /NEW $15,042.55 SPIN-OFF TO IDEARC INC / CUSIP#[redacted]		

Transaction detail continued

Date	Description	Income	Principal
11/22/06	SPIN OFF FEDERAL COST BASIS OF VERIZON COMMUNICATIONS ADJUSTED BY $-628.17 OLD: $17,135.00 /NEW $16,506.83 SPIN-OFF TO IDEARC INC / CUSIP#[redacted] INVESTMENTS AT TAX COST: $-628.17		
11/22/06	SPIN OFF STATE COST BASIS OF VERIZON COMMUNICATIONS ADJUSTED BY $-628.17 OLD: $17,135.00 /NEW $16,506.83 SPIN-OFF TO IDEARC INC / CUSIP#[redacted]		
11/22/06	SPIN OFF FEDERAL COST BASIS OF VERIZON COMMUNICATIONS ADJUSTED BY $-857.83 OLD: $23,400.00 /NEW $22,542.17 SPIN-OFF TO IDEARC INC / CUSIP#[redacted] INVESTMENTS AT TAX COST: $-857.83		
11/22/06	SPIN OFF STATE COST BASIS OF VERIZON COMMUNICATIONS ADJUSTED BY $-857.83 OLD: $23,400.00 /NEW $22,542.17 SPIN-OFF TO IDEARC INC / CUSIP#[redacted]		

Transaction detail continued

Date	Description	Income	Principal
11/22/06	SPIN OFF FEDERAL COST BASIS OF VERIZON COMMUNICATIONS ADJUSTED BY $-574.98 OLD: $15,684.00 /NEW $15,109.02 SPIN-OFF TO IDEARC INC / CUSIP#[redacted] INVESTMENTS AT TAX COST: $-574.98		
11/22/06	SPIN OFF STATE COST BASIS OF VERIZON COMMUNICATIONS ADJUSTED BY $-574.98 OLD: $15,884.00 /NEW $15,109.02 SPIN-OFF TO IDEARC INC / CUSIP#[redacted]		
Total other investment transactions (non-cash)		**$0.00**	**$0.00**
		Income	*Principal*
Ending cash balance 12/31/06		$(37,484.58)	$37,484.58

No pending trades.

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IMPORTANT INFORMATION

JPMorgan Funds are not bank deposits, not FDIC insured, not obligations of or guaranteed by JPMorgan Chase Bank, N.A. or its affiliates, or any federal or state government, government agency or government sponsored agency and involve investment risks (including possible loss of principal amount invested). JPMorgan Chase Bank, N.A., or its affiliates, including the legal entity providing this statement, may receive fees for providing various services to various mutual funds. Prospectuses, fee disclosure statements and annual reports for JPMorgan Funds are always available. Please contact your advisor, or JPMorgan Distribution Services (800) 480-4111 (www.jpmorganfunds.com) for further information.

This statement is not an official document for income tax reporting purposes. Please contact your JPMorgan advisor if your financial needs or restrictions change.

State law may require that an action for breach of trust based on matters disclosed in this statement be commenced within a certain time period after receipt of the statement. The following states require that we tell you the period - Florida within 6 months (FL ST 737.307) Michigan within 1 year (MCL 700.7307) Ohio within 2 years (OUTC 5810.05). Questions, please consult your attorney.

General: When reviewing your Bond quality table, the percentages are rounded to zero when the percentage for a rating category is less than one percent. When reviewing your Holdings detail, the market value listed for each asset may not equal the quantity multiplied by the share price due to rounding, however the value referenced in the Market Value column is an accurate figure. Market values noted in the Market Reconciliation and Transaction detail are as of the end of the statement period. The market value on the date of the transaction may be different. Please be aware that the information shown in the Realized Gain/Loss column (found in the Transaction detail section of your statement) and the Unrealized Gain/Loss on the Asset listing are based on tax cost, even though the assets on your statement may be carried at book value.

Life insurance values are shown at cash surrender value as most recently reported by you or the Insurance Company. If the value shown is $1.00, we have not received a cash surrender value.

Common trust funds: The most recent audited annual report of the Bank's common trust funds is available upon request at no charge.

Market value and pricing: Market value and prices for publicly-traded securities have been obtained from sources believed to be reliable without guarantee of accuracy. Certain assets may not be held by us and their reporting is at the request of and for the convenience of the account owner. Where applicable, refer to your broker, investment manager or other third party statement for valuation, and transaction information.

Structured investments: Structured investments may involve significant risk (including loss of investment) and have limited liquidity. See the prospectus or offering memorandum for a full discussion. Structured investments may be an obligation of JPMorgan Chase Bank, N.A. or an affiliate of JPMorgan Chase Bank, N.A.

Pooled private investments, closely held corporations/other assets: Certain assets, including but not limited to, pooled private investments, non-publicly traded and infrequently traded securities, derivatives, partnership interests and tangible assets are generally illiquid, the value of which may have been provided to us by third parties who may not be independent of the issuer or manager. Such information is reflected as of the last date provided to us, and is not independently verified. Prices, some of which may be provided by pricing services or other sources that we deem reliable, are not guaranteed for accuracy or as realizable values. Values may not represent the actual terms at which transactions or securities could be bought or sold or new transactions could be entered into or the actual terms on which existing transactions could be liquidated as of the date of this statement.

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Hedge funds: Hedge fund managers generally calculate a fund's value (the "Hedge Fund Value"), 10-20 business days following the last business day of the month. For that reason, hedge fund NAVs shown may be preliminary and subject to change. In general, the NAV is stated net of management and incentive fees. The NAV is calculated according to the General Partner's or Manager's stated methodology. Please refer to the limited partnership agreement, limited liability company agreement or other operative documentation for each hedge fund for the specific valuation methodology used by the General Partner or Manager for that hedge fund.

JPMorgan expressly disclaims any responsibility for (1) the accuracy of the information and the methodology used by the General Partner or Manager in deriving valuations, (2) any errors or omissions in compiling or disseminating the valuations, and (3) any use to which the valuations are put. Valuations are provided for information purposes only and are intended solely for your own use.

Hedge funds generally allow subscriptions either on a monthly or quarterly basis. Your interest in a hedge fund or hedge fund of funds is generally established as of the first business day of the subscription period. Due to early funding requirements by the applicable fund, your account may be debited for the subscription amount prior to the subscription date. Please refer to the applicable hedge fund's operative documentation for further details.

Hedge fund redemptions are generally on a monthly, quarterly or annual basis but can sometimes be subject to a multi-year lockup before a redemption is permitted. For redemptions, there is generally a notification period that can be substantial before the actual redemption date. Redemption proceeds are generally paid 3-5 business days after the final NAV is issued, but can be subject to a holdback of a portion of the proceeds until an annual audit of the Fund has been completed. Please refer to the applicable hedge fund's operative documentation for further details. Additionally, accounting methodology for hedge funds is determined by the operative documentation; please consult the operative documentation for more information.

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Annual Account Statement

MARGARET L SMITH 96 EXEMPT F JAMES
Account number [redacted]
January 1, 2006 - December 31, 2006

JPMORGAN CHASE BANK, N.A.
111 EAST WISCONSIN AVENUE, WI1-2053
MILWAUKEE, WI 53202

Your Advisor(s):
LYNN E PAULL
(414) 977-2027 or (800) 926-4556

Thank you for the opportunity to serve your financial needs. We appreciate your confidence in us.

Please remember to check the Bulletin Board (located at the back of your statement) for important messages.

Don't hesitate to contact your advisor if you have any questions, comments or concerns.

05030 01798
F JAMES SENSENBRENNER JR
609 FORT WILLIAMS PKWY
ALEXANDRIA VA 22304-1813

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Holdings summary

	Value on December 31, 2006	Value on December 31, 2005	Change in value
Cash equivalents	$29,216.77		
Equities	558,836.59		
Fixed Income	197,974.87		
Other	0.00		
Total holdings	**$786,028.23**	$501,797.51	**$284,230.72**
Accruals (income earned but not yet received)	$1,347.90		
Total holdings with accruals	**$787,376.13**		

Income summary

Income	Statement period	January 1 to December 31
Dividends (domestic/foreign/mutual fund income)	$13,782.35	$13,782.35
Interest (taxable/non-taxable)	614.68	614.68
Other income	0.00	0.00
Total income	**$14,397.03**	$14,397.03
Realized gain/(loss)		
	$12,500.20	$12,500.20

The income summary includes taxable and tax-exempt income for both principal and income beneficiaries, please refer to the Transaction detail for an income breakdown. These amounts represent approximate income and gain/(loss) amounts and should not be used for final Internal Revenue Service reporting.

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Market reconciliation

	Statement period	January 1 to December 31
Beginning market value	$501,797.51	$501,797.51
Receipts	243,908.72	243,908.72
Disbursements	(24,954.69)	(24,954.69)
Income	14,397.03	14,397.03
Capital gains distributions	15,422.39	15,422.39
Change in asset market value	35,457.27	35,457.27
Ending market value	$786,028.23	$786,028.23

The Market reconciliation provides a summary of all activity in the account. The Receipts and Disbursements categories may include Non-Cash Transactions such as transfers within the account, name change transactions, asset receipts and distributions, and stock split transactions. The Transaction detail section provides an itemized list of all transactions. The Transaction summary provides a summary of cash receipt and disbursement transactions.

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Transaction summary

	Income	Principal
Beginning cash balance 1/1/06	$0.00	$0.00
Cash receipts		
Dividends (domestic/foreign/mutual fund income)	$13,782.35	$0.00
Interest (taxable/non-taxable)	814.68	0.00
Other cash receipts	2,161.50	18,605.95
Total cash receipts	$16,558.53	$18,605.95
Cash disbursements		
Cash disbursements	$(12,926.55)	$(4,879.00)
Administrative expenses	(3,631.98)	(3,517.16)
Total cash disbursements	$(16,558.53)	$(8,396.16)
Investment transactions		
Purchases	$0.00	$(102,855.07)
Sales and maturities	0.00	92,645.28
Total investment transactions	$0.00	$(10,209.79)
Ending cash balance 12/31/06	$0.00	$0.00

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Only the Asset allocation analysis includes mutual fund holdings. The Bond quality and maturity analyses (if applicable) are displayed excluding mutual funds.

Investment objective: Growth Fiduciary

Captures the upside return potential of risky assets (equities and medium volatility hedge funds) while maintaining a modest exposure to low volatility and stable return assets (fixed income, cash, and medium volatility hedge funds) for portfolio efficiency and some downside protection.

Asset allocation

3.7% - **Cash equivalents**

71.1% - **Equities**
- 19.5% - *Mutual funds*
- 9.7% - *Technology*
- 7.0% - *Retail*
- 5.3% - *Finance*
- 5.1% - *Energy*
- 24.5% - *Other equities*

25.2% - **Fixed income**
- 25.2% - *Mutual funds*

The Asset allocation may not be exactly as described in the Investment objective due to Other Asset holdings.

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Holdings detail

Cash equivalents

Very liquid assets Description	Quantity	Share price or % of par	Market value	Tax cost	Unrealized gain(loss)	Current yield	Estimated annual income
JPMORGAN LIQUID ASSTS MNY MKT INVEST	29,216.770	1.000	$29,216.77	$29,216.77	$0.00	4.89%	$1,428.70
Total cash equivalents			$29,216.77	$29,216.77	$0.00		$1,428.70

Equities

Banks Description	Quantity	Share price or % of par	Market value	Tax cost	Unrealized gain(loss)	Current yield	Estimated annual income
BANK OF AMERICA CORP	250.000	53.390	$13,347.50	$11,128.00	$2,219.50	4.20%	$560.00
US BANCORP DEL NEW	500.000	36.190	18,095.00	13,711.00	4,384.00	4.42%	800.00
WELLS FARGO & CO NEW	100.000	35.560	3,556.00	2,896.00	660.00	3.15%	112.00
Total banks			$34,998.50	$27,735.00	$7,263.50		$1,472.00
Capital equipment							
GENERAL ELEC CO	450.000	37.210	16,744.50	7,463.13	9,281.37	3.01%	504.00
TYCO INTL LTD NEW	100.000	30.400	3,040.00	2,877.00	163.00	1.32%	40.00
Total capital equipment			$19,784.50	$10,340.13	$9,444.37		$544.00
Consumer capital spending							
JOHNSON CTLS INC	300.000	85.920	25,776.00	16,554.00	9,222.00	1.54%	396.00
Consumer cyclical							
COMCAST CORP NEW CL A SPL	100.000	41.880	4,188.00	2,879.00	1,309.00	0.00%	0.00

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Holdings detail continued

Description	Quantity	Share price or % of par	Market value	Tax cost	Unrealized gain(loss)	Current yield	Estimated annual income
IDEARC INC	33.000	28.650	$945.45	$838.17	$107.28	0.00%	$0.00
Total consumer cyclical			$5,133.45	$3,717.17	$1,416.28		$0.00
Consumer non-durable							
ALTRIA GROUP INC	50.000	85.820	4,291.00	2,338.50	1,952.50	4.01%	172.00
PEPSICO INC	50.000	62.550	3,127.50	2,428.50	699.00	1.92%	60.00
PROCTER & GAMBLE CO	250.000	64.270	16,067.50	14,012.50	2,055.00	1.93%	310.00
SYSCO CORP	75.000	36.760	2,757.00	2,607.75	149.25	2.07%	57.00
Total consumer non-durable			$26,243.00	$21,387.25	$4,855.75		$599.00
Defense							
UNITED TECHNOLOGIES CORP	50.000	62.520	3,126.00	2,301.50	824.50	1.69%	53.00
Energy							
EXXON MOBIL CORP	525.000	76.630	40,230.75	13,236.85	26,993.90	1.67%	672.00
Finance							
ALLSTATE CORP	50.000	65.110	3,255.50	2,392.50	863.00	2.15%	70.00
CITIGROUP INC	600.000	55.700	33,420.00	15,984.21	17,435.79	3.52%	1,176.00
GOLDMAN SACHS GROUP INC	25.000	199.350	4,983.75	2,342.25	2,641.50	0.70%	35.00
Total finance			$41,659.25	$20,718.96	$20,940.29		$1,281.00
Health care							
AMGEN INC	425.000	68.310	29,031.75	25,390.50	3,641.25	0.00%	0.00
JOHNSON & JOHNSON	75.000	66.020	4,951.50	4,320.75	630.75	2.27%	112.50

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Holdings detail continued

Description	Quantity	Share price or % of par	Market value	Tax cost	Unrealized gain(loss)	Current yield	Estimated annual income
PFIZER INC	150.000	25.900	$3,885.00	$4,655.80	$(770.80)	4.48%	$174.00
Total health care			$37,868.25	$34,367.05	$3,501.20		$286.50
Industrial commodities							
ALCOA INC	100.000	30.010	3,001.00	3,039.00	(38.00)	2.00%	60.00
Mutual funds							
JPMORGAN DIVERSIFIED MID CAP GROWTH	1,702.237	23.370	39,781.28	27,780.51	12,000.77	0.00%	0.00
JPMORGAN DIVERSIFIED MID CAP VALUE	2,244.003	14.700	32,986.84	27,351.07	5,635.77	1.28%	421.87
JPMORGAN INTERNATIONAL EQUITY INDEX	2,499.812	27.890	69,719.76	44,897.77	24,821.99	2.13%	1,482.39
JPMORGAN SMALL CAP GROWTH FUND	449.935	11.360	5,111.26	3,968.15	1,143.11	0.00%	0.00
JPMORGAN SMALL CAP VALUE FUND	222.116	23.840	5,295.25	3,980.73	1,314.52	0.71%	37.54
Total mutual funds			$152,894.39	$107,978.23	$44,916.16		$1,941.80
Other utilities							
DOMINION RES INC VA NEW	150.000	83.840	12,576.00	9,868.00	2,708.00	3.29%	414.00
Retail							
CVS CORP	650.000	30.910	20,091.50	19,116.50	975.00	0.50%	100.75
HOME DEPOT INC	425.000	40.160	17,068.00	17,995.75	(927.75)	2.24%	382.50
KOHLS CORP	50.000	68.430	3,421.50	2,854.00	567.50	0.00%	0.00

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Holdings detail continued

Description	Quantity	Share price or % of par	Market value	Tax cost	Unrealized gain(loss)	Current yield	Estimated annual income
TARGET CORP	50.000	57.050	$2,852.50	$2,211.50	$641.00	0.84%	$24.00
WAL MART STORES INC	250.000	46.180	11,545.00	3,516.67	8,028.33	1.45%	167.50
Total retail			$54,978.50	$45,694.42	$9,284.08		$674.75
Technology							
CISCO SYS INC	700.000	27.330	19,131.00	12,577.00	6,554.00	0.00%	0.00
INTEL CORP	200.000	20.250	4,050.00	3,465.63	584.37	1.97%	80.00
INTERNATIONAL BUSINESS MACHS CORP	150.000	97.150	14,572.50	5,126.25	9,446.25	1.23%	180.00
MICROSOFT CORP	850.000	29.860	25,381.00	17,171.25	8,209.75	1.34%	340.00
QUALCOMM INC	350.000	37.790	13,226.50	12,790.75	435.75	1.27%	168.00
Total technology			$76,361.00	$51,130.88	$25,230.12		$768.00
Telephone utilities							
VERIZON COMMUNICATIONS	650.000	37.240	24,206.00	21,701.05	2,504.95	4.35%	1,053.00
Total equities			$558,836.59	$389,769.49	$169,067.10		$10,215.05

Fixed Income

Mutual funds

Description	Quantity	Share price or % of par	Market value	Tax cost	Unrealized gain(loss)	Current yield	Yield to maturity	Estimated annual income
JPMORGAN CORE PLUS BOND FUND SELECT CLASS(HLIPX)	2,107.786	7.720	$16,272.11	$16,701.23	$(429.12)	4.90%		$796.74
JPMORGAN HIGH YIELD BOND FUND SELECT CLASS(OHYFX)	910.194	8.490	7,727.55	7,500.00	227.55	7.54%		582.52

Holdings detail continued

Description	Quantity	Share price or % of par	Market value	Tax cost	Unrealized gain(loss)	Current yield	Yield to maturity	Estimated annual income
JPMORGAN INTERMEDIATE BOND FUND SELECT CLASS(SEIFX)	3,120.291	10.300	$32,139.00	$32,200.01	$(61.01)	4.90%		$1,575.75
JPMORGAN INTERMEDIATE TAX FREE BOND FUND SELECT CLASS(VSITX)	6,759.143	10.630	71,849.69	71,000.00	849.69	3.85%		2,764.49
JPMORGAN MUNICIPAL INCOME BOND FUND SELECT CLASS(HLTAX)	7,178.105	9.750	69,986.52	70,000.00	(13.48)	3.69%		2,584.12
Total fixed income			$197,974.87	$197,401.24	$573.63			$8,303.62
Total holdings			$786,028.23	$616,387.50	$169,640.73			$19,947.37
Accruals (income earned but not yet received)			$1,347.90					
Total holdings with accruals			$787,376.13					

Assets identified with an asterisk (*) at the beginning of the asset name are held in the Invested Income Portfolio.

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Transaction detail

	Income	Principal
Beginning cash balance 1/1/06	$0.00	$0.00

Cash receipts

Domestic dividends

Date	Description	Realized gain/(loss)	Income	Principal
1/3/06	CASH RECEIPT OF DIVIDEND EARNED ON JOHNSON CTLS INC $0.28/SHARE ON 250 SHARES DUE 1/3/06		$70.00	
1/3/06	CASH RECEIPT OF DIVIDEND EARNED ON WAL MART STORES INC $0.15/SHARE ON 250 SHARES DUE 1/3/06		37.50	
1/17/06	CASH RECEIPT OF DIVIDEND EARNED ON US BANCORP DEL NEW $0.33/SHARE ON 400 SHARES DUE 1/16/06		132.00	
1/25/06	CASH RECEIPT OF DIVIDEND EARNED ON GENERAL ELEC CO $0.25/SHARE ON 300 SHARES DUE 1/25/06		75.00	
1/27/06	CASH RECEIPT OF DIVIDEND EARNED ON SYSCO CORP $0.17/SHARE ON 250 SHARES DUE 1/27/06		42.50	
2/1/06	CASH RECEIPT OF DIVIDEND EARNED ON VERIZON COMMUNICATIONS $0.405/SHARE ON 500 SHARES DUE 2/1/06		202.50	

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Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
2/15/06	CASH RECEIPT OF DIVIDEND EARNED ON NORTH FORK BANCORPORATION INC N Y $0.25/SHARE ON 300 SHARES DUE 2/15/06		$75.00	
2/15/06	CASH RECEIPT OF DIVIDEND EARNED ON PROCTER & GAMBLE CO $0.28/SHARE ON 250 SHARES DUE 2/15/06		70.00	
2/24/06	CASH RECEIPT OF DIVIDEND EARNED ON CITIGROUP INC $0.49/SHARE ON 500 SHARES DUE 2/24/06		245.00	
2/27/06	CASH RECEIPT OF DIVIDEND EARNED ON ALCOA INC $0.15/SHARE ON 200 SHARES DUE 2/25/06		30.00	
3/1/06	CASH RECEIPT OF DIVIDEND EARNED ON INTEL CORP $0.10/SHARE ON 600 SHARES DUE 3/1/06		60.00	
3/10/06	CASH RECEIPT OF DIVIDEND EARNED ON EXXON MOBIL CORP $0.32/SHARE ON 400 SHARES DUE 3/10/06		128.00	
3/10/06	CASH RECEIPT OF DIVIDEND EARNED ON INTERNATIONAL BUSINESS MACHS CORP $0.20/SHARE ON 100 SHARES DUE 3/10/06		20.00	
3/20/06	CASH RECEIPT OF DIVIDEND EARNED ON DOMINION RES INC VA NEW $0.69/SHARE ON 100 SHARES DUE 3/20/06		69.00	

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Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
3/23/06	CASH RECEIPT OF DIVIDEND EARNED ON HOME DEPOT INC $0.15/SHARE ON 250 SHARES DUE 3/23/06		$37.50	
3/31/06	CASH RECEIPT OF DIVIDEND EARNED ON FHLMC $0.47/SHARE ON 250 SHARES DUE 3/31/06		117.50	
3/31/06	CASH RECEIPT OF DIVIDEND EARNED ON JOHNSON CTLS INC $0.28/SHARE ON 250 SHARES DUE 3/31/06		70.00	
4/3/06	CASH RECEIPT OF DIVIDEND EARNED ON WAL MART STORES INC $0.1675/SHARE ON 250 SHARES DUE 4/3/06		41.88	
4/17/06	CASH RECEIPT OF DIVIDEND EARNED ON US BANCORP DEL NEW $0.33/SHARE ON 400 SHARES DUE 4/17/06		132.00	
4/25/06	CASH RECEIPT OF DIVIDEND EARNED ON GENERAL ELEC CO $0.25/SHARE ON 300 SHARES DUE 4/25/06		75.00	
4/28/06	CASH RECEIPT OF DIVIDEND EARNED ON SYSCO CORP $0.17/SHARE ON 250 SHARES DUE 4/28/06		42.50	
5/1/06	CASH RECEIPT OF DIVIDEND EARNED ON VERIZON COMMUNICATIONS $0.405/SHARE ON 500 SHARES DUE 5/1/06		202.50	

Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
5/15/06	CASH RECEIPT OF DIVIDEND EARNED ON PROCTER & GAMBLE CO $0.31/SHARE ON 250 SHARES DUE 5/15/06		$77.50	
5/25/06	CASH RECEIPT OF DIVIDEND EARNED ON ALCOA INC $0.15/SHARE ON 200 SHARES DUE 5/25/06		30.00	
5/26/06	CASH RECEIPT OF DIVIDEND EARNED ON CITIGROUP INC $0.49/SHARE ON 500 SHARES DUE 5/26/06		245.00	
6/1/06	CASH RECEIPT OF DIVIDEND EARNED ON INTEL CORP $0.10/SHARE ON 600 SHARES DUE 6/1/06		60.00	
6/5/06	CASH RECEIPT OF DIVIDEND EARNED ON WAL MART STORES INC $0.1675/SHARE ON 250 SHARES DUE 6/5/06		41.88	
6/9/06	CASH RECEIPT OF DIVIDEND EARNED ON EXXON MOBIL CORP $0.32/SHARE ON 400 SHARES DUE 6/9/06		128.00	
6/12/06	CASH RECEIPT OF DIVIDEND EARNED ON INTERNATIONAL BUSINESS MACHS CORP $0.30/SHARE ON 100 SHARES DUE 6/10/06		30.00	
6/20/06	CASH RECEIPT OF DIVIDEND EARNED ON DOMINION RES INC VA NEW $0.69/SHARE ON 100 SHARES DUE 6/20/06		69.00	

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Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
6/22/06	CASH RECEIPT OF DIVIDEND EARNED ON HOME DEPOT INC $0.15/SHARE ON 350 SHARES DUE 6/22/06		$52.50	
6/23/06	CASH RECEIPT OF DIVIDEND EARNED ON BANK OF AMERICA CORP $0.50/SHARE ON 150 SHARES DUE 6/23/06		75.00	
6/30/06	CASH RECEIPT OF DIVIDEND EARNED ON FHLMC $0.47/SHARE ON 300 SHARES DUE 6/30/06		141.00	
6/30/06	CASH RECEIPT OF DIVIDEND EARNED ON JOHNSON CTLS INC $0.28/SHARE ON 250 SHARES DUE 6/30/06		70.00	
7/17/06	CASH RECEIPT OF DIVIDEND EARNED ON US BANCORP DEL NEW $0.33/SHARE ON 400 SHARES DUE 7/17/06		132.00	
7/25/06	CASH RECEIPT OF DIVIDEND EARNED ON GENERAL ELEC CO $0.25/SHARE ON 300 SHARES DUE 7/25/06		75.00	
8/1/06	CASH RECEIPT OF DIVIDEND EARNED ON VERIZON COMMUNICATIONS $0.405/SHARE ON 500 SHARES DUE 8/1/06		202.50	
8/15/06	CASH RECEIPT OF DIVIDEND EARNED ON PROCTER & GAMBLE CO $0.31/SHARE ON 250 SHARES DUE 8/15/06		77.50	

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Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
8/25/06	CASH RECEIPT OF DIVIDEND EARNED ON CITIGROUP INC $0.49/SHARE ON 500 SHARES DUE 8/25/06		$245.00	
9/5/06	CASH RECEIPT OF DIVIDEND EARNED ON WAL MART STORES INC $0.1675/SHARE ON 250 SHARES DUE 9/5/06		41.88	
9/11/06	CASH RECEIPT OF DIVIDEND EARNED ON INTERNATIONAL BUSINESS MACHS CORP $0.30/SHARE ON 100 SHARES DUE 9/9/06		30.00	
9/11/06	CASH RECEIPT OF DIVIDEND EARNED ON EXXON MOBIL CORP $0.32/SHARE ON 400 SHARES DUE 9/11/06		128.00	
9/14/06	CASH RECEIPT OF DIVIDEND EARNED ON MICROSOFT CORP $0.09/SHARE ON 650 SHARES DUE 9/14/06		58.50	
9/20/06	CASH RECEIPT OF DIVIDEND EARNED ON DOMINION RES INC VA NEW $0.69/SHARE ON 100 SHARES DUE 9/20/06		69.00	
9/21/06	CASH RECEIPT OF DIVIDEND EARNED ON HOME DEPOT INC $0.15/SHARE ON 350 SHARES DUE 9/21/06		52.50	
9/22/06	CASH RECEIPT OF DIVIDEND EARNED ON BANK OF AMERICA CORP $0.56/SHARE ON 150 SHARES DUE 9/22/06		84.00	

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Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
9/29/06	CASH RECEIPT OF DIVIDEND EARNED ON FHLMC $0.47/SHARE ON 300 SHARES DUE 9/29/06		$141.00	
9/29/06	CASH RECEIPT OF DIVIDEND EARNED ON JOHNSON CTLS INC $0.28/SHARE ON 250 SHARES DUE 9/29/06		70.00	
10/16/06	CASH RECEIPT OF DIVIDEND EARNED ON US BANCORP DEL NEW $0.33/SHARE ON 400 SHARES DUE 10/16/06		132.00	
10/25/06	CASH RECEIPT OF DIVIDEND EARNED ON GENERAL ELEC CO $0.25/SHARE ON 300 SHARES DUE 10/25/06		75.00	
11/1/06	CASH RECEIPT OF DIVIDEND EARNED ON VERIZON COMMUNICATIONS $0.405/SHARE ON 500 SHARES DUE 11/1/06		202.50	
11/15/06	CASH RECEIPT OF DIVIDEND EARNED ON PROCTER & GAMBLE CO $0.31/SHARE ON 250 SHARES DUE 11/15/06		77.50	
11/22/06	CASH RECEIPT OF DIVIDEND EARNED ON CITIGROUP INC $0.49/SHARE ON 500 SHARES DUE 11/22/06		245.00	
12/11/06	CASH RECEIPT OF DIVIDEND EARNED ON INTERNATIONAL BUSINESS MACHS CORP $0.30/SHARE ON 100 SHARES DUE 12/9/06		30.00	

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Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
12/11/06	CASH RECEIPT OF DIVIDEND EARNED ON EXXON MOBIL CORP $0.32/SHARE ON 400 SHARES DUE 12/11/06		$128.00	
12/14/06	CASH RECEIPT OF DIVIDEND EARNED ON HOME DEPOT INC $0.225/SHARE ON 350 SHARES DUE 12/14/06		78.75	
12/14/06	CASH RECEIPT OF DIVIDEND EARNED ON MICROSOFT CORP $0.10/SHARE ON 650 SHARES DUE 12/14/06		65.00	
12/20/06	CASH RECEIPT OF DIVIDEND EARNED ON DOMINION RES INC VA NEW $0.69/SHARE ON 100 SHARES DUE 12/20/06		69.00	
12/22/06	CASH RECEIPT OF DIVIDEND EARNED ON BANK OF AMERICA CORP $0.56/SHARE ON 150 SHARES DUE 12/22/06		84.00	
Total domestic dividends			$5,588.89	$0.00
Mutual fund income				
1/3/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN DIVERSIFIED MID CAP VALUE $0.02583/SHARE ON 1,180.173 SHARES DUE 1/3/06		30.25	
1/3/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN INTERMEDIATE TAX FREE BOND $0.034/SHARE ON 6,759.143 SHARES DUE 1/3/06		229.81	

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Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
1/3/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN INTERNATIONAL EQUITY INDEX $0.39435/SHARE ON 2,055.368 SHARES DUE 1/3/06		$810.53	
1/3/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN MUNICIPAL INCOME BOND FUND $0.03/SHARE ON 7,178.105 SHARES DUE 1/3/06		215.34	
2/1/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN INTERMEDIATE TAX FREE BOND $0.036/SHARE ON 6,759.143 SHARES DUE 2/1/06		243.33	
2/1/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN MUNICIPAL INCOME BOND FUND $0.027/SHARE ON 7,178.105 SHARES DUE 2/1/06		193.81	
3/1/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN INTERMEDIATE TAX FREE BOND $0.03/SHARE ON 6,759.143 SHARES DUE 3/1/06		202.77	
3/1/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN MUNICIPAL INCOME BOND FUND $0.028/SHARE ON 7,178.105 SHARES DUE 3/1/06		200.99	
4/3/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN DIVERSIFIED MID CAP VALUE $0.07308/SHARE ON 1,180.173 SHARES DUE 4/3/06		86.25	

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Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
4/3/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN INTERMEDIATE TAX FREE BOND $0.035/SHARE ON 6,759.143 SHARES DUE 4/3/06		$236.57	
4/3/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN MUNICIPAL INCOME BOND FUND $0.031/SHARE ON 7,178.105 SHARES DUE 4/3/06		222.52	
5/1/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN INTERMEDIATE TAX FREE BOND $0.033/SHARE ON 6,759.143 SHARES DUE 5/1/06		223.05	
5/1/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN MUNICIPAL INCOME BOND FUND $0.031/SHARE ON 7,178.105 SHARES DUE 5/1/06		222.52	
6/1/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN INTERMEDIATE TAX FREE BOND $0.035/SHARE ON 6,759.143 SHARES DUE 6/1/06		236.57	
6/1/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN MUNICIPAL INCOME BOND FUND $0.031/SHARE ON 7,178.105 SHARES DUE 6/1/06		222.52	
7/3/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN DIVERSIFIED MID CAP VALUE $0.04303/SHARE ON 1,180.173 SHARES DUE 7/3/06		50.78	

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Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
7/3/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN INTERMEDIATE TAX FREE BOND $0.034/SHARE ON 6,759.143 SHARES DUE 7/3/06		$229.81	
7/3/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN MUNICIPAL INCOME BOND FUND $0.029/SHARE ON 7,178.105 SHARES DUE 7/3/06		208.17	
8/1/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN INTERMEDIATE TAX FREE BOND $0.035/SHARE ON 6,759.143 SHARES DUE 8/1/06		236.57	
8/1/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN MUNICIPAL INCOME BOND FUND $0.031/SHARE ON 7,178.105 SHARES DUE 8/1/06		222.52	
9/1/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN INTERMEDIATE TAX FREE BOND $0.036/SHARE ON 6,759.143 SHARES DUE 9/1/06		243.33	
9/1/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN MUNICIPAL INCOME BOND FUND $0.03/SHARE ON 7,178.105 SHARES DUE 9/1/06		215.34	
10/2/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN DIVERSIFIED MID CAP VALUE $0.02419/SHARE ON 1,180.173 SHARES DUE 10/2/06		28.55	

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Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
10/2/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN INTERMEDIATE TAX FREE BOND $0.033/SHARE ON 6,759.143 SHARES DUE 10/2/06		$223.05	
10/2/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN MUNICIPAL INCOME BOND FUND $0.03/SHARE ON 7,178.105 SHARES DUE 10/2/06		215.34	
11/1/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN INTERMEDIATE TAX FREE BOND [illegible] ON 6,759.143 SHARES DUE 11/1/06		236.57	
11/1/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN MUNICIPAL INCOME BOND FUND [illegible]31/SHARE ON 7,178.105 SHARES DUE 11/1/06		222.52	
12/1/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN CORE PLUS BOND FUND [illegible] SHARES ON 2,107.783 SHARES DUE 12/1/06		65.34	
12/1/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN HIGH YIELD BOND FUND $0.052/SHARE ON 910.194 SHARES DUE 12/1/06		47.33	
12/1/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN INTERMEDIATE BOND FUND $0.04/SHARE ON 3,120.291 SHARES DUE 12/1/06		124.81	

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Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
12/1/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN INTERMEDIATE TAX FREE BOND $0.033/SHARE ON 6,759.143 SHARES DUE 12/1/06		$223.05	
12/1/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN MUNICIPAL INCOME BOND FUND $0.031/SHARE ON 7,178.105 SHARES DUE 12/1/06		222.52	
12/26/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN DIVERSIFIED MID CAP VALUE $0.04818/SHARE ON 2,244.003 SHARES DUE 12/26/06		108.12	
12/26/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN INTERNATIONAL EQUITY INDEX $0.59263/SHARE ON 2,499.812 SHARES DUE 12/26/06		1,481.46	
12/26/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN SMALL CAP VALUE FUND $0.05155/SHARE ON 222.116 SHARES DUE 12/26/06		11.45	
Total mutual fund income			$8,193.46	$0.00
Taxable interest				
1/3/06	CASH RECEIPT OF INTEREST EARNED ON JPMORGAN LIQUID ASSTS MNY MKT INVEST INTEREST FROM 12/1/05 TO 12/31/05		50.12	
2/1/06	CASH RECEIPT OF INTEREST EARNED ON JPMORGAN LIQUID ASSTS MNY MKT INVEST INTEREST FROM 1/1/06 TO 1/31/06		67.15	

Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
3/1/06	CASH RECEIPT OF INTEREST EARNED ON JPMORGAN LIQUID ASSTS MNY MKT INVEST INTEREST FROM 2/1/06 TO 2/28/06		$64.54	
4/3/06	CASH RECEIPT OF INTEREST EARNED ON JPMORGAN LIQUID ASSTS MNY MKT INVEST INTEREST FROM 3/1/06 TO 3/31/06		65.54	
5/1/06	CASH RECEIPT OF INTEREST EARNED ON JPMORGAN LIQUID ASSTS MNY MKT INVEST INTEREST FROM 4/1/06 TO 4/30/06		48.70	
6/1/06	CASH RECEIPT OF INTEREST EARNED ON JPMORGAN LIQUID ASSTS MNY MKT INVEST INTEREST FROM 5/1/06 TO 5/31/06		51.10	
7/3/06	CASH RECEIPT OF INTEREST EARNED ON JPMORGAN LIQUID ASSTS MNY MKT INVEST INTEREST FROM 6/1/06 TO 6/30/06		45.00	
8/1/06	CASH RECEIPT OF INTEREST EARNED ON JPMORGAN LIQUID ASSTS MNY MKT INVEST INTEREST FROM 7/1/06 TO 7/31/06		44.76	
9/1/06	CASH RECEIPT OF INTEREST EARNED ON JPMORGAN LIQUID ASSTS MNY MKT INVEST INTEREST FROM 8/1/06 TO 8/31/06		47.14	
10/2/06	CASH RECEIPT OF INTEREST EARNED ON JPMORGAN LIQUID ASSTS MNY MKT INVEST INTEREST FROM 9/1/06 TO 9/30/06		41.96	

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Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
11/1/06	CASH RECEIPT OF INTEREST EARNED ON JPMORGAN LIQUID ASSTS MNY MKT INVEST INTEREST FROM 10/1/06 TO 10/31/06		$42.67	
12/1/06	CASH RECEIPT OF INTEREST EARNED ON JPMORGAN LIQUID ASSTS MNY MKT INVEST INTEREST FROM 11/1/06 TO 11/30/06		46.00	
Total taxable interest			$614.68	$0.00
Other cash receipts				
11/29/06	RECEIVE LT CAPITAL GAINS DISTRIBUTION ON JPMORGAN INTERMEDIATE TAX FREE BOND $0.0014/SHARE ON 6,759.143 SHARES DUE 11/29/06 LT CAPITAL GAIN OF $9.12 ON FEDERAL COST LT CAPITAL GAIN OF $9.12 ON STATE COST	$9.12		$9.12
12/18/06	RECEIVE LT CAPITAL GAINS DISTRIBUTION ON JPMORGAN DIVERSIFIED MID CAP GROWTH $3.5847/SHARE ON 1,702.237 SHARES DUE 12/18/06 LT CAPITAL GAIN OF $6,102.01 ON FEDERAL COST LT CAPITAL GAIN OF $6,102.01 ON STATE COST	6,102.01		6,102.01

Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
12/18/06	RECEIVE ST CAPITAL GAINS DISTRIBUTION ON JPMORGAN DIVERSIFIED MID CAP GROWTH $0.6058/SHARE ON 1,702.237 SHARES DUE 12/18/06 ST CAPITAL GAIN OF $1,031.27 ON FEDERAL COST ST CAPITAL GAIN OF $1,031.27 ON STATE COST	$1,031.27	$1,031.27	
12/18/06	RECEIVE LT CAPITAL GAINS DISTRIBUTION ON JPMORGAN SMALL CAP GROWTH FUND $0.9407/SHARE ON 449.935 SHARES DUE 12/18/06 LT CAPITAL GAIN OF $423.24 ON FEDERAL COST LT CAPITAL GAIN OF $423.24 ON STATE COST	423.24		$423.24
12/18/06	RECEIVE ST CAPITAL GAINS DISTRIBUTION ON JPMORGAN SMALL CAP GROWTH FUND $0.8496/SHARE ON 449.935 SHARES DUE 12/18/06 ST CAPITAL GAIN OF $382.27 ON FEDERAL COST ST CAPITAL GAIN OF $382.27 ON STATE COST	382.27	382.27	
12/18/06	RECEIVE LT CAPITAL GAINS DISTRIBUTION ON JPMORGAN INTERNATIONAL EQUITY INDEX $0.1296/SHARE ON 2,499.812 SHARES DUE 12/18/06 LT CAPITAL GAIN OF $323.86 ON FEDERAL COST LT CAPITAL GAIN OF $323.86 ON STATE COST	323.86		323.86

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Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
12/18/06	RECEIVE ST CAPITAL GAINS DISTRIBUTION ON JPMORGAN INTERNATIONAL EQUITY INDEX $0.0269/SHARE ON 2,499.812 SHARES DUE 12/18/06 ST CAPITAL GAIN OF $67.14 ON FEDERAL COST ST CAPITAL GAIN OF $67.14 ON STATE COST	$67.14	$67.14	
12/18/06	RECEIVE LT CAPITAL GAINS DISTRIBUTION ON JPMORGAN DIVERSIFIED MID CAP VALUE $2.6273/SHARE ON 2,244.003 SHARES DUE 12/18/06 LT CAPITAL GAIN OF $5,895.60 ON FEDERAL COST LT CAPITAL GAIN OF $5,895.60 ON STATE COST	5,895.60		$5,895.60
12/18/06	RECEIVE ST CAPITAL GAINS DISTRIBUTION ON JPMORGAN DIVERSIFIED MID CAP VALUE $0.2252/SHARE ON 2,244.003 SHARES DUE 12/18/06 ST CAPITAL GAIN OF $505.26 ON FEDERAL COST ST CAPITAL GAIN OF $505.26 ON STATE COST	505.26	505.26	
12/18/06	RECEIVE LT CAPITAL GAINS DISTRIBUTION ON JPMORGAN SMALL CAP VALUE FUND $2.6498/SHARE ON 222.116 SHARES DUE 12/18/06 LT CAPITAL GAIN OF $588.56 ON FEDERAL COST LT CAPITAL GAIN OF $588.56 ON STATE COST	588.56		588.56

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Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
12/18/06	RECEIVE ST CAPITAL GAINS DISTRIBUTION ON JPMORGAN SMALL CAP VALUE FUND $0.3495/SHARE ON 222.116 SHARES DUE 12/18/06 ST CAPITAL GAIN OF $77.64 ON FEDERAL COST ST CAPITAL GAIN OF $77.64 ON STATE COST	$77.64	$77.64	
12/18/06	RECEIVE LT CAPITAL GAINS DISTRIBUTION ON JPMORGAN HIGH YIELD BOND FUND $0.0099/SHARE ON 910.194 SHARES DUE 12/18/06 LT CAPITAL GAIN OF $9.00 ON FEDERAL COST LT CAPITAL GAIN OF $9.00 ON STATE COST	9.00		$9.00
12/18/06	RECEIVE ST CAPITAL GAINS DISTRIBUTION ON JPMORGAN HIGH YIELD BOND FUND $0.0081/SHARE ON 910.194 SHARES DUE 12/18/06 ST CAPITAL GAIN OF $7.42 ON FEDERAL COST ST CAPITAL GAIN OF $7.42 ON STATE COST	7.42	7.42	
12/20/06	CASH RECEIPT FROM ANOTHER ACCOUNT PAID FROM ACCOUNT [redacted] TRANSFER FROM [redacted]			5,254.56
12/21/06	CASH RECEIPT FROM ANOTHER ACCOUNT PAID FROM ACCOUNT [redacted] FINAL DIV/INT TRF FROM [redacted]		34.50	

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JPMorgan

Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
12/26/06	CASH RECEIPT FROM ANOTHER ACCOUNT PAID FROM ACCOUNT [redacted] FINAL DIV/INT TRF FROM [redacted]		$56.00	
Total other cash receipts			$2,161.50	$18,605.95
Total cash receipts			**$16,558.53**	**$18,605.95**

Cash disbursements

Other cash disbursements

Date	Description	Income	Principal
3/10/06	CASH DISBURSEMENT PAID TO JPMCHASE WISCONSIN [redacted] ACH TRANSFER TO CHECKING ACCT PAID FOR F JAMES SENSENBRENNER JR [redacted]	$(2,953.54)	
4/6/06	CASH DISBURSEMENT PAID TO U S TREASURY FIDUCIARY FEDERAL INCOME TAX ***WITX TAX DUE ON FORM 1041 FOR CALENDAR YR RTN		$(3,504.00)
4/6/06	CASH DISBURSEMENT PAID TO WISCONSIN DEPT OF REVENUE FIDUCIARY STATE INCOME TAX ***WITX TAX DUE ON STATE FIDUCIARY CALENDAR YR RTN		(482.00)

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Transaction detail continued

Date	Description	Income	Principal
[illegible]/12/06	CASH DISBURSEMENT PAID TO U S TREASURY FIDUCIARY EST FED INCOME TAX ***WITX FEDERAL ESTIMATED TAX		$(18.00)
[illegible]	CASH DISBURSEMENT PAID TO WISCONSIN DEPT OF REVENUE FIDUCIARY EST STATE TAX ***WITX INSTALLMENT ON STATE FIDUCIARY INCOME TAX		(612.00)
6/9/06	CASH DISBURSEMENT PAID TO U S TREASURY FIDUCIARY EST FED INCOME TAX ***WITX FEDERAL ESTIMATED TAX		(263.00)
9/12/05	CASH DISBURSEMENT PAID TO JPMCHASE WISCONSIN [redacted] ACH TRANSFER TO CHECKING ACCT PAID FOR F JAMES SENSENBRENNER JR [redacted]	$(1,813.74)	
9/11/05	CASH DISBURSEMENT PAID TO JPMCHASE WISCONSIN [redacted] ACH TRANSFER TO CHECKING ACCT PAID FOR F JAMES SENSENBRENNER JR [redacted]	(2,096.73)	

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Transaction detail continued

Date	Description	Income	Principal
[illegible]	CASH DISBURSEMENT PAID TO JPMCHASE WISCONSIN [redacted] ACH TRANSFER TO CHECKING ACCT PAID FOR F JAMES SENSENBRENNER JR [redacted]	$(2,280.46)	
[illegible]	CASH DISBURSEMENT PAID TO JPMCHASE WISCONSIN [redacted] ACH TRANSFER TO CHECKING ACCT PAID FOR F JAMES SENSENBRENNER JR [redacted]	(3,782.08)	
Total other cash disbursements		$(12,926.55)	$(4,879.00)
Administrative expenses			
1/12/06	ADMINISTRATIVE FEES COLLECTED THROUGH DECEMBER MONTH END	(271.55)	$(271.56)
2/13/06	ADMINISTRATIVE FEES COLLECTED THROUGH JANUARY MONTH END	(271.05)	(271.07)
3/13/06	ADMINISTRATIVE FEES COLLECTED THROUGH FEBRUARY MONTH END	(271.33)	(271.34)
4/12/06	ADMINISTRATIVE FEES COLLECTED THROUGH MARCH MONTH END	(272.21)	(272.23)
5/12/06	ADMINISTRATIVE FEES COLLECTED THROUGH APRIL MONTH END	(272.38)	(272.39)
5/16/06	MISCELLANEOUS TAX SERVICE FEES COLLECTED 2005 GENERATION SKIPPING REVIEW	(115.00)	

Transaction detail continued

Date	Description	Income	Principal
6/12/06	ADMINISTRATIVE FEES COLLECTED THROUGH MAY MONTH END	$(270.94)	$(270.98)
7/5/06	FIDUCIARY TAX RETURN PREP COLLECTED 2005 FORMS 1041/ 2		(245.00)
7/5/06	FIDUCIARY TAX RETURN PREP COLLECTED 2005 FORMS 1041/ 2	(245.00)	
7/12/06	ADMINISTRATIVE FEES COLLECTED THROUGH JUNE MONTH END	(270.35)	(270.36)
8/14/06	ADMINISTRATIVE FEES COLLECTED THROUGH JULY MONTH END	(270.72)	(270.73)
9/12/06	ADMINISTRATIVE FEES COLLECTED THROUGH AUGUST MONTH END	(272.55)	(272.55)
10/12/06	ADMINISTRATIVE FEES COLLECTED THROUGH SEPTEMBER MONTH END	(274.42)	(274.44)
11/13/06	ADMINISTRATIVE FEES COLLECTED THROUGH OCTOBER MONTH END	(277.28)	(277.30)
12/12/06	ADMINISTRATIVE FEES COLLECTED THROUGH NOVEMBER MONTH END	(277.20)	(277.22)
Total administrative expenses		$(3,631.98)	$(3,517.16)
Total cash disbursements		**$(16,558.53)**	**$(8,396.16)**

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Transaction detail continued

Investment transactions

Purchases

Date	Description	Realized gain/(loss)	Income	Principal
	COMBINED PURCHASES FOR THE PERIOD 1/ 1/06 - 12/31/06 OF JPMORGAN LIQUID ASSTS MNY MKT INVEST INVESTMENTS AT TAX COST: $35,453.32			$(35,453.32)
3/20/06	PURCHASED 150 SHARES OF BANK OF AMERICA CORP TRADE DATE 3/15/06 PURCHASED THROUGH SPEAR, LEEDS & KELLOGG PAID $4.50 BROKERAGE 150 SHARES AT $46.01 INVESTMENTS AT TAX COST: $6,906.00			(6,906.00)
3/20/06	PURCHASED 50 SHARES OF FHLMC TRADE DATE 3/15/06 PURCHASED THROUGH SPEAR, LEEDS & KELLOGG PAID $1.50 BROKERAGE 50 SHARES AT $63.75 INVESTMENTS AT TAX COST: $3,189.00			(3,189.00)
6/5/06	PURCHASED 100 SHARES OF AMGEN INC TRADE DATE 5/31/06 PURCHASED THROUGH SPEAR, LEEDS & KELLOGG PAID $3.00 BROKERAGE 100 SHARES AT $67.40 INVESTMENTS AT TAX COST: $6,743.00			(6,743.00)

Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
6/5/06	PURCHASED 100 SHARES OF HOME DEPOT INC TRADE DATE 5/31/06 PURCHASED THROUGH SPEAR, LEEDS & KELLOGG PAID $3.00 BROKERAGE 100 SHARES AT $38.01 INVESTMENTS AT TAX COST: $3,804.00			$(3,804.00)
6/5/06	PURCHASED 650 SHARES OF MICROSOFT CORP TRADE DATE 5/31/06 PURCHASED THROUGH MER LYCH/PIERCE/FENER&SMTH N.Y PAID $19.50 BROKERAGE 650 SHARES AT $22.82 INVESTMENTS AT TAX COST: $14,852.50			(14,852.50)
11/17/06	PURCHASED 350 SHARES OF QUALCOMM INC TRADE DATE 11/14/06 PURCHASED THROUGH SPEAR, LEEDS & KELLOGG PAID $10.50 BROKERAGE 350 SHARES AT $36.515 INVESTMENTS AT TAX COST: $12,790.75			(12,790.75)

Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
11/17/06	PURCHASED 650 SHARES OF CVS CORP TRADE DATE 11/14/06 PURCHASED THROUGH MER LYCH/PIERCE/FENER&SMTH N.Y PAID $19.50 BROKERAGE 650 SHARES AT $29.38 INVESTMENTS AT TAX COST: $19,116.50			$(19,116.50)
Total purchases			$0.00	$(102,855.07)
Sales and maturities				
	COMBINED SALES FOR THE PERIOD 1/ 1/06 - 12/31/06 OF JPMORGAN LIQUID ASSTS MNY MKT INVEST INVESTMENTS AT TAX COST: $-25,795.86			25,795.86
3/20/06	SOLD 300 SHARES OF NORTH FORK BANCORPORATION INC N Y TRADE DATE 3/15/06 SOLD THROUGH SPEAR, LEEDS & KELLOGG PAID $9.00 BROKERAGE PAID $0.27 SEC FEE 300 SHARES AT $28.99 INVESTMENTS AT TAX COST: $-6,447.98	$2,239.75		8,687.73

Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
6/5/06	SOLD 200 SHARES OF ALCOA INC TRADE DATE 5/31/06 SOLD THROUGH SPEAR, LEEDS & KELLOGG PAID $8.00 BROKERAGE PAID $0.20 SEC FEE 200 SHARES AT $31.59 INVESTMENTS AT TAX COST: $-6,776.00	$(464.20)		$6,311.80
6/5/06	SOLD 250 SHARES OF SYSCO CORP TRADE DATE 5/31/06 SOLD THROUGH MER LYCH/PIERCE/FENER&SMTH N.Y PAID $7.50 BROKERAGE PAID $0.24 SEC FEE 250 SHARES AT $30.46 INVESTMENTS AT TAX COST: $-8,366.50	(759.24)		7,607.26
6/5/06	SOLD 600 SHARES OF INTEL CORP TRADE DATE 5/31/06 SOLD THROUGH MER LYCH/PIERCE/FENER&SMTH N.Y PAID $18.00 BROKERAGE PAID $0.34 SEC FEE 600 SHARES AT $18.07 INVESTMENTS AT TAX COST: $-13,509.63	(2,685.97)		10,823.66

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Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
11/17/06	SOLD 500 SHARES OF DELL INC TRADE DATE 11/14/06 SOLD THROUGH MER LYCH/PIERCE/FENER&SMTH N.Y PAID $15.00 BROKERAGE PAID $0.39 SEC FEE 500 SHARES AT $25.35 INVESTMENTS AT TAX COST: $-16,003.00	$(3,343.39)		$12,659.61
11/17/06	SOLD 300 SHARES OF FHLMC TRADE DATE 11/14/06 SOLD THROUGH MER LYCH/PIERCE/FENER&SMTH N.Y PAID $9.00 BROKERAGE PAID $0.64 SEC FEE 300 SHARES AT $69.23 INVESTMENTS AT TAX COST: $-18,668.50	2,090.86		20,759.36
Total sales and maturities			$0.00	$92,645.28
Total investment transactions			**$0.00**	**$(10,209.79)**

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Transaction detail continued

Other investment transactions (non-cash)

Date	Description	Income	Principal
11/20/06	SPIN OFF RECEIVED 25 SHARES DISTRIBUTION AT 0.05 SHARES OF IDEARC INC FOR EACH SHARE OF VERIZON COMMUNICATIONS DUE 11/17/06 MARKET VALUE OF $658.25 SPIN-OFF FROM VERIZON COMMUNICATIONS CUSIP: [redacted]		
11/22/06	SPIN OFF FEDERAL COST BASIS OF IDEARC INC ADJUSTED BY $226.38 OLD: $0.00 /NEW $226.38 SPIN-OFF TO IDEARC INC / CUSIP# [redacted] INVESTMENTS AT TAX COST: $226.38		
11/22/06	SPIN OFF STATE COST BASIS OF IDEARC INC ADJUSTED BY $226.38 OLD: $0.00 /NEW $226.38 SPIN-OFF TO IDEARC INC / CUSIP# [redacted]		

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Transaction detail continued

Date	Description	Income	Principal
11/22/06	SPIN OFF FEDERAL COST BASIS OF IDEARC INC ADJUSTED BY $147.94 OLD: $0.00 /NEW $147.94 SPIN-OFF TO IDEARC INC / CUSIP# [redacted] INVESTMENTS AT TAX COST: $147.94		
11/22/06	SPIN OFF STATE COST BASIS OF IDEARC INC ADJUSTED BY $147.94 OLD: $0.00 /NEW $147.94 SPIN-OFF TO IDEARC INC / CUSIP# [redacted]		
11/22/06	SPIN OFF FEDERAL COST BASIS OF IDEARC INC ADJUSTED BY $250.75 OLD: $0.00 /NEW $250.75 SPIN-OFF TO IDEARC INC / CUSIP# [redacted] INVESTMENTS AT TAX COST: $250.75		
11/22/06	SPIN OFF STATE COST BASIS OF IDEARC INC ADJUSTED BY $250.75 OLD: $0.00 /NEW $250.75 SPIN-OFF TO IDEARC INC / CUSIP# [redacted]		

Transaction detail continued

Date	Description	Income	Principal
11/22/06	SPIN OFF FEDERAL COST BASIS OF VERIZON COMMUNICATIONS ADJUSTED BY $-226.38 OLD: $6,175.11 /NEW $5,948.73 SPIN-OFF TO IDEARC INC / CUSIP# [redacted] INVESTMENTS AT TAX COST: $-226.38		
11/22/06	SPIN OFF STATE COST BASIS OF VERIZON COMMUNICATIONS ADJUSTED BY $-226.38 OLD: $6,175.11 /NEW $5,948.73 SPIN-OFF TO IDEARC INC / CUSIP# [redacted]		
11/22/06	SPIN OFF FEDERAL COST BASIS OF VERIZON COMMUNICATIONS ADJUSTED BY $-147.94 OLD: $4,035.33 /NEW $3,887.39 SPIN-OFF TO IDEARC INC / CUSIP# [redacted] INVESTMENTS AT TAX COST: $-147.94		
11/22/06	SPIN OFF STATE COST BASIS OF VERIZON COMMUNICATIONS ADJUSTED BY $-147.94 OLD: $4,035.33 /NEW $3,887.39 SPIN-OFF TO IDEARC INC / CUSIP# [redacted]		

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Transaction detail continued

Date	Description	Income	Principal
11/22/06	SPIN OFF FEDERAL COST BASIS OF VERIZON COMMUNICATIONS ADJUSTED BY $-250.75 OLD: $6,840.00 /NEW $6,589.25 SPIN-OFF TO IDEARC INC / CUSIP# [redacted] INVESTMENTS AT TAX COST: $-250.75		
11/22/06	SPIN OFF STATE COST BASIS OF VERIZON COMMUNICATIONS ADJUSTED BY $-250.75 OLD: $6,840.00 /NEW $6,589.25 SPIN-OFF TO IDEARC INC / CUSIP [redacted]		
11/28/06	RECEIVED 658.684 SHARES OF JPMORGAN CORE PLUS BOND FUND TRANSFER FROM A/C [redacted] MARKET VALUE OF $5,115.57 INVESTMENTS AT TAX COST: $5,301.23		
11/28/06	RECEIVED 1,451.102 SHARES OF JPMORGAN CORE PLUS BOND FUND TRANSFER FROM A/C [redacted] MARKET VALUE OF $11,304.08 INVESTMENTS AT TAX COST: $11,400.00		

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Transaction detail continued

Date	Description	Income	Principal
11/28/06	RECEIVED 765.931 SHARES OF JPMORGAN DIVERSIFIED MID CAP GROWTH TRANSFER FROM A/C [redacted] MARKET VALUE OF $20,909.92 INVESTMENTS AT TAX COST: $12,499.99		
11/28/06	RECEIVED 1,063.83 SHARES OF JPMORGAN DIVERSIFIED MID CAP VALUE TRANSFER FROM A/C [redacted] MARKET VALUE OF $18,265.96 INVESTMENTS AT TAX COST: $12,351.07		
11/28/06	RECEIVED 910.194 SHARES OF JPMORGAN HIGH YIELD BOND FUND TRANSFER FROM A/C [redacted] MARKET VALUE OF $7,718.45 INVESTMENTS AT TAX COST: $7,500.00		
11/28/06	RECEIVED 717.707 SHARES OF JPMORGAN INTERMEDIATE BOND FUND TRANSFER FROM A/C [redacted] MARKET VALUE OF $7,458.98 INVESTMENTS AT TAX COST: $7,500.00		
11/28/06	RECEIVED 705.203 SHARES OF JPMORGAN INTERMEDIATE BOND FUND TRANSFER FROM A/C [redacted] MARKET VALUE OF $7,327.06 INVESTMENTS AT TAX COST: $7,200.01		

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Transaction detail continued

Date	Description	Income	Principal
11/28/06	RECEIVED 1,697.381 SHARES OF JPMORGAN INTERMEDIATE BOND FUND TRANSFER FROM A/C [redacted] MARKET VALUE OF $17,635.79 INVESTMENTS AT TAX COST: $17,500.00		
11/28/06	RECEIVED 444.444 SHARES OF JPMORGAN INTERNATIONAL EQUITY INDEX TRANSFER FROM A/C [redacted] MARKET VALUE OF $12,044.43 INVESTMENTS AT TAX COST: $4,897.77		
11/28/06	RECEIVED 318.472 SHARES OF JPMORGAN SMALL CAP GROWTH FUND TRANSFER FROM A/C [redacted] MARKET VALUE OF $4,089.18 INVESTMENTS AT TAX COST: $2,488.16		
11/28/06	RECEIVED 131.463 SHARES OF JPMORGAN SMALL CAP GROWTH FUND TRANSFER FROM A/C [redacted] MARKET VALUE OF $1,687.98 INVESTMENTS AT TAX COST: $1,499.99		
11/28/06	RECEIVED 160.565 SHARES OF JPMORGAN SMALL CAP VALUE FUND TRANSFER FROM A/C [redacted] MARKET VALUE OF $4,232.49 INVESTMENTS AT TAX COST: $2,480.73		

Transaction detail continued

Date	Description	Income	Principal
11/28/06	RECEIVED 61.551 SHARES OF JPMORGAN SMALL CAP VALUE FUND TRANSFER FROM A/C [redacted] MARKET VALUE OF $1,622.48 INVESTMENTS AT TAX COST: $1,500.00		
12/11/06	RECEIVED 100 SHARES OF ALCOA INC TRF FRM [redacted] MARKET VALUE OF $3,111.00 INVESTMENTS AT TAX COST: $3,039.00		
12/11/06	RECEIVED 50 SHARES OF ALLSTATE CORP TRF FRM [redacted] MARKET VALUE OF $3,191.00 INVESTMENTS AT TAX COST: $2,392.50		
12/11/06	RECEIVED 50 SHARES OF ALTRIA GROUP INC TRF FRM [redacted] MARKET VALUE OF $4,241.50 INVESTMENTS AT TAX COST: $2,338.50		
12/11/06	RECEIVED 50 SHARES OF AMGEN INC TRF FRM [redacted] MARKET VALUE OF $3,494.00 INVESTMENTS AT TAX COST: $2,905.00		

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Transaction detail continued

Date	Description	Income	Principal
12/11/06	RECEIVED 25 SHARES OF AMGEN INC TRF FRM [redacted] MARKET VALUE OF $1,747.00 INVESTMENTS AT TAX COST: $1,806.75		
12/11/06	RECEIVED 100 SHARES OF BANK OF AMERICA CORP TRF FRM [redacted] MARKET VALUE OF $5,166.00 INVESTMENTS AT TAX COST: $4,222.00		
12/11/06	RECEIVED 100 SHARES OF CISCO SYS INC TRF FRM [redacted] MARKET VALUE OF $2,698.00 INVESTMENTS AT TAX COST: $2,379.00		
12/11/06	RECEIVED 57 SHARES OF CITIGROUP INC TRF FRM [redacted] MARKET VALUE OF $2,955.45 INVESTMENTS AT TAX COST: $1,466.35		
12/11/06	RECEIVED 43 SHARES OF CITIGROUP INC TRF FRM [redacted] MARKET VALUE OF $2,229.55 INVESTMENTS AT TAX COST: $1,925.54		

Transaction detail continued

Date	Description	Income	Principal
12/11/06	RECEIVED 100 SHARES OF COMCAST CORP NEW CL A SPL TRF FRM [redacted] MARKET VALUE OF $4,179.00 INVESTMENTS AT TAX COST: $2,879.00		
12/11/06	RECEIVED 50 SHARES OF DOMINION RES INC.VA/NEW TRF FRM [redacted] MARKET VALUE OF $4,056.50 INVESTMENTS AT TAX COST: $3,209.00		
12/11/06	RECEIVED 125 SHARES OF EXXON MOBIL CORP TRF FRM [redacted] MARKET VALUE OF $9,437.50 INVESTMENTS AT TAX COST: $3,086.63		
12/11/06	RECEIVED 150 SHARES OF GENERAL ELEC CO TRF FRM [redacted] MARKET VALUE OF $5,290.50 INVESTMENTS AT TAX COST: $2,487.71		
12/11/06	RECEIVED 25 SHARES OF GOLDMAN SACHS GROUP INC TRF FRM [redacted] MARKET VALUE OF $5,127.50 INVESTMENTS AT TAX COST: $2,342.25		

Transaction detail continued

Date	Description	Income	Principal
12/11/06	RECEIVED 75 SHARES OF HOME DEPOT INC TRF FRM [redacted] MARKET VALUE OF $2,910.00 INVESTMENTS AT TAX COST: $2,994.75		
12/11/06	RECEIVED 200 SHARES OF INTEL CORP TRF FRM [redacted] MARKET VALUE OF $4,136.00 INVESTMENTS AT TAX COST: $3,465.63		
12/11/06	RECEIVED 50 SHARES OF INTERNATIONAL BUSINESS MACHS CORP TRF FRM [redacted] MARKET VALUE OF $4,693.00 INVESTMENTS AT TAX COST: $1,708.75		
12/11/06	RECEIVED 50 SHARES OF JOHNSON & JOHNSON TRF FRM [redacted] MARKET VALUE OF $3,297.50 INVESTMENTS AT TAX COST: $2,911.00		
12/11/06	RECEIVED 25 SHARES OF JOHNSON & JOHNSON TRF FRM [redacted] MARKET VALUE OF $1,648.75 INVESTMENTS AT TAX COST: $1,409.75		

Transaction detail continued

Date	Description	Income	Principal
12/11/08	RECEIVED 50 SHARES OF JOHNSON CTLS INC TRF FRM [redacted] MARKET VALUE OF $4,188.50 INVESTMENTS AT TAX COST: $2,808.50		
12/11/08	RECEIVED 50 SHARES OF KOHLS CORP TRF FRM [redacted] MARKET VALUE OF $3,551.50 INVESTMENTS AT TAX COST: $2,854.00		
12/11/08	RECEIVED 200 SHARES OF MICROSOFT CORP TRF FRM [redacted] MARKET VALUE OF $5,980.00 INVESTMENTS AT TAX COST: $2,318.75		
12/11/08	RECEIVED 50 SHARES OF PEPSICO INC TRF FRM [redacted] MARKET VALUE OF $3,163.50 INVESTMENTS AT TAX COST: $2,428.50		
12/11/08	RECEIVED 100 SHARES OF PFIZER INC TRF FRM [redacted] MARKET VALUE OF $2,517.00 INVESTMENTS AT TAX COST: $3,153.80		

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Transaction detail continued

Date	Description	Income	Principal
12/11/06	RECEIVED 50 SHARES OF PFIZER INC TRF FRM [redacted] MARKET VALUE OF $1,258.50 INVESTMENTS AT TAX COST: $1,502.00		
12/11/06	RECEIVED 75 SHARES OF SYSCO CORP TRF FRM [redacted] MARKET VALUE OF $2,732.25 INVESTMENTS AT TAX COST: $2,807.75		
12/11/06	RECEIVED 50 SHARES OF TARGET CORP TRF FRM [redacted] MARKET VALUE OF $2,906.50 INVESTMENTS AT TAX COST: $2,211.50		
12/11/06	RECEIVED 100 SHARES OF TYCO INTL LTD NEW TRF FRM [redacted] MARKET VALUE OF $2,999.00 INVESTMENTS AT TAX COST: $2,877.00		
12/11/06	RECEIVED 50 SHARES OF UNITED TECHNOLOGIES CORP TRF FRM [redacted] MARKET VALUE OF $3,236.00 INVESTMENTS AT TAX COST: $2,301.50		

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Transaction detail continued

Date	Description	Income	Principal
12/11/06	RECEIVED 100 SHARES OF US BANCORP DEL NEW TRF FRM [redacted] MARKET VALUE OF $3,396.00 INVESTMENTS AT TAX COST: $2,884.00		
12/11/06	RECEIVED 122 SHARES OF VERIZON COMMUNICATIONS TRF FRM [redacted] MARKET VALUE OF $4,307.82 INVESTMENTS AT TAX COST: $4,392.83		
12/11/06	RECEIVED 28 SHARES OF VERIZON COMMUNICATIONS TRF FRM [redacted] MARKET VALUE OF $988.68 INVESTMENTS AT TAX COST: $882.85		
12/11/06	RECEIVED 100 SHARES OF WELLS FARGO & CO NEW TRF FRM [redacted] MARKET VALUE OF $3,543.00 INVESTMENTS AT TAX COST: $2,896.00		
12/11/06	RECEIVED 1.8 SHARES OF IDEARC INC TRF FRM [redacted] MARKET VALUE OF $49.28 INVESTMENTS AT TAX COST: $43.19		

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Transaction detail continued

Date	Description	Income	Principal
12/11/06	RECEIVED 6.2 SHARES OF. IDEARC INC TRF FRM [redacted] MARKET VALUE OF $169.76 INVESTMENTS AT TAX COST: $169.91		
Total other investment transactions (non-cash)		**$0.00**	**$0.00**

	Income	Principal
Ending cash balance 12/31/06	$0.00	$0.00

No pending trades.

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IMPORTANT INFORMATION

JPMorgan Funds are not bank deposits, not FDIC insured, not obligations of or guaranteed by JPMorgan Chase Bank, N.A. or its affiliates, or any federal or state government, government agency or government sponsored agency and involve investment risks (including possible loss of principal amount invested). JPMorgan Chase Bank, N.A., or its affiliates, including the legal entity providing this statement, may receive fees for providing various services to various mutual funds. Prospectuses, fee disclosure statements and annual reports for JPMorgan Funds are always available. Please contact your advisor, or JPMorgan Distribution Services (800) 480-4111 (www.jpmorganfunds.com) for further information.

This statement is not an official document for income tax reporting purposes. Please contact your JPMorgan advisor if your financial needs or restrictions change.

State law may require that an action for breach of trust based on matters disclosed in this statement be commenced within a certain time period after receipt of the statement. The following states require that we tell you the period - Florida within 6 months (FL ST 737.307) Michigan within 1 year (MCL 700.7307) Ohio within 2 years (OUTC 5810.05). Questions, please consult your attorney.

General: When reviewing your Bond quality table, the percentages are rounded to zero when the percentage for a rating category is less than one percent. When reviewing your Holdings detail, the market value listed for each asset may not equal the quantity multiplied by the share price due to rounding, however the value referenced in the Market Value column is an accurate figure. Market values noted in the Market Reconciliation and Transaction detail are as of the end of the statement period. The market value on the date of the transaction may be different. Please be aware that the information shown in the Realized Gain/Loss column (found in the Transaction detail section of your statement) and the Unrealized Gain/Loss on the Asset listing are based on tax cost, even though the assets on your statement may be carried at book value.

Life insurance values are shown at cash surrender value as most recently reported by you or the Insurance Company. If the value shown is $1.00, we have not received a cash surrender value.

Common trust funds: The most recent audited annual report of the Bank's common trust funds is available upon request at no charge.

Market value and pricing: Market value and prices for publicly-traded securities have been obtained from sources believed to be reliable without guarantee of accuracy. Certain assets may not be held by us and their reporting is at the request of and for the convenience of the account owner. Where applicable, refer to your broker, investment manager or other third party statement for valuation, and transaction information.

Structured investments: Structured investments may involve significant risk (including loss of investment) and have limited liquidity. See the prospectus or offering memorandum for a full discussion. Structured investments may be an obligation of JPMorgan Chase Bank, N.A. or an affiliate of JPMorgan Chase Bank, N.A.

Pooled private investments, closely held corporations/other assets: Certain assets, including but not limited to, pooled private investments, non-publicly traded and infrequently traded securities, derivatives, partnership interests and tangible assets are generally illiquid, the value of which may have been provided to us by third parties who may not be independent of the issuer or manager. Such information is reflected as of the last date provided to us, and is not independently verified. Prices, some of which may be provided by pricing services or other sources that we deem reliable, are not guaranteed for accuracy or as realizable values. Values may not represent the actual terms at which transactions or securities could be bought or sold or new transactions could be entered into or the actual terms on which existing transactions could be liquidated as of the date of this statement.

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Hedge funds: Hedge fund managers generally calculate a fund's value (the "Hedge Fund Value"), 10-20 business days following the last business day of the month. For that reason, hedge fund NAVs shown may be preliminary and subject to change. In general, the NAV is stated net of management and incentive fees. The NAV is calculated according to the General Partner's or Manager's stated methodology. Please refer to the limited partnership agreement, limited liability company agreement or other operative documentation for each hedge fund for the specific valuation methodology used by the General Partner or Manager for that hedge fund.

JPMorgan expressly disclaims any responsibility for (1) the accuracy of the information and the methodology used by the General Partner or Manager in deriving valuations, (2) any errors or omissions in compiling or disseminating the valuations, and (3) any use to which the valuations are put. Valuations are provided for information purposes only and are intended solely for your own use.

Hedge funds generally allow subscriptions either on a monthly or quarterly basis. Your interest in a hedge fund or hedge fund of funds is generally established as of the first business day of the subscription period. Due to early funding requirements by the applicable fund, your account may be debited for the subscription amount prior to the subscription date. Please refer to the applicable hedge fund's operative documentation for further details.

Hedge fund redemptions are generally on a monthly, quarterly or annual basis but can sometimes be subject to a multi-year lockup before a redemption is permitted. For redemptions, there is generally a notification period that can be substantial before the actual redemption date. Redemption proceeds are generally paid 3-5 business days after the final NAV is issued, but can be subject to a holdback of a portion of the proceeds until an annual audit of the Fund has been completed. Please refer to the applicable hedge fund's operative documentation for further details. Additionally, accounting methodology for hedge funds is determined by the operative documentation; please consult the operative documentation for more information.

Annual Account Statement

NON-EXEMPT TUW F SENSENBRENNER PCTAA
Account number [redacted]
January 1, 2006 - December 31, 2006

JPMORGAN CHASE BANK, N.A.
111 EAST WISCONSIN AVENUE, WI1-2053
MILWAUKEE, WI 53202

Your Advisor(s):
LYNN E PAULL
(414) 977-2027 or (800) 926-4556

Thank you for the opportunity to serve your financial needs. We appreciate your confidence in us.

Please remember to check the Bulletin Board (located at the back of your statement) for important messages.

Don't hesitate to contact your advisor if you have any questions, comments or concerns.

05030 01571
F JAMES SENSENBRENNER JR
609 FORT WILLIAMS PKWY
ALEXANDRIA VA 22304-1813

Holdings summary

	Value on December 31, 2006	Value on December 31, 2005	Change in value
Cash equivalents	$72,648.71		
Equities	732,118.66		
Fixed income	1,061,628.80		
Other	0.00		
Total holdings	$1,866,396.19	$1,798,464.36	$67,931.83
Accruals (Income earned but not yet received)	$15,114.48		
Total holdings with accruals	$1,881,510.67		

Income summary

Income	Statement period	January 1 to December 31
Dividends (domestic/foreign/mutual fund income)	$18,501.10	$18,501.10
Interest (taxable/non-taxable)	44,082.85	44,082.85
Other income	0.00	0.00
Total income	$62,583.95	$62,583.95
Realized gain/(loss)		
	$129,166.25	$129,166.25

The income summary includes taxable and tax-exempt income for both principal and income beneficiaries, please refer to the Transaction detail for an income breakdown. These amounts represent approximate income and gain/(loss) amounts and should not be used for final Internal Revenue Service reporting.

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Market reconciliation

	Statement period	January 1 to December 31
Beginning market value	$1,798,464.36	$1,798,464.36
Receipts	9,448.99	9,448.99
Disbursements	(105,600.17)	(105,600.17)
Income	62,583.95	62,583.95
Capital gains distributions	30,955.94	30,955.94
Change in asset market value	70,543.12	70,543.12
Ending market value	$1,866,396.19	$1,866,396.19

The Market reconciliation provides a summary of all activity in the account. The Receipts and Disbursements categories may include Non-Cash Transactions such as transfers within the account, name change transactions, asset receipts and distributions, and stock split transactions. The Transaction detail section provides an itemized list of all transactions. The Transaction summary provides a summary of cash receipt and disbursement transactions.

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Transaction summary

	Income	Principal
Beginning cash balance 1/1/06	$(9,204.70)	$9,204.70
Cash receipts		
Dividends (domestic/foreign/mutual fund income)	$18,501.10	$0.00
Interest (taxable/non-taxable)	44,082.85	0.00
Other cash receipts	13,061.48	27,343.45
Total cash receipts	$75,645.43	$27,343.45
Cash disbursements		
Cash disbursements	$(58,035.84)	$(36,828.67)
Administrative expenses	(5,367.81)	(5,367.85)
Total cash disbursements	$(63,403.65)	$(42,196.52)
Investment transactions		
Purchases	$0.00	$(515,999.21)
Sales and maturities	0.00	518,610.50
Total investment transactions	$0.00	$2,611.29
Ending cash balance 12/31/06	$3,037.08	$(3,037.08)

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Only the Asset allocation analysis includes mutual fund holdings. The Bond quality and maturity analyses (if applicable) are displayed excluding mutual funds.

Investment objective: Balanced Investment Management

Balances the upside return potential of risky assets (equities and medium volatility hedge funds) with a meaningful exposure to low volatility and stable return assets (fixed income, cash and low volatility hedge funds) to moderate the downside potential of broad market declines.

Asset allocation

- 3.9% - **Cash equivalents**
- 39.2% - **Equities**
  - 33.7% - *Mutual funds*
  - 5.5% - *Consumer non-durable*
- 56.9% - **Fixed income**
  - 56.9% - *Municipal obligations*

The Asset allocation may not be exactly as described in the Investment objective due to Other Asset holdings.

Bond maturity

Less than 1	1 - 5	5 - 10	10 or more	*Years*
$100,646.00	$607,208.50	$353,774.30	$0.00	*Market value*
9%	58%	33%	0%	*% of bond holdings*

The Years indicate the number of years until the bond is scheduled to mature based on the statement end date. Some bonds may be called, or paid in full, before their stated maturity.

Bond quality

Rating	*% of bond holdings*	*Market value*
AAA	94%	$1,000,485.00
AA	5%	50,720.00
A	1%	10,422.80
BBB	0%	0.00
BB	0%	0.00
B	0%	0.00
Other	0%	0.00
Not rated	0%	0.00

We use Standard & Poor's and Moody's rating services as a guide to determine the credit risk associated with a bond. Please be advised that the Bond quality table provides general information regarding the ratings of your bond holdings. If you have additional questions regarding your Bond ratings, please contact your Advisor.

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Holdings detail

Cash equivalents

Very liquid assets

Description	Quantity	Share price or % of par	Market value	Tax cost	Unrealized gain(loss)	Current yield	Estimated annual income
JPMORGAN LIQUID ASSTS MNY MKT INVEST	72,648.710	1.000	$72,648.71	$72,648.71	$0.00	4.89%	$3,552.52
INCOME CASH	3,037.080	1.000	3,037.08	3,037.08	0.00	0.00%	0.00
PRINCIPAL CASH	(3,037.080)	1.000	(3,037.08)	(3,037.08)	0.00	0.00%	0.00
Total cash equivalents			$72,648.71	$72,648.71	$0.00		$3,552.52

Equities

Consumer non-durable

Description	Quantity	Share price or % of par	Market value	Tax cost	Unrealized gain(loss)	Current yield	Estimated annual income
KIMBERLY CLARK CORP	1,500.000	67.950	$101,925.00	$20,374.36	$81,550.64	2.88%	$2,940.00
Mutual funds							
JPMORGAN INTERNATIONAL EQUITY INDEX	9,914.209	27.890	276,507.29	205,000.00	71,507.29	2.13%	5,879.13
JPMORGAN MARKET EXPANSION INDEX FUND	28,825.297	12.270	353,686.39	268,250.00	85,436.39	0.83%	2,940.18
Total mutual funds			$630,193.68	$473,250.00	$156,943.68		$8,819.31
Total equities			$732,118.68	$493,624.36	$238,494.32		$11,759.31

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Holdings detail continued

Fixed Income

Municipal obligations

Description	Quantity	Share price or % of par	Market value	Tax cost	Unrealized gain(loss)	Current yield	Yield to maturity	Estimated annual income
SAN DIEGO CNTY CALIF REGL TRANSN COMMN SALES TAX REV REF-SECOND SR- SER A DTD 03/01/1993 5.25% DUE 04/01/2007 QUALITY TABLE RATING: AAA	50,000.000	100.434	$50,217.00	$50,000.00	$217.00	5.23%	5.227%	$2,625.00
HEMET CALIF WTR REV REF DTD 06/01/1997 5.00% DUE 08/01/2007 QUALITY TABLE RATING: AAA	50,000.000	100.858	50,429.00	50,000.00	429.00	4.96%	3.510%	2,500.00
CALIFORNIA ST ECONOMIC RECOVERY SER A DTD 05/11/2004 5.00% DUE 01/01/2008 QUALITY TABLE RATING: AA	50,000.000	101.440	50,720.00	51,042.46	(322.46)	4.93%	3.530%	2,500.00
GABRILLO CALIF CMNTY COLLEGE DIST SER A DTD 10/01/1998 4.10% DUE 08/01/2008 QUALITY TABLE RATING: AAA	50,000.000	100.935	50,467.50	49,797.50	670.00	4.06%	3.488%	2,050.00
JURUPA CALIF CMNTY SVCS DIST SPL TAX CMNTY FACS DIST NO 1 DTD 12/30/1998 4.10% DUE 09/01/2008 QUALITY TABLE RATING: AAA	50,000.000	100.885	50,442.50	49,800.00	642.50	4.06%	3.548%	2,050.00

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Holdings detail continued

Description	Quantity	Share price or % of par	Market value	Tax cost	Unrealized gain(loss)	Current yield	Yield to maturity	Estimated annual income
CALIFORNIA ST DEPT TRANSN REV FED HWY GRANT ANTIC BDS SER A DTD 02/01/2004 2.50% DUE 02/01/2009 QUALITY TABLE RATING: AAA	50,000.000	97.167	$48,583.50	$50,405.25	$(1,821.75)	2.57%	3.925%	$1,250.00
JEFFERSON CALIF UN HIGH SCH DIST SAN MATEO CNTY SER D DTD 05/15/1999 4.30% DUE 08/01/2009 QUALITY TABLE RATING: AAA	50,000.000	101.490	50,745.00	50,000.00	745.00	4.24%	3.690%	2,150.00
IRVINE CALIF UNI SCH DIST SPL TAX CMNTY FACS DIST NO 88-1 DTD 05/26/1999 4.25% DUE 11/01/2009 QUALITY TABLE RATING: AAA	50,000.000	101.797	50,898.50	49,790.00	1,108.50	4.17%	3.577%	2,125.00
SAN MATEO CNTY CALIF TRAN DIST SER A DTD 12/09/1998 4.25% DUE 06/01/2010 QUALITY TABLE RATING: AAA	50,000.000	102.364	51,182.00	47,775.00	3,407.00	4.15%	3.510%	2,125.00
RANCHO MIRAGE CALIF JT PWRS FING AUTH CTFS PARTN REF EISENHOWER MED CTR SER A DTD 01/01/1997 5.25% DUE 07/01/2010 QUALITY TABLE RATING: AAA	50,000.000	102.773	51,386.50	50,466.06	920.44	5.11%	4.388%	2,625.00

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Holdings detail continued

Description	Quantity	Share price or % of par	Market value	Tax cost	Unrealized gain/(loss)	Current yield	Yield to maturity	Estimated annual income
EL PASO DE ROBLES CALIF SER C DTD 05/01/2002 4.25% DUE 08/01/2010 QUALITY TABLE RATING: AAA	50,000.000	102.371	$51,185.50	$51,935.33	$(749.83)	4.15%	3.540%	$2,125.00
PASADENA CALIF UNI SCH DIST ELEC 1997 SER D DTD 05/23/2003 3.25% DUE 05/01/2011 QUALITY TABLE RATING: AAA	50,000.000	98.169	49,084.50	50,300.75	(1,216.25)	3.31%	3.710%	1,625.00
SAN FRANCISCO CALIF CITY & CNTY REF SER 1 DTD 10/15/1997 5.00% DUE 06/15/2011 QUALITY TABLE RATING: AAA	50,000.000	102.613	51,306.50	50,000.00	1,306.50	4.87%	4.349%	2,500.00
LOS ANGELES CALIF CMNTY COLLEGE DIST SER A DTD 06/20/2001 4.125% DUE 08/01/2011 QUALITY TABLE RATING: AAA	50,000.000	102.413	51,206.50	51,913.64	(707.14)	4.03%	3.550%	2,062.50
TEMPLE CITY CALIF UNI SCH DIST SER A DTD 08/12/1998 CAP APPREC DUE 08/01/2012 QUALITY TABLE RATING: AAA	50,000.000	81.116	40,558.00	38,388.89	2,169.11		0.000%	
CALIFORNIA ST DTD 10/01/2000 5.00% DUE 10/01/2012 PREREFUNDED QUALITY TABLE RATING: AAA	40,000.000	105.015	42,006.00	40,432.84	1,573.16	4.76%	4.014%	2,000.00

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Holdings detail continued

Description	Quantity	Share price or % of par	Market value	Tax cost	Unrealized gain/(loss)	Current yield	Yield to maturity	Estimated annual income
CALIFORNIA ST DTD 10/01/2000 5.00% DUE 10/01/2012 UNREFUNDED BALANCE QUALITY TABLE RATING: A	10,000.000	104.228	$10,422.80	$10,108.14	$314.66	4.80%	4.165%	$500.00
LOMA LINDA CALIF HOSP REV REF LOMA LINDA UNIV MED CTR A DTD 09/01/1999 5.05% DUE 12/01/2012 QUALITY TABLE RATING: AAA	50,000.000	107.393	53,696.50	51,415.58	2,280.92	4.70%	3.650%	2,525.00
KERN CNTY CALIF WTR AGY IMPT DIST NO 4 WTR REV CTFS PARTN SER A DTD 04/19/2006 4.00% DUE 05/01/2013 QUALITY TABLE RATING: AAA	50,000.000	102.245	51,122.50	50,781.47	341.03	3.91%	3.600%	2,000.00
ORANGE CNTY CALIF PUB FING AUTH LEASE REV REF DTD 08/16/2005 5.00% DUE 07/01/2013 QUALITY TABLE RATING: AAA	50,000.000	107.635	53,817.50	52,690.80	1,126.70	4.64%	3.670%	2,500.00
MANTECA CALIF UNI SCH DIST SPL TAX CMNTY FACS DIST NO 89-2 SER C DTD 11/01/2001 4.00% DUE 09/01/2013 QUALITY TABLE RATING: AAA	50,000.000	102.016	51,008.00	51,591.17	(583.17)	3.92%	3.656%	2,000.00

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Holdings detail continued

Description	Quantity	Share price or % of par	Market value	Tax cost	Unrealized gain(loss)	Current yield	Yield to maturity	Estimated annual income
SOUTHWESTERN CMNTY COLLEGE DIST CALIF REF DTD 02/17/2005 4.00% DUE 08/01/2014	50,000.000	102.286	$51,143.00	$51,651.30	$(508.30)	3.91%	3.652%	$2,000.00
QUALITY TABLE RATING: AAA								
Total fixed income			$1,061,628.80	$1,050,286.18	$11,342.62			$43,837.50
Total holdings			$1,866,395.19	$1,616,559.25	$249,836.94			$59,149.33
Accruals (income earned but not yet received)			$15,114.48					
Total holdings with accruals			$1,881,510.67					

Assets identified with an asterisk (*) at the beginning of the asset name are held in the Invested Income Portfolio.

Transaction detail

			Income	Principal
Beginning cash balance 1/1/06			$(9,204.70)	$9,204.70
Cash receipts				
Domestic dividends				
Date	*Description*	*Realized gain/(loss)*	*Income*	*Principal*
1/4/06	CASH RECEIPT OF DIVIDEND EARNED ON KIMBERLY CLARK CORP $0.45/SHARE ON 4,000 SHARES DUE 1/4/06		$1,800.00	
4/4/06	CASH RECEIPT OF DIVIDEND EARNED ON KIMBERLY CLARK CORP $0.49/SHARE ON 3,500 SHARES DUE 4/4/06		1,715.00	
7/5/06	CASH RECEIPT OF DIVIDEND EARNED ON KIMBERLY CLARK CORP $0.49/SHARE ON 3,000 SHARES DUE 7/5/06		1,470.00	
10/3/06	CASH RECEIPT OF DIVIDEND EARNED ON KIMBERLY CLARK CORP $0.49/SHARE ON 2,500 SHARES DUE 10/3/06		1,225.00	
Total domestic dividends			$6,210.00	$0.00
Mutual fund income				
1/3/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN INTERNATIONAL EQUITY INDEX $0.39435/SHARE ON 6,839.553 SHARES DUE 1/3/06		2,697.18	

6208

Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
1/3/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN MARKET EXPANSION INDEX FUND $0.02682/SHARE ON 28,825.297 SHARES DUE 1/3/06		$773.09	
4/3/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN MARKET EXPANSION INDEX FUND $0.0281/SHARE ON 28,825.297 SHARES DUE 4/3/06		809.99	
7/3/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN MARKET EXPANSION INDEX FUND $0.02867/SHARE ON 28,825.297 SHARES DUE 7/3/06		825.42	
10/2/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN MARKET EXPANSION INDEX FUND $0.01139/SHARE ON 28,825.297 SHARES DUE 10/2/06		328.32	
12/26/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN INTERNATIONAL EQUITY INDEX $0.59263/SHARE ON 9,914.209 SHARES DUE 12/26/06		5,875.46	
12/26/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN MARKET EXPANSION INDEX FUND $0.03402/SHARE ON 28,825.297 SHARES DUE 12/26/06		980.64	
Total mutual fund income			$12,291.10	$0.00
Taxable interest				
1/3/06	CASH RECEIPT OF INTEREST EARNED ON JPMORGAN LIQUID ASSTS MNY MKT INVEST INTEREST FROM 12/1/05 TO 12/31/05		226.75	

6209

Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
2/1/06	CASH RECEIPT OF INTEREST EARNED ON JPMORGAN LIQUID ASSTS MNY MKT INVEST INTEREST FROM 1/1/06 TO 1/31/06		$286.65	
3/1/06	CASH RECEIPT OF INTEREST EARNED ON JPMORGAN LIQUID ASSTS MNY MKT INVEST INTEREST FROM 2/1/06 TO 2/28/06		286.81	
4/3/06	CASH RECEIPT OF INTEREST EARNED ON JPMORGAN LIQUID ASSTS MNY MKT INVEST INTEREST FROM 3/1/06 TO 3/31/06		331.47	
5/1/06	CASH RECEIPT OF INTEREST EARNED ON JPMORGAN LIQUID ASSTS MNY MKT INVEST INTEREST FROM 4/1/06 TO 4/30/06		205.17	
6/1/06	CASH RECEIPT OF INTEREST EARNED ON JPMORGAN LIQUID ASSTS MNY MKT INVEST INTEREST FROM 5/1/06 TO 5/31/06		110.08	
7/3/06	CASH RECEIPT OF INTEREST EARNED ON JPMORGAN LIQUID ASSTS MNY MKT INVEST INTEREST FROM 6/1/06 TO 6/30/06		100.16	
8/1/06	CASH RECEIPT OF INTEREST EARNED ON JPMORGAN LIQUID ASSTS MNY MKT INVEST INTEREST FROM 7/1/06 TO 7/31/06		141.62	
9/1/06	CASH RECEIPT OF INTEREST EARNED ON JPMORGAN LIQUID ASSTS MNY MKT INVEST INTEREST FROM 8/1/06 TO 8/31/06		102.30	

6210

Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
10/2/06	CASH RECEIPT OF INTEREST EARNED ON JPMORGAN LIQUID ASSTS MNY MKT INVEST INTEREST FROM 9/1/06 TO 9/30/06		$108.26	
11/1/06	CASH RECEIPT OF INTEREST EARNED ON JPMORGAN LIQUID ASSTS MNY MKT INVEST INTEREST FROM 10/1/06 TO 10/31/06		81.91	
12/1/06	CASH RECEIPT OF INTEREST EARNED ON JPMORGAN LIQUID ASSTS MNY MKT INVEST INTEREST FROM 11/1/06 TO 11/30/06		262.00	
Total taxable interest			$2,241.18	$0.00
Non-taxable interest				
1/3/06	CASH RECEIPT OF INTEREST EARNED ON CALIFORNIA ST ECONOM 5.000% 1/01/08 $0.025/$1 PV ON 50,000 PAR VALUE DUE 1/1/06		1,250.00	
1/3/06	CASH RECEIPT OF INTEREST EARNED ON LOS ANGELES CNTY CAL 4.250% 7/01/06 $0.02125/$1 PV ON 50,000 PAR VALUE DUE 1/1/06		1,062.50	
1/3/06	CASH RECEIPT OF INTEREST EARNED ON RANCHO MIRAGE CALIF 5.250% 7/01/10 $0.02625/$1 PV ON 50,000 PAR VALUE DUE 1/1/06		1,312.50	
2/1/06	CASH RECEIPT OF INTEREST EARNED ON CABRILLO CALIF CMNTY 4.100% 8/01/08 $0.0205/$1 PV ON 50,000 PAR VALUE DUE 2/1/06		1,025.00	

6211

Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
2/1/06	CASH RECEIPT OF INTEREST EARNED ON CALIFORNIA ST DEPT 2.500% 2/01/09 $0.0125/$1 PV ON 50,000 PAR VALUE DUE 2/1/06		$625.00	
2/1/06	CASH RECEIPT OF INTEREST EARNED ON EL PASO DE ROBLES CA 4.250% 8/01/10 $0.02125/$1 PV ON 50,000 PAR VALUE DUE 2/1/06		1,062.50	
2/1/06	CASH RECEIPT OF INTEREST EARNED ON HEMET CALIF WTR REV 5.000% 8/01/07 $0.025/$1 PV ON 50,000 PAR VALUE DUE 2/1/06		1,250.00	
2/1/06	CASH RECEIPT OF INTEREST EARNED ON JEFFERSON CALIF 4.300% 8/01/09 $0.0215/$1 PV ON 50,000 PAR VALUE DUE 2/1/06		1,075.00	
2/1/06	CASH RECEIPT OF INTEREST EARNED ON LOS ANGELES CALIF CM 4.125% 8/01/11 $0.020625/$1 PV ON 50,000 PAR VALUE DUE 2/1/06		1,031.25	
3/1/06	CASH RECEIPT OF INTEREST EARNED ON JURUPA CALIF CMNTY 4.100% 9/01/08 $0.0205/$1 PV ON 50,000 PAR VALUE DUE 3/1/06		1,025.00	
3/1/06	CASH RECEIPT OF INTEREST EARNED ON MANTECA CALIF UNI SC 4.000% 9/01/13 $0.02/$1 PV ON 50,000 PAR VALUE DUE 3/1/06		1,000.00	
4/3/06	CASH RECEIPT OF INTEREST EARNED ON CALIFORNIA ST 5.000% 10/01/12 $0.025/$1 PV ON 40,000 PAR VALUE DUE 4/1/06		1,000.00	

6212

Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
4/3/06	CASH RECEIPT OF INTEREST EARNED ON CALIFORNIA ST 5.000% 10/01/12 $0.025/$1 PV ON 10,000 PAR VALUE DUE 4/1/06		$250.00	
4/3/06	CASH RECEIPT OF INTEREST EARNED ON SAN DIEGO CNTY CALIF 5.250% 4/01/07 $0.02625/$1 PV ON 50,000 PAR VALUE DUE 4/1/06		1,312.50	
5/1/06	CASH RECEIPT OF INTEREST EARNED ON CULVER CITY CALIF RE 4.400% 11/01/06 $0.022/$1 PV ON 50,000 PAR VALUE DUE 5/1/06		1,100.00	
5/1/06	CASH RECEIPT OF INTEREST EARNED ON IRVINE CALIF 4.250% 11/01/09 $0.02125/$1 PV ON 50,000 PAR VALUE DUE 5/1/06		1,062.50	
5/1/06	CASH RECEIPT OF INTEREST EARNED ON PASADENA CALIF UNI 3.250% 5/01/11 $0.01625/$1 PV ON 50,000 PAR VALUE DUE 5/1/06		812.50	
6/1/06	CASH RECEIPT OF INTEREST EARNED ON LOMA LINDA CALIF HOS 5.050% 12/01/12 $0.02525/$1 PV ON 50,000 PAR VALUE DUE 6/1/06		1,262.50	
6/1/06	CASH RECEIPT OF INTEREST EARNED ON SAN MATEO CNTY CALIF 4.250% 6/01/10 $0.02125/$1 PV ON 50,000 PAR VALUE DUE 6/1/06		1,062.50	
6/15/06	CASH RECEIPT OF INTEREST EARNED ON SAN FRANCISCO CALIF 5.000% 6/15/11 $0.025/$1 PV ON 50,000 PAR VALUE DUE 6/15/06		1,250.00	

Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
7/3/06	CASH RECEIPT OF INTEREST EARNED ON CALIFORNIA ST ECONOM 5.000% 1/01/08 $0.025/$1 PV ON 50,000 PAR VALUE DUE 7/1/06		$1,250.00	
7/3/06	CASH RECEIPT OF INTEREST EARNED ON LOS ANGELES CNTY CAL 4.250% 7/01/06 $0.02125/$1 PV ON 50,000 PAR VALUE DUE 7/1/06		1,062.50	
7/3/06	CASH RECEIPT OF INTEREST EARNED ON RANCHO MIRAGE CALIF 5.250% 7/01/10 $0.02625/$1 PV ON 50,000 PAR VALUE DUE 7/1/06		1,312.50	
7/12/06	PAID ACCRUED INTEREST ON PURCHASE OF ORANGE CNTY CALIF PU 5.000% 7/01/13		(78.39)	
8/1/06	CASH RECEIPT OF INTEREST EARNED ON CABRILLO CALIF CMNTY 4.100% 8/01/08 $0.0205/$1 PV ON 50,000 PAR VALUE DUE 8/1/06		1,025.00	
8/1/06	CASH RECEIPT OF INTEREST EARNED ON CALIFORNIA ST DEPT 2.500% 2/01/09 $0.0125/$1 PV ON 50,000 PAR VALUE DUE 8/1/06		625.00	
8/1/06	CASH RECEIPT OF INTEREST EARNED ON EL PASO DE ROBLES CA 4.250% 8/01/10 $0.02125/$1 PV ON 50,000 PAR VALUE DUE 8/1/06		1,062.50	
8/1/06	CASH RECEIPT OF INTEREST EARNED ON HEMET CALIF WTR REV 5.000% 8/01/07 $0.025/$1 PV ON 50,000 PAR VALUE DUE 8/1/06		1,250.00	

6214

Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
8/1/06	CASH RECEIPT OF INTEREST EARNED ON JEFFERSON CALIF 4.300% 8/01/09 $0.0215/$1 PV ON 50,000 PAR VALUE DUE 8/1/06		$1,075.00	
8/1/06	CASH RECEIPT OF INTEREST EARNED ON LOS ANGELES CALIF CM 4.125% 8/01/11 $0.020625/$1 PV ON 50,000 PAR VALUE DUE 8/1/06		1,031.25	
9/1/06	CASH RECEIPT OF INTEREST EARNED ON JURUPA CALIF CMNTY 4.100% 9/01/08 $0.0205/$1 PV ON 50,000 PAR VALUE DUE 9/1/06		1,025.00	
9/1/06	CASH RECEIPT OF INTEREST EARNED ON MANTECA CALIF UNI SC 4.000% 9/01/13 $0.02/$1 PV ON 50,000 PAR VALUE DUE 9/1/06		1,000.00	
10/2/06	CASH RECEIPT OF INTEREST EARNED ON CALIFORNIA ST 5.000% 10/01/12 $0.025/$1 PV ON 40,000 PAR VALUE DUE 10/1/06		1,000.00	
10/2/06	CASH RECEIPT OF INTEREST EARNED ON CALIFORNIA ST 5.000% 10/01/12 $0.025/$1 PV ON 10,000 PAR VALUE DUE 10/1/06		250.00	
10/2/06	CASH RECEIPT OF INTEREST EARNED ON SAN DIEGO CNTY CALIF 5.250% 4/01/07 $0.02625/$1 PV ON 50,000 PAR VALUE DUE 10/1/06		1,312.50	

Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
11/1/06	CASH RECEIPT OF INTEREST EARNED ON CULVER CITY CALIF RE 4.400% 11/01/06 $0.022/$1 PV ON 50,000 PAR VALUE DUE 11/1/06		$1,100.00	
11/1/06	CASH RECEIPT OF INTEREST EARNED ON IRVINE CALIF 4.250% 11/01/09 $0.02125/$1 PV ON 50,000 PAR VALUE DUE 11/1/06		1,062.50	
11/1/06	CASH RECEIPT OF INTEREST EARNED ON KERN CNTY CALIF 4.000% 5/01/13 $0.021333/$1 PV ON 50,000 PAR VALUE DUE 11/1/06		1,066.67	
11/1/06	CASH RECEIPT OF INTEREST EARNED ON PASADENA CALIF UNI 3.250% 5/01/11 $0.01625/$1 PV ON 50,000 PAR VALUE DUE 11/1/06		812.50	
12/1/06	CASH RECEIPT OF INTEREST EARNED ON LOMA LINDA CALIF HOS 5.050% 12/01/12 $0.02525/$1 PV ON 50,000 PAR VALUE DUE 12/1/06		1,262.50	
12/1/06	CASH RECEIPT OF INTEREST EARNED ON SAN MATEO CNTY CALIF 4.250% 6/01/10 $0.02125/$1 PV ON 50,000 PAR VALUE DUE 12/1/06		1,062.50	
12/15/06	CASH RECEIPT OF INTEREST EARNED ON SAN FRANCISCO CALIF 5.000% 6/15/11 $0.025/$1 PV ON 50,000 PAR VALUE DUE 12/15/06		1,250.00	
12/27/06	PAID ACCRUED INTEREST ON PURCHASE OF SOUTHWESTERN CMNTY 4.000% 8/01/14		(811.11)	
Total non-taxable interest			$41,841.67	$0.00

6216

Transaction detail continued

Other cash receipts

Date	*Description*	*Realized gain/(loss)*	*Income*	*Principal*
1/3/06	CASH RECEIPT TRANSFER FROM PRINCIPAL		$9,204.70	
10/12/06	CASH RECEIPT CLASS ACTION SETTLEMENT CHECK FROM FIRST CHICAGO SECURITIES LITIGATION CUSIP 06423A103 FOR SYNOPSIS SEE WWW.STRATEGICCLAIMS.NET RCVD PROCEEDS FROM CLOSED ACCT 9572007410			$244.29
12/18/06	RECEIVE LT CAPITAL GAINS DISTRIBUTION ON JPMORGAN INTERNATIONAL EQUITY INDEX $0.1296/SHARE ON 9,914.209 SHARES DUE 12/18/06 LT CAPITAL GAIN OF $1,284.38 ON FEDERAL COST LT CAPITAL GAIN OF $1,284.38 ON STATE COST	$1,284.38		1,284.38
12/18/06	RECEIVE ST CAPITAL GAINS DISTRIBUTION ON JPMORGAN INTERNATIONAL EQUITY INDEX $0.0268/SHARE ON 9,914.209 SHARES DUE 12/18/06 ST CAPITAL GAIN OF $266.30 ON FEDERAL COST ST CAPITAL GAIN OF $266.30 ON STATE COST	266.30	266.30	

Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
12/18/06	RECEIVE LT CAPITAL GAINS DISTRIBUTION ON JPMORGAN MARKET EXPANSION INDEX FUND $0.8956/SHARE ON 28,825.297 SHARES DUE 12/18/06 LT CAPITAL GAIN OF $25,814.78 ON FEDERAL COST LT CAPITAL GAIN OF $25,814.78 ON STATE COST	$25,814.78		$25,814.78
12/18/06	RECEIVE ST CAPITAL GAINS DISTRIBUTION ON JPMORGAN MARKET EXPANSION INDEX FUND $0.1248/SHARE ON 28,825.297 SHARES DUE 12/18/06 ST CAPITAL GAIN OF $3,590.48 ON FEDERAL COST ST CAPITAL GAIN OF $3,590.48 ON STATE COST	3,590.48	$3,590.48	
Total other cash receipts			$13,061.48	$27,343.45
Total cash receipts			**$75,645.43**	**$27,343.45**

Cash disbursements

Other cash disbursements

Date	Description	Income	Principal
1/3/06	CASH DISBURSEMENT TRANSFER TO INCOME		$(9,204.70)
1/11/06	CASH DISBURSEMENT PAID TO U S TREASURY FIDUCIARY EST FED INCOME TAX ***WITX FEDERAL ESTIMATED TAX		(200.00)

6218

Transaction detail continued

Date	Description	Income	Principal
3/31/06	CASH DISBURSEMENT PAID TO ACCOUNT [redacted] TO ANOTHER ACCOUNT PAID FOR MARGARET ANITA SENSENBRENNER TRANSFER FROM [redacted]	$(13,520.71)	
4/6/06	CASH DISBURSEMENT PAID TO U S TREASURY FIDUCIARY FEDERAL INCOME TAX ***WITX TAX DUE ON FORM 1041 FOR CALENDAR YR RTN		$(13,316.00)
4/6/06	CASH DISBURSEMENT PAID TO WISCONSIN DEPT OF REVENUE FIDUCIARY STATE INCOME TAX ***WITX TAX DUE ON STATE FIDUCIARY CALENDAR YR RTN		(488.00)
6/9/06	CASH DISBURSEMENT PAID TO U S TREASURY FIDUCIARY EST FED INCOME TAX ***WITX FEDERAL ESTIMATED TAX		(4,504.00)
6/9/06	CASH DISBURSEMENT PAID TO WISCONSIN DEPT OF REVENUE FIDUCIARY EST STATE TAX ***WITX INSTALLMENT ON STATE FIDUCIARY INCOME TAX		(699.00)

Transaction detail continued

Date	Description	Income	Principal
6/30/06	CASH DISBURSEMENT PAID TO ACCOUNT [redacted] TO ANOTHER ACCOUNT PAID FOR MARGARET ANITA SENSENBRENNER TRANSFER FROM [redacted]	$(13,520.71)	
9/11/06	CASH DISBURSEMENT PAID TO U S TREASURY FIDUCIARY EST FED INCOME TAX ***WITX FEDERAL ESTIMATED TAX		$(3,313.00)
9/11/06	CASH DISBURSEMENT PAID TO WISCONSIN DEPT OF REVENUE FIDUCIARY EST STATE TAX *i*WITX INSTALLMENT ON STATE FIDUCIARY INCOME TAX		(535.00)
10/2/06	CASH DISBURSEMENT PAID TO ACCOUNT [redacted] TO ANOTHER ACCOUNT PAID FOR MARGARET ANITA SENSENBRENNER TRANSFER FROM [redacted]	(13,520.71)	
10/31/06	CASH DISBURSEMENT PAID TO JPMCHASE WISCONSIN [redacted] ACH TRANSFER TO SAVINGS ACCT PAID FOR F JAMES SENSENBRENNER JR [redacted] N/O F JAMES SENSENBRENNER JR	(3,953.00)	

6220

Transaction detail continued

Date	Description	Income	Principal
10/31/06	CASH DISBURSEMENT PAID TO JPMCHASE WISCONSIN [redacted] ACH TRANSFER TO SAVINGS ACCT PAID FOR F JAMES SENSENBRENNER JR [redacted]N/O F JAMES SENSENBRENNER JR		$(3,953.00)
12/11/06	CASH DISBURSEMENT PAID TO FOLEY & LARDNER LLP LEGAL FEE PAID FOR F JAMES SENSENBRENNER JR ***[redacted]		(218.97)
12/27/06	CASH DISBURSEMENT PAID TO WISCONSIN DEPT OF REVENUE FIDUCIARY EST STATE TAX ***WITX INSTALLMENT ON STATE FIDUCIARY INCOME TAX		(397.00)
12/29/06	CASH DISBURSEMENT PAID TO ACCOUNT [redacted] TO ANOTHER ACCOUNT PAID FOR MARGARET ANITA SENSENBRENNER TRANSFER FROM [redacted]	$(13,520.71)	
Total other cash disbursements		$(58,035.84)	$(36,828.67)
Administrative expenses			
1/12/06	ADMINISTRATIVE FEES COLLECTED THROUGH DECEMBER MONTH END	(404.64)	(404.64)
2/13/06	ADMINISTRATIVE FEES COLLECTED THROUGH JANUARY MONTH END	(410.65)	(410.65)

6221

Transaction detail continued

Date	Description	Income	Principal
3/13/06	ADMINISTRATIVE FEES COLLECTED THROUGH FEBRUARY MONTH END	$(409.85)	$(409.85)
4/12/06	ADMINISTRATIVE FEES COLLECTED THROUGH MARCH MONTH END	(412.62)	(412.62)
5/12/06	ADMINISTRATIVE FEES COLLECTED THROUGH APRIL MONTH END	(419.41)	(419.42)
6/12/06	ADMINISTRATIVE FEES COLLECTED THROUGH MAY MONTH END	(416.79)	(416.80)
7/12/06	ADMINISTRATIVE FEES COLLECTED THROUGH JUNE MONTH END	(417.30)	(417.30)
8/3/06	FIDUCIARY TAX RETURN PREP COLLECTED 2005 FORMS 1041/ 2	(370.00)	
8/3/06	FIDUCIARY TAX RETURN PREP COLLECTED 2005 FORMS 1041/ 2		(370.00)
8/14/06	ADMINISTRATIVE FEES COLLECTED THROUGH JULY MONTH END	(418.40)	(418.40)
9/12/06	ADMINISTRATIVE FEES COLLECTED THROUGH AUGUST MONTH END	(421.00)	(421.00)
10/12/06	ADMINISTRATIVE FEES COLLECTED THROUGH SEPTEMBER MONTH END	(424.22)	(424.23)
11/13/06	ADMINISTRATIVE FEES COLLECTED THROUGH OCTOBER MONTH END	(424.00)	(424.00)

6222

Transaction detail continued

Date	Description	Income	Principal
12/12/06	ADMINISTRATIVE FEES COLLECTED THROUGH NOVEMBER MONTH END	$(418.93)	$(418.94)
Total administrative expenses		$(5,367.81)	$(5,367.85)
Total cash disbursements		**$(63,403.65)**	**$(42,196.52)**

Investment transactions

Purchases

Date	Description	Realized gain/(loss)	Income	Principal
	COMBINED PURCHASES FOR THE PERIOD 1/ 1/06 - 12/31/06 OF JPMORGAN LIQUID ASSTS MNY MKT INVEST INVESTMENTS AT TAX COST: $285,629.21			$(285,629.21)
3/15/06	PURCHASED 1,026.694 SHARES OF JPMORGAN INTERNATIONAL EQUITY INDEX TRADE DATE 3/14/06 1,026.694 SHARES AT $24.35 INVESTMENTS AT TAX COST: $25,000.00			(25,000.00)
4/19/06	PURCHASED 50,000 PAR VALUE OF KERN CNTY CALIF 4.000% 5/01/13 TRADE DATE 3/30/06 PURCHASED THROUGH STONE & YOUNGBERG LLC 50,000 PAR VALUE AT 101.717 % INVESTMENTS AT TAX COST: $50,858.50			(50,858.50)

6223

Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
6/9/06	PURCHASED 1,065.644 SHARES OF JPMORGAN INTERNATIONAL EQUITY INDEX TRADE DATE 6/8/06 1,065.644 SHARES AT $23.46 INVESTMENTS AT TAX COST: $25,000.00			$(25,000.00)
7/12/06	PURCHASED 50,000 PAR VALUE OF ~~ORANGE CNTY CALIF PU 5.000% 7/01/13~~ TRADE DATE 7/7/06 PURCHASED THROUGH NORWEST INVESTMENT SERVICES 50,000 PAR VALUE AT 105.717 % INVESTMENTS AT TAX COST: $52,858.50			(52,858.50)
9/19/06	PURCHASED 982.318 SHARES OF JPMORGAN INTERNATIONAL EQUITY INDEX TRADE DATE 9/18/06 982.318 SHARES AT $25.45 INVESTMENTS AT TAX COST: $25,000.00			(25,000.00)
12/27/06	PURCHASED 50,000 PAR VALUE OF SOUTHWESTERN CMNTY 4.000% 8/01/14 TRADE DATE 12/21/06 PURCHASED THROUGH A.G.EDWARDS & SONS INC 50,000 PAR VALUE AT 103.306 % INVESTMENTS AT TAX COST: $51,653.00			(51,653.00)
Total purchases			$0.00	$(515,999.21)

6224

Transaction detail continued

Sales and maturities

Date	Description	Realized gain/(loss)	Income	Principal
	COMBINED SALES FOR THE PERIOD 1/ 1/06 - 12/31/06 OF JPMORGAN LIQUID ASSTS MNY MKT INVEST INVESTMENTS AT TAX COST: $-293,234.37			$293,234.37
3/17/06	SOLD 500 SHARES OF KIMBERLY CLARK CORP TRADE DATE 3/14/06 SOLD THROUGH SPEAR, LEEDS & KELLOGG PAID $15.00 BROKERAGE PAID $0.91 SEC FEE 500 SHARES AT $59.19 INVESTMENTS AT TAX COST: $-6,791.45	$22,787.64		29,579.09
6/13/06	SOLD 500 SHARES OF KIMBERLY CLARK CORP TRADE DATE 6/8/06 SOLD THROUGH MER LYCH/PIERCE/FENER&SMTH N.Y PAID $15.00 BROKERAGE PAID $0.93 SEC FEE 500 SHARES AT $60.02 INVESTMENTS AT TAX COST: $-6,791.46	23,202.61		29,994.07
7/3/06	MATURED 50,000 PAR VALUE OF LOS ANGELES CNTY CAL 4.250% 7/01/08 TRADE DATE 7/1/06 50,000 PAR VALUE AT 100 % INVESTMENTS AT TAX COST: $-50,000.00			50,000.00

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Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
9/19/06	SOLD 500 SHARES OF KIMBERLY CLARK CORP TRADE DATE 9/14/06 SOLD THROUGH SPEAR, LEEDS & KELLOGG PAID $15.00 BROKERAGE PAID $1.00 SEC FEE 500 SHARES AT $64.79 INVESTMENTS AT TAX COST: $-6,791.45	$25,587.55		$32,379.00
11/1/06	MATURED 50,000 PAR VALUE OF CULVER CITY CALIF RE 4.400% 11/01/06, TRADE DATE 11/1/06 50,000 PAR VALUE AT 100 % INVESTMENTS AT TAX COST: $-50,000.00			50,000.00
12/22/06	SOLD 500 SHARES OF KIMBERLY CLARK CORP TRADE DATE 12/19/06 SOLD THROUGH MER LYCH/PIERCE/FENER&SMTH N.Y PAID $15.00 BROKERAGE PAID $1.03 SEC FEE 500 SHARES AT $66.88 INVESTMENTS AT TAX COST: $-6,791.46	26,632.51		33,423.97
Total sales and maturities			$0.00	$518,610.50
Total investment transactions			**$0.00**	**$2,611.29**

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Transaction detail continued

Other investment transactions (non-cash)

Date	Description	Income	Principal
2/1/06	AMORTIZED PREMIUM ON CALIFORNIA ST DEPT 2.500% 2/01/09 FED BASIS DECREASED BY $14.96 TO $50,578.55 STATE BASIS DECREASED BY $14.96 TO $50,578.55 2/1/06 CURRENT YEAR AMORTIZATION INVESTMENTS AT TAX COST: $-14.96		
2/1/06	AMORTIZED PREMIUM ON EL PASO DE ROBLES CA 4.250% 8/01/10 FED BASIS DECREASED BY $40.01 TO $52,398.28 STATE BASIS DECREASED BY $40.01 TO $52,398.28 2/1/06 CURRENT YEAR AMORTIZATION INVESTMENTS AT TAX COST: $-40.01		
2/1/06	AMORTIZED PREMIUM ON LOS ANGELES CALIF CM 4.125% 8/01/11 FED BASIS DECREASED BY $30.20 TO $52,264.98 STATE BASIS DECREASED BY $30.20 TO $52,264.98 2/1/06 CURRENT YEAR AMORTIZATION INVESTMENTS AT TAX COST: $-30.20		

Transaction detail continued

Date	Description	Income	Principal
3/1/06	AMORTIZED PREMIUM ON MANTECA CALIF UNI SC 4.000% 9/01/13 FED BASIS DECREASED BY $32.73 TO $51,770.54 STATE BASIS DECREASED BY $32.73 TO $51,770.54 3/1/06 CURRENT YEAR AMORTIZATION INVESTMENTS AT TAX COST: $-32.73		
4/3/06	AMORTIZED PREMIUM ON CALIFORNIA ST 5.000% 10/01/12 FED BASIS DECREASED BY $22.47 TO $40,513.85 STATE BASIS DECREASED BY $22.47 TO $40,513.85 4/1/06 CURRENT YEAR AMORTIZATION INVESTMENTS AT TAX COST: $-22.47		
4/3/06	AMORTIZED PREMIUM ON CALIFORNIA ST 5.000% 10/01/12 FED BASIS DECREASED BY $5.62 TO $10,128.42 STATE BASIS DECREASED BY $5.62 TO $10,128.42 4/1/06 CURRENT YEAR AMORTIZATION INVESTMENTS AT TAX COST: $-5.62		

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Transaction detail continued

Date	Description	Income	Principal
5/1/06	AMORTIZED PREMIUM ON PASADENA CALIF UNI 3.250% 5/01/11 FED BASIS DECREASED BY $19.77 TO $50,344.92 STATE BASIS DECREASED BY $19.77 TO $50,344.92 5/1/06 CURRENT YEAR AMORTIZATION INVESTMENTS AT TAX COST: $-19.77		
6/1/06	AMORTIZED PREMIUM ON LOMA LINDA CALIF HOS 5.050% 12/01/12 FED BASIS DECREASED BY $81.98 TO $51,537.84 STATE BASIS DECREASED BY $81.98 TO $51,537.84 6/1/06 CURRENT YEAR AMORTIZATION INVESTMENTS AT TAX COST: $-81.98		
7/3/06	AMORTIZED PREMIUM ON CALIFORNIA ST ECONOM 5.000% 1/01/08 FED BASIS DECREASED BY $502.88 TO $51,552.65 STATE BASIS DECREASED BY $502.88 TO $51,552.65 7/1/06 CURRENT YEAR AMORTIZATION INVESTMENTS AT TAX COST: $-502.88		

Transaction detail continued

Date	Description	Income	Principal
7/3/06	AMORTIZED PREMIUM ON LOS ANGELES CNTY CAL 4.250% 7/01/06 FED BASIS DECREASED BY $644.73 TO $50,000.00 STATE BASIS DECREASED BY $644.73 TO $50,000.00 7/1/06 CURRENT YEAR AMORTIZATION INVESTMENTS AT TAX COST: $-644.73		
7/3/06	AMORTIZED PREMIUM ON RANCHO MIRAGE CALIF 5.250% 7/01/10 FED BASIS DECREASED BY $84.49 TO $50,552.75 STATE BASIS DECREASED BY $84.49 TO $50,552.75 7/1/06 CURRENT YEAR AMORTIZATION INVESTMENTS AT TAX COST: $-84.49		
8/1/06	AMORTIZED PREMIUM ON CALIFORNIA ST DEPT 2.500% 2/01/09 FED BASIS DECREASED BY $93.92 TO $50,484.63 STATE BASIS DECREASED BY $93.92 TO $50,484.63 8/1/06 CURRENT YEAR AMORTIZATION INVESTMENTS AT TAX COST: $-93.92		

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Transaction detail continued

Date	Description	Income	Principal
8/1/06	AMORTIZED PREMIUM ON EL PASO DE ROBLES CA 4.250% 8/01/10 FED BASIS DECREASED BY $250.37 TO $52,147.91 STATE BASIS DECREASED BY $250.37 TO $52,147.91 8/1/06 CURRENT YEAR AMORTIZATION INVESTMENTS AT TAX COST: $-250.37		
8/1/06	AMORTIZED PREMIUM ON LOS ANGELES CALIF CM 4.125% 8/01/11 FED BASIS DECREASED BY $189.63 TO $52,075.35 STATE BASIS DECREASED BY $189.63 TO $52,075.35 8/1/06 CURRENT YEAR AMORTIZATION INVESTMENTS AT TAX COST: $-189.63		
9/1/06	AMORTIZED PREMIUM ON MANTECA CALIF UNI SC 4.000% 9/01/13 FED BASIS DECREASED BY $105.87 TO $51,664.67 STATE BASIS DECREASED BY $105.87 TO $51,664.67 9/1/06 CURRENT YEAR AMORTIZATION INVESTMENTS AT TAX COST: $-105.87		

Transaction detail continued

Date	Description	Income	Principal
10/2/06	AMORTIZED PREMIUM ON CALIFORNIA ST 5.000% 10/01/12 FED BASIS DECREASED BY $51.81 TO $40,462.04 STATE BASIS DECREASED BY $51.81 TO $40,462.04 10/1/06 CURRENT YEAR AMORTIZATION INVESTMENTS AT TAX COST: $-51.81		
10/2/06	AMORTIZED PREMIUM ON CALIFORNIA ST 5.000% 10/01/12 FED BASIS DECREASED BY $12.98 TO $10,115.44 STATE BASIS DECREASED BY $12.98 TO $10,115.44 10/1/06 CURRENT YEAR AMORTIZATION INVESTMENTS AT TAX COST: $-12.98		
11/1/06	AMORTIZED PREMIUM ON KERN CNTY CALIF 4.000% 5/01/13 FED BASIS DECREASED BY $57.02 TO $50,801.48 STATE BASIS DECREASED BY $57.02 TO $50,801.48 11/1/06 CURRENT YEAR AMORTIZATION INVESTMENTS AT TAX COST: $-57.02		

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Transaction detail continued

Date	Description	Income	Principal
11/1/06	AMORTIZED PREMIUM ON PASADENA CALIF UNI 3.250% 5/01/11 FED BASIS DECREASED BY $31.95 TO $50,312.97 STATE BASIS DECREASED BY $31.95 TO $50,312.97 11/1/06 CURRENT YEAR AMORTIZATION INVESTMENTS AT TAX COST: $-31.95		
12/1/06	AMORTIZED PREMIUM ON LOMA LINDA CALIF HOS 5.050% 12/01/12 FED BASIS DECREASED BY $102.90 TO $51,434.94 STATE BASIS DECREASED BY $102.90 TO $51,434.94 12/1/06 CURRENT YEAR AMORTIZATION INVESTMENTS AT TAX COST: $-102.90		
12/29/06	AMORTIZED PREMIUM ON CALIFORNIA ST 5.000% 10/01/12 FED BASIS DECREASED BY $29.20 TO $40,432.84 STATE BASIS DECREASED BY $29.20 TO $40,432.84 1/1/07 CURRENT YEAR AMORTIZATION INVESTMENTS AT TAX COST: $-29.20		

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Transaction detail continued

Date	Description	Income	Principal
12/29/06	AMORTIZED PREMIUM ON CALIFORNIA ST 5.000% 10/01/12 FED BASIS DECREASED BY $7.30 TO $10,108.14 STATE BASIS DECREASED BY $7.30 TO $10,108.14 1/1/07 CURRENT YEAR AMORTIZATION INVESTMENTS AT TAX COST: $-7.30		
12/29/06	AMORTIZED PREMIUM ON CALIFORNIA ST DEPT 2.500% 2/01/09 FED BASIS DECREASED BY $79.38 TO $50,405.25 STATE BASIS DECREASED BY $79.38 TO $50,405.25 1/1/07 CURRENT YEAR AMORTIZATION INVESTMENTS AT TAX COST: $-79.38		
12/29/06	AMORTIZED PREMIUM ON CALIFORNIA ST ECONOM 5.000% 1/01/08 FED BASIS DECREASED BY $510.19 TO $51,042.46 STATE BASIS DECREASED BY $510.19 TO $51,042.46 1/1/07 CURRENT YEAR AMORTIZATION INVESTMENTS AT TAX COST: $-510.19		

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Transaction detail continued

Date	Description	Income	Principal
12/29/06	AMORTIZED PREMIUM ON EL PASO DE ROBLES CA 4.250% 8/01/10 FED BASIS DECREASED BY $212.58 TO $51,935.33 STATE BASIS DECREASED BY $212.58 TO $51,935.33 1/1/07 CURRENT YEAR AMORTIZATION INVESTMENTS AT TAX COST: $-212.58		
12/29/06	AMORTIZED PREMIUM ON KERN CNTY CALIF 4.000% 5/01/13 FED BASIS DECREASED BY $20.01 TO $50,781.47 STATE BASIS DECREASED BY $20.01 TO $50,781.47 1/1/07 CURRENT YEAR AMORTIZATION INVESTMENTS AT TAX COST: $-20.01		
12/29/06	AMORTIZED PREMIUM ON LOMA LINDA CALIF HOS 5.050% 12/01/12 FED BASIS DECREASED BY $19.36 TO $51,415.58 STATE BASIS DECREASED BY $19.36 TO $51,415.58 1/1/07 CURRENT YEAR AMORTIZATION INVESTMENTS AT TAX COST: $-19.36		

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Transaction detail continued

Date	Description	Income	Principal
12/29/06	AMORTIZED PREMIUM ON LOS ANGELES CALIF CM 4.125% 8/01/11 FED BASIS DECREASED BY $161.71 TO $51,913.64 STATE BASIS DECREASED BY $161.71 TO $51,913.64 1/1/07 CURRENT YEAR AMORTIZATION INVESTMENTS AT TAX COST: $-161.71		
12/29/06	AMORTIZED PREMIUM ON MANTECA CALIF UNI SC 4.000% 9/01/13 FED BASIS DECREASED BY $73.50 TO $51,591.17 STATE BASIS DECREASED BY $73.50 TO $51,591.17 1/1/07 CURRENT YEAR AMORTIZATION INVESTMENTS AT TAX COST: $-73.50		
12/29/06	AMORTIZED PREMIUM ON ORANGE CNTY CALIF PU 5.000% 7/01/13 FED BASIS DECREASED BY $167.70 TO $52,690.80 STATE BASIS DECREASED BY $167.70 TO $52,690.80 1/1/07 CURRENT YEAR AMORTIZATION INVESTMENTS AT TAX COST: $-167.70		

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Transaction detail continued

Date	Description	Income	Principal
12/29/06	AMORTIZED PREMIUM ON PASADENA CALIF UNI 3.250% 5/01/11 FED BASIS DECREASED BY $12.22 TO $50,300.75 STATE BASIS DECREASED BY $12.22 TO $50,300.75 1/1/07 CURRENT YEAR AMORTIZATION INVESTMENTS AT TAX COST: $-12.22		
12/29/06	AMORTIZED PREMIUM ON RANCHO MIRAGE CALIF 5.250% 7/01/10 FED BASIS DECREASED BY $86.69 TO $50,466.06 STATE BASIS DECREASED BY $86.69 TO $50,466.06 1/1/07 CURRENT YEAR AMORTIZATION INVESTMENTS AT TAX COST: $-86.69		
12/29/06	AMORTIZED PREMIUM ON SOUTHWESTERN CMNTY 4.000% 8/01/14 FED BASIS DECREASED BY $1.70 TO $51,651.30 STATE BASIS DECREASED BY $1.70 TO $51,651.30 1/1/07 CURRENT YEAR AMORTIZATION INVESTMENTS AT TAX COST: $-1.70		

Transaction detail continued

Date	Description	Income	Principal
12/29/06	ACCRETED DISCOUNT ON TEMPLE CITY CALIF UNI SCH 8/01/12 FED BASIS INCREASED BY $1,868.89 TO $38,388.89 STATE BASIS INCREASED BY $1,868.89 TO $38,388.89 1/1/07 CURRENT YEAR NON-TAXABLE OID INVESTMENTS AT TAX COST: $1,868.89		
Total other investment transactions (non-cash)		$0.00	$0.00
		Income	*Principal*
Ending cash balance 12/31/06		$3,037.08	$(3,037.08)

No pending trades.

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IMPORTANT INFORMATION

JPMorgan Funds are not bank deposits, not FDIC insured, not obligations of or guaranteed by JPMorgan Chase Bank, N.A. or its affiliates, or any federal or state government, government agency or government sponsored agency and involve investment risks (including possible loss of principal amount invested). JPMorgan Chase Bank, N.A., or its affiliates, including the legal entity providing this statement, may receive fees for providing various services to various mutual funds. Prospectuses, fee disclosure statements and annual reports for JPMorgan Funds are always available. Please contact your advisor, or JPMorgan Distribution Services (800) 480-4111 (www.jpmorganfunds.com) for further information.

This statement is not an official document for income tax reporting purposes. Please contact your JPMorgan advisor if your financial needs or restrictions change.

State law may require that an action for breach of trust based on matters disclosed in this statement be commenced within a certain time period after receipt of the statement. The following states require that we tell you the period - Florida within 6 months (FL ST 737.307) Michigan within 1 year (MCL 700.7307) Ohio within 2 years (OUTC 5810.05). Questions, please consult your attorney.

General: When reviewing your Bond quality table, the percentages are rounded to zero when the percentage for a rating category is less than one percent. When reviewing your Holdings detail, the market value listed for each asset may not equal the quantity multiplied by the share price due to rounding, however the value referenced in the Market Value column is an accurate figure. Market values noted in the Market Reconciliation and Transaction detail are as of the end of the statement period. The market value on the date of the transaction may be different. Please be aware that the information shown in the Realized Gain/Loss column (found in the Transaction detail section of your statement) and the Unrealized Gain/Loss on the Asset listing are based on tax cost, even though the assets on your statement may be carried at book value.

Life insurance values are shown at cash surrender value as most recently reported by you or the insurance Company. If the value shown is $1.00, we have not received a cash surrender value.

Common trust funds: The most recent audited annual report of the Bank's common trust funds is available upon request at no charge.

Market value and pricing: Market value and prices for publicly-traded securities have been obtained from sources believed to be reliable without guarantee of accuracy. Certain assets may not be held by us and their reporting is at the request of and for the convenience of the account owner. Where applicable, refer to your broker, investment manager or other third party statement for valuation, and transaction information.

Structured investments: Structured investments may involve significant risk (including loss of investment) and have limited liquidity. See the prospectus or offering memorandum for a full discussion. Structured investments may be an obligation of JPMorgan Chase Bank, N.A. or an affiliate of JPMorgan Chase Bank, N.A.

Pooled private investments, closely held corporations/other assets: Certain assets, including but not limited to, pooled private investments, non-publicly traded and infrequently traded securities, derivatives, partnership interests and tangible assets are generally illiquid, the value of which may have been provided to us by third parties who may not be independent of the issuer or manager. Such information is reflected as of the last date provided to us, and is not independently verified. Prices, some of which may be provided by pricing services or other sources that we deem reliable, are not guaranteed for accuracy or as realizable values. Values may not represent the actual terms at which transactions or securities could be bought or sold or new transactions could be entered into or the actual terms on which existing transactions could be liquidated as of the date of this statement.

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Hedge funds: Hedge fund managers generally calculate a fund's value (the "Hedge Fund Value"), 10-20 business days following the last business day of the month. For that reason, hedge fund NAVs shown may be preliminary and subject to change. In general, the NAV is stated net of management and incentive fees. The NAV is calculated according to the General Partner's or Manager's stated methodology. Please refer to the limited partnership agreement, limited liability company agreement or other operative documentation for each hedge fund for the specific valuation methodology used by the General Partner or Manager for that hedge fund.

JPMorgan expressly disclaims any responsibility for (1) the accuracy of the information and the methodology used by the General Partner or Manager in deriving valuations, (2) any errors or omissions in compiling or disseminating the valuations, and (3) any use to which the valuations are put. Valuations are provided for information purposes only and are intended solely for your own use.

Hedge funds generally allow subscriptions either on a monthly or quarterly basis. Your interest in a hedge fund or hedge fund of funds is generally established as of the first business day of the subscription period. Due to early funding requirements by the applicable fund, your account may be debited for the subscription amount prior to the subscription date. Please refer to the applicable hedge fund's operative documentation for further details.

Hedge fund redemptions are generally on a monthly, quarterly or annual basis but can sometimes be subject to a multi-year lockup before a redemption is permitted. For redemptions, there is generally a notification period that can be substantial before the actual redemption date. Redemption proceeds are generally paid 3-5 business days after the final NAV is issued, but can be subject to a holdback of a portion of the proceeds until an annual audit of the Fund has been completed. Please refer to the applicable hedge fund's operative documentation for further details. Additionally, accounting methodology for hedge funds is determined by the operative documentation; please consult the operative documentation for more information.

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Annual Account Statement

F JAMES SENSENBRENNER JR IRR CRT
Account number [redacted]
January 1, 2006 - December 31, 2006

JPMORGAN CHASE BANK, N.A.
111 EAST WISCONSIN AVENUE, WI1-2053
MILWAUKEE, WI 53202

Your Advisor(s):
LYNN E PAULL
(414) 977-2027 or (800) 926-4556

Thank you for the opportunity to serve your financial needs. We appreciate your confidence in us.

Please remember to check the Bulletin Board (located at the back of your statement) for important messages.

Don't hesitate to contact your advisor if you have any questions, comments or concerns.

05030 00515
F JAMES SENSENBRENNER JR
609 FORT WILLIAMS PKWY
ALEXANDRIA VA 22304-1813

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Holdings summary

	Value on December 31, 2006	Value on December 31, 2005	Change in value
Cash equivalents	$29,066.81		
Equities	353,394.05		
Fixed income	109,513.15		
Other	0.00		
Total holdings	**$491,974.01**	$462,625.75	**$29,348.26**
Accruals (income earned but not yet received)	$520.82		
Total holdings with accruals	**$492,494.83**		

Income summary

Income	Statement period	January 1 to December 31
Dividends (domestic/foreign/mutual fund income)	$10,390.36	$10,390.36
Interest (taxable/non-taxable)	865.43	865.43
Other income	0.00	0.00
Total income	**$11,255.79**	$11,255.79
Realized gain/(loss)		
	$21,317.82	$21,317.82

The income summary includes taxable and tax-exempt income for both principal and income beneficiaries, please refer to the Transaction detail for an income breakdown. These amounts represent approximate income and gain/(loss) amounts and should not be used for final Internal Revenue Service reporting.

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Market reconciliation

	Statement period	January 1 to December 31
Beginning market value	$462,625.75	$462,625.75
Receipts	16,930.71	16,930.71
Disbursements	(45,061.99)	(45,061.99)
Income	11,255.79	11,255.79
Capital gains distributions	21,017.83	21,017.83
Change in asset market value	25,205.92	25,205.92
Ending market value	$491,974.01	$491,974.01

The Market reconciliation provides a summary of all activity in the account. The Receipts and Disbursements categories may include Non-Cash Transactions such as transfers within the account, name change transactions, asset receipts and distributions, and stock split transactions. The Transaction detail section provides an itemized list of all transactions. The Transaction summary provides a summary of cash receipt and disbursement transactions.

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Transaction summary

	Income	Principal
Beginning cash balance 1/1/06	$(16,930.71)	$16,930.71
Cash receipts		
Dividends (domestic/foreign/mutual fund income)	$10,390.36	$0.00
Interest (taxable/non-taxable)	865.43	0.00
Other cash receipts	20,888.50	17,060.04
Total cash receipts	$32,144.29	$17,060.04
Cash disbursements		
Cash disbursements	$(23,131.28)	$(16,930.71)
Administrative expenses	(2,500.00)	(2,500.00)
Total cash disbursements	$(25,631.28)	$(19,430.71)
Investment transactions		
Purchases	$0.00	$(57,273.62)
Sales and maturities	0.00	53,131.28
Total investment transactions	$0.00	$(4,142.34)
Ending cash balance 12/31/06	$(10,417.70)	$10,417.70

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Only the Asset allocation analysis includes mutual fund holdings. The Bond quality *and maturity analyses (if applicable)* are displayed excluding mutual funds.

Investment objective: Growth Fiduciary

Captures the upside return potential of risky assets (equities and medium volatility hedge funds) while maintaining a modest exposure to low volatility and stable return assets (fixed income, cash, and medium volatility hedge funds) for portfolio efficiency and some downside protection.

Asset allocation

- 5.9% - Cash equivalents
- 71.8% - Equities
  71.8% - *Mutual funds*
- 22.3% - Fixed income
  22.3% - *Mutual funds*

The Asset allocation may not be exactly as described in the Investment objective due to Other Asset holdings.

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Holdings detail

Cash equivalents

Very liquid assets

Description	Quantity	Share price or % of par	Market value	Tax cost	Unrealized gain(loss)	Current yield	Estimated annual income
JPMORGAN LIQUID ASSTS MNY MKT INVEST	29,066.810	1.000	$29,066.81	$29,066.81	$0.00	4.89%	$1,421.37
INCOME CASH	(10,417.700)	1.000	(10,417.70)	(10,417.70)	0.00	0.00%	0.00
PRINCIPAL CASH	10,417.700	1.000	10,417.70	10,417.70	0.00	0.00%	0.00
Total cash equivalents			$29,066.81	$29,066.81	$0.00		$1,421.37

Equities

Mutual funds

Description	Quantity	Share price or % of par	Market value	Tax cost	Unrealized gain(loss)	Current yield	Estimated annual income
JPMORGAN DIVERSIFIED MID CAP GROWTH	1,523.609	23.370	$35,606.74	$31,249.21	$4,357.53	0.00%	$0.00
JPMORGAN DIVERSIFIED MID CAP VALUE	1,998.201	14.700	29,373.55	30,612.44	(1,238.89)	1.28%	375.66
JPMORGAN INTERNATIONAL EQUITY INDEX	2,347.482	27.890	65,471.27	39,636.83	25,834.44	2.13%	1,392.06
JPMORGAN INTREPID AMERICA FUND	991.277	27.910	27,666.54	25,000.00	2,666.54	0.87%	239.89
JPMORGAN LARGE CAP GROWTH FUND	5,894.175	16.920	99,729.44	90,622.63	9,106.81	0.00%	0.00
JPMORGAN LARGE CAP VALUE FUND	5,558.261	17.190	95,546.51	85,305.50	10,241.01	1.63%	1,556.31
Total equities			$353,394.05	$302,426.61	$50,967.44		$3,563.92

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Holdings detail continued

Fixed income

Mutual funds

Description	Quantity	Share price or % of par	Market value	Tax cost	Unrealized gain(loss)	Current yield	Yield to maturity	Estimated annual income
JPMORGAN CORE PLUS BOND FUND SELECT CLASS(HLIPX)	5,353.004	7.720	$41,325.19	$42,395.79	$(1,070.60)	4.90%		$2,023.44
JPMORGAN INTERMEDIATE BOND FUND SELECT CLASS(SEIFX)	6,620.180	10.300	68,187.96	69,780.41	(1,592.45)	4.90%		3,343.20
Total fixed income			$109,513.15	$112,176.20	$(2,663.05)			$5,366.64
Total holdings			**$491,974.01**	**$443,669.62**	**$48,304.39**			**$10,351.93**
Accruals (income earned but not yet received)			$520.82					
Total holdings with accruals			**$492,494.83**					

Assets identified with an asterisk (*) at the beginning of the asset name are held in the Invested Income Portfolio.

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Transaction detail

	Income	Principal
Beginning cash balance 1/1/06	$(16,930.71)	$16,930.71

Cash receipts

Mutual fund income

Date	Description	Realized gain/(loss)	Income	Principal
1/3/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN CORE PLUS BOND FUND $0.032/SHARE ON 5,353.004 SHARES DUE 1/3/06		$171.30	
1/3/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN DIVERSIFIED MID CAP VALUE $0.02563/SHARE ON 1,998.201 SHARES DUE 1/3/06		51.21	
1/3/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN INTERMEDIATE BOND FUND $0.045/SHARE ON 6,620.19 SHARES DUE 1/3/06		297.91	
1/3/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN INTERNATIONAL EQUITY INDEX $0.39435/SHARE ON 2,347.482 SHARES DUE 1/3/06		925.73	
1/3/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN LARGE CAP VALUE FUND $0.06854/SHARE ON 6,318.602 SHARES DUE 1/3/06		433.08	
2/1/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN CORE PLUS BOND FUND $0.031/SHARE ON 5,353.004 SHARES DUE 2/1/06		165.94	

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JPMorgan

Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
2/1/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN INTERMEDIATE BOND FUND $0.04/SHARE ON 6,620.19 SHARES DUE 2/1/06		$264.81	
3/1/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN CORE PLUS BOND FUND $0.031/SHARE ON 5,353.004 SHARES DUE 3/1/06		165.94	
3/1/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN INTERMEDIATE BOND FUND $0.043/SHARE ON 6,620.19 SHARES DUE 3/1/06		284.67	
4/3/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN CORE PLUS BOND FUND $0.031/SHARE ON 5,353.004 SHARES DUE 4/3/06		165.94	
4/3/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN DIVERSIFIED MID CAP VALUE $0.07308/SHARE ON 1,998.201 SHARES DUE 4/3/06		146.03	
4/3/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN INTERMEDIATE BOND FUND $0.043/SHARE ON 6,620.19 SHARES DUE 4/3/06		284.67	
4/3/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN LARGE CAP VALUE FUND $0.05618/SHARE ON 6,318.602 SHARES DUE 4/3/06		418.17	
5/1/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN CORE PLUS BOND FUND $0.031/SHARE ON 5,353.004 SHARES DUE 5/1/06		165.94	

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Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
5/1/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN INTERMEDIATE BOND FUND $0.042/SHARE ON 6,620.19 SHARES DUE 5/1/06		$278.05	
6/1/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN CORE PLUS BOND FUND $0.032/SHARE ON 5,353.004 SHARES DUE 6/1/06		171.30	
6/1/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN INTERMEDIATE BOND FUND $0.043/SHARE ON 6,620.19 SHARES DUE 6/1/06		284.67	
7/3/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN CORE PLUS BOND FUND $0.035/SHARE ON 5,353.004 SHARES DUE 7/3/06		187.36	
7/3/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN DIVERSIFIED MID CAP VALUE $0.04303/SHARE ON 1,998.201 SHARES DUE 7/3/06		85.98	
7/3/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN INTERMEDIATE BOND FUND $0.043/SHARE ON 6,620.19 SHARES DUE 7/3/06		284.67	
7/3/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN LARGE CAP VALUE FUND $0.07485/SHARE ON 5,558.261 SHARES DUE 7/3/06		416.04	
8/1/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN CORE PLUS BOND FUND $0.031/SHARE ON 5,353.004 SHARES DUE 8/1/06		165.94	

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JPMorgan

Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
8/1/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN INTERMEDIATE BOND FUND $0.042/SHARE ON 6,620.19 SHARES DUE 8/1/06		$278.05	
9/1/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN CORE PLUS BOND FUND $0.031/SHARE ON 5,353.004 SHARES DUE 9/1/06		165.94	
9/1/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN INTERMEDIATE BOND FUND $0.042/SHARE ON 6,620.19 SHARES DUE 9/1/06		278.05	
10/2/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN CORE PLUS BOND FUND $0.031/SHARE ON 5,353.004 SHARES DUE 10/2/06		165.94	
10/2/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN DIVERSIFIED MID CAP VALUE $0.02419/SHARE ON 1,998.201 SHARES DUE 10/2/06		48.34	
10/2/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN INTERMEDIATE BOND FUND $0.041/SHARE ON 6,620.19 SHARES DUE 10/2/06		271.43	
10/2/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN LARGE CAP VALUE FUND $0.06493/SHARE ON 5,558.261 SHARES DUE 10/2/06		360.90	
11/1/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN CORE PLUS BOND FUND $0.031/SHARE ON 5,353.004 SHARES DUE 11/1/06		165.94	

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Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
11/1/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN INTERMEDIATE BOND FUND $0.041/SHARE ON 6,620.19 SHARES DUE 11/1/06		$271.43	
12/1/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN CORE PLUS BOND FUND $0.031/SHARE ON 5,353.004 SHARES DUE 12/1/06		165.94	
12/1/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN INTERMEDIATE BOND FUND $0.04/SHARE ON 6,620.19 SHARES DUE 12/1/06		264.81	
12/26/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN DIVERSIFIED MID CAP VALUE $0.04818/SHARE ON 1,998.201 SHARES DUE 12/26/06		96.27	
12/26/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN INTERNATIONAL EQUITY INDEX $0.59263/SHARE ON 2,347.482 SHARES DUE 12/26/06		1,391.19	
12/26/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN INTREPID AMERICA FUND $0.24225/SHARE ON 991.277 SHARES DUE 12/26/06		240.14	
12/26/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN LARGE CAP VALUE FUND $0.07388/SHARE ON 5,558.261 SHARES DUE 12/26/06		410.64	
Total mutual fund income			$10,390.36	$0.00

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JPMorgan

Transaction detail continued

Taxable interest

Date	*Description*	*Realized gain/(loss)*	*Income*	*Principal*
1/3/06	CASH RECEIPT OF INTEREST EARNED ON JPMORGAN LIQUID ASSTS MNY MKT INVEST INTEREST FROM 12/1/05 TO 12/31/05		$68.18	
2/1/06	CASH RECEIPT OF INTEREST EARNED ON JPMORGAN LIQUID ASSTS MNY MKT INVEST INTEREST FROM 1/1/06 TO 1/31/06		86.17	
3/1/06	CASH RECEIPT OF INTEREST EARNED ON JPMORGAN LIQUID ASSTS MNY MKT INVEST INTEREST FROM 2/1/06 TO 2/28/06		81.50	
4/3/06	CASH RECEIPT OF INTEREST EARNED ON JPMORGAN LIQUID ASSTS MNY MKT INVEST INTEREST FROM 3/1/06 TO 3/31/06		92.40	
5/1/06	CASH RECEIPT OF INTEREST EARNED ON JPMORGAN LIQUID ASSTS MNY MKT INVEST INTEREST FROM 4/1/06 TO 4/30/06		75.98	
6/1/06	CASH RECEIPT OF INTEREST EARNED ON JPMORGAN LIQUID ASSTS MNY MKT INVEST INTEREST FROM 5/1/06 TO 5/31/06		83.49	
7/3/06	CASH RECEIPT OF INTEREST EARNED ON JPMORGAN LIQUID ASSTS MNY MKT INVEST INTEREST FROM 6/1/06 TO 6/30/06		81.21	

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Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
8/1/06	CASH RECEIPT OF INTEREST EARNED ON JPMORGAN LIQUID ASSTS MNY MKT INVEST INTEREST FROM 7/1/06 TO 7/31/06		$65.95	
9/1/06	CASH RECEIPT OF INTEREST EARNED ON JPMORGAN LIQUID ASSTS MNY MKT INVEST INTEREST FROM 8/1/06 TO 8/31/06		68.11	
10/2/06	CASH RECEIPT OF INTEREST EARNED ON JPMORGAN LIQUID ASSTS MNY MKT INVEST INTEREST FROM 9/1/06 TO 9/30/06		66.88	
11/1/06	CASH RECEIPT OF INTEREST EARNED ON JPMORGAN LIQUID ASSTS MNY MKT INVEST INTEREST FROM 10/1/06 TO 10/31/06		48.51	
12/1/06	CASH RECEIPT OF INTEREST EARNED ON JPMORGAN LIQUID ASSTS MNY MKT INVEST INTEREST FROM 11/1/06 TO 11/30/06		47.05	
Total taxable interest			$865.43	$0.00
Other cash receipts				
1/3/06	CASH RECEIPT TRANSFER FROM PRINCIPAL		16,930.71	

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JPMorgan

Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
8/31/06	RECEIVE LT CAPITAL GAINS DISTRIBUTION ON JPMORGAN INTREPID AMERICA FUND $0.0113/SHARE ON 991.277 SHARES DUE 8/31/06 LT CAPITAL GAIN OF $11.23 ON FEDERAL COST LT CAPITAL GAIN OF $11.23 ON STATE COST	$11.23		$11.23
12/18/06	RECEIVE LT CAPITAL GAINS DISTRIBUTION ON JPMORGAN DIVERSIFIED MID CAP GROWTH $3.5847/SHARE ON 1,523.609 SHARES DUE 12/18/06 LT CAPITAL GAIN OF $5,461.68 ON FEDERAL COST LT CAPITAL GAIN OF $5,461.68 ON STATE COST	5,461.68		5,461.68
12/18/06	RECEIVE ST CAPITAL GAINS DISTRIBUTION ON JPMORGAN DIVERSIFIED MID CAP GROWTH $0.6058/SHARE ON 1,523.609 SHARES DUE 12/18/06 ST CAPITAL GAIN OF $923.05 ON FEDERAL COST ST CAPITAL GAIN OF $923.05 ON STATE COST	923.05	$923.05	
12/18/06	RECEIVE LT CAPITAL GAINS DISTRIBUTION ON JPMORGAN INTREPID AMERICA FUND $0.0469/SHARE ON 991.277 SHARES DUE 12/18/06 LT CAPITAL GAIN OF $46.50 ON FEDERAL COST LT CAPITAL GAIN OF $46.50 ON STATE COST	46.50		46.50

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Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
12/18/06	RECEIVE LT CAPITAL GAINS DISTRIBUTION ON JPMORGAN INTERNATIONAL EQUITY INDEX $0.1296/SHARE ON 2,347.482 SHARES DUE 12/18/06 LT CAPITAL GAIN OF $304.12 ON FEDERAL COST LT CAPITAL GAIN OF $304.12 ON STATE COST	$304.12		$304.12
12/18/06	RECEIVE ST CAPITAL GAINS DISTRIBUTION ON JPMORGAN INTERNATIONAL EQUITY INDEX $0.0269/SHARE ON 2,347.482 SHARES DUE 12/18/06 ST CAPITAL GAIN OF $63.05 ON FEDERAL COST ST CAPITAL GAIN OF $63.05 ON STATE COST	63.05	$63.05	
12/18/06	RECEIVE LT CAPITAL GAINS DISTRIBUTION ON JPMORGAN DIVERSIFIED MID CAP VALUE $2.6273/SHARE ON 1,998.201 SHARES DUE 12/18/06 LT CAPITAL GAIN OF $5,249.82 ON FEDERAL COST LT CAPITAL GAIN OF $5,249.82 ON STATE COST	5,249.82		5,249.82
12/18/06	RECEIVE ST CAPITAL GAINS DISTRIBUTION ON JPMORGAN DIVERSIFIED MID CAP VALUE $0.2252/SHARE ON 1,998.201 SHARES DUE 12/18/06 ST CAPITAL GAIN OF $449.91 ON FEDERAL COST ST CAPITAL GAIN OF $449.91 ON STATE COST	449.91	449.91	

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Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
12/18/06	RECEIVE LT CAPITAL GAINS DISTRIBUTION ON JPMORGAN LARGE CAP VALUE FUND $1.0771/SHARE ON 5,558.261 SHARES DUE 12/18/06 LT CAPITAL GAIN OF $5,986.69 ON FEDERAL COST LT CAPITAL GAIN OF $5,986.69 ON STATE COST	$5,986.69		$5,986.69
12/18/06	RECEIVE ST CAPITAL GAINS DISTRIBUTION ON JPMORGAN LARGE CAP VALUE FUND $0.4537/SHARE ON 5,558.261 SHARES DUE 12/18/06 ST CAPITAL GAIN OF $2,521.78 ON FEDERAL COST ST CAPITAL GAIN OF $2,521.78 ON STATE COST	2,521.78	$2,521.78	
Total other cash receipts			$20,888.50	$17,060.04
Total cash receipts			**$32,144.29**	**$17,060.04**

Cash disbursements

Other cash disbursements

Date	Description	Income	Principal
1/3/06	CASH DISBURSEMENT TRANSFER TO INCOME		$(16,930.71)
3/31/06	CASH DISBURSEMENT PAID TO JPMCHASE WISCONSIN [redacted] ACH TRANSFER TO CHECKING ACCT PAID FOR F JAMES SENSENBRENNER JR [redacted]	$(5,782.82)	

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Transaction detail continued

Date	Description	Income	Principal
6/30/06	CASH DISBURSEMENT PAID TO JPMCHASE WISCONSIN [redacted] ACH TRANSFER TO CHECKING ACCT PAID FOR F JAMES SENSENBRENNER JR [redacted]	$(5,782.82)	
10/2/06	CASH DISBURSEMENT PAID TO JPMCHASE WISCONSIN [redacted] ACH TRANSFER TO CHECKING ACCT PAID FOR F JAMES SENSENBRENNER JR [redacted]	(5,782.82)	
12/29/06	CASH DISBURSEMENT PAID TO JPMCHASE WISCONSIN [redacted] ACH TRANSFER TO CHECKING ACCT PAID FOR F JAMES SENSENBRENNER JR [redacted]	(5,782.82)	
Total other cash disbursements		$(23,131.28)	$(16,930.71)
Administrative expenses			
1/12/06	ADMINISTRATIVE FEES COLLECTED THROUGH DECEMBER MONTH END	(187.50)	$(187.50)
2/13/06	ADMINISTRATIVE FEES COLLECTED THROUGH JANUARY MONTH END	(187.50)	(187.50)
3/13/06	ADMINISTRATIVE FEES COLLECTED THROUGH FEBRUARY MONTH END	(187.50)	(187.50)
4/12/06	ADMINISTRATIVE FEES COLLECTED THROUGH MARCH MONTH END	(187.50)	(187.50)

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JPMorgan

Transaction detail continued

Date	Description	Income	Principal
5/12/06	ADMINISTRATIVE FEES COLLECTED THROUGH APRIL MONTH END	$(187.50)	$(187.50)
6/12/06	ADMINISTRATIVE FEES COLLECTED THROUGH MAY MONTH END	(187.50)	(187.50)
6/12/06	CHARITABLE TAX RETURN PREP FEE COLLECTED FORMS 1041A/5227 FOR 12/31/05		(250.00)
6/12/06	CHARITABLE TAX RETURN PREP FEE COLLECTED FORMS 1041A/5227 FOR 12/31/05	(250.00)	
7/12/06	ADMINISTRATIVE FEES COLLECTED THROUGH JUNE MONTH END	(187.50)	(187.50)
8/14/06	ADMINISTRATIVE FEES COLLECTED THROUGH JULY MONTH END	(187.50)	(187.50)
9/12/06	ADMINISTRATIVE FEES COLLECTED THROUGH AUGUST MONTH END	(187.50)	(187.50)
10/12/06	ADMINISTRATIVE FEES COLLECTED ~~THROUGH SEPTEMBER~~ MONTH END	(187.50)	(187.50)
11/13/06	ADMINISTRATIVE FEES COLLECTED THROUGH OCTOBER MONTH END	(187.50)	(187.50)
12/12/06	ADMINISTRATIVE FEES COLLECTED THROUGH NOVEMBER MONTH END	(187.50)	(187.50)
Total administrative expenses		$(2,500.00)	$(2,500.00)
Total cash disbursements		**$(25,631.28)**	**$(19,430.71)**

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Transaction detail continued

Investment transactions

Purchases

Date	Description	Realized gain/(loss)	Income	Principal
	COMBINED PURCHASES FOR THE PERIOD 1/ 1/06 - 12/31/06 OF JPMORGAN LIQUID ASSTS MNY MKT INVEST INVESTMENTS AT TAX COST: $32,273.62			$(32,273.62)
5/30/06	PURCHASED 991.277 SHARES OF JPMORGAN INTREPID AMERICA FUND TRADE DATE 5/26/06 991.277 SHARES AT $25.22 INVESTMENTS AT TAX COST: $25,000.00			(25,000.00)
Total purchases			$0.00	$(57,273.62)

Sales and maturities

	COMBINED SALES FOR THE PERIOD 1/ 1/06 - 12/31/06 OF JPMORGAN LIQUID ASSTS MNY MKT INVEST INVESTMENTS AT TAX COST: $-28,131.28			28,131.28
5/30/06	SOLD 798.212 SHARES OF JPMORGAN LARGE CAP GROWTH FUND TRADE DATE 5/26/06 798.212 SHARES AT $15.68 INVESTMENTS AT TAX COST: $-12,747.45	$(247.45)		12,500.00

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Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
5/30/06	SOLD 760.341 SHARES OF JPMORGAN LARGE CAP VALUE FUND TRADE DATE 5/26/06 760.341 SHARES AT $16.44 INVESTMENTS AT TAX COST: $-11,952.56	$547.44		$12,500.00
Total sales and maturities			$0.00	$53,131.28
Total investment transactions			**$0.00**	**$(4,142.34)**
			Income	*Principal*
Ending cash balance 12/31/06			**$(10,417.70)**	**$10,417.70**

No pending trades.

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IMPORTANT INFORMATION

JPMorgan Funds are not bank deposits, not FDIC insured, not obligations of or guaranteed by JPMorgan Chase Bank, N.A. or its affiliates, or any federal or state government, government agency or government sponsored agency and involve investment risks (including possible loss of principal amount invested). JPMorgan Chase Bank, N.A., or its affiliates, including the legal entity providing this statement, may receive fees for providing various services to various mutual funds. Prospectuses, fee disclosure statements and annual reports for JPMorgan Funds are always available. Please contact your advisor, or JPMorgan Distribution Services (800) 480-4111 (www.jpmorganfunds.com) for further information.

This statement is not an official document for income tax reporting purposes. Please contact your JPMorgan advisor if your financial needs or restrictions change.

State law may require that an action for breach of trust based on matters disclosed in this statement be commenced within a certain time period after receipt of the statement. The following states require that we tell you the period - Florida within 6 months (FL ST 737.307) Michigan within 1 year (MCL 700.7907) Ohio within 2 years (OUTC 5810.05). Questions, please consult your attorney.

General: When reviewing your Bond quality table, the percentages are rounded to zero when the percentage for a rating category is less than one percent. When reviewing your Holdings detail, the market value listed for each asset may not equal the quantity multiplied by the share price due to rounding, however the value referenced in the Market Value column is an accurate figure. Market values noted in the Market Reconciliation and Transaction detail are as of the end of the statement period. The market value on the date of the transaction may be different. Please be aware that the information shown in the Realized Gain/Loss column (found in the Transaction detail section of your statement) and the Unrealized Gain/Loss on the Asset listing are based on tax cost, even though the assets on your statement may be carried at book value.

Life insurance values are shown at cash surrender value as most recently reported by you or the Insurance Company. If the value shown is $1.00, we have not received a cash surrender value.

Common trust funds: The most recent audited annual report of the Bank's common trust funds is available upon request at no charge.

Market value and pricing: Market value and prices for publicly-traded securities have been obtained from sources believed to be reliable without guarantee of accuracy. Certain assets may not be held by us and their reporting is at the request of and for the convenience of the account owner. Where applicable, refer to your broker, investment manager or other third party statement for valuation, and transaction information.

Structured investments: Structured investments may involve significant risk (including loss of investment) and have limited liquidity. See the prospectus or offering memorandum for a full discussion. Structured investments may be an obligation of JPMorgan Chase Bank, N.A. or an affiliate of JPMorgan Chase Bank, N.A.

Pooled private investments, closely held corporations/other assets: Certain assets, including but not limited to, pooled private investments, non-publicly traded and infrequently traded securities, derivatives, partnership interests and tangible assets are generally illiquid, the value of which may have been provided to us by third parties who may not be independent of the issuer or manager. Such information is reflected as of the last date provided to us, and is not independently verified. Prices, some of which may be provided by pricing services or other sources that we deem reliable, are not guaranteed for accuracy or as realizable values. Values may not represent the actual terms at which transactions or securities could be bought or sold or new transactions could be entered into or the actual terms on which existing transactions could be liquidated as of the date of this statement.

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Hedge funds: Hedge fund managers generally calculate a fund's value (the "Hedge Fund Value"), 10-20 business days following the last business day of the month. For that reason, hedge fund NAVs shown may be preliminary and subject to change. In general, the NAV is stated net of management and incentive fees. The NAV is calculated according to the General Partner's or Manager's stated methodology. Please refer to the limited partnership agreement, limited liability company agreement or other operative documentation for each hedge fund for the specific valuation methodology used by the General Partner or Manager for that hedge fund.

JPMorgan expressly disclaims any responsibility for (1) the accuracy of the information and the methodology used by the General Partner or Manager in deriving valuations, (2) any errors or omissions in compiling or disseminating the valuations, and (3) any use to which the valuations are put. Valuations are provided for information purposes only and are intended solely for your own use.

Hedge funds generally allow subscriptions either on a monthly or quarterly basis. Your interest in a hedge fund or hedge fund of funds is generally established as of the first business day of the subscription period. Due to early funding requirements by the applicable fund, your account may be debited for the subscription amount prior to the subscription date. Please refer to the applicable hedge fund's operative documentation for further details.

Hedge fund redemptions are generally on a monthly, quarterly or annual basis but can sometimes be subject to a multi-year lockup before a redemption is permitted. For redemptions, there is generally a notification period that can be substantial before the actual redemption date. Redemption proceeds are generally paid 3-5 business days after the final NAV is issued, but can be subject to a holdback of a portion of the proceeds until an annual audit of the Fund has been completed. Please refer to the applicable hedge fund's operative documentation for further details. Additionally, accounting methodology for hedge funds is determined by the operative documentation; please consult the operative documentation for more information.

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Bulletin board

Annual Account Statement

F JAMES SENSENBRENNER JR CUSTODY
Account number [redacted]
January 1, 2006 - December 31, 2006

JPMORGAN CHASE BANK, N.A.
111 EAST WISCONSIN AVENUE, WI1-2053
MILWAUKEE, WI 53202

Your Advisor(s):
LYNN E PAULL
(414) 977-2027 or (800) 926-4556

Thank you for the opportunity to serve your financial needs. We appreciate your confidence in us.

Please remember to check the Bulletin Board (located at the back of your statement) for important messages.

Don't hesitate to contact your advisor if you have any questions, comments or concerns.

05030 00304
F JAMES SENSENBRENNER JR
609 FORT WILLIAMS PKWY
ALEXANDRIA VA 22304-1813

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Holdings summary

	Value on December 31, 2006	Value on December 31, 2005	Change in value
Cash equivalents	$4,533.72		
Equities	416,051.55		
Fixed income	0.00		
Other	0.00		
Total holdings	$420,585.27	$601,371.91	$(180,786.64)
Accruals (income earned but not yet received)	$2,829.61		
Total holdings with accruals	$423,414.88		

Income summary

Income	Statement period	January 1 to December 31
Dividends (domestic/foreign/mutual fund income)	$16,275.66	$16,275.66
Interest (taxable/non-taxable)	244.34	244.34
Other income	0.00	0.00
Total income	$16,520.00	$16,520.00
Realized gain/(loss)		
	$309,041.46	$309,041.46

The income summary includes taxable and tax-exempt income for both principal and income beneficiaries, please refer to the Transaction detail for an income breakdown. These amounts represent approximate income and gain/(loss) amounts and should not be used for final Internal Revenue Service reporting.

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Market reconciliation

	Statement period	January 1 to December 31
Beginning market value	$601,371.91	$601,371.91
Receipts	150,442.15	150,442.15
Disbursements	(395,666.51)	(395,666.51)
Income	16,520.00	16,520.00
Capital gains distributions	0.00	0.00
Change in asset market value	47,917.72	47,917.72
Ending market value	$420,585.27	$420,585.27

The Market reconciliation provides a summary of all activity in the account. The Receipts and Disbursements categories may include Non-Cash Transactions such as transfers within the account, name change transactions, asset receipts and distributions, and stock split transactions. The Transaction detail section provides an itemized list of all transactions. The Transaction summary provides a summary of cash receipt and disbursement transactions.

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Transaction summary

	Income	Principal
Beginning cash balance 1/1/06	**$23,502.15**	**$(23,502.15)**
Cash receipts		
Dividends (domestic/foreign/mutual fund income)	$16,275.66	$0.00
Interest (taxable/non-taxable)	244.34	0.00
Other cash receipts	0.00	23,502.15
Total cash receipts	$16,520.00	$23,502.15
Cash disbursements		
Cash disbursements	$(23,502.15)	$(338,000.00)
Administrative expenses	0.00	0.00
Total cash disbursements	$(23,502.15)	$(338,000.00)
Investment transactions		
Purchases	$0.00	$(175,233.48)
Sales and maturities	0.00	496,713.48
Total investment transactions	$0.00	$321,480.00
Ending cash balance 12/31/06	**$16,520.00**	**$(16,520.00)**

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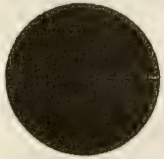
Only the Asset allocation analysis includes mutual fund holdings. The Bond quality and maturity analyses (if applicable) are displayed excluding mutual funds.

Investment objective: Limited to Cash Management Only

This strategy seeks to provide a high level of current income, liquidity and principal stability by investing in high-quality money market securities. Principal volatility is not expected to be an issue.

Asset allocation



- 1.1% - **Cash equivalents**
- 98.9% - **Equities**
  - 84.1% - *Consumer non-durable*
  - 14.8% - *Energy*

The Asset allocation may not be exactly as described in the investment objective due to Other Asset holdings.

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JPMorgan

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Holdings detail

Cash equivalents

Very liquid assets Description	Quantity	Share price or % of par	Market value	Tax cost	Unrealized gain(loss)	Current yield	Estimated annual income
JPMORGAN LIQUID ASSTS MNY MKT INVEST	4,533.720	1.000	$4,533.72	$4,533.72	$0.00	4.89%	$221.70
INCOME CASH	16,520.000	1.000	16,520.00	16,520.00	0.00	0.00%	0.00
PRINCIPAL CASH	(16,520.000)	1.000	(16,520.00)	(16,520.00)	0.00	0.00%	0.00
Total cash equivalents			$4,533.72	$4,533.72	$0.00		$221.70

Equities

Consumer non-durable Description	Quantity	Share price or % of par	Market value	Tax cost	Unrealized gain(loss)	Current yield	Estimated annual income
KIMBERLY CLARK CORP	5,209.000	67.950	$353,951.55	$19,912.66	$334,038.89	2.88%	$10,209.64
Energy							
HALLIBURTON CO	2,000.000	31.050	62,100.00	3.00	62,097.00	0.97%	600.00
Total equities			$416,051.55	$19,915.66	$396,135.89		$10,809.64
Total holdings			$420,585.27	$24,449.38	$396,135.89		$11,031.34
Accruals (Income earned but not yet received)			$2,829.61				
Total holdings with accruals			$423,414.88				

Assets identified with an asterisk (*) at the beginning of the asset name are held in the Invested Income Portfolio.

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Transaction detail

		Income	Principal
Beginning cash balance 1/1/06		$23,502.15	$(23,502.15)
Cash receipts			
Domestic dividends			
Date	*Description*	*Income*	*Principal*
1/4/06	CASH RECEIPT OF DIVIDEND EARNED ON KIMBERLY CLARK CORP $0.45/SHARE ON 10,858 SHARES DUE 1/4/06	$4,886.10	
4/4/06	CASH RECEIPT OF DIVIDEND EARNED ON KIMBERLY CLARK CORP $0.49/SHARE ON 9,216 SHARES DUE 4/4/06	4,515.84	
7/5/06	CASH RECEIPT OF DIVIDEND EARNED ON KIMBERLY CLARK CORP $0.49/SHARE ON 7,928 SHARES DUE 7/5/06	3,884.72	
10/3/06	CASH RECEIPT OF DIVIDEND EARNED ON KIMBERLY CLARK CORP $0.49/SHARE ON 6,100 SHARES DUE 10/3/06	2,989.00	
Total domestic dividends		$16,275.66	$0.00
Taxable interest			
1/3/06	CASH RECEIPT OF INTEREST EARNED ON JPMORGAN LIQUID ASSTS MNY MKT INVEST INTEREST FROM 12/1/05 TO 12/31/05	23.29	

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Transaction detail continued

Date	Description	Income	Principal
2/1/06	CASH RECEIPT OF INTEREST EARNED ON JPMORGAN LIQUID ASSTS MNY MKT INVEST INTEREST FROM 1/1/06 TO 1/31/06	$15.59	
3/1/06	CASH RECEIPT OF INTEREST EARNED ON JPMORGAN LIQUID ASSTS MNY MKT INVEST INTEREST FROM 2/1/06 TO 2/28/06	16.33	
4/3/06	CASH RECEIPT OF INTEREST EARNED ON JPMORGAN LIQUID ASSTS MNY MKT INVEST INTEREST FROM 3/1/06 TO 3/31/06	26.67	
5/1/06	CASH RECEIPT OF INTEREST EARNED ON JPMORGAN LIQUID ASSTS MNY MKT INVEST INTEREST FROM 4/1/06 TO 4/30/06	48.46	
6/1/06	CASH RECEIPT OF INTEREST EARNED ON JPMORGAN LIQUID ASSTS MNY MKT INVEST INTEREST FROM 5/1/06 TO 5/31/06	37.00	
7/3/06	CASH RECEIPT OF INTEREST EARNED ON JPMORGAN LIQUID ASSTS MNY MKT INVEST INTEREST FROM 6/1/06 TO 6/30/06	4.31	
8/1/06	CASH RECEIPT OF INTEREST EARNED ON JPMORGAN LIQUID ASSTS MNY MKT INVEST INTEREST FROM 7/1/06 TO 7/31/06	17.25	
9/1/06	CASH RECEIPT OF INTEREST EARNED ON JPMORGAN LIQUID ASSTS MNY MKT INVEST INTEREST FROM 8/1/06 TO 8/31/06	18.83	

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Transaction detail continued

Date	Description	Income	Principal
10/2/06	CASH RECEIPT OF INTEREST EARNED ON JPMORGAN LIQUID ASSTS MNY MKT INVEST INTEREST FROM 9/1/06 TO 9/30/06	$3.42	
11/1/06	CASH RECEIPT OF INTEREST EARNED ON JPMORGAN LIQUID ASSTS MNY MKT INVEST INTEREST FROM 10/1/06 TO 10/31/06	15.10	
12/1/06	CASH RECEIPT OF INTEREST EARNED ON JPMORGAN LIQUID ASSTS MNY MKT INVEST INTEREST FROM 11/1/06 TO 11/30/06	18.09	
Total taxable interest		$244.34	$0.00
Other cash receipts			
1/6/06	CASH RECEIPT TRANSFER FROM INCOME		$23,502.15
Total other cash receipts		$0.00	$23,502.15
Total cash receipts		**$16,520.00**	**$23,502.15**

Cash disbursements

Other cash disbursements

Date	Description	Income	Principal
1/6/06	CASH DISBURSEMENT TRANSFER TO PRINCIPAL	$(23,502.15)	

Transaction detail continued

Date	Description	Income	Principal
1/30/06	CASH DISBURSEMENT PAID TO JPMCHASE WISCONSIN[redacted] ACH TRANSFER TO SAVINGS ACCT PAID FOR F JAMES SENSENBRENNER JR [redacted]N/O F JAMES SENSENBRENNER JR		$(50,000.00)
4/3/06	CASH DISBURSEMENT PAID TO JPMCHASE WISCONSIN[redacted] ACH TRANSFER TO CHECKING ACCT PAID FOR F JAMES SENSENBRENNER JR [redacted]O F JAMES SENSENBRENNER JR		(70,000.00)
6/2/06	CASH DISBURSEMENT PAID TO JPMCHASE WISCONSIN[redacted] ACH TRANSFER TO SAVINGS ACCT PAID FOR F JAMES SENSENBRENNER JR [redacted]N/O F JAMES SENSENBRENNER JR		(15,000.00)
6/12/06	CASH DISBURSEMENT PAID TO JPMCHASE WISCONSIN[redacted] ACH TRANSFER TO SAVINGS ACCT PAID FOR F JAMES SENSENBRENNER JR [redacted]N/O F JAMES SENSENBRENNER JR		(45,000.00)
7/28/06	CASH DISBURSEMENT PAID TO JPMCHASE WISCONSIN[redacted] ACH TRANSFER TO CHECKING ACCT PAID FOR F JAMES SENSENBRENNER JR [redacted]N/O F JAMES SENSENBRENNER JR		(20,000.00)

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Transaction detail continued

Date	Description	Income	Principal
8/30/06	CASH DISBURSEMENT PAID TO JPMCHASE WISCONSIN [redacted] ACH TRANSFER TO CHECKING ACCT PAID FOR F JAMES SENSENBRENNER JR [redacted] N/O F JAMES SENSENBRENNER JR		$(50,000.00)
11/2/06	CASH DISBURSEMENT PAID TO JPMCHASE WISCONSIN [redacted] ACH TRANSFER TO CHECKING ACCT PAID FOR F JAMES SENSENBRENNER JR [redacted] N/O F JAMES SENSENBRENNER JR		(25,000.00)
12/28/06	CASH DISBURSEMENT PAID TO JPMCHASE WISCONSIN [redacted] ACH TRANSFER TO CHECKING ACCT PAID FOR F JAMES SENSENBRENNER JR [redacted] N/O F JAMES SENSENBRENNER JR		(63,000.00)
Total other cash disbursements		$(23,502.15)	$(338,000.00)
Total cash disbursements		**$(23,502.15)**	**$(338,000.00)**

Investment transactions

Purchases

Date	Description	Realized gain/(loss)	Income	Principal
	COMBINED PURCHASES FOR THE PERIOD 1/ 1/06 - 12/31/06 OF JPMORGAN LIQUID ASSTS MNY MKT INVEST INVESTMENTS AT TAX COST: $175,233.48			$(175,233.48)
Total purchases			$0.00	$(175,233.48)

Transaction detail continued

Sales and maturities

Date	Description	Realized gain/(loss)	Income	Principal
	COMBINED SALES FOR THE PERIOD 1/ 1/06 - 12/31/06 OF JPMORGAN LIQUID ASSTS MNY MKT INVEST INVESTMENTS AT TAX COST: $-171,038.27			$171,038.27
1/30/06	SOLD 860 SHARES OF KIMBERLY CLARK CORP TRADE DATE 1/25/06 SOLD THROUGH SPEAR, LEEDS & KELLOGG PAID $25.80 BROKERAGE PAID $1.54 SEC FEE 860 SHARES AT $58.29186 INVESTMENTS AT TAX COST: $-3,287.56	$46,816.10		50,103.66
3/31/06	SOLD 1,190 SHARES OF KIMBERLY CLARK CORP TRADE DATE 3/28/06 SOLD THROUGH SPEAR, LEEDS & KELLOGG PAID $35.70 BROKERAGE PAID $2.15 SEC FEE 1,190 SHARES AT $58.67 INVESTMENTS AT TAX COST: $-4,549.06	65,230.39		69,779.45
6/2/06	SOLD 98 SHARES OF KIMBERLY CLARK CORP TRADE DATE 5/30/06 SOLD THROUGH SPEAR, LEEDS & KELLOGG PAID $2.94 BROKERAGE PAID $0.19 SEC FEE 98 SHARES AT $60.96 INVESTMENTS AT TAX COST: $-374.63	5,596.32		5,970.95

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Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
6/12/06	SOLD 752 SHARES OF KIMBERLY CLARK CORP TRADE DATE 6/7/06 SOLD THROUGH SPEAR, LEEDS & KELLOGG PAID $22.56 BROKERAGE PAID $1.39 SEC FEE 752 SHARES AT $60.06 INVESTMENTS AT TAX COST: $-2,874.70	$42,266.47		$45,141.17
7/28/06	SOLD 336 SHARES OF KIMBERLY CLARK CORP TRADE DATE 7/25/06 SOLD THROUGH SPEAR, LEEDS & KELLOGG PAID $10.08 BROKERAGE PAID $0.62 SEC FEE 336 SHARES AT $59.79 INVESTMENTS AT TAX COST: $-1,284.44	18,794.30		20,078.74
8/30/06	SOLD 725 SHARES OF KIMBERLY CLARK CORP TRADE DATE 8/25/06 SOLD THROUGH SPEAR, LEEDS & KELLOGG PAID $21.75 BROKERAGE PAID $1.39 SEC FEE 725 SHARES AT $62.13 INVESTMENTS AT TAX COST: $-2,771.49	42,249.62		45,021.11

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Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
8/30/06	SOLD 15 SHARES OF KIMBERLY CLARK CORP TRADE DATE 8/25/06 SOLD THROUGH SPEAR, LEEDS & KELLOGG PAID $0.45 BROKERAGE PAID $0.03 SEC FEE 15 SHARES AT $62.21 INVESTMENTS AT TAX COST: $-57.34	$875.33		$932.67
11/2/06	SOLD 375 SHARES OF KIMBERLY CLARK CORP TRADE DATE 10/30/06 SOLD THROUGH SPEAR, LEEDS & KELLOGG PAID $11.25 BROKERAGE PAID $0.77 SEC FEE 375 SHARES AT $66.19 INVESTMENTS AT TAX COST: $-1,433.53	23,375.70		24,809.23
12/27/06	SOLD 2,000 SHARES OF HALLIBURTON CO TRADE DATE 12/21/06 SOLD THROUGH SPEAR, LEEDS & KELLOGG PAID $60.00 BROKERAGE PAID $1.97 SEC FEE 2,000 SHARES AT $31.9501 INVESTMENTS AT TAX COST: $-1.00	63,837.23		63,838.23
Total sales and maturities			$0.00	$496,713.48
Total investment transactions			**$0.00**	**$321,480.00**

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Transaction detail continued

Other investment transactions (non-cash)

Date	Description	Income	Principal
12/13/06	DISTRIBUTED 258 SHARES OF KIMBERLY CLARK CORP GIFT TO FRANK JAMES SENSENBRENNER III MARKET VALUE OF $17,082.18 INVESTMENTS AT TAX COST: $-986.27		
12/13/06	DISTRIBUTED 258 SHARES OF KIMBERLY CLARK CORP GIFT TO ROBERT ALAN SENSENBRENNER MARKET VALUE OF $17,082.18 INVESTMENTS AT TAX COST: $-986.27		
12/20/06	RECEIVED 2,000 SHARES OF HALLIBURTON CO MARKET VALUE OF $64,600.00 INVESTMENTS AT TAX COST: $1.00		
12/27/06	RECEIVED 500 SHARES OF HALLIBURTON CO MARKET VALUE OF $15,585.00 INVESTMENTS AT TAX COST: $1.00		
12/27/06	RECEIVED 1,000 SHARES OF HALLIBURTON CO MARKET VALUE OF $31,170.00 INVESTMENTS AT TAX COST: $1.00		

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Transaction detail continued

Date	Description	Income	Principal
12/27/06	RECEIVED 500 SHARES OF HALLIBURTON CO MARKET VALUE OF $15,585.00 INVESTMENTS AT TAX COST: $1.00		
Total other investment transactions (non-cash)		**$0.00**	**$0.00**
		Income	*Principal*
Ending cash balance 12/31/06		$16,520.00	$(16,520.00)

Pending trades

Pending sales

Trade date	Description	Amount
12/28/06	HALLIBURTON CO 2,000 SHARES AT $31.2415/SHARE, ANTICIPATED SETTLEMENT DATE 01/03/2007.	$62,421.08

The settlement amount(s) appearing in the Pending trades section is net of commissions, therefore shares multiplied by price may not equal the settlement amount.

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IMPORTANT INFORMATION

JPMorgan Funds are not bank deposits, not FDIC insured, not obligations of or guaranteed by JPMorgan Chase Bank, N.A. or its affiliates, or any federal or state government, government agency or government sponsored agency and involve investment risks (including possible loss of principal amount invested). JPMorgan Chase Bank, N.A., or its affiliates, including the legal entity providing this statement, may receive fees for providing various services to various mutual funds. Prospectuses, fee disclosure statements and annual reports for JPMorgan Funds are always available. Please contact your advisor, or JPMorgan Distribution Services (800) 480-4111 (www.jpmorganfunds.com) for further information.

This statement is not an official document for income tax reporting purposes. Please contact your JPMorgan advisor if your financial needs or restrictions change.

State law may require that an action for breach of trust based on matters disclosed in this statement be commenced within a certain time period after receipt of the statement. The following states require that we tell you the period - Florida within 6 months (FL ST 737.307) Michigan within 1 year (MCL 700.7307) Ohio within 2 years (OUTC 5810.05). Questions, please consult your attorney.

General: When reviewing your Bond quality table, the percentages are rounded to zero when the percentage for a rating category is less than one percent. When reviewing your Holdings detail, the market value listed for each asset may not equal the quantity multiplied by the share price due to rounding, however the value referenced in the Market Value column is an accurate figure. Market values noted in the Market Reconciliation and Transaction detail are as of the end of the statement period. The market value on the date of the transaction may be different. Please be aware that the information shown in the Realized Gain/Loss column (found in the Transaction detail section of your statement) and the Unrealized Gain/Loss on the Asset listing are based on tax cost, even though the assets on your statement may be carried at book value.

Life insurance values are shown at cash surrender value as most recently reported by you or the insurance Company. If the value shown is $1.00, we have not received a cash surrender value.

Common trust funds: The most recent audited annual report of the Bank's common trust funds is available upon request at no charge.

Market value and pricing: Market value and prices for publicly-traded securities have been obtained from sources believed to be reliable without guarantee of accuracy. Certain assets may not be held by us and their reporting is at the request of and for the convenience of the account owner. Where applicable, refer to your broker, investment manager or other third party statement for valuation, and transaction information.

Structured investments: Structured investments may involve significant risk (including loss of investment) and have limited liquidity. See the prospectus or offering memorandum for a full discussion. Structured investments may be an obligation of JPMorgan Chase Bank, N.A. or an affiliate of JPMorgan Chase Bank, N.A.

Pooled private investments, closely held corporations/other assets: Certain assets, including but not limited to, pooled private investments, non-publicly traded and infrequently traded securities, derivatives, partnership interests and tangible assets are generally illiquid, the value of which may have been provided to us by third parties who may not be independent of the issuer or manager. Such information is reflected as of the last date provided to us, and is not independently verified. Prices, some of which may be provided by pricing services or other sources that we deem reliable, are not guaranteed for accuracy or as realizable values. Values may not represent the actual terms at which transactions or securities could be bought or sold or new transactions could be entered into or the actual terms on which existing transactions could be liquidated as of the date of this statement.

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Hedge funds: Hedge fund managers generally calculate a fund's value (the "Hedge Fund Value"), 10-20 business days following the last business day of the month. For that reason, hedge fund NAVs shown may be preliminary and subject to change. In general, the NAV is stated net of management and incentive fees. The NAV is calculated according to the General Partner's or Manager's stated methodology. Please refer to the limited partnership agreement, limited liability company agreement or other operative documentation for each hedge fund for the specific valuation methodology used by the General Partner or Manager for that hedge fund.

JPMorgan expressly disclaims any responsibility for (1) the accuracy of the information and the methodology used by the General Partner or Manager in deriving valuations, (2) any errors or omissions in compiling or disseminating the valuations, and (3) any use to which the valuations are put. Valuations are provided for information purposes only and are intended solely for your own use.

Hedge funds generally allow subscriptions either on a monthly or quarterly basis. Your interest in a hedge fund or hedge fund of funds is generally established as of the first business day of the subscription period. Due to early funding requirements by the applicable fund, your account may be debited for the subscription amount prior to the subscription date. Please refer to the applicable hedge fund's operative documentation for further details.

Hedge fund redemptions are generally on a monthly, quarterly or annual basis but can sometimes be subject to a multi-year lockup before a redemption is permitted. For redemptions, there is generally a notification period that can be substantial before the actual redemption date. Redemption proceeds are generally paid 3-5 business days after the final NAV is issued, but can be subject to a holdback of a portion of the proceeds until an annual audit of the Fund has been completed. Please refer to the applicable hedge fund's operative documentation for further details. Additionally, accounting methodology for hedge funds is determined by the operative documentation; please consult the operative documentation for more information.

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Annual Account Statement

F JAMES SENSENBRENNER JR TRUST
Account number [redacted]
January 1, 2006 - December 31, 2006

JPMORGAN CHASE BANK, N.A.
111 EAST WISCONSIN AVENUE, WI1-2053
MILWAUKEE, WI 53202

Your Advisor(s):
LYNN E PAULL
(414) 977-2027 or (800) 926-4556

Thank you for the opportunity to serve your financial needs. We appreciate your confidence in us.

Please remember to check the Bulletin Board (located at the back of your statement) for important messages.

Don't hesitate to contact your advisor if you have any questions, comments or concerns.

05038 02087
F JAMES SENSENBRENNER JR
609 FORT WILLIAMS PKWY
ALEXANDRIA VA 22304-1813

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Holdings summary

	Value on December 31, 2006	Value on December 31, 2005	Change in value
Cash equivalents	$70,542.84		
Equities	642,186.25		
Fixed income	239,066.26		
Other	0.00		
Total holdings	$951,795.35	$886,433.24	$65,362.11
Accruals (income earned but not yet received)	$970.97		
Total holdings with accruals	$952,766.32		

Income summary

Income	Statement period	January 1 to December 31
Dividends (domestic/foreign/mutual fund income)	$14,707.35	$14,707.35
Interest (taxable/non-taxable)	1,207.89	1,207.89
Other income	0.00	0.00
Total income	$15,915.24	$15,915.24
Realized gain/(loss)	$63,244.19	$63,244.19

The income summary includes taxable and tax-exempt income for both principal and income beneficiaries, please refer to the Transaction detail for an income breakdown. These amounts represent approximate income and gain/(loss) amounts and should not be used for final Internal Revenue Service reporting.

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Market reconciliation

	Statement period	January 1 to December 31
Beginning market value	$886,433.24	$886,433.24
Receipts	0.00	0.00
Disbursements	(39,622.12)	(39,622.12)
Income	16,016.24	15,915.24
Capital gains distributions	63,244.19	63,244.19
Change in asset market value	25,824.80	25,824.80
Ending market value	$951,795.35	$951,795.35

The Market reconciliation provides a summary of all activity in the account. The Receipts and Disbursements categories may include Non-Cash Transactions such as transfers within the account, name change transactions, asset receipts and distributions, and stock split transactions. The Transaction detail section provides an itemized list of all transactions. The Transaction summary provides a summary of cash receipt and disbursement transactions.

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Transaction summary

	Income	Principal
Beginning cash balance 1/1/06	$0.00	$0.00
Cash receipts		
Dividends (domestic/foreign/mutual fund income)	$14,707.35	$0.00
Interest (taxable/non-taxable)	1,207.89	0.00
Other cash receipts	9,939.59	53,304.60
Total cash receipts	$25,854.83	$53,304.60
Cash disbursements		
Cash disbursements		
Administrative expenses	$(22,519.61)	$(10,547.00)
Total cash disbursements	(3,335.22)	(3,220.29)
	$(25,854.83)	$(13,767.29)
Investment transactions		
Purchases	$0.00	$(113,669.43)
Sales and maturities	0.00	74,132.12
Total investment transactions	$0.00	$(39,537.31)
Ending cash balance 12/31/06	$0.00	$0.00

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Only the Asset allocation analysis includes mutual fund holdings. The Bond quality and maturity analyses (if applicable) are displayed excluding mutual funds.

Investment objective: Growth Fiduciary

Captures the upside return potential of risky assets (equities and medium volatility hedge funds) while maintaining a modest exposure to low volatility and stable return assets (fixed income, cash, and medium volatility hedge funds) for portfolio efficiency and some downside protection.

Asset allocation

- 7.4% - **Cash equivalents**
- 67.5% - **Equities**
  67.5% - *Mutual funds*
- 25.1% - **Fixed income**
  25.1% - *Mutual funds*

The Asset allocation may not be exactly as described in the investment objective due to Other Asset holdings.

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Holdings detail

Cash equivalents

Very liquid assets

Description	Quantity	Share price or % of par	Market value	Tax cost	Unrealized gain(loss)	Current yield	Estimated annual income
JPMORGAN LIQUID ASSTS MNY MKT INVEST	70,542.840	1.000	$70,542.84	$70,542.84	$0.00	4.89%	$3,449.54
Total cash equivalents			$70,542.84	$70,542.84	$0.00		$3,449.54

Equities

Mutual funds

Description	Quantity	Share price or % of par	Market value	Tax cost	Unrealized gain(loss)	Current yield	Estimated annual income
JPMORGAN DIVERSIFIED MID CAP GROWTH	6,166.427	23.370	$144,109.40	$111,288.19	$32,821.21	0.00%	$0.00
JPMORGAN DIVERSIFIED MID CAP VALUE	7,279.427	14.700	107,007.58	97,994.74	9,012.84	1.28%	1,368.53
JPMORGAN INTERNATIONAL EQUITY FUND	2,227.743	37.540	83,629.47	68,600.00	15,029.47	1.33%	1,109.42
JPMORGAN INTREPID AMERICA FUND	4,629.830	27.910	129,212.97	110,000.00	19,212.97	0.87%	1,120.37
JPMORGAN LARGE CAP GROWTH FUND	3,675.464	16.920	62,188.85	43,583.11	18,605.74	0.00%	0.00
JPMORGAN LARGE CAP VALUE FUND	4,179.613	17.190	71,847.55	48,188.85	23,658.70	1.63%	1,170.29
JPMORGAN SMALL CAP GROWTH FUND	1,686.536	11.360	21,431.05	18,000.00	3,431.05	0.00%	0.00
JPMORGAN SMALL CAP VALUE FUND	954.672	23.840	22,759.38	18,000.00	4,759.38	0.71%	161.34
Total equities			$642,186.25	$515,654.89	$126,531.36		$4,929.95

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Holdings detail continued

Fixed income

Mutual funds Description	Quantity	Share price or % of par	Market value	Tax cost	Unrealized gain(loss)	Current yield	Yield to maturity	Estimated annual income
JPMORGAN INTERMEDIATE TAX FREE BOND FUND SELECT CLASS(VSITX)	9,443.780	10.630	$100,387.17	$95,357.96	$5,029.21	3.85%		$3,862.50
JPMORGAN MUNICIPAL INCOME BOND FUND SELECT CLASS(HLTAX)	9,164.958	9.750	89,358.34	86,206.15	3,152.19	3.69%		3,299.38
JPMORGAN TAX FREE BOND FUND SELECT CLASS(PRBIX)	3,892.719	12.670	49,320.75	49,900.00	(579.25)	4.22%		2,082.60
Total fixed income			$239,066.26	$231,464.11	$7,602.15			$9,244.48
Total holdings			$951,795.35	$817,661.84	$134,133.51			$17,623.97
Accruals (income earned but not yet received)			$970.97					
Total holdings with accruals			$952,766.32					

Assets identified with an asterisk (*) at the beginning of the asset name are held in the Invested Income Portfolio.

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Transaction detail

Date	Description	Realized gain/(loss)	Income	Principal
Beginning cash balance 1/1/06			$0.00	$0.00
	COMBINED PURCHASES FOR THE PERIOD 1/ 1/06 - 12/31/06 OF JPMORGAN LIQUID ASSTS MNY MKT INVEST INVESTMENTS AT TAX COST: $78,669.43			$(78,669.43)
	COMBINED SALES FOR THE PERIOD 1/ 1/06 - 12/31/06 OF JPMORGAN LIQUID ASSTS MNY MKT INVEST INVESTMENTS AT TAX COST: $-74,132.12			74,132.12
1/3/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN DIVERSIFIED MID CAP VALUE $0.02583/SHARE ON 7,279.427 SHARES DUE 1/3/06		$186.57	
1/3/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN INTERMEDIATE TAX FREE BOND $0.034/SHARE ON 9,443.76 SHARES DUE 1/3/06		321.09	
1/3/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN LARGE CAP VALUE FUND $0.06854/SHARE ON 4,179.613 SHARES DUE 1/3/06		286.47	
1/3/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN MUNICIPAL INCOME BOND FUND $0.03/SHARE ON 9,164.958 SHARES DUE 1/3/06		274.95	
1/3/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN SMALL CAP VALUE FUND $0.05806/SHARE ON 954.672 SHARES DUE 1/3/06		55.43	
1/3/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN TAX FREE BOND FUND $0.045/SHARE ON 3,892.719 SHARES DUE 1/3/06		175.17	

6300

JPMorgan

Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
1/3/06	CASH RECEIPT OF INTEREST EARNED ON JPMORGAN LIQUID ASSTS MNY MKT INVEST INTEREST FROM 12/1/05 TO 12/31/05		$105.57	
1/11/06	CASH DISBURSEMENT PAID TO U S TREASURY FIDUCIARY EST FED INCOME TAX ***WITX FEDERAL ESTIMATED TAX			$(1,002.00)
1/12/06	ADMINISTRATIVE FEES COLLECTED THROUGH DECEMBER MONTH END		(240.07)	(240.08)
2/1/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN INTERMEDIATE TAX FREE BOND $0.036/SHARE ON 9,443.76 SHARES DUE 2/1/06		339.98	
2/1/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN MUNICIPAL INCOME BOND FUND $0.027/SHARE ON 9,164.958 SHARES DUE 2/1/06		247.45	
2/1/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN TAX FREE BOND FUND $0.045/SHARE ON 3,892.719 SHARES DUE 2/1/06		175.17	
2/1/06	CASH RECEIPT OF INTEREST EARNED ON JPMORGAN LIQUID ASSTS MNY MKT INVEST INTEREST FROM 1/1/06 TO 1/31/06		216.02	
2/13/06	ADMINISTRATIVE FEES COLLECTED THROUGH JANUARY MONTH END		(247.71)	(247.71)
2/16/06	PURCHASED 1,036.423 SHARES OF JPMORGAN INTERNATIONAL EQUITY FUND TRADE DATE 2/15/06 1,036.423 SHARES AT $33.77 INVESTMENTS AT TAX COST: $35,000.00			(35,000.00)

6301

Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
3/1/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN INTERMEDIATE TAX FREE BOND $0.03/SHARE ON 9,443.76 SHARES DUE 3/1/06		$283.31	
3/1/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN MUNICIPAL INCOME BOND FUND $0.028/SHARE ON 9,164.958 SHARES DUE 3/1/06		256.62	
3/1/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN TAX FREE BOND FUND $0.04/SHARE ON 3,892.719 SHARES DUE 3/1/06		155.71	
3/1/06	CASH RECEIPT OF INTEREST EARNED ON JPMORGAN LIQUID ASSTS MNY MKT INVEST INTEREST FROM 2/1/06 TO 2/28/06		152.95	
3/10/06	CASH DISBURSEMENT PAID TO JPMCHASE WISCONSIN [redacted] ACH TRANSFER TO CHECKING ACCT PAID FOR F JAMES SENSENBRENNER JR [redacted]		(2,744.68)	
3/13/06	ADMINISTRATIVE FEES COLLECTED THROUGH FEBRUARY MONTH END		(247.99)	$(247.99)
4/3/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN DIVERSIFIED MID CAP VALUE $0.07308/SHARE ON 7,279.427 SHARES DUE 4/3/06		531.98	
4/3/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN INTERMEDIATE TAX FREE BOND $0.035/SHARE ON 9,443.76 SHARES DUE 4/3/06		330.53	
4/3/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN INTERNATIONAL EQUITY FUND $0.04472/SHARE ON 2,227.743 SHARES DUE 4/3/06		99.62	

6302

JPMorgan

Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
4/3/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN LARGE CAP VALUE FUND $0.06618/SHARE ON 4,179.613 SHARES DUE 4/3/06		$276.61	
4/3/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN MUNICIPAL INCOME BOND FUND $0.031/SHARE ON 9,164.958 SHARES DUE 4/3/06		284.11	
4/3/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN SMALL CAP VALUE FUND $0.03812/SHARE ON 954.672 SHARES DUE 4/3/06		36.39	
4/3/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN TAX FREE BOND FUND $0.045/SHARE ON 3,892.719 SHARES DUE 4/3/06		175.17	
4/3/06	CASH RECEIPT OF INTEREST EARNED ON JPMORGAN LIQUID ASSTS MNY MKT INVEST INTEREST FROM 3/1/06 TO 3/31/06		103.60	
4/6/06	CASH DISBURSEMENT PAID TO U S TREASURY FIDUCIARY FEDERAL INCOME TAX ***WITX TAX DUE ON FORM 1041 FOR CALENDAR YR RTN			$(8,182.00)
4/6/06	CASH DISBURSEMENT PAID TO WISCONSIN DEPT OF REVENUE FIDUCIARY STATE INCOME TAX ***WITX TAX DUE ON STATE FIDUCIARY CALENDAR YR RTN			(1,363.00)
4/12/06	ADMINISTRATIVE FEES COLLECTED THROUGH MARCH MONTH END		(250.62)	(250.63)

6303

Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
5/1/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN INTERMEDIATE TAX FREE BOND $0.033/SHARE ON 9,443.76 SHARES DUE 5/1/06		$311.64	
5/1/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN MUNICIPAL INCOME BOND FUND $0.031/SHARE ON 9,164.958 SHARES DUE 5/1/06		284.11	
5/1/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN TAX FREE BOND FUND $0.045/SHARE ON 3,892.719 SHARES DUE 5/1/06		175.17	
5/1/06	CASH RECEIPT OF INTEREST EARNED ON JPMORGAN LIQUID ASSTS MNY MKT INVEST INTEREST FROM 4/1/06 TO 4/30/06		78.13	
5/12/06	ADMINISTRATIVE FEES COLLECTED THROUGH APRIL MONTH END		(250.32)	$(250.33)
5/16/06	MISCELLANEOUS TAX SERVICE FEES COLLECTED 2005 GENERATION SKIPPING REVIEW		(115.00)	
6/1/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN INTERMEDIATE TAX FREE BOND $0.035/SHARE ON 9,443.76 SHARES DUE 6/1/06		330.53	
6/1/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN MUNICIPAL INCOME BOND FUND $0.031/SHARE ON 9,164.958 SHARES DUE 6/1/06		284.11	
6/1/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN TAX FREE BOND FUND $0.047/SHARE ON 3,892.719 SHARES DUE 6/1/06		182.96	

6304

Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
6/1/06	CASH RECEIPT OF INTEREST EARNED ON JPMORGAN LIQUID ASSTS MNY MKT INVEST INTEREST FROM 5/1/06 TO 5/31/06		$80.62	
6/12/06	ADMINISTRATIVE FEES COLLECTED THROUGH MAY MONTH END		(243.48)	$(243.49)
6/12/06	CASH DISBURSEMENT PAID TO JPMCHASE WISCONSIN [redacted] ACH TRANSFER TO CHECKING ACCT PAID FOR F JAMES SENSENBRENNER JR [redacted]		(2,457.87)	
7/3/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN DIVERSIFIED MID CAP VALUE $0.04303/SHARE ON 7,279.427 SHARES DUE 7/3/06		313.23	
7/3/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN INTERMEDIATE TAX FREE BOND $0.034/SHARE ON 9,443.76 SHARES DUE 7/3/06		321.09	
7/3/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN INTERNATIONAL EQUITY FUND $0.35035/SHARE ON 2,227.743 SHARES DUE 7/3/06		780.49	
7/3/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN LARGE CAP VALUE FUND $0.07485/SHARE ON 4,179.613 SHARES DUE 7/3/06		312.84	
7/3/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN MUNICIPAL INCOME BOND FUND $0.029/SHARE ON 9,164.958 SHARES DUE 7/3/06		265.78	
7/3/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN SMALL CAP VALUE FUND $0.05407/SHARE ON 954.672 SHARES DUE 7/3/06		51.62	

Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
7/3/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN TAX FREE BOND FUND $0.045/SHARE ON 3,892.719 SHARES DUE 7/3/06		$175.17	
7/3/06	CASH RECEIPT OF INTEREST EARNED ON JPMORGAN LIQUID ASSTS MNY MKT INVEST INTEREST FROM 6/1/06 TO 6/30/06		75.07	
7/5/06	FIDUCIARY TAX RETURN PREP COLLECTED 2005 FORMS 1041/ 2			$(245.00)
7/5/06	FIDUCIARY TAX RETURN PREP COLLECTED 2005 FORMS 1041/ 2		(245.00)	
7/12/06	ADMINISTRATIVE FEES COLLECTED THROUGH JUNE MONTH END		(242.94)	(242.95)
8/1/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN INTERMEDIATE TAX-FREE BOND $0.035/SHARE ON 9,443.76 SHARES DUE 8/1/06		330.53	
8/1/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN MUNICIPAL INCOME BOND FUND $0.031/SHARE ON 9,164.958 SHARES DUE 8/1/06		284.11	
8/1/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN TAX FREE BOND FUND $0.045/SHARE ON 3,892.719 SHARES DUE 8/1/06		175.17	
8/1/06	CASH RECEIPT OF INTEREST EARNED ON JPMORGAN LIQUID ASSTS MNY MKT INVEST INTEREST FROM 7/1/06 TO 7/31/06		81.14	
8/14/06	ADMINISTRATIVE FEES COLLECTED THROUGH JULY MONTH END		(241.68)	(241.68)

6306

JPMorgan

Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
8/31/06	RECEIVE LT CAPITAL GAINS DISTRIBUTION ON JPMORGAN INTREPID AMERICA FUND $0.0113/SHARE ON 4,629.83 SHARES DUE 8/31/06 LT CAPITAL GAIN OF $52.45 ON FEDERAL COST LT CAPITAL GAIN OF $52.45 ON STATE COST	$52.45		$52.45
9/1/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN INTERMEDIATE TAX FREE BOND $0.036/SHARE ON 9,443.76 SHARES DUE 9/1/06		$339.98	
9/1/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN MUNICIPAL INCOME BOND FUND $0.03/SHARE ON 9,164,958 SHARES DUE 9/1/06		274.95	
9/1/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN TAX FREE BOND FUND $0.045/SHARE ON 3,892.719 SHARES DUE 9/1/06		175.17	
9/1/06	CASH RECEIPT OF INTEREST EARNED ON JPMORGAN LIQUID ASSTS MNY MKT INVEST INTEREST FROM 8/1/06 TO 8/31/06		84.94	
9/11/06	CASH DISBURSEMENT PAID TO JPMCHASE WISCONSIN [redacted] ACH TRANSFER TO CHECKING ACCT PAID FOR F JAMES SENSENBRENNER JR [redacted]		(3,311.66)	
9/12/06	ADMINISTRATIVE FEES COLLECTED THROUGH AUGUST MONTH END		(246.13)	(246.14)
10/2/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN DIVERSIFIED MID CAP VALUE $0.02419/SHARE ON 7,279.427 SHARES DUE 10/2/06		176.09	

6307

Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
10/2/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN INTERMEDIATE TAX FREE BOND $0.033/SHARE ON 9,443.76 SHARES DUE 10/2/06		$311.64	
10/2/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN INTERNATIONAL EQUITY FUND $0.0189/SHARE ON 2,227.743 SHARES DUE 10/2/06		42.10	
10/2/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN LARGE CAP VALUE FUND $0.06493/SHARE ON 4,179.613 SHARES DUE 10/2/06		271.38	
10/2/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN MUNICIPAL INCOME BOND FUND $0.03/SHARE ON 9,164.958 SHARES DUE 10/2/06		274.95	
10/2/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN SMALL CAP VALUE FUND $0.02537/SHARE ON 954.672 SHARES DUE 10/2/06		24.22	
10/2/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN TAX FREE BOND FUND $0.044/SHARE ON 3,892.719 SHARES DUE 10/2/06		171.28	
10/2/06	CASH RECEIPT OF INTEREST EARNED ON JPMORGAN LIQUID ASSTS MNY MKT INVEST INTEREST FROM 9/1/06 TO 9/30/06		75.51	
10/12/06	ADMINISTRATIVE FEES COLLECTED THROUGH SEPTEMBER MONTH END		(248.69)	$(248.69)
11/1/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN INTERMEDIATE TAX FREE BOND $0.035/SHARE ON 9,443.76 SHARES DUE 11/1/06		330.53	

6308

JPMorgan

Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
11/1/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN MUNICIPAL INCOME BOND FUND $0.031/SHARE ON 9,164.958 SHARES DUE 11/1/06		$284.11	
11/1/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN TAX FREE BOND FUND $0.045/SHARE ON 3,892.719 SHARES DUE 11/1/06		175.17	
11/1/06	CASH RECEIPT OF INTEREST EARNED ON JPMORGAN LIQUID ASSTS MNY MKT INVEST INTEREST FROM 10/1/06 TO 10/31/06		77.39	
11/13/06	ADMINISTRATIVE FEES COLLECTED THROUGH OCTOBER MONTH END		(255.28)	$(255.29)
11/29/06	RECEIVE LT CAPITAL GAINS DISTRIBUTION ON JPMORGAN INTERMEDIATE TAX FREE BOND $0.0014/SHARE ON 9,443.78 SHARES DUE 11/29/06 LT CAPITAL GAIN OF $12.75 ON FEDERAL COST LT CAPITAL GAIN OF $12.75 ON STATE COST	$12.75		12.75
11/29/06	RECEIVE LT CAPITAL GAINS DISTRIBUTION ON JPMORGAN TAX FREE BOND FUND $0.0036/SHARE ON 3,892.719 SHARES DUE 11/29/06 LT CAPITAL GAIN OF $13.86 ON FEDERAL COST LT CAPITAL GAIN OF $13.86 ON STATE COST	13.86		13.86
11/29/06	RECEIVE ST CAPITAL GAINS DISTRIBUTION ON JPMORGAN TAX FREE BOND FUND $0.0068/SHARE ON 3,892.719 SHARES DUE 11/29/06 ST CAPITAL GAIN OF $26.59 ON FEDERAL COST ST CAPITAL GAIN OF $26.59 ON STATE COST	26.59	26.59	

6309

Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
12/1/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN INTERMEDIATE TAX FREE BOND $0.033/SHARE ON 9,443.76 SHARES DUE 12/1/06		$311.64	
12/1/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN MUNICIPAL INCOME BOND FUND $0.031/SHARE ON 9,164.958 SHARES DUE 12/1/06		284.11	
12/1/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN TAX FREE BOND FUND $0.044/SHARE ON 3,892.719 SHARES DUE 12/1/06		171.28	
12/1/06	CASH RECEIPT OF INTEREST EARNED ON JPMORGAN LIQUID ASSTS MNY MKT INVEST INTEREST FROM 11/1/06 TO 11/30/06		76.95	
12/11/06	CASH DISBURSEMENT PAID TO JPMCHASE WISCONSIN [redacted] ACH TRANSFER TO CHECKING ACCT PAID FOR F JAMES SENSENBRENNER JR [redacted]		(2,334.84)	
12/12/06	ADMINISTRATIVE FEES COLLECTED THROUGH NOVEMBER MONTH END		(260.31)	$(260.31)
12/18/06	RECEIVE LT CAPITAL GAINS DISTRIBUTION ON JPMORGAN DIVERSIFIED MID CAP GROWTH $3.5847/SHARE ON 6,166.427 SHARES DUE 12/18/06 LT CAPITAL GAIN OF $22,104.79 ON FEDERAL COST LT CAPITAL GAIN OF $22,104.79 ON STATE COST	$22,104.79		22,104.79

6310

Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
12/18/06	RECEIVE ST CAPITAL GAINS DISTRIBUTION ON JPMORGAN DIVERSIFIED MID CAP GROWTH $0.6058/SHARE ON 6,166.427 SHARES DUE 12/18/06 ST CAPITAL GAIN OF $3,735.81 ON FEDERAL COST ST CAPITAL GAIN OF $3,735.81 ON STATE COST	$3,735.81	$3,735.81	
12/18/06	RECEIVE LT CAPITAL GAINS DISTRIBUTION ON JPMORGAN SMALL CAP GROWTH FUND $0.9407/SHARE ON 1,886.536 SHARES DUE 12/18/06 LT CAPITAL GAIN OF $1,774.59 ON FEDERAL COST LT CAPITAL GAIN OF $1,774.59 ON STATE COST	1,774.59		$1,774.59
12/18/06	RECEIVE ST CAPITAL GAINS DISTRIBUTION ON JPMORGAN SMALL CAP GROWTH FUND $0.8496/SHARE ON 1,886.536 SHARES DUE 12/18/06 ST CAPITAL GAIN OF $1,602.84 ON FEDERAL COST ST CAPITAL GAIN OF $1,602.84 ON STATE COST	1,602.84	1,602.84	
12/18/06	RECEIVE LT CAPITAL GAINS DISTRIBUTION ON JPMORGAN INTREPID AMERICA FUND $0.0469/SHARE ON 4,629.63 SHARES DUE 12/18/06 LT CAPITAL GAIN OF $217.18 ON FEDERAL COST LT CAPITAL GAIN OF $217.18 ON STATE COST	217.18		217.18
12/18/06	RECEIVE LT CAPITAL GAINS DISTRIBUTION ON JPMORGAN DIVERSIFIED MID CAP VALUE $2.6273/SHARE ON 7,279.427 SHARES DUE 12/18/06 LT CAPITAL GAIN OF $19,125.02 ON FEDERAL COST LT CAPITAL GAIN OF $19,125.02 ON STATE COST	19,125.02		19,125.02

Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
12/18/06	RECEIVE ST CAPITAL GAINS DISTRIBUTION ON JPMORGAN DIVERSIFIED MID CAP VALUE $0.2252/SHARE ON 7,279.427 SHARES DUE 12/18/06 ST CAPITAL GAIN OF $1,639.04 ON FEDERAL COST ST CAPITAL GAIN OF $1,639.04 ON STATE COST	$1,639.04	$1,639.04	
12/18/06	RECEIVE LT CAPITAL GAINS DISTRIBUTION ON JPMORGAN INTERNATIONAL EQUITY FUND $1.3079/SHARE ON 2,227.743 SHARES DUE 12/18/06 LT CAPITAL GAIN OF $2,913.57 ON FEDERAL COST LT CAPITAL GAIN OF $2,913.57 ON STATE COST	2,913.57		$2,913.57
12/18/06	RECEIVE ST CAPITAL GAINS DISTRIBUTION ON JPMORGAN INTERNATIONAL EQUITY FUND $0.3166/SHARE ON 2,227.743 SHARES DUE 12/18/06 ST CAPITAL GAIN OF $705.33 ON FEDERAL COST ST CAPITAL GAIN OF $705.33 ON STATE COST	705.33	705.33	
12/18/06	RECEIVE LT CAPITAL GAINS DISTRIBUTION ON JPMORGAN LARGE CAP VALUE FUND $1.0771/SHARE ON 4,179.613 SHARES DUE 12/18/06 LT CAPITAL GAIN OF $4,501.78 ON FEDERAL COST LT CAPITAL GAIN OF $4,501.78 ON STATE COST	4,501.78		4,501.78
12/18/06	RECEIVE ST CAPITAL GAINS DISTRIBUTION ON JPMORGAN LARGE CAP VALUE FUND $0.4537/SHARE ON 4,179.613 SHARES DUE 12/18/06 ST CAPITAL GAIN OF $1,896.29 ON FEDERAL COST ST CAPITAL GAIN OF $1,896.29 ON STATE COST	1,896.29	1,896.29	

6312

Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
12/18/06	RECEIVE LT CAPITAL GAINS DISTRIBUTION ON JPMORGAN SMALL CAP VALUE FUND $2.6498/SHARE ON 954.672 SHARES DUE 12/18/06 LT CAPITAL GAIN OF $2,529.71 ON FEDERAL COST LT CAPITAL GAIN OF $2,529.71 ON STATE COST	$2,529.71		$2,529.71
12/18/06	RECEIVE ST CAPITAL GAINS DISTRIBUTION ON JPMORGAN SMALL CAP VALUE FUND $0.3495/SHARE ON 954.672 SHARES DUE 12/18/06 ST CAPITAL GAIN OF $333.69 ON FEDERAL COST ST CAPITAL GAIN OF $333.69 ON STATE COST	333.69	$333.69	
12/18/06	RECEIVE LT CAPITAL GAINS DISTRIBUTION ON JPMORGAN TAX FREE BOND FUND $0.0151/SHARE ON 3,892.719 SHARES DUE 12/18/06 LT CAPITAL GAIN OF $58.90 ON FEDERAL COST LT CAPITAL GAIN OF $58.90 ON STATE COST	58.90		58.90
12/26/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN DIVERSIFIED MID CAP VALUE $0.04818/SHARE ON 7,279.427 SHARES DUE 12/26/06		350.72	
12/26/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN INTERNATIONAL EQUITY FUND $0.08422/SHARE ON 2,227.743 SHARES DUE 12/26/06		187.62	
12/26/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN INTREPID AMERICA FUND $0.24225/SHARE ON 4,629.63 SHARES DUE 12/26/06		1,121.53	
12/26/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN LARGE CAP VALUE FUND $0.07388/SHARE ON 4,179.613 SHARES DUE 12/26/06		308.79	

Transaction detail continued

Date	Description	Realized gain/(loss)	Income	Principal
12/26/06	CASH RECEIPT OF DIVIDEND EARNED ON JPMORGAN SMALL CAP VALUE FUND $0.05155/SHARE ON 954.672 SHARES DUE 12/26/06		$49.21	
12/29/06	CASH DISBURSEMENT PAID TO JPMCHASE WISCONSIN[redacted] ACH TRANSFER TO CHECKING ACCT PAID FOR F JAMES SENSENBRENNER JR [redacted]		(11,670.56)	
			Income	Principal
Ending cash balance 12/31/06			$0.00	$0.00

No pending trades.

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IMPORTANT INFORMATION

JPMorgan Funds are not bank deposits, not FDIC insured, not obligations of or guaranteed by JPMorgan Chase Bank, N.A. or its affiliates, or any federal or state government, government agency or government sponsored agency and involve investment risks (including possible loss of principal amount invested). JPMorgan Chase Bank, N.A., or its affiliates, including the legal entity providing this statement, may receive fees for providing various services to various mutual funds. Prospectuses, fee disclosure statements and annual reports for JPMorgan Funds are always available. Please contact your advisor, or JPMorgan Distribution Services (800) 480-4111 (www.jpmorganfunds.com) for further information.

This statement is not an official document for income tax reporting purposes. Please contact your JPMorgan advisor if your financial needs or restrictions change.

State law may require that an action for breach of trust based on matters disclosed in this statement be commenced within a certain time period after receipt of the statement. The following states require that we tell you the period - Florida within 6 months (FL ST 737.307) Michigan within 1 year (MCL 700.7307) Ohio within 2 years (OUTC 5810.05). Questions, please consult your attorney.

General: When reviewing your Bond quality table, the percentages are rounded to zero when the percentage for a rating category is less than one percent. When reviewing your Holdings detail, the market value listed for each asset may not equal the quantity multiplied by the share price due to rounding, however the value referenced in the Market Value column is an accurate figure. Market values noted in the Market Reconciliation and Transaction detail are as of the end of the statement period. The market value on the date of the transaction may be different. Please be aware that the information shown in the Realized Gain/Loss column (found in the Transaction detail section of your statement) and the Unrealized Gain/Loss on the Asset listing are based on tax cost, even though the assets on your statement may be carried at book value.

Life insurance values are shown at cash surrender value as most recently reported by you or the insurance Company. If the value shown is $1.00, we have not received a cash surrender value.

Common trust funds: The most recent audited annual report of the Bank's common trust funds is available upon request at no charge.

Market value and pricing: Market value and prices for publicly-traded securities have been obtained from sources believed to be reliable without guarantee of accuracy. Certain assets may not be held by us and their reporting is at the request of and for the convenience of the account owner. Where applicable, refer to your broker, investment manager or other third party statement for valuation, and transaction information.

Structured investments: Structured investments may involve significant risk (including loss of investment) and have limited liquidity. See the prospectus or offering memorandum for a full discussion. Structured investments may be an obligation of JPMorgan Chase Bank, N.A. or an affiliate of JPMorgan Chase Bank, N.A.

Pooled private investments, closely held corporations/other assets: Certain assets, including but not limited to, pooled private investments, non-publicly traded and infrequently traded securities, derivatives, partnership interests and tangible assets are generally illiquid, the value of which may have been provided to us by third parties who may not be independent of the issuer or manager. Such information is reflected as of the last date provided to us, and is not independently verified. Prices, some of which may be provided by pricing services or other sources that we deem reliable, are not guaranteed for accuracy or as realizable values. Values may not represent the actual terms at which transactions or securities could be bought or sold or new transactions could be entered into or the actual terms on which existing transactions could be liquidated as of the date of this statement.

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Hedge funds: Hedge fund managers generally calculate a fund's value (the "Hedge Fund Value"), 10-20 business days following the last business day of the month. For that reason, hedge fund NAVs shown may be preliminary and subject to change. In general, the NAV is stated net of management and incentive fees. The NAV is calculated according to the General Partner's or Manager's stated methodology. Please refer to the limited partnership agreement, limited liability company agreement or other operative documentation for each hedge fund for the specific valuation methodology used by the General Partner or Manager for that hedge fund.

JPMorgan expressly disclaims any responsibility for (1) the accuracy of the information and the methodology used by the General Partner or Manager in deriving valuations, (2) any errors or omissions in compiling or disseminating the valuations, and (3) any use to which the valuations are put. Valuations are provided for information purposes only and are intended solely for your own use.

Hedge funds generally allow subscriptions either on a monthly or quarterly basis. Your interest in a hedge fund or hedge fund of funds is generally established as of the first business day of the subscription period. Due to early funding requirements by the applicable fund, your account may be debited for the subscription amount prior to the subscription date. Please refer to the applicable hedge fund's operative documentation for further details.

Hedge fund redemptions are generally on a monthly, quarterly or annual basis but can sometimes be subject to a multi-year lockup before a redemption is permitted. For redemptions, there is generally a notification period that can be substantial before the actual redemption date. Redemption proceeds are generally paid 3-5 business days after the final NAV is issued, but can be subject to a holdback of a portion of the proceeds until an annual audit of the Fund has been completed. Please refer to the applicable hedge fund's operative documentation for further details. Additionally, accounting methodology for hedge funds is determined by the operative documentation; please consult the operative documentation for more information.

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2006

FORM A
For use by Members, officers, and employees

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HR
(Office of Records) REPRESENTATIVES

José E. Serrano
(Full Name)

2227 Rayburn House Office Building Washington, DC 20515
(Mailing Address)

202-225-4361
Daytime Telephone:

Filer Status	☑ Member of the U.S. House of Representatives	State: NY District: 16	☐ Officer Or Employee	Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination	Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☑ No ☐	
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐ No ☑	
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☐ No ☑	
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☐ No ☑	
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☐ No ☑	
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐ No ☑	
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☐ No ☑	
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☐ No ☑	
IX.	Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐ No ☑	

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and wilfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C app. 4, § 104 and U.S.C § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	J. E. Serrano	6/14/07

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SCHEDULE I - EARNED INCOME

Name José E. Serrano

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
NYS Employee Retirement System	Pension	$14,000

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U.S. HOUSE OF REPRESENTATIVES

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2006

FORM A — For use by Members, officers, and employees

Pete Sessions
(Full Name)

PO Box 38585 Dallas, TX 75238
(Mailing Address)

214.874.0225
Daytime Telephone:

Filer Status	☑ Member of the U.S. House of Representatives — State: TX, District: 32	☐ Officer Or Employee	Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☑ No ☐	VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐ No ☑
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐ No ☑	VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☑ No ☐
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☑ No ☐	VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☑ No ☐
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☑ No ☐	IX.	Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐ No ☑
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☐ No ☑		**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts--	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and wilfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Pete Sessions	5.15.07

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SCHEDULE I - EARNED INCOME

Name Pete Sessions

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
AT&T Communications	Spouse Salary	N/A

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Pete Sessions

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.		BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
SP	401(k) AT&T Stock	$50,001 - $100,000	DIVIDENDS	Reinvested in Ret. Fund	
SP	401(k) Global Equity	$100,001 - $250,000	DIVIDENDS	Reinvested in Ret. Fund	
SP	401(k) Interest Income	$50,001 - $100,000	INTEREST	Reinvested in Ret. Fund	
SP	401(k) International Stock	$100,001 - $250,000	DIVIDENDS	Reinvested in Ret. Fund	
SP	401(k) Large Cap Stock	$50,001 - $100,000	DIVIDENDS	Reinvested in Ret. Fund	
SP	401(k) Mid and Small Cap Stock	$100,001 - $250,000	DIVIDENDS	Reinvested in Ret. Fund	

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SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Pete Sessions

JT	American Century	$1,001 - $15,000	DIVIDENDS	$1,001 - $2,500	
	American Century	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
DC	AT&T	$1,001 - $15,000	DIVIDENDS	$1 - $200	
DC	AT&T	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	AT&T	$1 - $1,000	DIVIDENDS	$1 - $200	S
DC	AT&T	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	AT&T	$1,001 - $15,000	DIVIDENDS	$1 - $200	
SP	AT&T	$1,001 - $15,000	DIVIDENDS	$1 - $200	
SP	AT&T PaySop Pension Plan (Not Self Directed)	$1,001 - $15,000	None	NONE	
SP	AT&T Qualified Pension Benefit, (Not Self Directed)	$250,001 - $500,000	None	NONE	
SP	AT&T Stock Options, Exercised	$1,001 - $15,000	CAPITAL GAINS	$1,001 - $2,500	S
SP	AT&T Stock Options-Not Currently Exercised	$500,001 - $1,000,000	None	NONE	
SP	AT&T Stock Savings Plan (Not Self Directed)	$500,001 - $1,000,000	DIVIDENDS	Reinvests in Fund	
JT	Avaya	$1 - $1,000	DIVIDENDS	NONE	S

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Pete Sessions

DC	BellSouth	$1,001 - $15,000	DIVIDENDS	$1 - $200	S
SP	BellSouth	$1,001 - $15,000	DIVIDENDS	$1 - $200	S
DC	BellSouth	$1 - $1,000	DIVIDENDS	$1 - $200	S
DC	Cisco	$15,001 - $50,000	DIVIDENDS	$1 - $200	S
DC	Cisco	$1 - $1,000	DIVIDENDS	$1 - $200	S
SP	Cisco-IRA	$1,001 - $15,000	DIVIDENDS	$1 - $200	S
	Cisco-IRA	$15,001 - $50,000	CAPITAL GAINS	NONE	S
	CitiGroup	$1,001 - $15,000	DIVIDENDS	$1 - $200	
DC	CitiGroup Inc.	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	CitiGroup-IRA	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500	
JT	Congressional Credit	$1,001 - $15,000	INTEREST	$201 - $1,000	
JT	Dallas Telco CU	$1 - $1,000	INTEREST	$1 - $200	
JT	Dreyfus	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
SP	EMC Corp-IRA	$1,001 - $15,000	DIVIDENDS	$1 - $200	S

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Pete Sessions

JT	Exxon Mobil	$1,001 - $15,000	DIVIDENDS	$1,001 - $2,500	
	Exxon Mobil-IRA	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500	
DC	ExxonMobil	$50,001 - $100,000	DIVIDENDS	$201 - $1,000	
SP	Fidelity Advisor Group-IRA	$100,001 - $250,000	DIVIDENDS	$1,001 - $2,500	S
SP	Fidelity Advisor-IRA	$1,001 - $15,000	DIVIDENDS	$1 - $200	
DC	Fidelity Growth Advisor	$15,001 - $50,000	DIVIDENDS	$1 - $200	
	Kraft Foods-IRA	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	S
	Lincoln Life	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
JT	Lucent	$1,001 - $15,000	DIVIDENDS	$1 - $200	S
DC	Lucent	$1 - $1,000	DIVIDENDS	$1 - $200	S
SP	McData Corp-IRA	$1 - $1,000	DIVIDENDS	NONE	
JT	Nuveen Investments	$15,001 - $50,000	CAPITAL GAINS	$201 - $1,000	S
JT	Oppenheimer Investments	$1,001 - $15,000	CAPITAL GAINS	$1 - $200	
	PepsiCo	$1,001 - $15,000	DIVIDENDS	$1 - $200	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Pete Sessions

DC	PepsiCo	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
DC	Quest	$1 - $1,000	DIVIDENDS	$1 - $200	
	Stephens Money Market	$1,001 - $15,000	INTEREST	$201 - $1,000	
DC	Stevens Money Market	$1,001 - $15,000	INTEREST	$1 - $200	
SP	Stevens Money Market	$1,001 - $15,000	INTEREST	$1 - $200	
DC	USAA	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	USAA Annuity	$1,001 - $15,000	INTEREST	NONE	
	USAA Money Market	$1 - $1,000	INTEREST	$1 - $200	
JT	USAA Tax Exempt	$1,001 - $15,000	INTEREST	$1 - $200	
	Verizon	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	S

SCHEDULE IV - TRANSACTIONS

Name Pete Sessions

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	AT&T	S	8-4-06	$1,001 - $15,000
	Fidelity Advisor Group-IRA	S	6-6-06	$100,001 - $250,000
	Verizon	S	11-30-06	$1,001 - $15,000
	Cisco-IRA	S	6-6-06	$15,001 - $50,000
	Kraft Foods-IRA	S	6-6-06	$15,001 - $50,000
JT	Nuveen	S	6-26-06	$15,001 - $50,000
SP	BellSouth	S	5-18-06	$1,001 - $15,000
DC	BellSouth	S	5-15-06	$1,001 - $15,000
	Citigroup	P	6-6-06	$1,001 - $15,000
	US Treasury-IRA	P	6-6-06	$250,001 - $500,000
JT	Exxon	P	6-6-06	$1,001 - $15,000
DC	Fed. National Mortgage	P	6-16-06	$50,001 - $100,000

SCHEDULE IV - TRANSACTIONS

Name Pete Sessions

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	Burlington Northern	P	6-8-06	$1,001 - $15,000
SP	US Treasury-IRA	P	6-6-06	$15,001 - $50,000
SP	EMC Corp-IRA	S	6-6-06	$1,001 - $15,000
DC	BellSouth	S	05-15-06	$1,001 - $15,000
DC	Cisco	S	6-6-06	$1,001 - $15,000
DC	Cisco	S	6-6-06	$1,001 - $15,000
SP	Cisco-IRA	S	6-6-06	$1,001 - $15,000
DC	Lucent	S	6-1-06	$1,001 - $15,000
SP	AT&T Stock Options, Exercised	S	6-19-06	$1,001 - $15,000
SP	AT&T Stock Options, Exercised	S	12-8-06	$1,001 - $15,000
SP	AT&T Stock Savings Plan	S	3-10-06	$1,001 - $15,000

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name Pete Sessions

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's expense
US/Mexico Chamber of Commerce	Nov. 30-Dec. 1	Dallas-Mexico City-Dallas	Y	Y	N	None

SCHEDULE VIII - POSITIONS

Name Pete Sessions

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Executive Committee	Circle Ten Council Boy Scouts of America
Advisory Board	Dallas Northeast Chamber of Commerce
Advisory Board	Disciples of Holy Trinity
Capital Campaign Advisory Committee Member	YMCA of Metropolitan Dallas

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UNITED STATES HOUSE OF REPRESENTATIVES

2007 FINANCIAL DISCLOSURE STATEMENT

FORM A
For use by Members, officers, and employees

Joseph Ambrose Sestak Jr.
(Full Name)

411 Sawgrass Lane Newtown Square, PA 19073
(Mailing Address) Daytime Telephone:

Filer Status	☒ Member of the U.S. House of Representatives — State: PA District: 07	☐ Officer or Employee	Employing Office:
Report Type	☒ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☒	No ☐	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐	No ☒
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐	No ☒	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☐	No ☒
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☒	No ☐	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☐	No ☒
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☒	No ☒	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐	No ☒
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☐	No ☒	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐	No ☒
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐	No ☒

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	[signature]	5/15/07

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Name	Page
Joseph A. Sestak Jr	2 of 6

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples	Keene State	Approved Teaching Fee	$8,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	Institute for Defense Analyses Alexandria, VA	Spouse Salary	NA

For payments to charity in lieu of honoraria, use Schedule II.

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Name Joseph A. Seibel Jr

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the name of the business, the nature of the business, and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left

BLOCK B — Value of Asset

at close of reporting year.

If you use a valuation method other than fair market value, please specify the method used.

If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

Check all columns that apply.

Check "None" if asset did not generate any income during the calendar year.

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was received.

BLOCK E — Transaction

Indicate if the asset had purchases (P), sales (S), or exchanges (E) exceeding $1000 in reporting year.

			Block A	A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	Block E P, S, E
SP,	Examples:	SP	Mega Corp. Stock					X								X										X							P
DC,			Simon & Schuster		Indefinite																Royalties									X			
JT			1st Bank of Paducah, KY accounts						X									X									X						
			Bank of America Checking Account				X												X				X										
			Pentagon Federal Credit Union Money Savings Account bCDs							X							X											X					
			Navy Federal Credit Union Money Market Savings Account					X									X								X								
			Navy Federal Credit Union Shared Savings Account		X												X						X										
			Navy Federal Credit Union IRA Share certificate Growth and IRA				X										X									X							
			Ameritrade - Money Market			X											X								X								

For additional assets and unearned income, use next page.

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Joseph A Sestak Jr.

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset	BLOCK C Type of Income	BLOCK D Amount of Income	BLOCK E Transaction
		A None; B $1 – $1,000; C $1,001 – $15,000; D $15,001 – $50,000; E $50,001 – $100,000; F $100,001 – $250,000; G $250,001 – $500,000; H $500,001 – $1,000,000; I $1,000,001 – $5,000,000; J $5,000,001 – $25,000,000; K $25,000,001 – $50,000,000; L Over $50,000,000	NONE; DIVIDENDS; RENT; INTEREST; CAPITAL GAINS; EXCEPTED/BLIND TRUST; Other Type of Income (Specify)	I None; II $1 – $200; III $201 – $1,000; IV $1,001 – $2,500; V $2,501 – $5,000; VI $5,001 – $15,000; VII $15,001 – $50,000; VIII $50,001 – $100,000; IX $100,001 – $1,000,000; X $1,000,001 – $5,000,000; XI Over $5,000,000	P, S, E
	Teachers Insurance & Annuity Assn/ College Retirement Equities Fund TIAA Rate	F	DIVIDENDS	II	
	Equitable Income & Annuity Annuity Income	G	DIVIDENDS	II	
	Retirement Equities Fund Class Stock		DIVIDENDS	II	
	Teachers Insurance Annuity Assn College Retirement Equities Fund Equity Global Stock	D	DIVIDENDS	II	
	Teachers Insurance Annuity Assn/College Retirement Equities Fund - CREF Growth	D	DIVIDENDS	II	
	Roman Growth Income Fund	F	DIVIDENDS	VI	
	Mutual Funds		DIVIDENDS		
	IRA Roman Growth Income Fund Mutual Funds	D	DIVIDENDS	V	
	USAA Tax Exempt Money Market Funds	D	DIVIDENDS	IV	
	Archer Holding Inc. - Common Stock	D	DIVIDENDS	II	
	Cometh Cedar Inc. - Common Stock	B	DIVIDENDS	II	
	Chelsford Systems Inc. - Common Stock	D	DIVIDENDS	II	
	Qwik Inc. - Common Stock	D	DIVIDENDS	II	
	Hermarian Enterprise Inc. - CM Common Stock	D	DIVIDENDS	II	
	Sunrise Assisted Living Inc. - Common Stock	D	DIVIDENDS	II	
	3DEX Interactive Inc. - Common Stock	B	DIVIDENDS	II	
	ETRADE Securities FBO Cashiers Trust Money Market	D	DIVIDENDS	II	
	Kimberly-Clark - Common Stock	C	DIVIDENDS	II	
	Thomas & Betts Corporation - Common Stock	C	DIVIDENDS	II	
	Citigroup Inc - Common Stock	C	DIVIDENDS	II	
	Nicolay 100 Trust	D	DIVIDENDS	II	
	Pfizer Inc - Common Stock	D	DIVIDENDS	IV	
	Exxon Corp - Common Stock	D	DIVIDENDS	IV	
	U.S. Savings Bonds Series EE	D	DIVIDENDS	II	

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Joseph A. Sestak Jr

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
	Tumbstone Systems Inc. Common Stock			X											X							X										
	Phillips Petroleum Common Stock			X											X							X										
	Xerox Corp. Common Stock			X											X							X										
	E trade Bank Certificate of Deposit			X													X							X								
	Cisco Systems Inc Common Stock			X											X							X										
	3Dfx Interactive			X											X							X										
	Amgen Inc. Common Stock			X											X							X										
	Nokia Corporation Common Stock			X											X							X										
	Covad Communications Group Inc Common Stock			X											X							X										
	Apple Comp Celebrity Common Stock			X											X							X										
	F5 Networks Inc. Common Stock			X											X							X										
	Cubagen Corp Common Stock	X													X							X										
	EMC Corp Common Stock	X													X							X										
	Internet Capital Group Inc. Common Stock	X													X							X										
	Speech Works International Inc Common Stock	X													X							X										
	Talk Visual Corp. Common Stock			X											X							X										
	Sun Micro Systems Inc. Common Stock			X											X							X										
	Sue Bennis Corp. Common Stock	X													X							X										
	Amazon.com Common Stock	X													X							X										
	AOL Time Warner Inc Common Stock	X		X											X							X										
	American Airlines Common Stock			X											X							X										
	Global Crossing LTD Common Stock	X													X							X										

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Joseph A. Sosbali Jr.

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
	Aristo Line - Common Stock	X			X										X							X										
	Kellogg - Common Stock	X													X							X										
	Corning - Common Stock			X											X							X										
	Kodak - Common Stock			X											X							X										
	Home Depot - Common Stock			X											X							X										
	McData - Common Stock	X													X							X										
	Sean Jeff - Common Stock	X													X							X										
	Walmart - Common Stock			X											X							X										
	Red Hat - Common Stock			X											X							X										

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LEGISLATIVE RESOURCE CENTER
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U.S. HOUSE OF REPRESENTATIVES
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(Office Use Only)

6337

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2006

FORM A
For use by Members, officers, and employees

John B Shadegg
(Full Name)

306 CHOB Washington, DC 20515
(Mailing Address)

(202) 225-3361
Daytime Telephone:

Filer Status: ☑ Member of the U.S. House of Representatives — State: AZ District: 03 — ☐ Officer Or Employee — Employing Office:

Report Type: ☑ Annual (May 15) — ☐ Amendment — ☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes ☑ No ☐	VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes ☐ No ☑
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes ☐ No ☑	VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? If yes, complete and attach Schedule VII.	Yes ☑ No ☐
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes ☑ No ☐	VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes ☑ No ☐
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes ☐ No ☑	IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes ☐ No ☑
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes ☑ No ☐		Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts--	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and wilfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief	John B. Shadegg	5/15/07

SCHEDULE I - EARNED INCOME

Name John B Shadegg

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Washington School District	Spouse Salary	N/A

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name John B Shadegg

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for Income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
	1/4 interest in family summer home-Prescott, AZ	$50,001 - $100,000	ren/RENT	$201 - $1,000	
	Arizona State Retirement Fund P.O. Box 33910, Phoenix, AZ	$50,001 - $100,000	INTEREST	NA	
JT	Raymond James Financial Services-Alliance Tech Fund-IRA	$15,001 - $50,000	DIVIDENDS	NA	
JT	Raymond James Financial Services-Alliance Tech Fund-IRA	$15,001 - $50,000	DIVIDENDS	NA	

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SCHEDULE V - LIABILITIES

Name John B Shadegg

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report "revolving charge accounts" (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
JT	Sallie Mae	PLUS loan	$100,001 - $250,000
JT	Congressional FCU	Revolving account	$10,001 - $15,000
JT	Congressional FCU	Credit line	$10,001 - $15,000
JT	GE Money	Credit line	$10,001 - $15,000

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name John B Shadegg

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's expense
Heritage Foundation	Jan. 29-30	DC-Baltimore-DC	Y	Y	N	None
Club for Growth	Feb. 16-19	DC-Palm Beach FL-Phx	Y	Y	N	None

SCHEDULE VIII - POSITIONS

Name John B Shadegg

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Board of Directors	Arizona Economic Forum
Board of Directors	Salvation Army
Board of Directors	Friends of Lake Powell, Inc.
Board of Directors	Arizona Center for Public Policy

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2006

FORM A
For use by Members, officers, and employees

HAND DELIVERED
2007 MAY -9 AM 9:12
(Office Use Only)

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6343

Christopher Shays
(Full Name)

37 Beacon Street Bridgeport, CT 06605
(Mailing Address)

202/225-5541
Daytime Telephone:

Filer Status	☑ Member of the U.S. House of Representative	State: CT District: 04	☐ Officer Or Employee — Employing Office
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes ☑ No ☐	
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes ☐ No ☑	
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes ☑ No ☐	
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes ☐ No ☑	
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes ☐ No ☑	
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes ☐ No ☑	
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? If yes, complete and attach Schedule VII.	Yes ☑ No ☐	
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes ☐ No ☑	
IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes ☐ No ☑	

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

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Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Christopher Shays	5/9/07

SCHEDULE I - EARNED INCOME

Name Christopher Shays

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Peace Corps	Spouse Salary	n/a
Connecticut Teachers Retirement Fund	Spouse Pension	n/a
Connecticut State Employee Retirement	State Government Retirement	$1,800

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Christopher Shays

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.		BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
JT	HSBC	$1,001 - $15,000	INTEREST	$201 - $1,000	
JT	Peoples Bank	$1 - $1,000	INTEREST	$1 - $200	
JT	Recreational Vehicle	$15,001 - $50,000	RENT	$5,001 - $15,000	
JT	Wells Fargo Mortgage Escrow	$1,001 - $15,000	INTEREST	$1 - $200	
JT	Wright Patman Congressional Federal Credit Union	$1 - $1,000	INTEREST	$1 - $200	

6345

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name Christopher Shays

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's expense
Planned Parenthood	Jan. 4-11	IAD -- DarEsSalaam-Kampala -- IAD	Y	Y	N	n/a

UNITED STATES HOUSE OF REPRESENTATIVES

2007 FINANCIAL DISCLOSURE STATEMENT

FORM A
For use by Members, officers, and employees

HAND DELIVERED

RESOURCE CENTER
2007 JUN 14 PM 3:53
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
(Office Use Only)

MC

Carol Shea-Porter
(Full Name)

1508 Longworth HOB
(Mailing Address)

202-225-5456
Daytime Telephone

Filer Status	☒ Member of the U.S. House of Representatives — State: NH District: 1	☐ Officer or Employee	Employing Office:
Report Type	☒ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☒	No ☐	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	NA Yes ☐	No ☐
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐	No ☒	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	NA Yes ☐	No ☐
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☒	No ☐	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☐	No ☒
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☐	No ☒	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐	No ☒
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☒	No ☒	**Each question in this part must be answered and the *appropriate schedule attached for each "Yes" response.***		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐	No ☒
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐	No ☒

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, *or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Carol Shea-Porter	6/14/07

6347

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	Internal Revenue Service	Spouse Salary	NA

For payments to charity in lieu of honoraria, use Schedule II.

Name Carol Shea-Porter

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to *select the specific investments*), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the name of the business, the nature of the business, and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

Check all columns that apply.
Check "None" if asset did not generate any income during the calendar year.

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was received

BLOCK E — Transaction

Indicate if the asset had purchases (P), sales (S), or exchanges (E) exceeding $1000 in reporting year.

	Block A		Block B: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	Block C: NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	Block D: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	Block E: P, S, E
SP, DC, JT	Examples:	SP	Mega Corp. Stock					X							X										X								P
			Simon & Schuster		Indefinite																Royalties									X			
			1st Bank of Paducah, KY accounts					X									X									X							
	US Savings Bond				X													X							X								
DC	AIM Investments (can report shares)				X																												
SP	Thrift Savings Plan																																

For additional assets and unearned income, use next page.

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(Office Use Only)

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UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2006

FORM A

For use by Members, officers, and employees

Bradley James Sherman
(Full Name)

2242 Rayburn House Office Building Washington, DC 20515
(Mailing Address)

202 225 5911
Daytime Telephone:

Filer Status	☑ Member of the U.S. House of Representatives — State: CA District: 27	☐ Officer Or Employee	Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes ☑ No ☐	
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes ☐ No ☑	
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes ☑ No ☐	
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes ☑ No ☐	
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes ☑ No ☐	
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes ☐ No ☑	
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? If yes, complete and attach Schedule VII.	Yes ☐ No ☑	
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes ☐ No ☑	
IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes ☐ No ☑	

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts--	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and wilfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Bradley James Sherman	5-4-2007

SCHEDULE I - EARNED INCOME

Name Bradley James Sherman

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Federal Government	Spouse Salary	N/A

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Bradley James Sherman

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
	Bank of America Washington, DC	$0	INTEREST	$1,001 - $2,500	
SP	Bank of America Washington, DC	$1,001 - $15,000	INTEREST	$1 - $200	
	BeeBee Draw/Hambert Combined Properties (Zero income after expenses, but over $5,001 before expenses; valuation is rough estimate)	*$50,001 - $100,000*	*Royalties*	*$5,001 - $15,000*	
	CA State Legislators Retirement Pension from service on the CA Board of Equalization, valued at 6/30/06 (end of fiscal year) Sacramento, CA	$100,001-$250,000			

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SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Bradley James Sherman

	Dreyfus CA Intermediate Municipal Bond Fund (See Below for Address)	$1,001 - $15,000	DIVIDEND	$1,001 - $2,500	PS
	Dreyfus CA Tax Exempt Bond (See Below for Address)	$0	DIVIDEND	$1 - $200	PS
	Dreyfus S&P Index Fund - Dreyfus Fund, Box 9387, Providence, RI 29401	$500,001 - $1,000,000	DIVIDEND	$5,001 - $15,000	P
	Joint Powers Authority Muni Bond Richmond CA	$15,001 - $50,000	INTEREST	$201 - $1,000	P
	ML Stern Money Market Acct. Beverly Hills, CA	$1,001- $15,000	DIVIDEND	$1 - $200	P
	Pacoima Credit Union Lake View Terrace, CA	$1 - $1,000	INTEREST	$1 - $200	
	U.S. Savings Bonds	$100,001 - $250,000	INTEREST	$5,001 - $15,000	P
	Union Bank of California Los Angeles, CA	$1,001 - $15,000	INTEREST	$1 - $200	
	Value of CPA Practice Equipment, including furniture	$1 - $1,000	NONE	NONE	
	Vanguard 500 Index Fund PO Box 105433 Atlanta, GA 30348	$250,001 - $500,000	DIVIDENDS	$2,501 - $5,000	P
	Vanguard Intermediate CA Tax Exempt Bond Fund PO Box 105433 Atlanta, GA 30348	$1,001 - $15,000	DIVIDENDS	$1,001 - $2,500	PS
	Vanguard TIPS Fund (IRA) PO Box 105433 Atlanta, GA 30348	$50,001 - $100,000	DIVIDEND	$201 - $1,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Bradley James Sherman

	Water District Municipal Bond Baldy Mesa, CA	$15,001 - $50,000	INTEREST	$201 - $1,000	P
	Wescom Credit Union 5000 Van Nuys Blvd. Sherman Oaks, CA 91403	$1,001 - $15,000	INTEREST	$201 - $1,000	

SCHEDULE IV - TRANSACTIONS

Name Bradley James Sherman

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Dreyfus S&P Index Fund - Dreyfus Fund, Box 9387, Providence, RI 29401	P	4 Transactions at various times throughout the year.	$15,001 - $50,000
	Joint Powers Authority Muni Bond Richmond, CA	P	2/22/06	$15,001 - $50,000
	Water District Municipal Bond Baldy Mesa, CA	P	2/28/06	$15,001 - $50,000
	U.S. Savings Bonds	P	January 2nd & 3rd, 2006	$50,001 - $100,000
	Vanguard 500 Index Fund PO Box 105433 Atlanta, GA 30348	P	4 Transactions at various times throughout the year	$250,001 - $500,000
	Vanguard Intermediate CA Tax Exempt Bond Fund PO Box 105433 Atlanta, GA 30348	PS	9 Transactions at various times throughout the year	$500,001 - $1,000,000
	Dreyfus CA Intermediate Municipal Bond Fund Box 9387 Providence, RI 29401	PS	11 Transactions at various times throughout the year	$15,001 - $50,000
	Dreyfus CA Tax Exempt Bond Fund Box 9387 Providence, RI 29401	PS	3 Transactions at various times throughout the year	$15,001 - $50,000

SCHEDULE IV - TRANSACTIONS

Name Bradley James Sherman

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	*Note: Deposits and withdrawals from checking accounts at banks and credit unions, and loans disbursed and payments received on promissory notes, are not listed above because such items are not real property or securities.			
	ML Stern Money Market Account Beverly Hills, CA	P	Various	$1,001 - $15,000

SCHEDULE V - LIABILITIES

Name Bradley James Sherman

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, yourspouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report "revolving charge accounts" (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
SP	Vermont Student Assistance Corp. (VSAC)	Student Loan	$15,001 - $50,000

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UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2006

FORM A For use by Members, officers, and employees

John M. Shimkus
(Full Name)

504 Sumner Boulevard Collinsville, IL 62234
(Mailing Address)

2022255271
Daytime Telephone:

Filer Status	☑ Member of the U.S. House of Representatives	State: IL District: 19	☐ Officer Or Employee — Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes ☑ No ☐	VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes ☐ No ☑
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes ☑ No ☐	VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? If yes, complete and attach Schedule VII.	Yes ☑ No ☐
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes ☑ No ☐	VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes ☐ No ☑
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes ☐ No ☑	IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes ☐ No ☑
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes ☑ No ☐		**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child *because they meet all three tests for exemption?*	Yes ☐ No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and wilfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	[signature]	May, 15, 2007

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SCHEDULE I - EARNED INCOME

Name John M. Shimkus

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Holy Cross Lutheran Church, Collinsville, IL	Spouse Salary	$9,476.68

SCHEDULE II - PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

Name John M. Shimkus

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. A green envelope for transmitting the list is included in each Member's filing package. Employees may request a green envelope from the Clerk or use a plain envelope that is appropriately labeled.

Source	Activity	Date	Amount
Lincolnland Legends Illinois Dollars for Scholars, Central Community High School, Breese, IL	Participation in their scholarship program	May 6, 2006	$1,000

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name John M. Shimkus

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.		BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
JT	440 Spring Street, Collinsville, IL	$50,001 - $100,000	RENT	$5,001 - $15,000	
JT	690 9th Street SW, Washington, DC	$500,001 - $1,000,000	RENT	$15,001 - $50,000	
JT	American Century Growth Fund	$15,001 - $50,000	CAPITAL GAINS	$201 - $1,000	
DC	Lutheran Church Extension Fund	$1,001 - $15,000	INTEREST	$1 - $200	
JT	Perspective II Fixed and Variable Anuity	$50,001 - $100,000	DIVIDENDS	$5,001 - $15,000	
DC	Putnam Health Services Trust	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	

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SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name John M. Shimkus

DC	Putnam Health Services Trust	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
DC	Putnam Health Services Trust	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
JT	Putnam Voyage Fund CLSA	$15,001 - $50,000	CAPITAL GAINS	$2,501 - $5,000	
JT	Thrift Savings Plan	$100,001 - $250,000	CAPITAL GAINS	$15,001 - $50,000	
JT	Thrivent Market Fund	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	

SCHEDULE V - LIABILITIES

Name John M. Shimkus

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report "revolving charge accounts" (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
JT	National City Mortgage, Miamisburg, OH	Mortgage on 690 9th Street SW, Washington, DC	$250,001 - $500,000
JT	United Bank, Charleston, WVA	Mortgage on 690 9th Street SW, Washington, DC	$15,001 - $50,000

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name John M. Shimkus

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's expense
Illinois Farm Bureau	December 2-3	St. Louis-Chicago-St. Louis	Y	N	N	None

JOHN M. SHIMKUS
19TH DISTRICT, ILLINOIS

2452 RAYBURN HOUSE OFFICE BUILDING
WASHINGTON, DC 20515
(202) 225-5271

ENERGY AND COMMERCE
COMMITTEE

SUBCOMMITTEES:
ENVIRONMENT AND HAZARDOUS
MATERIALS
RANKING MEMBER

ENERGY AND AIR QUALITY

TELECOMMUNICATIONS AND
THE INTERNET

BALTIC CAUCUS
CO-CHAIRMAN

Congress of the United States
House of Representatives
Washington, DC 20515–1319

3130 CHATHAM ROAD, SUITE C
SPRINGFIELD, IL 62704
(217) 492-5090

240 REGENCY CENTRE
COLLINSVILLE, IL 62234
(618) 344-3065

221 EAST BROADWAY, SUITE 102
CENTRALIA, IL 62801
(618) 532-9676

CITY HALL, ROOM 12
110 EAST LOCUST
HARRISBURG, IL 62946
(618) 252-8271

120 SOUTH FAIR STREET
OLNEY, IL 62450
(618) 392-7737

www.house.gov/shimkus

May 15, 2007

The Honorable Lorraine C. Miller
Clerk of the House

Dear Clerk Miller:

Enclosed with my 2006 Personal Financial Disclosure Statement is a listing of every gift that I have received from any source during the 2006 calendar year. I am once again voluntarily tracking and reporting each of these items for public disclosure as I have each year since first becoming a member of Congress.

Sincerely,

JOHN M. SHIMKUS
Member of Congress

JS:cr

PRINTED ON RECYCLED PAPER

2006 Gift List

January 3, 2006	Rails-To-Trails Conservancy 2006 Calendar
January 5, 2006	DVD: America's Tsunami: Are We Next?
January 6, 2006	NAIHC 2006 Calendar
January 6, 2006	America's Forests 2006 Calendar
January 6, 2006	Book: Inaugural Addresses of the Presidents of the United States
January 9, 2006	Book: Make a Difference
January 11, 2006	National Parks 2006 Calendar
January 11, 2006	2006 Pocket Planner
January 12, 2006	Book: On Risk and Disaster: Lessons from Hurricane Katrina
January 13, 2006	Turkish Good Luck Charm
January 13, 2006	Calendar
January 16, 2006	2006 Calendar: "Public Transportation: Wherever Life Takes You"
January 18, 2006	Glass enclosed candle
January 21, 2006	Calendar
January 23, 2006	Coal Miner Lamp
January 23, 2006	Book: "Women Under the Influence"
January 25, 2006	Horse Calendar

January 30, 2006	Book: "Lessons From the Edge: For-Profit and Nontraditional Higher Education in America"
January 30, 2006	2006 Calendar: "Experience In Action"
January 30, 2006	Book: "Judaism in a Nutshell: Israel"
January 30, 2006	Executive Planner (2006 Calendar)
February 1, 2006	3 books: "Character Under Attack: And What You Can Do About It"
February 1, 2006	Gift Bag: 1 copy each of Congress Daily, National Journal and The Atlantic, a 2006 planner, USB pen drive, k
February 2, 2006	Pen and Pencil set and book: "January 1991. Images of Freedom"
February 2, 2006	Small Ceramic Abraham Lincoln bust
February 2, 2006	Book: "The Ice Cream Maker"
February 3, 2006	Book: "The Financial Services Fact Book"
February 3, 2006	Framed picture of Kathy Shimkus and Talat Mohyuddin
February 6, 2006	Baseball cap
February 6, 2006	2006 Calendar: "Celebrating Life: 2006 African American History Calendar"
February 6, 2006	Book: "The Mechanism of Catastrophe"
February 6, 2006	2005 Bronze Award: Awarded to Kaskaskia College by the Lincoln Foundation for Performance Excellence
February 8, 2006	"Lake Land College Lakers" Baseball jersey
February 13, 2006	Nordic - Baltic - U.S. Almanac, 2006 - 2007
February 13, 2006	"Nevada Quarter" Information packet

February 13, 2006	"Westward Journey Nickel Series: Return to Monticello" Information packet
February 15, 2006	Book: "Voyage to Shelter Cove"
February 15, 2006	Book: "The Uncivil University"
February 16, 2006	Jump Rope and "Red Dress Pin"
February 16, 2006	Book: "Coming to Our Senses"
February 16, 2006	Books: "Not By Chance" and "The Shadows of Power"
February 16, 2006	2006 Calendar: The Beautiful Mountains of Taiwan
February 21, 2006	2006 Calendar
February 21, 2006	DVD and Brochure: "Watch the Star"
February 22, 2006	T-shirt
February 23, 2006	Book: "Simple Answers to Complex Problems"
February 23, 2006	Book: "The Price of Government"
February 25, 2006	Turtleneck shirt
February 27, 2006	Plaque
February 27, 2006	Book: "The Middle East Explained"
February 27, 2006	Mardi Gras mask
February 27, 2006	Book: "Field Notes from a Catastrophe"
February 28, 2006	"Sparks College Bear" and Pen
February 28, 2006	$50 gift certificate to McDonald's Restaurant

February 28, 2006	Book: "Ethics in Congress"
March 1, 2006	Barge and Boat model set
March 1, 2006	Book: "When Push Comes to Pull"
March 1, 2006	Book: "You: The Smart Patient"
March 1, 2006	Book: "The Language of Trade"
March 1, 2006	Book: "The Weather Makers"
~~March 2, 2006~~	~~Pen holder~~
March 7, 2006	"Tiger" Greeting Cards and three (3) leather passport wallets
March 7, 2006	Hat and "H. Res. 342" CD
March 8, 2006	Floral arrangment
March 8, 2006	Pen, Letter opener, Key ring set
March 8, 2006	"NYX Listed NYSE" Silver Bell
March 9, 2006	Small Flower boquet
March 10, 2006	Book: "2006 State of the World: Special Focus: China and India"
March 10, 2006	Book: "Broken Trust"
March 13, 2006	Book: "Jerusalem Countdown: A Warning to the World"
March 14, 2006	Boilermakers Black Leather Portfolio
March 15, 2006	"Live Green, Go Yellow" T-shirt and foam GM Magic Bus

March 20, 2006	Complimentary Membership in the Missouri Historical Society
March 23, 2006	Book: "Social Security Handbook: 15th Edition"
March 24, 2006	Book: "The Art of Integrity"
March 24, 2006	Membership Pen
March 27, 2006	Book: Peace is Possible
March 29, 2006	2006 Calendar
March 30, 2006	Book: "The Armenian Massacres in Ottoman Turkey"
March 31, 2006	Credit Union Cherry Blossom Run T-Shirt
April 4, 2006	Six (6) AFLAC Duck stuffed animals
April 5, 2006	"International Coastal Cleanup: 20th Anniversary" Glass Bottle of Coca Cola
April 6, 2006	CD: "Illinois Stories: Dare to Know"
April 10, 2006	Statue of an Eagle
April 11, 2006	Hunter green fishing hat
April 11, 2006	Television Set Piggy Bank
April 13, 2006	"Uniting" Graphic
April 13, 2006	Books: "A Life That Matters" and "The Case for Easter"
April 13, 2006	Book: "Because Writing Matters: Improving Student Writing in Our Schools"
April 17, 2006	Book: "Americans and Climate Change"
April 17, 2006	Framed Photograph

April 17, 2006	4 Pink Bracelets and 30 Pink Bookmarks
April 18, 2006	Bible with imprinted name
April 18, 2006	Nebraska Quarter Packet
April 21, 2006	Book: "Winning is a Choice"
April 21, 2006	Book: "Soft Power, Hard Issues"
April 21, 2006	Book: "Taiwan at the Crossroads"
April 21, 2006	Sleeve of Callaway Golf Balls, Pen and Key Ring
April 21, 2006	Long-sleeved button down shirt
April 22, 2006	T-shirt
April 24, 2006	Book: "Eye Diseases in Diverse Populations"
April 24, 2006	Book: "The China Study"
April 25, 2006	8x10 photo of the Congressman speaking at John A. Logan College Legislative Breakfast
April 25, 2006	Book: "The House: The History of the House of Representatives"
April 25, 2006	Hat
April 25, 2006	Cloth carry-all bag
April 25, 2006	Gift bag including flax seed, letter opener, pens, desk pad and bag
April 26, 2006	Award/$1000 Scholarship Named after Shimkus--reported on PFD--2006
April 26, 2006	Book: "Captive Warriors"

April 26, 2006	DVD: "Rx For Survival: A Global Health Challenge"
April 27, 2006	T-shirt, Book: "The Double-Goal Coach"
April 28, 2006	Book: "Bernard Maybeck at Principia College: The Art and Gift of Building"
April 30, 2006	Pen and Baseball cap
April 30, 2006	Prairie State polo shirt, baseball cap, foam hardhat, foam lump of coal
May 1, 2006	Book: "Dinner With a Perfect Stranger"
May 2, 2006	Set of cufflinks
May 3, 2006	Two T-shirts
May 4, 2006	Book: "While Europe Slept"
May 4, 2006	Book: "Hubble 2005, Science Year in Review"
May 8, 2006	Book: "Book of New Yorker Cartoons"
May 10, 2006	Shovel-shaped keychain: "May 10, 2006 Wabash Christian Groundbreaking"
May 10, 2006	Fruit Basket
May 10, 2006	Book: "How Congress Works and Why You Should Care"
May 10, 2006	Book: "Admiral Yi Sun-sin: A brief overview of his life and achievements"
May 11, 2006	Family First Aid Kit
May 12, 2006	Book: "The Messiah, The Chosen One"
May 12, 2006	DVD: "Songs of Praise"
May 12, 2006	UCA Equipment Sticker

May 17, 2006	Two NASA pencils, six bookmarks, three NASA emblem stickers, two STS-121 Mission patch stickers, two "I
May 17, 2006	"The World Turned Upside Down" print
May 23, 2006	Postage Stamp Lapel Pin
May 24, 2006	CD/DVD: "Blades of Grass and Pure White Stones"
May 25, 2006	Book: "Renewing American Culture: The Pursuit of Happiness"
May 25, 2006	Book: "Reprieve from Hell"
June 2, 2006	Flash Drive
June 6, 2006	Cork Screw
June 6, 2006	DVD: "Friendly Fire"
June 6, 2006	DVD and CD: "USMA Caded Glee Club"
June 6, 2006	Book: "The American Patriot, A Tradition of Service"
June 6, 2006	Certificate
June 7, 2006	Newmakers photo and 2 CD's
June 12, 2006	3 Books: The Savvy Woman Patient
June 14, 2006	Book: Big Coal
June 14, 2006	Book: How to Eat Like a Republican
June 14, 2006	Cufflinks and Tie Clip
June 14, 2006	Book: The Unknown of War

June 14, 2006	Calculator
June 16, 2006	Book: Intelligent Thought
June 19, 2006	Memory Stick
June 26, 2006	Book: The Essence of Leadership, Mac Anderson
June 26, 2006	Book: The 360 Degree Leader, John Maxwell
June 27, 2006	2 Postcards
June 30, 2006	"Seal of Paris" Paperweight
June 30, 2006	CD: The Lord took them to Hell, the true testimonies of Bill Wiese and Mary Baxter
July 6, 2006	T-Shirt
July 6, 2006	Bumper Sticker
July 7, 2006	Keychain with mini screw driver set
July 10, 2006	Book: The Almanac of Hunger and Poverty in America
July 10, 2006	Keychain
July 10, 2006	Book: Weapons of Terror
July 6, 2006	2 crates of Shawnee Sweet variety Sweet Corn
July 10, 2006	Painting
July 11, 2006	Book: Ending Hunger Now
July 7, 2006	Hamilton County Sheriff's Department patch
July 7, 2006	Book: Theological Studies Volume 2

July 11, 2006	12 Peaches
July 12, 2006	Pen
July 24, 2006	PowerSox
July 24, 2006	Book/CD: Kings Port (USMMA)
July 24, 2006	Painting
July 26, 2006	Election Day Countdown Calendar
July 27, 2006	Book: Baseball and Philosophy
July 27, 2006	DVD and Photo: Congressional Basketball Game
July 29, 2006	Keychain: Gospel in your Pocket
July 29, 2006	5 Cases of Horseradish
August 2, 2006	CD-ROM: Welcome to Nagorno Karabakh
August 9, 2006	CD-ROM: What the Early Christians Believed About Salvation
August 9, 2006	DVDs: U.S. Maritime Administration's Ready Reserve Force
August 10, 2006	One Cooler of Corn
August 10, 2006	Book: *The True Believer* by Eric Hoffer
August 15, 2006	Hat (estimated $5)
August 17, 2006	Rawlings Baseball, Autographed by Mike Broadway
August 19, 2006	Coalminer dollars

August 23, 2006	Cap
August 25, 2006	Book: If Mom's Not Dead by 9, I'm Leaving
August 25, 2006	University of Illinois football game tickets (2)
August 28, 2006	2 pens and 2 magnets
August 31, 2006	Commemorative Medallion--5th Division Dept. of Illinois--Egyptian Past Commander and Presidents Club
August 29, 2006	Medal travel coffee mug and 2 stick pins
1-Sep-06	Copy of the Harrisburg Daily Paper for 9/1/06 & DVD about local history
September 5, 2006	2 orders of cheese breadsticks & a pitcher of diet pepsi
September 6, 2006	tshirt in representation of the Relay for Life for the American Cancer Society in DC 9/20
September 6, 2006	DVD, One Nation Under God
September 8, 2006	12 Puruvian Oranges
September 8, 2006	Lunch pale, thermos, and squishy apple
September 11, 2006	Book: *Future Human Evolution - Eugenics in the 21st Century*
September 13, 2006	Lexus Book (book about cars)
September 14, 2006	*Wind, River Wilderness* (Book)
September 14, 2006	South Dakota Coin
September 15, 2006	Book: *Hon. Robert T. Matsui 1941-2005*
September 18, 2006	Book: *Letter to a Christian Nation*
September 18, 2006	CD: What the Early Christians Believed about Eternal Security

September 18, 2006	Book: *Vexation Nation: How Wash. DC looks from out here.*
September 19, 2006	CD: The Comedy Central Roast of William Shatner
September 20, 2006	Book: *The Smart Nation Act: Public Intelligence in the Public Interest*
September 20, 2006	Book: *Americans at Risk by Irwin Redlener*
September 20, 2006	Hand made notecards
September 21, 2006	Coaster
September 21, 2006	Plaque
September 21, 2006	Hat and t-shirt
September 22,2006	Book: *Can a Catholic be a Democrat?*
September 26,2006	Commemorative Medallion: Egyptian Past Commander and Presidents Club
September 28, 2006	Book on Chicago, Coffee Mug, and Coffee
September 28, 2006	Book: *The Way to Happiness*
September 29, 2006	"Garden of the Gods Country" DVD. It's a promotional DVD to promote the Shawnee / Southern Illinois.
October 2, 2006	"It Takes a Nation: How strangers became family in the wake of Hurricane Katrina" (Book)
October 2, 2006	"Brainless: The Lies and Lunacy of Ann Coulter" (Book)
October 2, 2006	Program book for their 100 years of service celebration, letter opener and a pen.
October 3, 2006	CD: Why God Permits Evil
October 11, 2006	Charles Stewart Mott Foundation's 2005 Annual Report

October 12, 2006	Work Zone Safety Calendar
October 16, 2006	*Tehran Rising: Iran's Challenge to the United States*
October 16, 2006	Medal on a ribbon "All Gave Some, Some Gave All"
October 17, 2006	*The Forgotten Children* by Vernon Brewer
October 25, 2006	Candy and a T-shirt (estimated $20)
October 25, 2006	2 hats (estimated $12)
October 30, 2006	CD: The Spirit of Early Christianity
November 2, 2006	Megaphone, towl, calculator, bumpersticker and a cup
November 9, 2006	Ronald McDonald House Book
November 14, 2006	Book: "Village of Rosemont Golden Anniversary"
November 29, 2006	Baseball Cap
November 29, 2006	"Legends of Airpower" DVD - "Return of the Enola Gay" book autographed by author Paul W. Tibbets
November 30, 2006	*"One Country, Two Systems" in Taiwan* by Hsing Chi
November 30, 2006	Navy plastic cup, pin, t-shirt, and plastic mini-football
December 1, 2006	*The End of Iraq* by Peter W. Galbraith
December 5, 2006	*100 Innovative Ideas for Florida's Future*
December 5, 2006	The South Dakota Quarter
December 5, 2006	10lb. Box of Hershey Chocolates
December 6, 2006	CD: On Being a Radical Christian

December 7, 2006	Christmas CD
December 7, 2006	*George Washington's Sacred Fire* by Peter Lillback
December 7, 2006	2007 Wall Calendar
December 8, 2006	*Palestine: Peace Not Apartheid* by Jimmy Carter
December 11, 2006	*Forgotten Allies – The Oneida Indians and the American Revolution* (Book)
December 11, 2006	*The Nature of Leadership* (Book) by B.Joseph White
December 11, 2006	*Islamic Imperialism - A Histoy* by Efraim Karsh
December 11, 2006	Medallion
December 12, 2006	Book: Agape Leadership and 2 AMC tickets to "The Nativity"
December 13, 2006	Book: Rebuilding Urban Places After Disaster: Lessons from Hurricane Katrina
December 14, 2006	9/11 Commission Report, Book I and Book II in "Friendly Type" layout
December 14, 2006	Fruit and nuts
December 14, 2006	Omaha Steaks
December 15, 2006	Insulated travel mug and a utility clip
December 18, 2006	*Book: Polish Art – Collections in America*
December 18, 2006	*The Genius of Impeachment* by John Nichols
December 19, 2006	Chocolate Russell Stover Santa
December 20, 2006	DVD: The Christmas Card

December 21, 2006	A kit that contains a small flashlight, ink pen and a tire pressure gage as well as a wall calendar
December 21, 2006	2007 Calendar
December 22, 2006	A toy truck
December 22, 2006	Picture of Congressman Shimkus baseball team in 75
December 22, 2006	Christmas Ornament -- 17th Decatur landmark to be featured on the Easter Seals Ornament of Hope
December 27, 2006	2007 Calendar
December 27, 2006	2007 Calendar
December 27, 2006	*To Improve Health and Healthcare*
December 30, 2006	2007 Calendar

Rails-To-Trails Conservancy

Discovery Communications

National American Indian Housing Council

American Forest Research Council

Calvin Butler, Senior VP, External Affairs, RR Donnelley

James P. Kelly, The Annie E. Casey Foundation

Unilever

I.M.P.A.C.T.

Provost Ronald J. Daniels, University of Pennsylvania

Ms. Erkin C. Baker, Alton, IL

La Rabida Children's Hospital

American Public Transportation Association

Papa Tracy's Restaurant

Rend Lake Resort, Whittington

Dayton Bennett, Carrier Mills, IL

The National Center on Addiction and Substance Abuse at Columbia University

ASPCA, Humane Society of the United States, and others

Laura Palmer Noone, President, University of Phoenix

The Gerontological Society of America

TLC of Northbrook, Northbrook, IL

Madison County Farm Bureau

Josh Cullen, Advance Publishing, Inc.

The Atlantic Media Company

Arturas Paulauskas, Chairman, Seimas of the Republic of Lithuania

Congressman Thaddeus McCotter

Subir Chowdhury, Author

Steve Bartlett, President and CEO, The Financial Services Roundtable

Sadiq Mohyuddin, M.D., Godfrey, IL

Smurfit-Stone Container Corp., Highland, IL

John W. Rowe, M.D., Aetna

Angelo K. Tsakopoulos, Sacramento, CA

James C. Underwood, President

Pam Crisman, Vice President for Development, Lake Land College

Steve Strickland

United States Mint

United States Mint

Ralph da Costa Nunez, President and CEO, Institute for Children and Poverty

Congressman Tom Lantos

Toby Graff, VP Public Affairs, Lifetime Network

Reverend Douglas Tanner, President, Faith and Politics Institute

Edward L. Appleby, Franklin Park, IL

North American Taiwanese Women Association

Underground Contractors Association of Illinois

Phil Blazer, President, Jewish Life Television Network

Jefferson County Habitat for Humanity, Mt. Vernon, IL

Paul Self, author, Collinsville

Illinois City Managers Association

Energy Electric Inc.

Stanford-Brown College

Rich Robison, President & CEO, The Mideast Source, Inc.

Attendees of the Easter Seals Adult Day Services, Troy, IL

Karen Rinaldi, Publisher

Judy Lelina and Tori Carr, Sparks College, Shelbyville, IL

Marty and Donna Davis of Taylorville

Congressmen Tim Johnson and Steve Istael

Waterways Council, Inc.

Charles Firestone, Executive Director, The Aspen Institute

Joint Commission on Accreditation of Healthcare Organizations

Harold McGraw III, President and CEO, The McGraw-Hill Companies

Morgan Entrekin, CEO, Grove/Atlantic

Civil Air Patrol

The St. Louis Zoo

National Railroad Hall of Fame

Stanford Brown College

John A. Logan Community College

NYSE Group

Larry Frye, Stonefort

Christopher Flavin, President, Worldwatch Institute

Professor Randall Roth, University of Hawaii School of Law

John Hagee, Author

The Boilermakers (given along with their Leg. Of the Year Award)

General Motors

The Missouri State Historical Society

Claitor's Publishing Division, Inc.

Congressman Spencer Bachus

NARFE

Center for Mid East Peace and Economic Cooperation

Suzanne Morrell, Seeds of Peace

Vural Cengiz, President, Assembly of Turkish American Associations

Wright Patman Federal Congressional Credit Union

Gina Rigby, Second Vice President, AFLAC

Bryan Anderson, Assistant Vice President, The Coca Cola Company

The Illinois Humanities Council

Trails West Boy Scout Council

U.S. Forest Service· Shawnee National Forest

Consumers for Cable Choice

Topos Graphics, New York, NY

The National Clergy Council, Washington D.C.

James Gustave Speth, Dean, Yale School of Forestry and Environmental Studies

POLS341 Class at SIUE

YME National Breast Cancer Org.

Gary Bishop, President, World Bible Translation Center

United States Mint

Y.K. Kim, Author

Charles Firestone, Executive Director, The Aspen Institute

Democracy and Truth Alliance

AISIN

National Trail Ag Coalition, Newton, IL

Harrisburg Autism Support Group

The Washington Advisory Group

Neal Barnard, M.D., President, Physician's Comm. For Responsible Medicine

President Robert Mees, John A. Logan College

The Library of Congress

AEP River Operations

MEMCO

League of Postmasters

Illinois Dollars for Scholars

Congressman Mike Rogers (MI)

The Positive Coaching Alliance

Principia College, Elsah

Gateway Mine, Coulterville, IL

Peabody Energy, St. Louis, MO

CBMC Congressional Prayer Breakfast Committee

Admiral Tim Keating, US Northern Command, USN

Seeds of Peace

Bruce Bawer, Author

NASA

The Brookings Institute

Wabash Christian Retirement Center

Kevin Kakac

Lee Hamilton, Author, Indiana University

Diamond Sutra Recitation Group, Flushing, NY

American Red Cross

William Smatt, Author

DIRECTV

Underground Contractors Association of Illinois

Citizens for Space Exploration

USAWC

Illinois Rural Letter Carriers Association

Senator Orrin Hatch

John Templeton, President, John M. Templeton Foundation

Congressman John Mica

TV Guide

Haute on the Hill

Gary Null and Associates

Curtain Call Productions, LLC

Congressman Richard Pombo

American Assoc. of Colleges for Teacher Edu.

Comcast

Amgen Inc.

Houghton Mifflin

St. Lewis Children's Hospital

Lithuanian Delegation

Lithuanian Delegation

Southeastern IL College Small Bus. Development Cnt.

Steven Pinker, Richard Dawkins, Daniel C. Dennet, et al.

Tom Korte, Korte Company

Lutheran Educators Association

Lutheran Educaions Association

City of Vienna

French-American Foundation

Constituent from Jerome

St. Elmo Historical Society

Walter Hamilton

Doris Purcell

America's Second Harvest/Tyson Foods

United States Steel Corporation

Congressman Ed Markey

Hugh David Scates, Gallatin Farm Bureau President

Bread for the World

Sheriff Greg Brenner

Jerry Burton of Tunnel Hill

South Carolina Congressional Delegation/SC Peach Farm Council and SC Farm Bureau

World Initiative for Soy in Human Health

Mortez Sports Inc.

USMMA

Beverly Farm Foundation

Friends of Jack Kingston

~~Mike Guta~~

Gallaudet University

Maury Newman of Eldorado

Keller Farms, Collinsville

Vardan Barseghian/Nagorno Karabakh Republic

Scroll Publishing Co.

U.S. DOT Maritime Administration

Gallatin County Farm Bureau

Senator Jon Kyl

Home Nursery, Damiansville, IL

James Lewis of RR 1, Box 227 Brookport

Larry and Debbie Marlow of Mt. Vernon

Effingham Bible College, 710 W. Jefferson Ave., Effingham

Charlene Roycht, 35 Courcelette Road, Scarborough, Ontario, M1N 2S9

University of Illinois

DaVita(Maryville Dialysis) 2130 Vadalabene Dr. Maryville 62062

New Baden American Legion Post

Federal Correctional Institution in Greenville, IL

Eric Gregg

John McPeak at Mackie's Pizza in Harrisburg-

Nancy K Elmwell and Congressional 19th District Ambassadors

James Kennedy, PhD-Center for Reclaiming America for Christ

Pro Citrus and the people of Peru

Business Roundtable

John Glad

Toyota

Laguna Wilderness Press, NRDC - Natural Resources Defense Council

US Mint

Congresswoman Doris Matsui

Alfred A Knopf -- Publisher of Borzoi Books

Scroll Publishing Co.

Jonallen Williams (the author)

Parents Television Council

Congressman Rob Simmons

Alfred A. Knopf – Publisher of Borzoi Books

Paducah Area Chamber of Commerce

Financial Services Roundtable

~~American Cancer Society Relay for Life~~

The Military Channel

The Author David Carlin

New Baden American Legion Post

Mayor Daley

Lucas J. Layton

Saline County Tourism Bureau

Mandala Publishing

Lynn Grady - Harper Collins Publishing

From Knights of Columbus, Edwardsville Council 1143

David Bercot

William S. White

Illinois Department of Transportation (Michael R. Stout - Director)

Ilan Berman - Amer. Foreign Policy Council - VP for Policy

Mayor of Germantown, IL

World Help

Sporting Goods Store in Nashville

Newton Tractor Sales

Scroll Publishing Co.

Franklin Park School

Larry Carrico of Mt. Vernon

Rosemont Mayor Donald E. Stephens.

Wesclin High School – 10003 Route 160 South Trenton Illinois

Lawrence K. Hutter of Hutter Real Estate Services, Inc., Tucson, AZ

Hunter Huang, Nat. Assn. China's Peaceful Unification

Earl L. Gay: Captain of the United States Navy, Director of Congressional Liaison

Paul H. Broyhill – Chairman Broyhill Family Foundation, Inc.

100ideas.org

Department of the Treasury, United States Mint

David W. Dugan, p.c. of 7621 Culp Terrace, Bethalto, IL 62010

David Bercot

Bill Meyer P.O. Box 7 Hamel, IL 62046

Congressman Pitts

Phillips International

President Carter

Ray Halbritter, Nation Representative Oneida Indian Nation –579A Main Street Oneida, NY 13476

B. Joseph White – President of University of Illinois

Fulfillment House, Inc – 13860 Redskin Drive Herndon, VA 20171

Delta Regional Authority

National Clergy Council

Amy Gutmann and Ronald Daniels of the Unv. Of Pennsylvania

Cambridge Reading Project, LLC

Republican Leader Tom Cross

George Ramonas

Renfro School in Collinsville

Frank J. Spula – Polish American Congress

The Undersigned Citizens of the United States of America

Larry Frye of Eddyville

Henry Schleiff at Hallmark

LaFarge North America in Joppa

RJ Corman Railroad Group

St. Louis Sports Commission 701 Convention Plaza Suite 300 St. Louis, MO 63101-1282

Mr. Don Edson -- Collinsville

Richland Community College -- One College Park Decatur, IL 62521

National Air Traffic Controllers Association

Township Officials of Illinois

Robert Wood Johnson Foundation Anthology

Pakistani American Congress

HAND DELIVERED

142 ... RESOURCE CENTER

2007 JUN 14 PM 5:48

HC ... THE CLERK
U.S. HOUSE OF REPRESENTATIVES
(Office Use Only)

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2006

FORM A
For use by Members, officers, and employees

Joseph Heath Shuler
(Full Name)

51 Plott Valley Road Waynesville, NC 28786
(Mailing Address)

202-225-8401
Daytime Telephone:

Filer Status: ☑ Member of the U.S. House of Representatives — State: NC District: 11 ☐ Officer Or Employee — Employing Office:

Report Type: ☑ Annual (May 15) ☐ Amendment ☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION – ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes ☐ No ☑	
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes ☐ No ☑	
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes ☑ No ☐	
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes ☑ No ☐	
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes ☑ No ☐	
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes ☐ No ☑	
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? If yes, complete and attach Schedule VII.	Yes ☐ No ☑	
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes ☐ No ☑	
IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes ☐ No ☑	

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION – ANSWER EACH OF THESE QUESTIONS

Trusts--	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

CERTIFICATION – THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and wilfully falsifies, or who knowingly and wilfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	[signature]	6/14/07

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SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name J. Heath Shuler

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), *in the optional column on the far left.*	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
	SunTrust Bank - Money Market Accounts	$15,001 - $50,000	D/DIVIDENDS	$1,001 - $2,500	
DC	FA 529 PORT 2019-OLC CL A (529 Savings plan for son)	$15,001 - $50,000	None	NONE	
DC	FA 529 PORT 2022-CL A (529 Savings plan for daughter)	$1,001 - $15,000	None	NONE	
	Shuler Properties, LLC	$500,001 - $1,000,000	None	NONE	
	River Crest Development, LLC (real estate development company with its principal business in Del Rio, TN - only asset is a promissory note)	$500,001 - $1,000,000	Partnership Income	NONE	

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SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name J. Heath Shuler

	River Crest Marketing, LLC (real estate sales and marketing, Del Rio, TN)	$50,001 - $100,000	Partnership Income	NONE	
	The Cove at Blackberry Ridge, LLC (formerly known as Blackberry Cove, LLC) (real estate development company with principal business located in Loudon, TN)	$5,000,001 - $25,000,000	Partnership Income	NONE	
	67% ownership of Fountain Square Shopping Center, Knoxville, TN Purchased 6/18/2004 - Actual Purchase Price	$1,000,001 - $5,000,000	RENT	$15,001 - $50,000	
	HSRE Properties, LLC (rental real estae in Knoxville, TN)	$1,001 - $15,000	Partnership Income	NONE	
	HSRE, LLC (real estate marketing company - Knoxville, TN)	$1,001 - $15,000	Partnership Income	NONE	
	Note Receiveable from HSRE, LLC	$100,001 - $250,000	None	NONE	
	Shuler Family Irrevocable Trust No. 1 - 33% ownership of Fountain Square Shopping Center, Knoxville, TN Purchased 6/18/2004 Actual purchase price	$500,001 - $1,000,000	Rent	$5,001 - $15,000	
	Note Receivable - Elite Fitness Concepts Knoxville, TN	$1,001 - $15,000	INTEREST	$201 - $1,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name J. Heath Shuler

	NFL Player Second Career Savings Plan - 401(k) Plan: 1. Value Fund - Combination of Dodge & Cox Fund and Thornburg Value Fund	$50,001 - $100,000	DIVIDENDS	$1,001 - $2,500	
	2. Growth Fund - Combination of American Funds Growth Fund of America TCW Galileo Select Equity Fund and T.Rowe Price Growth Stok	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500	
	3. Small CAP Value Fund - Combination of Areil Rund and Columbia Acorn USA	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	4. Small CAP Core Fund-T. Rowe Price Small CAP Stock Fund	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
	5. Int'l Fund - Combination of Templeton Foreign Fund and William Blair Int'l Growth Fund	$1 - $1,000	DIVIDENDS	$201 - $1,000	
	NFL Player Annuity & Ins. Company	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500	
	See Attached Statements.				

SCHEDULE IV - TRANSACTIONS

Name J. Heath Shuler

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	See Attached Statements.			

SCHEDULE V - LIABILITIES

Name J. Heath Shuler

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report "revolving charge accounts" (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
	SunTrust Bank	Business Line of Credit	$250,001 - $500,000
	SunTrust Bank	Mortgage on rental real estate	$1,000,001 - $5,000,000
	United Community Bank, Lenoir City, TN	Revolving consumer line of credit	$50,001 - $100,000

United State House of Representatives
Financial Disclosure Statement for Calendar Year 2006

Joseph Heath Shuler
NC-11

Attachment to Schedule III

061229 270 009013534 H 2
SUNTRUST INVESTMENT SERVICES INC
P.O. BOX 596
RICHMOND, VA 23286

Account Number: [redacted]

SunTrust Investment Services, Inc.
A SunTrust Company

J HEATH SHULER
[redacted]

YOUR INVESTMENT CONSULTANT IS
MASON/TAYLOR
RIR 9D5

FOR QUESTIONS OR UP-TO-DATE ACCOUNT INFORMATION:

Local	843 560 5766
In-State	865 560 5766
National	800 526 1177

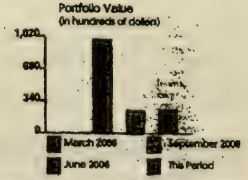
Statement Date: 12/01/06 to 12/31/06

SNAPSHOT

TOTAL PORTFOLIO
$26,755.95

[redacted]

Equity and Cash Equivalents	$26,755.95	[illegible]
TOTAL PORTFOLIO VALUE	$26,755.95	[illegible]



A portfolio value less than $100.00 may not be displayed.

[redacted]

Net Core Fund Activity	($102.04)	($26,083.67)
Net Additions and Withdrawals	$0.00	$25,000.00
Net Income and Expenses	$102.04	$1,083.67

LEGEND
(1 Numbers in parentheses are debits or subtractions
NSS = National Financial Services LLC

[redacted]

SunTrust Investment Services Inc

Account carried with National Financial Services LLC, Member NYSE, SIPC

Account Number: [redacted]
Account Name: SHULER

Statement Date: 12/01/2006 to 12/31/2006

SunTrust Investment Services, Inc.
A SunTrust Company

SUMMARY

[redacted]

ALERT: Taxable income is determined based on information available to NFS at the time the statement was prepared, and is subject to change. Final information on taxation of interest and dividends is available on Form 1099-Div, which is mailed in January of the subsequent year.

Cash and Cash Equivalents		
Money Markets	$28,755.95	$28,653.91
TOTAL PORTFOLIO VALUE	$28,755.95	$28,653.91

[redacted]

BEGINNING BALANCE	$0.00	
Core Fund Activity		
Core Funds Purchased	($102.04)	($101,713.67)
Core Funds Sold	$0.00	$75,030.00
NET CORE FUND ACTIVITY	($102.04)	($26,683.67)
Additions and Withdrawals		
Other Additions and Withdrawals	$0.00	$25,000.00
NET ADDITIONS AND WITHDRAWALS	$0.00	$25,000.00
Income and Expenses		
Taxable Income		
Taxable Dividends	$102.04	$1,713.67
NET TAXABLE INCOME	$102.04	$1,713.67
TOTAL INCOME	$102.04	$1,713.67
Account Fees	$0.00	($30.00)
TOTAL EXPENSES	$0.00	($30.00)
NET INCOME AND EXPENSES	$102.04	$1,683.67
ENDING BALANCE	$0.00	

DETAIL

[redacted]

NFS determines the appropriate cost basis method for calculating gain and loss based on positions held at the time of sale. For open-end mutual funds, NFS determines cost basis using the average cost-single category (ACSC) method unless otherwise instructed. For all other securities, NFS determines cost basis using the first-in, first-out (FIFO) method if NFS does not receive appropriate specific share instructions. NFS determines cost basis using the specific share identification method if NFS receives appropriate specific share instructions; however, such cost basis information may not correspond to a customer's cost basis information records if a customer used the specific share identification method prior to the time that such method tracking was made available by NFS. Cost basis, associated gain and loss, and holding period information reported may not reflect all adjustments necessary for tax reporting purposes. Cost basis information for debt securities has not been adjusted for amortization or accretion.

SunTrust Investment Services Inc. *Account carried with National Financial Services LLC, Member NYSE, SIPC*
See last page for important information about your brokerage account and this statement.

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SunTrust Investment Services, Inc.
A SunTrust Company

Securities and Insurance Products and Services: *ARE NOT FDIC OR ANY OTHER GOVERNMENT AGENCY INSURED *ARE NOT BANK GUARANTEED *MAY LOSE VALUE. Securities, insurance and other investment products and services are offered by or through SunTrust Investment Services, Inc., a registered broker/dealer affiliate of SunTrust Banks, Inc., and a member of the NASD and SIPC.

CASH AND CASH EQUIVALENTS 100.00%

Description	Symbol/Cusip Account Type	Quantity	Price on 12/31/06	Current Market Value	Prior Market Value	Estimated Annual Income
Money Markets						
STI CLASSIC PRIME QUALITY MMKT CORE	SQDXX CASH	26,756.95	$1.00	$26,756.95	$26,653.91	
7 DAY AVG NET YIELD 4.70%						
Dividend Option Reinvest						
Capital Gain Option Reinvest						
Total Cash and Cash Equivalents				$26,756.95		
TOTAL PORTFOLIO VALUE				$26,756.95		

NFS determines the appropriate cost basis method for calculating gain and loss based on positions held at the time of sale. For open-end mutual funds, NFS determines cost basis using the average cost-single category (ACSC) method unless otherwise instructed. For all other securities, NFS determines cost basis using the first-in, first-out (FIFO) method if NFS does not receive appropriate specific share instructions. NFS determines cost basis using the specific share identification method if NFS receives appropriate specific share instructions; however, such cost basis information may not correspond to a customer's cost basis information records if a customer used the specific share identification method prior to the time that such method tracking was made available by NFS. Cost basis, associated gain and loss, and holding period information reported may not reflect all adjustments necessary for tax reporting purposes. Cost basis information for debt securities has not been adjusted for amortization or accretion.

CORE FUND ACTIVITY

Core Funds Purchased

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
11/30/06	CASH	REINVESTMENT	STI CLASSIC PRIME QUALITY MMKT CORE NET DIV REINVEST	102.04	($102.04)
Net Core Funds Purchased					($102.04)
NET CORE FUND ACTIVITY					($102.04)

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SunTrust Investment Services, Inc.
A SunTrust Company

INCOME AND EXPENSES

Taxable Income

Date	Account Type	Transaction	Description	Quantity	Amount
Taxable Dividends					
11/30/06	CASH	DIVIDEND RECEIVED	STI CLASSIC PRIME QUALITY MMKT CORE DIVIDEND RECEIVED		$102.04
Net Taxable Income					$102.04
Total Income					$102.04
NET INCOME AND EXPENSES					$102.04

[redacted]

If your account is assigned the SunTrust Investment Services Annual $35 Custody fee, as fully described in the insert included with your September 2006 Statement, the fee will be assessed on 1/02/07. Please send a check that includes your account number to SunTrust Investment Services, Inc., 11 South 10th Street, Richmond, VA, 23219 by 4/1/07 if your January 2007 Statement shows that the fee was not paid for by cash in your account.

[redacted]

Cost basis and gain(loss) information is included for your convenience and is based on information you provided or that is otherwise known to NFS at the time the statement is issued. The information may not reflect all adjustments or reportable transactions that are required for tax purposes and may not be accurate for tax reporting purposes. It is not a substitute for your own tax records. If you have any questions about your specific tax situation, please consult your tax advisor. Cost basis information is not adjusted for investments in Master Limited Partnerships (MLPs). In order to calculate basis for MLPs, you will need supplemental information from the partnership related to the income and distributions during the period you held your investment.

Account carried with National Financial Services LLC, Member NYSE, SIPC

061229 270 008013534 R 3
SUNTRUST INVESTMENT SERVICES INC
P.O. BOX 596
RICHMOND, VA 23246

Account Number: [redacted]

SunTrust Investment Services, Inc.
A SunTrust Company

J HEATH SHULER
[redacted]

YOUR INVESTMENT CONSULTANT IS
MASON/TAYLOR
RRP: 9D5

FOR QUESTIONS OR UP-TO-DATE ACCOUNT INFORMATION:
National
[redacted]

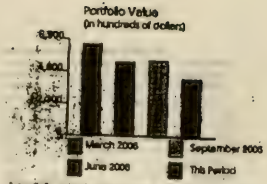
Statement Date: 12/01/06 to 12/31/06

SNAPSHOT

TOTAL PORTFOLIO
$430,159.36

[redacted]

Cash and Cash Equivalents	$0,844.31	$0,150.52
Securities	$416,907.81	$412,301.95
Other Securities	$3,408.04	$3,430.89
TOTAL PORTFOLIO VALUE	$430,159.36	$444,872.34



A portfolio value less than $100.00 may not be displayed.

[redacted]

Net Trading	$0.00	$238,217.04
Net Core Fund Activity	($705.39)	$14,516.09
Net Additions and Withdrawals	$0.00	($249,966.65)
Net Income and Expenses	$705.32	($2,704.48)

LEGEND
( ) Numbers in parentheses are debits or subtractions
NFS = National Financial Services LLC

6407

Account Number: [redacted]
Account Name: SHOLER

Statement Date: 12/01/2006 to 12/31/2006

Separate Account Manager: ADVISORPORT
Investment Discipline: MSA-DOMESTIC-ALL CAP

SunTrust Investment Services, Inc.
A SunTrust Company

SUMMARY

ALERT: Taxable income is determined based on information available to NFS at the time the statement was prepared, and is subject to change. Final information on taxation of interest and dividends is available on Form 1099-DIV, which is mailed in January of the following year.

Cash and Cash Equivalents		
Money Markets	$9,844.91	$9,139.52
Securities		
Equities		
Equity	$416,907.81	$412,301.95
Total Securities	$416,907.81	$412,301.95
Other Securities	$3,408.64	$3,430.89
TOTAL PORTFOLIO VALUE	$430,160.36	$424,872.36

BEGINNING BALANCE	$0.00	
Trading		
Securities Purchased	$0.00	($419,301.95)
Securities Sold	$0.00	$667,519.90
NET TRADING	$0.00	$248,217.94
Core Fund Activity		
Core Funds Purchased	($705.39)	($85,738.36)
Core Funds Sold	$0.00	$100,252.25
NET CORE FUND ACTIVITY	($705.39)	$14,516.09
Additions and Withdrawals		
Other Additions and Withdrawals	$0.00	($249,988.85)
NET ADDITIONS AND WITHDRAWALS	$0.00	($249,988.85)
Income and Expenses		
Taxable Income		
Taxable Dividends	$705.39	$8,797.96
NET TAXABLE INCOME	$705.39	$8,797.96
TOTAL INCOME	$705.39	$8,797.96
Account Fees	$0.00	($11,524.30)
Foreign Tax Paid	$0.00	($38.14)
TOTAL EXPENSES	$0.00	($11,562.44)
NET INCOME AND EXPENSES	$705.39	($2,764.48)
ENDING BALANCE	$0.00	

Money Markets	2.29%
Equity	96.92%
Other Securities	0.79%

Allocations for equities, fixed income, and other categories may include mutual funds and may be net of short positions. NFS has made assumptions concerning how certain mutual funds are allocated. Closed-end mutual funds listed on an exchange may be included in the equity allocation. The chart may not reflect your actual portfolio allocation. Consult your broker/dealer prior to making investment decisions.

SunTrust Investment Services Inc
See last page for important information about your brokerage account and this statement.
Account carried with National Financial Services LLC, Member NYSE, SIPC

6408

Account Number: [redacted]
Account Name: SHULER

Statement Date: 12/01/2006 to 12/31/2006

Separate Account Manager: ADVISORPORT
Investment Discipline: MSA-DOMESTIC-ALL CAP

SunTrust Investment Services, Inc.
A SunTrust Company

Short-Term Gain	$0.00	$36,852.82
Short-Term Loss	$0.00	$19,234.96
Short-Term Disallowed Loss	$0.00	$143.39
NET SHORT-TERM	**$0.00**	**$16,741.23**
Long-Term Gain	$0.00	$63,825.83
Long-Term Loss	$0.00	$15,403.14
Long-Term Disallowed Loss	$0.00	$930.10
NET LONG-TERM	**$0.00**	**$49,352.59**

The above section is a summary of realized gains and losses for transactions in your account as of the trade date. These figures exclude transactions where cost basis information is incomplete.

NFS provides cost basis and associated realized gain and loss information to customers as a courtesy service. Such information may not reflect all adjustments necessary for tax reporting purposes. Customers should verify cost basis and corresponding gain/loss information provided by NFS against their own records when calculating the taxable gain or loss resulting from a sale. NFS does not report cost basis information to the IRS and is not responsible for the accuracy of cost basis and gain/loss information reported by the customer to Federal, state, and other taxing authorities.

NFS determines the appropriate cost basis method for calculating gain and loss based on positions held at the time of sale. For open-end mutual funds, NFS determines cost basis using the average cost-single category (ACSC) method unless otherwise instructed. For all other securities, NFS determines cost basis using the first-in, first-out (FIFO) method if NFS does not receive appropriate specific share instructions. NFS determines cost basis using the specific share identification method if NFS receives appropriate specific share instructions; however, such cost basis information may not correspond to a customer's cost basis information records if a customer used the specific share identification method prior to the time that such method tracking was made available by NFS. Cost basis, associated gain and loss, and holding period information reported may not reflect all adjustments necessary for tax reporting purposes. Cost basis information for debt securities has not been adjusted for amortization or accretion.

Customers should consult with their tax advisors for further information.

DETAIL

Account carried with National Financial Services LLC, Member NYSE, SIPC

Account Number: [redacted]
Account Name: SHULER

Statement Date: 12/01/2006 to 12/31/2006

Separate Account Manager: ADVISORPORT
Investment Discipline: MSA-DOMESTIC-ALL CAP

SunTrust Investment Services, Inc.
A SunTrust Company

[redacted]

NFS determines the appropriate cost basis method for calculating gain and loss based on positions held at the time of sale. For open-end mutual funds, NFS determines cost basis using the average cost-single category (ACSC) method unless otherwise instructed. For all other securities, NFS determines cost basis using the first-in, first-out (FIFO) method if NFS does not receive appropriate specific share instructions. NFS determines cost basis using the specific share identification method if NFS receives appropriate specific share instructions; however, such cost basis information may not correspond to a customer's cost basis information records if a customer used the specific share identification method prior to the time that such method tracking was made available by NFS. Cost basis, associated gain and loss, and holding period information reported may not reflect all adjustments necessary for tax reporting purposes. Cost basis information for debt securities has not been adjusted for amortization or accretion.

Securities and Insurance Products and Services: *ARE NOT FDIC OR ANY OTHER GOVERNMENT AGENCY INSURED *ARE NOT BANK GUARANTEED *MAY LOSE VALUE. Securities, insurance and other investment products and services are offered by or through SunTrust Investment Services, Inc., a registered broker/dealer affiliate of SunTrust Banks, Inc., and a member of the NASD and SIPC.

CASH AND CASH EQUIVALENTS 2.29%

Description	Symbol/Cusip Account Type	Quantity	Price on 12/31/06	Current Market Value	Prior Market Value	Estimated Annual Income
Money Markets						
1-521 CLASSIC-PRIME QUALITY MMKT CORE 7 DAY AVG NET YIELD 4.70% Dividend Option Reinvest Capital Gain Option Reinvest	SQDOX CASH	9,844.91	$1.00	$9,844.91	$9,128.52	
Total Cash and Cash Equivalents				$9,844.91		

EQUITIES 96.92%

Description	Symbol/Cusip Account Type	Quantity	Price on 12/31/06	Current Market Value	Prior Market Value	Estimated Annual Income	Total Cost Basis	Unrealized Gain (Loss)
Equity								
ABBOTT LABORATORIES Estimated Yield 2.42% Dividend Option Cash Capital Gain Option Cash Next Dividend Payable: 02/15/07	ABT CASH	60	$48.71	$2,922.60	$2,798.80	$70.80	$2,551.52	$370.98
ACE LTD ORD USD0.125 ISIN KYG0070K1031 SEDOL #2008653 Estimated Yield 1.85% Dividend Option Cash Capital Gain Option Cash	ACE CASH	58	$58.57	$3,330.16	$3,001.92	$96.00	$4,581.12	$749.04
AFFILIATED COMPUTER SVCS INC CL A Dividend Option Cash Capital Gain Option Cash	ACS CASH	85	$48.84	$4,151.40	$4,258.75		$4,097.26	$54.14

Account Number: [redacted]
Account Name: SHULER

Statement Date: 12/01/2006 to 12/31/2006

Separate Account Manager: ADVISORPORT
Investment Discipline: MSA-DOMESTIC-ALL CAP

SunTrust Investment Services, Inc.
A SunTrust Company

EQUITIES 96.92%

Description	Symbol/Cusip Account Type	Quantity	Price on 12/31/06	Current Market Value	Prior Market Value	Estimated Annual Income	Total Cost Basis	Unrealized Gain (Loss)
AFFILIATED MANAGERS GROUP Dividend Option Cash Capital Gain Option Cash	AMG CASH	23	$105.13	$2,733.39	$2,654.80		$1,336.56	$1,396.83
ALLSTATE CORP Estimated Yield 2.15% Dividend Option Cash Capital Gain Option Cash Next Dividend Payable: 01/02/07	ALL CASH	90	$65.11	$5,859.90	$5,713.20	$126.00	$4,652.34	$1,207.56
AMER INTL GROUP INC Estimated Yield 0.82% Dividend Option Cash Capital Gain Option Cash Next Dividend Payable: 03/15/07	AIG CASH	67	$71.64	$4,824.62	$4,668.24	$37.62	$4,037.64	$46.64
AON CORP Estimated Yield 1.66% Dividend Option Cash Capital Gain Option Cash	AOC CASH	125	$35.34	$4,346.82	$4,388.24	$73.00	$2,878.19	$1,468.63
AT&T INC COM Estimated Yield 3.97% Dividend Option Cash Capital Gain Option Cash Next Dividend Payable: 02/01/07	T CASH	161	$35.75	$5,882.25	$5,482.75	$146.26	$5,177.97	$304.28
BAXTER INTL INC Estimated Yield 1.29% Dividend Option Cash Capital Gain Option Cash Next Dividend Payable: 01/05/07	BAX CASH	94	$46.38	$4,360.66	$4,205.56	$54.71	$3,467.15	$893.51
BEAZER HOMES USA INC Estimated Yield 0.85% Dividend Option Cash Capital Gain Option Cash	BZH CASH	39	$47.01	$1,833.39	$1,780.14	$15.60	$2,322.51	($489.12)
BED BATH & BEYOND INC Dividend Option Cash Capital Gain Option Cash	BBBY CASH	103	$38.10	$3,924.30	$3,894.34		$3,939.42	($15.12)
BERKLEY W R CORP Estimated Yield 0.46% Dividend Option Cash Capital Gain Option Cash Next Dividend Payable: 01/03/07	BER CASH	78	$34.51	$2,691.78	$2,734.58	$12.48	$2,622.39	$69.39

Account carried with National Financial Services LLC, Member NYSE, SIPC

Account Number [redacted]
Account Name: SNIDER

Statement Date: 12/01/2006 to 12/31/2006

Separate Account Manager: ADVISORPORT
Investment Discipline: MSA-DOMESTIC-ALL CAP

SunTrust Investment Services, Inc.
A SunTrust Company

EQUITIES 96.92%

Description	Symbol/Cusip Account Type	Quantity	Price on 12/31/06	Current Market Value	Prior Market Value	Estimated Annual Income	Total Cost Basis	Unrealized Gain (Loss)
BORGWARNER INC Estimated Yield 1.08% Dividend Option Cash Capital Gain Option Cash Next Dividend Payable: 02/15/07	BWA CASH	50	$56.02	$2,801.00	$2,690.00	$32.00	$2,803.37	($2.37)
BRISTOL MYERS SQUIBB Estimated Yield 4.25% Dividend Option Cash Capital Gain Option Cash Next Dividend Payable: 02/01/07	BMY CASH	168	$26.32	$4,421.76	$4,171.44	$188.16	$4,147.92	$273.84
BROADCOM CORP CL A Dividend Option Cash Capital Gain Option Cash	BRCM CASH	197	$32.31	$6,365.07	$6,467.31		$5,824.69	$540.38
CAPITAL ONE FINANCIAL CORP Estimated Yield 0.13% Dividend Option Cash Capital Gain Option Cash	COF CASH	73	$76.82	$5,607.86	$5,685.24	$7.78	$5,306.70	$301.16
CEPHALON INC Dividend Option Cash Capital Gain Option Cash	CEPH CASH	30	$70.41	$2,112.30	$2,242.50		$1,940.30	$172.00
CHEVRON CORP NEW Estimated Yield 2.82% Dividend Option Cash Capital Gain Option Cash	CVX CASH	67	$73.53	$4,926.51	$4,843.44	$139.36	$3,012.65	$1,913.86
CHUBB CORP Estimated Yield 1.85% Dividend Option Cash Capital Gain Option Cash Next Dividend Payable: 01/08/07	CB CASH	81	$52.91	$4,285.71	$4,192.56	$81.00	$3,209.85	$1,076.86
CITRIX SYSTEMS INC Dividend Option Cash Capital Gain Option Cash	CTXS CASH	84	$27.05	$2,272.20	$2,414.16		$2,369.70	($97.50)
COACH INC Dividend Option Cash Capital Gain Option Cash	COH CASH	171	$42.96	$7,346.16	$7,308.31		$5,433.71	$1,912.45
COMPUCREDIT CORP Dividend Option Cash Capital Gain Option Cash	CCRT CASH	103	$39.81	$4,100.43	$3,877.95		$3,305.48	$794.95

[redacted]

Account carried with National Financial Services LLC, Member NYSE, SIPC

6412

Account Number: [redacted]
Account Name: SHULER

Statement Date: 12/01/2006 to 12/31/2006

Separate Account Manager: ADVISORPORT
Investment Discipline: MSA-DOMESTIC-ALL CAP

SunTrust Investment Services, Inc.
A SunTrust Company

EQUITIES 96.92%

Description	Symbol/Cusip Account Type	Quantity	Price on 12/01/06	Current Market Value	Prior Market Value	Estimated Annual Income	Total Cost Basis	Unrealized Gain (Loss)
COMTECH TELECOMMUNICATIONS CORP COM NEW Dividend Option Cash Capital Gain Option Cash	CMTL CASH	100	$35.87	$4,086.42	$3,765.12		$3,504.92	$580.50
CONAGRA FOODS INC Estimated Yield 2.88% Dividend Option Cash Capital Gain Option Cash Next Dividend Payable: 03/01/07	CAG CASH	103	$27.00	$2,781.00	$2,647.10	$74.16	$2,537.92	$243.08
CONOCOPHILLIPS Estimated Yield 2.00% Dividend Option Cash Capital Gain Option Cash	COP CASH	73	$71.95	$5,252.35	$4,912.90	$105.12	$4,060.98	$1,191.38
23900CONSTELLATION BRANDS INC CL A Dividend Option Cash Capital Gain Option Cash	STZ CASH	281	$29.02	$8,154.62	$7,862.38	$174.20	$7,606.98	$547.64
DIRECTV GROUP INC Dividend Option Cash Capital Gain Option Cash	DTV CASH	101	$24.94	$2,518.94	$2,297.75		$1,716.52	$802.42
DONNELLEY R R & SONS Estimated Yield 2.82% Dividend Option Cash Capital Gain Option Cash	RRD CASH	168	$35.54	$5,970.72	$5,960.63	$176.78	$5,433.27	$537.45
DORAL FINANCIAL CORP ISIN #PR2581TP1005 SEDOL #2341372 Dividend Option Cash Capital Gain Option Cash	DRL CASH	148	$2.87	$424.76	$399.40		$771.80	($347.04)
DU PONT E I DE NEMOURS & CO Estimated Yield 3.03% Dividend Option Cash Capital Gain Option Cash	DD CASH	95	$48.71	$4,627.45	$4,458.35	$140.60	$4,002.37	$625.08
E TRADE FINANCIAL CORP Dividend Option Cash Capital Gain Option Cash	ETFC CASH	294	$22.42	$6,357.28	$6,834.88		$6,818.26	($461.98)
EATON CORP Estimated Yield 2.07% Dividend Option Cash Capital Gain Option Cash	ETN CASH	44	$75.14	$3,306.16	$3,191.52	$68.64	$2,991.55	$314.61

SunTrust Investment Services Inc

Account carried with National Financial Services LLC, Member NYSE, SIPC

6413

Account Number: [redacted]
Account Name: SHULER

Statement Date: 12/01/2006 to 12/31/2006

Separate Account Manager: ADVISORPORT
Investment Discipline: MSA-DOMESTIC-ALL CAP

SunTrust Investment Services, Inc.
A SunTrust Company

EQUITIES 96.92%

Description	Symbol/Cusip Account Type	Quantity	Price on 12/31/06	Current Market Value	Prior Market Value	Estimated Annual Income	Total Cost Basis	Unrealized Gain (Loss)
EBAY INC Dividend Option Cash Capital Gain Option Cash	EBAY CASH	122	$30.07	$3,668.54	$3,945.48		$3,382.31	$286.23
ENDO PHARMACEUTICALS HLDGS INC COM Dividend Option Cash Capital Gain Option Cash	ENDP CASH	112	$27.50	$3,080.00	$3,036.32		$3,343.68	($263.72)
EMERSON CORP Estimated Yield 0.83% Dividend Option Cash Capital Gain Option Cash	EGN CASH	104	$46.94	$4,881.76	$4,777.44	$40.76	$3,535.76	$1,346.01
ENSCO INTERNATIONAL INC Estimated Yield 0.20% Dividend Option Cash Capital Gain Option Cash	ESV CASH	24	$50.08	$1,201.92	$1,244.64	$2.40	$1,038.13	$163.31
EVEREST REINSURANCE GROUP LTD ISIN #BMG3223R108 SEDOL #2556868 Estimated Yield 0.97% Dividend Option Cash Capital Gain Option Cash	RE CASH	39	$98.11	$3,828.29	$3,837.00	$37.44	$3,615.03	$211.26
EXPRESS SCRIPTS INC COM FORMERLY CL A Dividend Option Cash Capital Gain Option Cash	ESRX CASH	47	$71.60	$3,365.20	$3,205.40		$3,915.18	($550.98)
FEDEX CORP Estimated Yield 0.33% Dividend Option Cash Capital Gain Option Cash Next Dividend Payable: 01/02/07	FDX CASH	32	$108.62	$3,475.84	$3,683.78	$11.52	$3,268.25	$210.59
FOREST LABORATORIES INC Dividend Option Cash Capital Gain Option Cash	FRX CASH	68	$50.85	$3,440.80	$3,311.80		$3,268.68	$171.12
FORTUNE BRANDS INC Estimated Yield 1.82% Dividend Option Cash Capital Gain Option Cash	FO CASH	58	$81.35	$4,852.62	$4,602.20	$90.48	$4,229.14	$723.48

[redacted]

Account carried with National Financial Services LLC, Member NYSE, SIPC

Account Number: [redacted]
Account Name: SHULER

Statement Date: 12/01/2006 to 12/31/2006

Separate Account Manager: ADVISORPORT
Investment Discipline: MSA-DOMESTIC-ALL CAP

SunTrust Investment Services, Inc.
A SunTrust Company

EQUITIES 96.92%

Description	Symbol/Cusip Account Type	Quantity	Price on 12/31/06	Current Market Value	Prior Market Value	Estimated Annual Income	Total Cost Basis	Unrealized Gain (Loss)
FREMONT GEN CORP Estimated Yield 2.94% Dividend Option Cash Capital Gain Option Cash Next Dividend Payable: 01/31/07	FMT CASH	154	$16.21	$2,496.34	$2,678.54	$73.92	$3,129.06	($631.72)
GAP INC DEL Estimated Yield 1.84% Dividend Option Cash Capital Gain Option Cash Next Dividend Payable: 01/24/07	GPS CASH	370	$18.50	$7,330.50	$7,084.68	$121.28	$6,940.77	$828.73
GENENTECH INC Dividend Option Cash Capital Gain Option Cash	DNA CASH	63	$81.13	$5,111.18	$5,150.25		$5,133.84	($22.66)
GEMSTAR-TV GROUP INC Dividend Option Cash Capital Gain Option Cash	GLYT CASH	47	$78.11	$3,671.17	$3,988.42		$1,333.17	$2,338.00
GRANT PRIDECO INC Dividend Option Cash Capital Gain Option Cash	GRP CASH	56	$39.77	$2,227.12	$2,453.92		$1,826.24	$400.88
HARMAN INTL INDS INC NEW Estimated Yield 0.05% Dividend Option Cash Capital Gain Option Cash	HAR CASH	32	$99.91	$3,197.12	$3,322.88	$1.60	$2,715.21	$481.91
HARRIS CORP DEL Estimated Yield 0.85% Dividend Option Cash Capital Gain Option Cash	HRS CASH	66	$45.86	$3,028.76	$2,779.25	$23.04	$2,888.11	$138.65
HARTFORD FINL SVCS GROUP INC Estimated Yield 2.14% Dividend Option Cash Capital Gain Option Cash Next Dividend Payable: 01/02/07	HIG CASH	48	$93.31	$4,478.88	$4,116.48	$96.00	$3,294.51	$1,184.37
HEINZ H J CO Estimated Yield 3.11% Dividend Option Cash Capital Gain Option Cash Next Dividend Payable: 01/10/07	HNZ CASH	103	$45.07	$4,636.03	$4,578.35	$144.20	$3,911.27	$724.76

Account carried with National Financial Services LLC, Member NYSE, SIPC

6415

Account Number [redacted]
Account Name: SHUCER

Statement Date: 12/01/2006 to 12/31/2006

Separate Account Manager: ADVISORPORT
Investment Discipline: MBA-DOMESTIC-ALL CAP

SunTrust Investment Services, Inc.
A SunTrust Company

EQUITIES 96.92%

Description	Symbol/Cusip Account Type	Quantity	Price on 12/31/06	Current Market Value	Prior Market Value	Estimated Annual Income	Total Cost Basis	Unrealized Gain (Loss)
HEUEM OF TROY LTD SHS ISIN #GMG4388H1065 SEDOL #2418530 Dividend Option Cash Capital Gain Option Cash	HEUE CASH	68	$24.28	$1,649.08	$1,608.04		$1,363.41	$286.27
HEWLETT-PACKARD CO DE Estimated Yield 0.77% Dividend Option Cash Capital Gain Option Cash Next Dividend Payable: 01/02/07	HPQ CASH	113	$41.19	$4,654.47	$4,452.88	$34.16	$2,368.61	$2,284.86
HILB ROGAL & HOBBS CO FORMERLY HILB ROGAL AND HAMILTON CO Estimated Yield 1.14% Dividend Option Cash Capital Gain Option Cash	HRH CASH	50	$42.12	$2,106.00	$2,080.00	$24.00	$2,014.96	$91.04
HUNTINGTON BANCSHARES INC COM Estimated Yield 4.21% Dividend Option Cash Capital Gain Option Cash Next Dividend Payable: 01/02/07	HBAN CASH	115	$23.75	$2,731.25	$2,795.65	$114.92	$2,841.97	($110.72)
IDEARC INC COM DELAWARE Dividend Option Cash Capital Gain Option Cash	IAR CASH	5	$28.85	$144.25	$137.70		$154.34	($11.09)
INDYMAC BANCORP INC Estimated Yield 4.42% Dividend Option Cash Capital Gain Option Cash	NDE CASH	49	$45.16	$2,212.84	$2,251.56	$98.00	$1,739.85	$473.00
INTEL CORP Estimated Yield 1.97% Dividend Option Cash Capital Gain Option Cash	INTC CASH	324	$20.25	$6,561.00	$6,031.98	$129.60	$5,045.66	$515.34
J2 GLOBAL COMM INC COM NEW Dividend Option Cash Capital Gain Option Cash	JCOM CASH	120	$27.25	$3,270.00	$3,351.60		$2,751.27	$518.73
KIMBERLY CLARK CORP Estimated Yield 2.88% Dividend Option Cash Capital Gain Option Cash Next Dividend Payable: 01/03/07	KMB CASH	26	$67.85	$1,764.70	$1,728.22	$50.96	$1,716.48	$51.22

Account carried with National Financial Services LLC, Member NYSE, SIPC

6416

Account Number: [redacted]
Account Name: SHULER

Statement Date: 12/01/2006 to 12/31/2006

Separate Account Manager: ADVISORPORT
Investment Discipline: BSA-DOMESTIC-ALL CAP

SunTrust Investment Services, Inc.
A SunTrust Company

EQUITIES 96.91%

Description	Symbol/Cusip Account Type	Quantity	Price on 12/31/06	Current Market Value	Prior Market Value	Estimated Annual Income	Total Cost Basis	Unrealized Gain (Loss)
KLA-TENCOR CORP FRMLY KLA INSTRUMENT CORP Estimated Yield 0.96% Dividend Option Cash Capital Gain Option Cash	KLAC CASH	86	$49.75	$4,278.50	$4,443.82	$41.28	$3,854.26	$424.24
KOHLS CORP Dividend Option Cash Capital Gain Option Cash	KSS CASH	85	$68.43	$5,816.55	$5,916.00		$4,629.78	$1,187.77
L-3 COMMUNICATIONS HLDGS INC Estimated Yield 0.91% Dividend Option Cash Capital Gain Option Cash	LLL CASH	40	$81.78	$3,271.20	$3,290.80	$30.00	$3,332.64	$38.56
LABORATORY CORP AMER HLDGS COM NEW Dividend Option Cash Capital Gain Option Cash	LH CASH	53	$73.47	$3,893.91	$3,752.40		$2,619.38	$1,274.53
LAM RESEARCH CORP Dividend Option Cash Capital Gain Option Cash	LRCX CASH	73	$50.62	$3,695.26	$3,838.80		$2,922.13	$773.13
LAMSON & SESSIONS CO Dividend Option Cash Capital Gain Option Cash	LMS CASH	117	$24.26	$2,838.42	$2,916.53		$3,048.15	($210.73)
LIMITED BRANDS INC Estimated Yield 2.87% Dividend Option Cash Capital Gain Option Cash	LTD CASH	157	$28.54	$4,369.94	$4,785.10	$90.60	$3,035.36	$1,334.58
MATTEL INC Estimated Yield 2.86% Dividend Option Cash Capital Gain Option Cash	MAT CASH	231	$22.66	$5,234.46	$5,976.45	$150.15	$4,243.47	$990.99
MEDAREX INC Dividend Option Cash Capital Gain Option Cash	MEDX CASH	203	$14.79	$3,002.37	$2,738.47		$1,536.11	$1,466.26
MERCK & CO INC Estimated Yield 2.41% Dividend Option Cash Capital Gain Option Cash Next Dividend Payable: 01/02/07	MRK CASH	113	$43.80	$4,949.40	$5,029.63	$171.76	$3,044.22	$1,882.58

Account carried with National Financial Services LLC, Member NYSE, SIPC

6417

Account Number: [redacted]
Account Name: STUCER

Statement Date: 12/01/2006 to 12/31/2006

Separate Account Manager: ADVISORPORT
Investment Discipline: MGA-DOMESTIC-ALL CAP

SunTrust Investment Services, Inc.
A SunTrust Company

EQUITIES 96.92%

Description	Symbol/Cusip Account Type	Quantity	Price on 12/31/06	Current Market Value	Prior Market Value	Estimated Annual Income	Total Cost Basis	Unrealized Gain (Loss)
METHANEX CORP Estimated Yield 1.82% Dividend Option Cash Capital Gain Option Cash Next Dividend Payable: 12/31/06	MEOH CASH	133	$27.37	$3,640.21	$3,297.07	$66.50	$1,753.24	$1,886.97
MICROSOFT CORP Estimated Yield 1.34% Dividend Option Cash Capital Gain Option Cash Next Dividend Payable: 03/08/07	MSFT CASH	170	$29.96	$5,255.36	$5,167.36	$70.40	$4,765.72	$489.64
MOHAWK IDUS INC Dividend Option Cash Capital Gain Option Cash	MHK CASH	57	$74.06	$4,267.02	$4,413.51		$4,043.83	$223.19
MORGAN STANLEY Estimated Yield 1.32% Dividend Option Cash Capital Gain Option Cash Next Dividend Payable: 01/31/07	MS CASH	93	$81.43	$6,880.09	$4,254.96	$80.48	$2,653.16	$1,594.82
NEKTAR THERAPEUTICS Dividend Option Cash Capital Gain Option Cash	NKTR CASH	203	$15.21	$3,087.63	$3,340.50		$3,407.67	($320.04)
NEWS CORP CL B Estimated Yield 0.44% Dividend Option Cash Capital Gain Option Cash	NWS CASH	204	$22.26	$4,541.04	$4,382.12	$20.40	$4,022.36	$518.68
NOBLE ENERGY INC COM Estimated Yield 0.81% Dividend Option Cash Capital Gain Option Cash	NBL CASH	73	$48.87	$3,567.45	$4,173.60	$28.40	$3,305.52	$261.94
OSTEOTEX INTL N V SEDOL #2644547 ISIN #AN8068.1027 Dividend Option Cash Capital Gain Option Cash	OTEX CASH	27	$50.00	$1,850.00	$1,608.13		$1,664.56	$185.44
PENN NATL GAMBLING INC Dividend Option Cash Capital Gain Option Cash	PENN CASH	80	$41.62	$3,329.60	$2,957.50		$2,686.81	$642.79

[redacted]

Account carried with National Financial Services LLC, Member NYSE, SIPC

Account Number: [redacted]
Account Name: [redacted]

Statement Date: 12/01/2006 to 12/31/2006

Separate Account Manager: ADVISORPORT
Investment Discipline: MGA-DOMESTIC-ALL CAP

SunTrust Investment Services, Inc.
A SunTrust Company

EQUITIES 96.92%

Description	Symbol/Cusip Account Type	Quantity	Price on 12/31/06	Current Market Value	Prior Market Value	Estimated Annual Income	Total Cost Basis	Unrealized Gain (Loss)
PFIZER INC Estimated Yield 4.47% Dividend Option Cash Capital Gain Option Cash Next Dividend Payable: 03/06/07	PFE CASH	167	$26.90	$4,325.30	$4,590.83	$193.72	$3,958.46	$366.84
PMI GROUP INC Estimated Yield 0.44% Dividend Option Cash Capital Gain Option Cash Next Dividend Payable: 01/15/07	PMI CASH	65	$47.17	$3,065.05	$2,815.15	$13.65	$2,510.08	$555.97
PROGRESS ENERGY INC Estimated Yield 4.97% Dividend Option Cash Capital Gain Option Cash Next Dividend Payable: 02/01/07	PGN CASH	118	$48.08	$5,791.44	$5,838.96	$287.92	$5,203.64	$587.80
RAYTHEON CO COM NEW Estimated Yield 1.81% Dividend Option Cash Capital Gain Option Cash Next Dividend Payable: 01/31/07	RTN CASH	83	$52.80	$4,810.40	$4,748.72	$89.28	$4,415.92	$494.48
ROBERT HALF INTL INC Estimated Yield 0.89% Dividend Option Cash Capital Gain Option Cash	RHI CASH	112	$37.12	$4,157.44	$4,322.08	$35.84	$3,611.74	$545.70
SAFEWAY INC COM NEW FMLY SAFEWAY STORES INC TO 2/23/90 Estimated Yield 0.80% Dividend Option Cash Capital Gain Option Cash Next Dividend Payable: 01/18/07	SWY CASH	148	$34.66	$5,126.68	$4,559.68	$34.04	$3,393.95	$1,732.73
SANDISK CORP Dividend Option Cash Capital Gain Option Cash	SNDK CASH	61	$43.03	$2,624.83	$2,708.40		$3,095.86	($471.03)
SCHAWK INC Estimated Yield 0.84% Dividend Option Cash Capital Gain Option Cash	SGK CASH	179	$19.54	$3,497.66	$3,431.43	$23.27	$3,425.83	$71.73

Account carried with National Financial Services LLC, Member NYSE, SIPC

Account Number: [redacted]
Account Name: [redacted]
Statement Date: 12/01/2006 to 12/31/2006

Separate Account Manager: ADVISORPORT
Investment Discipline: MSA-DOMESTIC-ALL CAP

SunTrust Investment Services, Inc.
A SunTrust Company

EQUITIES 96.93%

Description	Symbol/Cusip Account Type	Quantity	Price on 12/31/06	Current Market Value	Prior Market Value	Estimated Annual Income	Total Cost Basis	Unrealized Gain (Loss)
SCHERING PLOUGH CORP Estimated Yield 0.93% Dividend Option Cash Capital Gain Option Cash Next Dividend Payable: 02/27/07	SGP CASH	202	$23.64	$4,775.28	$4,445.02	$44.44	$4,049.85	$725.43
STAPLES INC Estimated Yield 0.82% Dividend Option Cash Capital Gain Option Cash	SPLS CASH	178	$26.70	$4,752.60	$4,533.68	$39.16	$4,177.76	$574.84
STEINER LEISURE LTD SHS ISIN #BSP8144Y1024 SEDOL #7184052 Dividend Option Cash Capital Gain Option Cash	STNR CASH	61	$46.50	$3,685.60	$3,626.80		$3,576.75	$108.75
TECHNICAL OLYMPIC USA INC COM Estimated Yield 0.59% Dividend Option Cash Capital Gain Option Cash	TEO CASH	202	$10.17	$2,054.34	$1,882.64	$12.12	$2,188.08	($133.74)
TEXAS INSTRUMENTS INC Estimated Yield 0.65% Dividend Option Cash Capital Gain Option Cash	TXN CASH	143	$28.90	$4,118.40	$4,225.65	$22.88	$4,345.28	($226.18)
THERMO FISHER SCIENTIFIC INC Dividend Option Cash Capital Gain Option Cash	TMO CASH	108	$45.29	$4,891.32	$4,733.64		$4,212.73	$678.59
THOR INDS INC Estimated Yield 0.63% Dividend Option Cash Capital Gain Option Cash Next Dividend Payable: 01/04/07	THO CASH	54	$43.99	$2,375.46	$2,444.04	$15.12	$2,175.23	$200.23
TORO CO Estimated Yield 1.02% Dividend Option Cash Capital Gain Option Cash Next Dividend Payable: 01/12/07	TTC CASH	74	$46.63	$3,450.62	$3,322.60	$35.52	$2,804.01	$646.61
TXU CORP Estimated Yield 3.19% Dividend Option Cash Capital Gain Option Cash Next Dividend Payable: 01/02/07	TXU CASH	106	$64.21	$6,746.26	$6,083.34	$183.38	$8,831.32	($1,185.06)

SunTrust Investment Services Inc

Account carried with National Financial Services LLC, Member NYSE, SIPC

6420

Account Number: [redacted]
Account Name: SHULER

Statement Date: 12/01/2006 to 12/31/2006

Separate Account Manager: ADVISORPORT
Investment Discipline: MSA-DOMESTIC-ALL CAP

SunTrust Investment Services, Inc.
A SunTrust Company

EQUITIES 96.92%

Description	Symbol/Cusip Account Type	Quantity	Price on 12/31/06	Current Market Value	Prior Market Value	Estimated Annual Income	Total Cost Basis	Unrealized Gain (Loss)
UNISOURCE ENERGY CRP HLD CO Estimated Yield 2.23% Dividend Option Cash Capital Gain Option Cash	UNS CASH	71	$36.53	$2,593.63	$2,607.83	$58.84	$2,253.42	$340.21
UNITEDHEALTH GROUP Estimated Yield 0.05% Dividend Option Cash Capital Gain Option Cash	UNH CASH	101	$53.73	$5,426.73	$4,857.08	$2.03	$5,067.91	$358.82
VERIZON COMMUNICATIONS Estimated Yield 4.35% Dividend Option Cash Capital Gain Option Cash Next Dividend Payable 02/01/07	VZ CASH	112	$37.24	$4,170.88	$3,913.28	$181.44	$4,056.74	$115.14
WACHOVIA CORP 2ND NEW Estimated Yield 3.82% Dividend Option Cash Capital Gain Option Cash	WB CASH	76	$56.95	$4,328.20	$4,118.44	$170.24	$3,796.35	$531.85
WASTE MANAGEMENT INC Estimated Yield 2.39% Dividend Option Cash Capital Gain Option Cash	WMI CASH	113	$36.77	$4,155.01	$4,336.93	$99.44	$3,152.83	$1,002.08
WELLCARE HEALTH PLANS INC FORMERLY WELLCARE GROUP INC Dividend Option Cash Capital Gain Option Cash	WCG CASH	53	$68.90	$3,651.70	$3,422.21		$2,249.48	$1,402.22
WESCO INTERNATIONAL INC Dividend Option Cash Capital Gain Option Cash	WCC CASH	58	$58.81	$3,410.98	$3,830.20		$3,451.89	($40.91)
WYETH Estimated Yield 2.04% Dividend Option Cash Capital Gain Option Cash	WYE CASH	83	$50.92	$4,225.38	$4,007.24	$86.32	$3,526.65	$698.73
XEROX CORP Dividend Option Cash Capital Gain Option Cash	XRX CASH	100	$16.98	$1,847.55	$1,788.56		$1,704.76	$142.79
XLINX INC Estimated Yield 1.51% Dividend Option Cash Capital Gain Option Cash	XLNX CASH	147	$23.81	$3,500.07	$3,512.34	$52.92	$3,357.58	$142.51

Account carried with National Financial Services LLC, Member NYSE, SIPC

6421

Account Number: [redacted]
Account Name: SHULER

Statement Date: 12/01/2008 to 12/31/2008

Separate Account Manager: ADVISORPORT
Investment Discipline: MSA-DOMESTIC-ALL CAP

SunTrust Investment Services, Inc.
A SunTrust Company

EQUITIES 96.92%

Description	Symbol/Cusip Account Type	Quantity	Price on 12/31/08	Current Market Value	Prior Market Value	Estimated Annual Income	Total Cost Basis	Unrealized Gain (Loss)
ZIMMER HLDGS INC	ZMH	62	$70.38	$4,363.56	$4,321.57		$4,182.68	$178.90
Dividend Option Cash	CASH							
Capital Gain Option Cash								
ZORAN CORP	ZRAN	165	$14.58	$2,687.38	$2,763.90		$2,846.68	($291.38)
Dividend Option Cash	CASH							
Capital Gain Option Cash								
Total Equity				$416,907.81		$6,163.56	$362,372.27	$54,534.54
Total Equities				$416,907.81		$6,163.56	$362,372.27	$54,534.54
Total Securities				$416,907.81		$6,163.56	$362,372.27	$54,534.54

OTHER SECURITIES 0.79%

Description	Symbol/Cusip Account Type	Quantity	Price on 12/31/08	Current Market Value	Prior Market Value	Estimated Annual Income	Total Cost Basis	Unrealized Gain (Loss)
AMERICAN HOME MTG INVESTMENTS REIT	[illegible]AREI CASH	97	$35.12	$3,406.64	$3,430.88	$411.28	$3,045.23	$361.41
Estimated Yield 12.07%								
Total Other Securities				$3,406.64		$411.28	$3,045.23	$361.41
TOTAL PORTFOLIO VALUE				$420,168.38		$6,564.84	$365,418.50	$54,895.95

[redacted]

NFS determines the appropriate cost basis method for calculating gain and loss based on positions held at the time of sale. For open-end mutual funds, NFS determines cost basis using the average cost-single category (ACSC) method unless otherwise instructed. For all other securities, NFS determines cost basis using the first-in, first-out (FIFO) method if NFS does not receive appropriate specific share instructions. NFS determines cost basis using the specific share identification method if NFS receives appropriate specific share instructions; however, such cost basis information may not correspond to a customer's cost basis information records if a customer used the specific share identification method prior to the time that such method tracking was made available by NFS. Cost basis, associated gain and loss, and holding period information reported may not reflect all adjustments necessary for tax reporting purposes. Cost basis information for debt securities has not been adjusted for amortization or accretion.

CORE FUND ACTIVITY

6422

Account Number: [redacted]
Account Name: SHULER

Statement Date: 12/01/2006 to 12/31/2006

Separate Account Manager: ADVISORPORT
Investment Discipline: MSA-DOMESTIC-ALL CAP

SunTrust Investment Services, Inc.
A SunTrust Company

Core Funds Purchased

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
11/20/06	CASH	REINVESTMENT	STI CLASSIC PRIME QUALITY MMKT CORE NET DIV REINVEST	35.8	($35.90)
12/01/06	CASH	YOU BOUGHT	STI CLASSIC PRIME QUALITY MMKT CORE @ 1	764.38	($764.38)
12/05/06	CASH	YOU BOUGHT	STI CLASSIC PRIME QUALITY MMKT CORE @ 1	40.08	($40.08)
12/06/06	CASH	YOU BOUGHT	STI CLASSIC PRIME QUALITY MMKT CORE @ 1	13.23	($13.23)
12/07/06	CASH	YOU BOUGHT	STI CLASSIC PRIME QUALITY MMKT CORE @ 1	24.5	($24.50)
12/11/06	CASH	YOU BOUGHT	STI CLASSIC PRIME QUALITY MMKT CORE @ 1	34.84	($34.84)
12/14/06	CASH	YOU BOUGHT	STI CLASSIC PRIME QUALITY MMKT CORE @ 1	52.75	($52.75)
12/15/06	CASH	YOU BOUGHT	STI CLASSIC PRIME QUALITY MMKT CORE @ 1	251.18	($251.18)
12/20/06	CASH	YOU BOUGHT	STI CLASSIC PRIME QUALITY MMKT CORE @ 1	3.03	($3.03)
12/22/06	CASH	YOU BOUGHT	STI CLASSIC PRIME QUALITY MMKT CORE @ 1	28.76	($28.76)
12/27/06	CASH	YOU BOUGHT	STI CLASSIC PRIME QUALITY MMKT CORE @ 1	14.91	($14.91)
12/29/06	CASH	YOU BOUGHT	STI CLASSIC PRIME QUALITY MMKT CORE @ 1	11.82	($11.82)
Net Core Funds Purchased					($705.38)
NET CORE FUND ACTIVITY					($705.38)

Account carried with National Financial Services LLC, Member NYSE, SIPC

6423

SunTrust Investment Services, Inc.
A SunTrust Company

INCOME AND EXPENSES

Taxable Income

Date	Account Type	Transaction	Description	Quantity	Amount
Taxable Dividends					
11/20/06	CASH	DIVIDEND RECEIVED	STI CLASSIC PRIME QUALITY MUKT CORE DIVIDEND RECEIVED		$13.80
12/01/06	CASH	DIVIDEND RECEIVED	CONAGRA FOODS INC		$18.54
12/01/06	CASH	DIVIDEND RECEIVED	CONOCOPHILLIPS		$26.28
12/01/06	CASH	DIVIDEND RECEIVED	DONNELLEY R R & SONS		$43.94
12/01/06	CASH	DIVIDEND RECEIVED	ENERGEN CORP		$11.44
12/01/06	CASH	DIVIDEND RECEIVED	FORTUNE BRANDS INC		$22.62
12/01/06	CASH	DIVIDEND RECEIVED	HARRIS CORP DEL		$7.26
12/01/06	CASH	DIVIDEND RECEIVED	DETEL CORP		$32.40
12/01/06	CASH	DIVIDEND RECEIVED	KLA-TENCOR CORP FRMLY KLA INSTRUMENT CORP		$10.32
12/01/06	CASH	DIVIDEND RECEIVED	WYETH		$21.56
12/05/06	CASH	DIVIDEND RECEIVED	PFIZER INC		$40.08
12/06/06	CASH	DIVIDEND RECEIVED	XILINX INC		$13.23
12/07/06	CASH	DIVIDEND RECEIVED	BROMIAC BANCORP INC		$24.50
12/11/06	CASH	DIVIDEND RECEIVED	CHEVRON CORP NEW		$34.84
12/14/06	CASH	DIVIDEND RECEIVED	DU PONT E I DE NEMOURS & CO		$35.15
12/14/06	CASH	DIVIDEND RECEIVED	MICROSOFT CORP		$17.60
12/15/06	CASH	DIVIDEND RECEIVED	AMER INTL GROUP INC		$8.41
12/15/06	CASH	DIVIDEND RECEIVED	ENSCO INTERNATIONAL INC		$0.60
12/15/06	CASH	DIVIDEND RECEIVED	L-3 COMMUNICATIONS HLDGS INC		$7.50
12/15/06	CASH	DIVIDEND RECEIVED	LIMITED BRANDS INC		$22.85
12/15/06	CASH	DIVIDEND RECEIVED	MATTEL INC		$150.15
12/15/06	CASH	DIVIDEND RECEIVED	ROBERT HALF INTL INC		$8.96
12/15/06	CASH	DIVIDEND RECEIVED	WACHOVIA CORP 2ND NEW		$42.56
12/15/06	CASH	DIVIDEND RECEIVED	EVEREST REINSURANCE GROUP LTD ISIN #BMG3223R1088 $EDOL #2558868		$9.36
12/20/06	CASH	DIVIDEND RECEIVED	TECHNICAL OLYMPIC USA INC COM		$1.03
12/22/06	CASH	DIVIDEND RECEIVED	BEAZER HOMES USA INC		$3.90

Account carried with National Financial Services LLC, Member NYSE, SIPC

6424

6-425

WACHOVIA SECURITIES

Individual Retirement Account

ISLAND NIKOL SHULER
FCC AS CUSTODIAN
JOSEPH HEATH SHULER GUARDIAN
MINOR ROTH IRA
[redacted]

October 1 - December 31, 2008

Investment Objective/Risk Tolerance: MODERATE GROWTH

Cash Activity Summary

Total Cash/Money Market/Sweep Funds on Sept 30:	$3,111.03	
	Current Period	Year to Date
Deposits	0.00	0.00
Income and Distributions	23.59	88.92
Securities Sold and Redeemed	0.00	0.00
Net Additions to Cash	$23.59	$88.92
Withdrawals by Check	0.00	0.00
Securities Purchased	0.00	0.00
Net Subtractions from Cash	$0.00	$0.00
Total Cash/Money Market/Sweep Funds on Dec 31:	$3,134.62	

Year to date totals in the cash activity summary are based upon activity shown on this and past monthly statements. This information is presented for informational purposes and should not be used for tax reporting.

Gain/Loss Summary

Realized Gain/Loss	This Period	Year to Date
Short-term	0.00	0.00
Long-term	0.00	0.00
Total Realized Gain/Loss	$0.00	$0.00

Income Summary

	Current Period	Year to Date
Money Market/Sweep Funds	7.97	88.92
Total Income	$7.97	$88.92

This is a non-reportable account. This account income will NOT be provided to the IRS at year end, but is being presented to you for informational purposes only.

The above totals may not match the cash activity summary or detailed activity in this statement, due to reclassification activity or other tax corrections. These figures represent your account as of the month end. Remember, you may have certain products that are not included in these figures and whose income is only available on the tax forms sent to you at year end. Reclassifications and other tax reporting requirements may alter these numbers both during and after year end. Rely only on your tax documents for tax reporting information. Contact your tax advisor if you have any questions about the tax consequences of your brokerage activity.

IN3314FLD D0D08 2K4241 45214 THOMAS NIKOLA SHULER/NIKOL D04003

6426

WACHOVIA SECURITIES

04-3059-02 SCS 191 **AUTO** 3-DIGIT 297
GLAND NEIL SHILLER
FOCUS CLASTOCIAN
JOSEPH HEATH SHILLER GUARDIAN
[redacted]

Account Summary for
Current period ending December 31, 2006

Your Financial Advisor:
[redacted]

Message from Wachovia Securities

AS WE LOOK FORWARD TO 2007, WE REMEMBER THAT WE EARN YOUR TRUST BY HELPING YOU ACHIEVE YOUR LIFETIME FINANCIAL GOALS. THE 18,000 ASSOCIATES OF WACHOVIA SECURITIES WISH YOU A HAPPY AND PROSPEROUS NEW YEAR.

IF YOU USE OUR ACCESS ONLINE SERVICE, YOU CAN CHOOSE TO RECEIVE YOUR STATEMENTS ELECTRONICALLY. SIMPLY LOGIN TO ACCESS ONLINE AND SELECT THE "STATEMENTS/CONFIRMS" LINK UNDER THE ACCOUNT & SERVICES TAB. IF YOU WOULD LIKE TO ENROLL IN OUR ACCESS ONLINE SERVICE, VISIT US AT WWW.WACHOVIASEC.COM.

At a Glance

If you have more than one account with us, why not get them linked? Your summary below will list them all. Contact Your Financial Advisor today.

Accounts	Account no.	Previous value	Current value
Individual Retirement Account	[redacted]	$,111.03	$,134.62
Total		$,111.03	$,134.62

Wachovia Securities, LLC, member NYSE/SIPC. Brokerage accounts are carried by First Clearing, LLC (FCC), member NYSE/SIPC.

[redacted]

6427

WACHOVIA SECURITIES

Individual Retirement Account

J HEATH SHULER IRA
FCC AS CUSTODIAN

Sub / Branch / Rep / Account No.
[redacted]

December 1 - December 31, 2006

Your Financial Advisor:
[redacted]

Your Managed Program:
ASSET ADVISOR

Portfolio Summary

Portfolio Assets	Value as Nov 30	Value on Dec 31	Est. Ann. Income	% Total Assets
Cash and Money Market Funds	60,791.22	63,240.90	3,283	29.52
Stocks and Options	156,228.30	156,168.00	9,629	71.18
Fixed Income Securities	0.00	0.00	0	
Open End Mutual Funds	0.00	0.00	0	
Closed End Mutual Funds	0.00	0.00	0	
Portfolio Value	$217,019.52	$219,409.90	$12,919	100%

As an established Wachovia Securities Client, your relationship is very important to us and we appreciate the trust you have placed in us to help manage your finances. Your Financial Advisor can assist you in many ways that you may not be aware of such as retirement planning, asset consolidation, and simplifying your investing and banking into a single, easy-to-manage relationship with the Command Asset Program.

Talk with Your Financial Advisor today and discover all the benefits available to you from Wachovia Securities.

[redacted]

6428

Individual Retirement Account

1,002

J HEATH SHULER IRA
FCC AS CUSTODIAN

[redacted]

December 1 - December 31, 2006

Retirement Account Summary

Message from the IRA Department

For IRA accounts established with First Clearing, LLC (FCC) as custodian, this is your individual retirement account (IRA) fair market value statement. The amount reflected in the "IRA Fair Market Value" will be furnished to the Internal Revenue Service on Form 5498. Any corrections made to your market value after December 31, 2006, will result in a corrected "IRA Portfolio Holding Valuation" being issued to you.

If you own an FCC as custodian Traditional, SEP, or SIMPLE IRA and turn 70 1/2 this year, you must take a Required Minimum Distribution (RMD) with respect to your IRA by April 1st of next year. If you turned 70 1/2 in a prior year, you must take an RMD payment by December 31st of this year. Your Financial Advisor is available to provide specific RMD calculations. In accordance with IRS instructions, we will report to the IRS if you are required to receive an RMD for that calendar year.

This information is based upon the most recent data available and is being provided as a service to you. In the event of death your most recent beneficiary designation on file will govern. Please contact Your Financial Advisor if updates or corrections are required. The Fair Market Value provided below is the sum of your Net Portfolio Value and Other Assets if you hold any. It is provided for informational value only.

Account Information

IRA Fair Market Value	$219,409.90
Account Holder Birthdate:	12/31/71
Attained Age as of 12/31/06:	35.0
Custodian EIN Number:	23-2384840

Retirement Transactions

Contribution Summary	Amount
Rollover	
2006 FOR 2006	$20,346.00

[redacted]

WACHOVIA SECURITIES

Individual Retirement Account

J HEATH SHULER IRA
FCC AS CUSTODIAN

[redacted]

December 1 - December 31, 2006

Investment Objective/Risk Tolerance: MODERATE GROWTH

Cash Activity Summary

Total Cash/Money Market/Sweep Funds on Nov 30:	$60,781.32	
	Current Period	Year to Date
Deposits	1,555.00	20,345.00
Income and Distributions	937.88	9,676.53
Securities Sold and Redeemed	0.00	47,498.54
Net Additions to Cash	$2,492.88	$77,520.07
Withdrawals by Check	0.00	0.00
Securities Purchased	0.00	- 34,831.92
Other Subtractions	- 23.30	- 2,279.01
Net Subtractions from Cash	- $23.30	- $36,957.93
Total Cash/Money Market/Sweep Funds on Dec 31:	$63,248.90	

Year to date totals in the cash activity summary are based upon activity shown on this and past monthly statements. This information is presented for informational purposes and should not be used for tax reporting.

Gain/Loss Summary

Realized Gain/Loss	This Period	Year to Date
Short-term	0.00	24,518.54
Long-term	0.00	0.00
Total Realized Gain/Loss	$0.00	$24,518.54

Income Summary

	Current Period	Year to Date
Money Market/Sweep Funds	282.57	2,600.75
Dividends and ST Capital Gains	655.31	5,350.78
Partnership Distributions	0.00	1,725.00
Total Income	$937.88	$9,676.53
Miscellaneous Information		
Gross Proceeds	0.00	47,498.54
Foreign Withholding	-23.30	-182.12

This is a non-reportable account. This account income will NOT be provided to the IRS at year end, but is being presented to you for informational purposes only.

The above totals may not match the cash activity summary or detailed activity in this statement, due to reclassification activity or other tax corrections. These figures represent your account as of this month end. Remember, you may have certain products that are not included in these figures and whose income is only available on the tax forms sent to you at year end. Reclassifications and other tax reporting requirements may alter these numbers both during and after year end. Rely only on your tax documents for tax reporting information. Contact your tax advisor if you have any questions about the tax consequences of your brokerage activity.

[redacted]

[redacted]

December 1 - December 31, 2006

Portfolio Assets

This section includes estimated or unrealized gains or losses for your information only and should not be used for tax purposes. If acquisition information is not available, the gain/loss information may not be displayed and section and summary totals may not reflect your complete portfolio. Cost basis information provided by the account owner is not verified by First Clearing, LLC and should not be relied upon for legal or tax purposes. Factored bonds (GNMA, CMO, etc.) will be adjusted for paydown of principal. Systematic investments in mutual funds and reinvested dividends for mutual funds and stocks have been consolidated for each position. Unit cost data for systematic investments and dividend reinvestment securities is provided for informational purposes only and is a non-weighted average. To update your cost information or provide omitted cost information, contact Your Financial Advisor. Estimated Current Yield on Money Market funds, when available, reflects the current estimated yield for the Interest Period dates displayed. Estimated Annual Income, when available, reflects the estimated amount you would earn on a security if your current position and its related income remained constant for a year. Estimated Annual Yield, when available, reflects the current estimated annual income divided by the current value of the security as of the statement closing date. The information used to derive these estimates is obtained from various outside vendors; FCC is not responsible for incorrect or missing estimated annual income and yields.

Cash and Money Market Funds

Description	Current Market Value	Estimated Annual Income	Estimated Current Yield(%)
Cash	1,605.00		
CASH ACCUMULATION TRUST LIQUID ASSETS Interest Period 11/24/06 - 12/25/06	61,675.90	3,293.49	5.34
Total Cash and Money Market Funds	$63,240.90		

Curious how your securities are performing? The "Unrealized Gain/Loss" column tells you how much each stock has increased or decreased in value since you bought it (based on cost data supplied by you or by outside services, which may not be complete). Revisions to this information (because of corporate mergers, tenders, and other reorganizations, for example) may be necessary from time to time. To update your cost information or provide omitted costs, contact Your Financial Advisor.

Please note that the unrealized gain/loss information presented here does not incorporate amounts or situations that you may use to adjust basis
- Cost basis for fixed income tax lots has been amortized (for securities purchased at a premium) or accreted (for securities purchased at a discount), when possible, for applicable securities
- Partial return of principal or capital payments may not adjust original cost basis information
- Marked-to-market information is not available or provided

[redacted]

6431

WACHOVIA SECURITIES

J HEATH SHULER IRA
FCC AS CUSTODIAN

[redacted]

December 1 - December 31, 2006

Stocks and Options

Stocks

Description	Symbol	Quantity	Price or Adj. Cost	Cost or Other Basis	Closing Price	Current Market Value	Unrealized Gain/Loss	Est. Ann. Income	Est. Ann. Yield (%)
ADVANTAGE ENERGY INCOME FD	AAV								
Acquired 05/13/06		100	16.79	1,679.00	10.6800	1,068.00	- 611.00	1,866.00	17.47
Acquired 05/15/06		900	16.91	15,129.00		9,612.00	- 5,517.00		
Total		1,000		$16,808.00	10.6800	$10,680.00	- $6,128.00	$1,866.00	17.47
AMERICAN CAP STRATEGIES LTD	ACAS								
Acquired 05/12/04		1,000	26.70	26,700.00	46.2600	46,260.00	19,560.00	3,520.00	7.60
APOLLO INVESTMENT CORP	AINV								
Acquired 03/08/06		622	17.87	9,328.14	22.4000	11,892.80	2,364.66	2,000.00	8.92
Acquired 03/09/06		476	17.57	8,545.78		10,707.20	2,161.42		
Total		1,000		$17,873.92	22.4000	$22,400.00	$4,526.08	$2,000.00	8.93
CEL-SCI CORP COM NEW	CVM								
Acquired 06/23/01		3,000	N/A##	N/A	0.5600	1,680.00	N/A	N/A	N/A
CHARTER COMMUNICATIONS INC	CHTR								
Acquired 06/23/01		150	N/A##	N/A	3.0600	459.00	N/A	N/A	N/A
ENTERPRISE PRODUCTS PARTNERS	EPD								
Acquired 08/10/06		1,000	25.30	25,300.00	28.9800	28,980.00	3,680.00	1,640.00	5.34
MERGE TECHNOLOGIES INC	MRGE								
Acquired 09/21/06		1,000	17.90	17,900.00	8.5600	8,560.00	- 11,340.00	N/A	N/A
TYCO INTL LTD NEW	TYC								
Acquired 04/09/02		1,000	31.44	31,838.13	30.4000	30,400.00	- 1,438.13	400.00	1.31
UTSTARCOM INC	UTSI								
Acquired 11/10/03		700	33.34	23,338.00	8.7500	6,125.00	- 17,213.00	N/A	N/A
Acquired 11/10/05		300	33.45	10,035.00		2,625.00	- 7,410.00		
Total		1,000		$33,373.00	8.7500	$8,750.00	- $24,623.00	N/A	N/A
Total Stocks				$169,793.05		$155,169.80	- $15,783.05	$9,626.00	6.16
Total Stocks and Options				$169,793.05		$155,169.80	- $15,783.05	$9,626.00	6.16

Cost information for one or more securities is not available. If you have cost information and would like to see it on future statements, contact Your Financial Advisor.

[redacted]

[redacted]

December 1 - December 31, 2006

Activity Detail

Date	Account Type	Transaction	Quantity	Description	Price	Amount	Cash/Money Market/Sweep Fund Balance
12/01				BEGINNING BALANCE			60,701.32
12/15	Cash	DIVIDEND		ADVANTAGE ENERGY INCOME FD 121506 1,000		155.31	
12/15	Cash	WITHHOLDING		FRGN-WH @ SOURCE ADVANTAGE ENERGY INCM FD		-23.30	60,833.33
12/22	Cash	DIVIDEND		CASH ACCUMULATION TRUST LIQUID ASSETS 122206 60,833		282.57	61,175.90
12/28	Cash	DIVIDEND		APOLLO INVESTMENT CORP 122606 1,000		500.00	61,675.90
12/29	Cash	DEPOSIT		ROLLOVER FR QUAL PLAN		1,565.00	
12/29	Cash	DEPOSIT		ROLLOVER FM AN IRA CODE CORRECTION AS OF 3/01/06		-4,695.00	
12/29	Cash	DEPOSIT		ROLLOVER FR QUAL PLAN CODE CORRECTION AS OF 3/01/06		4,695.00	
12/29	Cash	ASSET TRF		TRSF FM PREV CUST/TTEE CODE CORRECTION AS OF 6/01/06		-1,565.00	
12/29	Cash	DEPOSIT		ROLLOVER FR QUAL PLAN CODE CORRECTION AS OF 6/01/06		1,565.00	
12/29	Cash	CONTRIBUTION		2006 CONTRIBUTION CODE CORRECTION AS OF 11/30/06		-1,565.00	
12/29	Cash	DEPOSIT		ROLLOVER FR QUAL PLAN CODE CORRECTION AS OF 11/30/06		1,565.00	63,240.90

[redacted]

WACHOVIA SECURITIES

J HEATH SHULER IRA
FCC AS CUSTODIAN

[redacted]

December 1 - December 31, 2006

Cash Sweep Activity

Our Cash Sweep program allows you to earn a return on the idle cash balances in your account by automatically investing such balances into one of our cash sweep options. These sweep transactions may represent a net amount for the day and occur on settlement date. The following section displays transfers into and out of your sweep option. Transactions displayed here are Transfer To, Transfer From and Reinvested Dividends and Interest. These transaction amounts are not included in the Cash Activity Summary.

Date	Transaction	Description	Amount
12/01		BEGINNING BALANCE	59,199.32
12/01	TRANSFER TO	CASH ACCUMULATION TRUST LIQUID ASSETS	1,595.00
12/18	TRANSFER TO	CASH ACCUMULATION TRUST LIQUID ASSETS	132.01
12/22	REINVST DIVINT	CASH ACCUMULATION TRUST LIQUID ASSETS	232.67
12/29	TRANSFER TO	CASH ACCUMULATION TRUST LIQUID ASSETS	500.00
12/31		ENDING BALANCE	61,675.90

Thank you for allowing Wachovia Securities, LLC to serve you. If you have any questions regarding your account or this statement, please contact your Financial Advisor.

[redacted]

WACHOVIA SECURITIES

2006 FORM 5498

Uno

Sub / Branch / Reg. / Account No. [redacted]

As of Date: 12/31/06

Recipient's Name and Address:

J HEATH SHULER IRA
FCC AS CUSTODIAN
[redacted]

Your Financial Advisor :
[redacted]

Custodian/Issuer's Name and Address:

First Clearing, LLC
10700 Wheat First Drive
Glen Allen, VA 23060
Payer ID #: 23-2384840

Your Federal Identification Number: [redacted]
Your Account Number: [redacted]

5498 - IRA Contribution Information
Copy B For Participant

OMB No. 1545-0747

IRS BOX No	Description	Amount
1	IRA contributions (other than amounts in boxes 2-4 and 8-10)	$0.00
2	Rollover contributions	$20,345.00
3	Roth IRA conversion amount	$0.00
4	Recharacterized contributions	$0.00
5	Fair market value of account	$218,409.90
6	Life insurance cost included in BOX 1	$0.00
7	IRA [X] SEP [ ] SIMPLE [ ] Roth IRA [ ]	
8	SEP contributions	$0.00
9	SIMPLE contributions	$0.00
10	Roth IRA contributions	$0.00
11	If checked, required minimum distributions for 2007 [ ]	

IF YOU MADE 2006 TAX YEAR CONTRIBUTIONS AFTER DECEMBER 31, 2006, AN UPDATED 5498 WILL BE MAILED BY MAY 31, 2007.

Wachovia Securities is the trade name under which Wachovia Corporation provides brokerage services through two registered broker/dealers: Wachovia Securities, LLC, member of NYSE/SIPC, and Wachovia Securities Financial Network, LLC, member NASD/SIPC. Each broker/dealer is a separate non-bank affiliate of Wachovia Corporation. Accounts are carried by First Clearing, LLC, member NYSE/SIPC. We are not a legal or tax advisor. However, our advisors will be glad to work with you, your accountant, tax advisor and/or attorney to help you meet your financial goals.

The above is important tax information and is being furnished to the Internal Revenue Service. See instructions on reverse.

[redacted]

WACHOVIA SECURITIES

1/41

Account Summary for
Current period ending December 31, 2006

[redacted]
015633 03 3DG 142/8 AUTO** 5-DIGIT 23788
JOSEPH HEATH SHULER
[redacted]

Your Financial Advisor:
[redacted]

Message from Wachovia Securities

AS WE LOOK FORWARD TO 2007, WE REMEMBER THAT WE EARN YOUR TRUST BY HELPING YOU ACHIEVE YOUR LIFETIME FINANCIAL GOALS. THE 18,000 ASSOCIATES OF WACHOVIA SECURITIES WISH YOU A HAPPY AND PROSPEROUS NEW YEAR.

IF YOU USE OUR ACCESS ONLINE SERVICE, YOU CAN CHOOSE TO RECEIVE YOUR STATEMENTS ELECTRONICALLY. SIMPLY LOGIN TO ACCESS ONLINE AND SELECT THE "STATEMENTS&CONFIRMS" LINK UNDER THE ACCOUNT & SERVICES TAB. IF YOU WOULD LIKE TO ENROLL IN OUR ACCESS ONLINE SERVICE, VISIT US AT WWW.WACHOVIASEC.COM.

At a Glance

If you have more than one account with us, why not get them linked? Your summary below will list them all. Contact Your Financial Advisor today.

Accounts	Account no.	Previous value	Current value
Investment Account	[redacted]	172,698.88	177,138.72
Total		$172,698.88	$177,138.72

Wachovia Securities, LLC, member NYSE/SIPC. Brokerage accounts are carried by First Clearing, LLC (FCC), member NYSE/SIPC.

[redacted]

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WACHOVIA SECURITIES

Investment Account

JOSEPH HEATH SHULER
BRANDES GLOBAL

[redacted]

December 1 - December 31, 2006

Your Financial Advisor:

[redacted]

Your Managed Program:
MASTERS
Your Manager:
BRANDES INVESTMENT PARTNERS
Your Style:
GLOBAL EQUITY ALL-CAP VALUE

Portfolio Summary

Portfolio Assets

	Value on Nov 30	Value on Dec 31	Est. Ann. Income	% Total Assets
Cash and Money Market Funds	- 880.78	18.46	0	0.01
Bank Deposit Sweep Option *	6,784.20	3,932.09	117	2.22
Stocks and Options	166,003.23	173,186.16	3,696	97.77
Fixed Income Securities	0.00	0.00	0	
Open End Mutual Funds	0.00	0.00	0	
Closed End Mutual Funds	0.00	0.00	0	
Portfolio Value	$172,898.63	$177,136.72	$3,813	100%

*The Bank Deposit Sweep Option consists of monies held in an interest-bearing deposit account at Wachovia Bank, N.A. These assets are not held in your securities brokerage account and therefore not covered by SIPC. Both monies are eligible for FDIC insurance, up to $100,000 per depositor ($250,000 for IRA accounts) in accordance with FDIC rules.

As a Wachovia Securities Client, you can easily simplify your finances by combining all your investing and banking into a single, easy-to-manage relationship. By upgrading to the Command Asset Program, you'll have access to many more features and benefits to help you manage your finances. You'll see all your investing and banking activity on one comprehensive monthly statement.

It's as simple as talking with Your Financial Advisor. Ask them today about the Command Asset Program.

[redacted]

Investment Account

JOSEPH HEATH SHULER
BRANDES GLOBAL

[redacted]

December 1 - December 31, 2006

Investment Objective/Risk Tolerance: MODERATE GROWTH

Cash Activity Summary

	Current Period	Year to Date
Total Cash/Money Market/Sweep Funds on Nov 30:	$8,193.42	
Deposits	0.00	0.00
Income and Distributions	355.31	4,117.14
Securities Sold and Redeemed	0.00	35,575.77
Net Additions to Cash	$355.31	$39,692.91
Withdrawals by Check	0.00	0.00
Securities Purchased	- 2,579.69	- 38,048.88
Other Subtractions	- 18.68	- 3,332.07
Net Subtractions from Cash	- $2,598.37	- $41,380.95
Total Cash/Money Market/Sweep Funds on Dec 31:	$3,950.36	

Year to date totals in the cash activity summary are based upon activity shown on this and past monthly statements. This information is presented for informational purposes and should not be used for tax reporting.

Gain/Loss Summary

Realized Gain/Loss	This Period	Year to Date
Short-term	0.00	1,839.44
Long-term	0.00	9,389.94
Total Realized Gain/Loss	$0.00	$11,229.38

Income Summary

	Current Period	Year to Date
Money Market/Sweep Funds	10.79	109.37
Qualified Dividends	342.19	4,008.68
Total Taxable Income	$352.98	$4,118.25
Total Federally Tax-Exempt Income	$0.00	$58.00
Total Account Income	$352.98	$4,176.25
Miscellaneous Information		
Gross Proceeds	2.33	35,827.47
Foreign Withholding	-18.68	-264.19

The above totals may not match the cash activity summary or detailed activity in this statement, due to reclassification activity or other tax corrections. These figures represent your account as of this month end. Remember, you may have certain products that are not included in these figures and whose income is only available on the tax forms sent to you at year end. Reclassifications and other tax reporting requirements may alter these numbers both during and after year end. Rely only on your tax documents for tax reporting information. Contact your tax advisor if you have any questions about the tax consequences of your brokerage activity.

[redacted]

WACHOVIA SECURITIES

JOSEPH HEATH SHULER
BRANDES GLOBAL

[redacted]

December 1 - December 31, 2006

Portfolio Assets

This section includes estimated or unrealized gains or losses for your information only and should not be used for tax purposes. If acquisition information is not available, the cost/cost information may not be displayed and section and summary totals may not reflect your complete portfolio. Cost basis information provided by the account owner is not verified by First Clearing, LLC and should not be relied upon for legal or tax purposes. Factored bonds (GNMA, CMO, etc.) will be adjusted for paydown of principal. Systematic investments in mutual funds and reinvested dividends for mutual funds and stocks have been consolidated for each position. Unit cost data for systematic investments and dividend reinvestment securities is provided for informational purposes only and is a non-weighted average. To update your cost information or provide omitted cost information, contact Your Financial Advisor. Estimated Current Yield on Money Market funds, when available, reflects the current estimated yield for the Interest Period dates displayed. Estimated Annual Income, when available, reflects the estimated amount you would earn on a security if your current position and its related income remained constant for a year. Estimated Annual Yield, when available, reflects the current estimated annual income divided by the current value of the security as of the statement closing date. The information used to derive these estimates is obtained from various outside vendors; FCC is not responsible for incorrect or missing estimated annual income and yields.

Cash and Money Market Funds

Description	Current Market Value	Estimated Annual Income	Estimated Current Yield(%)
Cash	18.48		
Total Cash and Money Market Funds	$18.48		

Bank Deposit Sweep Option

The Bank Deposit Sweep Option consists of monies held in an interest-bearing deposit account at Wachovia Bank, N.A. These assets are not held in your securities brokerage account and therefore not covered by SIPC. Such monies are eligible for FDIC insurance, up to $100,000 per depositor ($250,000 for IRA accounts) in accordance with FDIC rules. If you have questions about your sweep option, including rates, please contact Your Financial Advisor.

Description	Current Market Value	Estimated Annual Income	Annual Percentage Yield Earned*
BANK DEPOSIT SWEEP OPTION Interest Period 12/01/06 - 12/31/06	3,932.06	117.96	3.00
Total Bank Deposit Sweep Option	$3,932.06		

* APY measures the total amount of interest paid on an account based on the interest rate and the frequency of compounding. The annual percentage yield is expressed as an annualized rate, based on a 365- or 366-day year (as applicable).

[redacted]

JOSEPH HEATH SHULER
BRANDES GLOBAL

[redacted]

December 1 - December 31, 2006

Curious how your securities are performing? The "Unrealized Gain/Loss" column tells you how much each stock has increased or decreased in value since you bought it (based on cost data supplied by you or by outside services, which may not be complete). Revisions to this information (because of corporate mergers, tandeks, and other reorganizations, for example) may be necessary from time to time. To update your cost information or provide omitted costs, contact Your Financial Advisor.

Please note that the unrealized gain/loss information presented here does not incorporate amounts or situations that you may use to adjust basis
- Cost basis for fixed income tax acts has been amortized (for securities purchased at a premium) or accreted (for securities purchased at a discount), when possible, for applicable securities
- Partial return of principal or capital payments may not adjust original cost basis information
- Marked-to-market information is not available or provided

Stocks and Options

Stocks

Description	Symbol	Quantity	Price at Adj. Cost	Cost or Other Basis	Current Price	Current Market Value	Unrealized Gain/Loss	Est. Ann. Income	Est. Ann. Yield (%)
ABN AMRO HOLDING N V SPONSORED ADR	ABN								
Acquired 04/06/03		50	18.90	1,612.00	32.0500	2,584.00	1,052.00	102.93	3.41
Acquired 06/03/05		2	23.52	47.04		64.10	17.06		
Acquired 06/06/05		2	23.52	47.04		64.10	17.06		
Acquired 06/07/06		2	27.42	54.85		64.10	9.25		
Acquired 06/07/06		2	27.42	54.85		64.10	9.25		
Dividend reinvestment		6	20.30	121.84		192.30	70.46		
Total		94		$1,937.82	32.0500	$3,012.70	$1,175.06	$102.93	3.42
AEGON N V ORD AMER REG	AEG								
Acquired 10/12/04		80	10.60	884.43	18.9500	1,516.00	631.57	43.73	2.82
Acquired 08/16/06		4	12.56	50.24		75.80	25.56		
Acquired 08/22/06		4	13.87	55.48		75.80	20.32		
Total		88		$970.16	18.9500	$1,667.60	$697.45	$43.73	2.62
AKZO NOBEL N V ADR	AKZOY								
Acquired 10/14/04		60	35.21	1,760.92	50.5700	3,043.50	1,282.58	57.20	1.87
ALCATEL-LUCENT ADR	ALU								
Acquired 08/05/03		165	10.16	1,681.20	14.2200	2,772.90	791.70	68.07	1.19
Acquired 09/02/03		125.86000	12.68	1,594.19		1,804.23	210.04		
Acquired 11/06/03		80.12000	13.93	955.60		982.89	17.29		
Total		391		$4,540.99	14.2200	$5,560.02	$1,019.03	$68.07	1.19
ALTRIA GROUP INC	MO								
Acquired 09/05/03		26	40.65	1,016.25	85.5200	2,145.50	1,129.25	86.00	4.00
AT & T INC	T								
Acquired 09/05/03		55	23.63	1,299.65	36.7500	1,986.25	686.60	78.10	3.97

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WACHOVIA SECURITIES

JOSEPH HEATH SHULER
BRANDES GLOBAL

Sub / Branch / Reg / Account No. [redacted]

December 1 - December 31, 2006

Stocks and Options

Stocks continued

Description	Symbol	Quantity	Price or Adj. Cost	Cost or Other Basis	Current Price	Current Market Value	Unrealized Gain/Loss	Est. Ann. Income	Est. Ann. Yield (%)
BELLSOUTH CORP	BLS								
Acquired 08/05/03		10	25.93	259.30	47.1100	471.10	211.80	69.60	2.46
Acquired 02/08/05		60	28.60	1,330.00		2,826.60	1,025.50		
Total		80		$1,589.30	47.1100	$2,826.60	$1,236.80	$69.60	2.46
BOSTON SCIENTIFIC CORP	BSX								
Acquired 10/02/03		170	14.66	2,493.83	17.1600	2,920.60	426.77	N/A	N/A
BRISTOL MYERS SQUIBB CO	BMY								
Acquired 02/05/03		55	26.04	1,432.20	26.3200	1,447.60	15.40	218.40	4.25
Acquired 09/17/03		25	26.89	697.39		658.00	- 8.39		
Acquired 11/11/05		70	21.69	1,518.93		1,842.40	323.44		
Acquired 12/05/05		45	21.65	974.47		1,184.40	209.93		
Total		195		$4,593.01	26.3200	$5,132.40	$539.39	$218.40	4.26
BT GROUP PLC-ADR	BT								
Acquired 04/30/03		45	32.25	1,451.25	59.8900	2,695.05	1,243.80	107.55	3.99
DAIMLERCHRYSLER AG ORD	DCX								
Acquired 05/05/03		55	35.81	1,956.55	61.4100	3,377.55	1,419.00	99.99	2.96
DELL INC	DELL								
Acquired 07/12/05		20	22.76	455.31	25.0900	501.80	46.49	N/A	N/A
Acquired 07/24/05		35	20.84	722.72		876.15	155.43		
Acquired 08/11/05		80	21.22	1,909.93		2,258.10	348.17		
Acquired 09/22/05		45	21.28	957.53		1,129.05	171.12		
Total		180		$4,045.99	25.0900	$4,767.10	$721.21	N/A	N/A
DEUTSCHE TELEKOM AG SPON ADR	DT								
Acquired 09/05/03		130	15.26	1,983.80	18.2000	2,366.00	382.20	168.73	3.94
Acquired 07/20/03		62	19.08	1,236.47		1,183.00	- 58.47		
Acquired 01/03/06		40	17.00	680.39		728.00	47.61		
Total		236		$3,903.66	18.2000	$4,277.00	$373.34	$168.73	3.95
DOW CHEMICAL COMPANY	DOW								
Acquired 12/01/06		65	39.63	2,579.69	39.9000	2,593.50	13.91	97.50	3.75
EASTMAN KODAK COMPANY	EK								
Acquired 03/12/05		45	26.94	1,187.50	25.8000	1,161.00	- 6.50	47.50	1.93
Acquired 11/22/05		50	24.00	1,200.00		1,290.00	90.00		
Total		95		$2,387.50	25.8000	$2,451.00	$83.50	$47.50	1.94

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December 1 - December 31, 2006

Stocks and Options

Stocks continued

Description	Symbol	Quantity	Price or Adj. Cost	Cost or Other Basis	Current Price	Current Market Value	Unrealized Gain/Loss	Est. Ann. Income	Est. Ann. Yield (%)
ELECTRONIC DATA SYSTEM CORP NEW	EDS								
Acquired 05/30/04		95	18.89	1,804.40	27.5500	2,617.25	812.85	18.00	0.72
FIFTH THIRD BANCORP	FITB								
Acquired 01/03/06		55	38.85	2,136.75	40.9300	2,251.15	114.40	88.00	3.90
FORD MTR CO DEL COM PAR $0.01	F								
Acquired 08/05/03		140	10.74	1,503.60	7.5100	1,051.40	- 452.20	N/A	N/A
Acquired 03/08/05		120	9.74	1,168.80		901.20	- 267.60		
Acquired 11/16/05		115	8.10	932.15		863.66	- 68.49		
Acquired 12/07/05		150	8.25	1,238.34		1,126.50	- 111.84		
Total		525		$4,842.87	7.5100	$3,942.75	- $900.12	N/A	N/A
FRANCE TELECOM SPON ADR	FTE								
Acquired 08/14/04		76	24.42	1,832.28	27.7000	2,077.50	236.22	204.83	3.69
Acquired 02/07/06		45	21.46	966.03		1,246.50	280.47		
Acquired 09/11/06		70	21.79	1,525.78		1,939.00	413.22		
Total		190		$4,331.09	27.7000	$5,263.00	$931.91	$204.83	3.89
FUJIFILM HLDGS CORP ADR 2 ORD	FUJI								
Acquired 04/14/04		40	31.98	1,279.40	41.3400	1,653.60	374.20	11.85	0.38
Acquired 06/12/06		35	33.15	1,160.36		1,448.00	288.64		
Total		75		$2,439.76	41.3400	$3,100.50	$660.74	$11.85	0.38
GANNETT CO INC DEL	GCI								
Acquired 02/10/05		16	62.38	935.83	60.4600	906.90	- 28.93	18.20	2.06
Acquired 09/13/05		40	54.37	2,174.96		2,415.40	243.44		
Total		56		$3,110.79	60.4600	$3,326.30	$214.51	$18.20	2.05
GENERAL MOTORS CORP	GM								
Acquired 03/21/05		40	28.52	1,141.19	30.7200	1,228.80	87.61	160.00	3.25
Acquired 05/03/05		15	30.90	463.50		460.80	- 2.70		
Acquired 10/12/05		40	28.62	1,084.84		1,228.80	163.96		
Acquired 11/11/05		30	23.48	621.30		921.60	293.90		
Acquired 01/06/06		50	20.89	1,044.72		1,536.00	491.28		
Total		180		$4,535.65	30.7200	$5,526.80	$934.05	$160.00	3.26
GLAXOSMITHKLINE PLC-ADR	GSK								
Acquired 02/27/04		20	42.61	852.27	52.7600	1,055.20	202.93	60.48	3.29

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WACHOVIA SECURITIES

JOSEPH HEATH SHULER
BRANDES GLOBAL

[redacted]

December 1 - December 31, 2006

Stocks and Options

Stocks continued

Description	Symbol	Quantity	Price or Adj. Cost	Cost or Other Basis	Current Price	Current Market Value	Unrealized Gain/Loss	Est. Ann. Income	Est. Ann. Yield (%)
Acquired 02/13/04		20	42.06	841.33		1,055.20	213.87		
Total		40		$1,693.60	52.7600	$2,110.40	$416.80	$69.46	3.29
HITACHI LTD ADR 10 COM	HIT								
Acquired 08/06/03		45	42.46	1,910.25	62.3700	2,806.65	896.40	30.91	1.10
IDEARC INC	IAR								
Acquired 08/03/06		2	29.88	59.77	28.8500	57.30	-2.47	N/A	N/A
Acquired 10/31/06		3	23.93	71.79		85.95	14.16		
Total		5		$125.56	28.6500	$143.25	$17.69	N/A	N/A
INTEL CORP	INTC								
Acquired 01/27/06		50	21.78	1,089.07	20.2500	1,012.50	-76.57	94.00	1.97
Acquired 02/27/06		90	20.71	1,864.44		1,822.50	-41.94		
Acquired 03/03/06		40	20.02	800.85		810.00	9.14		
Acquired 03/28/06		55	19.60	1,078.26		1,113.75	35.47		
Total		235		$4,831.85	20.2500	$4,758.75	-$72.90	$94.00	1.98
INTERNATIONAL BUSINESS MACHINE CORP	IBM								
Acquired 07/10/06		15	76.29	1,144.45	97.1500	1,457.25	312.80	48.00	1.23
Acquired 07/14/06		10	73.51	735.15		971.50	236.35		
Acquired 07/25/06		15	76.83	1,152.58		1,457.25	302.66		
Total		40		$3,014.19	97.1500	$3,886.00	$871.81	$48.00	1.24
J SAINSBURY PLC ADR (NEW)	JSAIY								
Acquired 04/08/04		74	23.50	1,739.16	31.9500	2,364.30	625.14	45.43	1.92
KONINKLIJKE AHOLD ADR	AHO								
Acquired 12/19/05		230	7.33	1,686.91	10.5800	2,433.40	746.49	N/A	N/A
KROGER COMPANY COMMON	KR								
Acquired 08/05/03		115	17.07	1,963.05	23.0700	2,653.05	690.00	29.90	1.12
KT CORP SP ADR	KTC								
Acquired 05/05/03		80	18.53	1,482.40	25.3500	2,028.00	545.60	87.92	4.33
LOEWS CORPORATION	LTR								
Acquired 06/03/03		30	15.07	452.30	41.4700	1,244.10	791.80	7.50	0.60
MARKS & SPENCER PLC-ADR	MAKSY								
Acquired 03/05/03		30	23.50	698.00	83.8000	2,514.00	1,824.00	86.70	2.06
Acquired 06/27/03		20	29.64	592.95		1,676.00	1,083.05		
Total		50		$1,490.95	83.8000	$4,190.00	$2,700.05	$86.70	2.07

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JOSEPH HEATH SHULER
BRANDES GLOBAL

[redacted]

December 1 - December 31, 2006

Stocks and Options

Stocks continued

Description	Symbol	Quantity	Price or Adj. Cost	Cost or Other Basis	Current Price	Current Market Value	Unrealized Gain/Loss	Est. Ann. Income	Est. Ann. Yield (%)
MERCK & CO INC	MRK								
Acquired 10/01/04		15	33.56	503.04	43.6000	654.00	145.93	121.80	3.48
Acquired 11/29/63		40	29.36	1,189.30		1,744.00	544.70		
Acquired 12/07/06		25	30.25	763.87		1,090.00	334.13		
Total		80		$2,463.21	43.6000	$3,488.00	$1,024.79	$121.60	3.49
MICRON TECHNOLOGY INC	MU								
Acquired 11/23/04		155	11.41	1,768.67	13.9600	2,163.80	395.13	N/A	N/A
MICROSOFT CORP	MSFT								
Acquired 03/12/06		65	23.27	1,878.45	29.6600	2,539.10	660.65	40.00	1.33
Acquired 09/07/06		15	22.16	332.99		447.90	114.91		
Total		100		$2,211.44	29.8600	$2,986.00	$774.56	$40.00	1.34
MILLEA HOLDINGS INC-ADR	MILEA								
Acquired 01/22/04		62	28.31	1,769.67	35.8700	2,223.94	454.27	21.06	0.94
MITSUBISHI UFJ FINANCIAL ADR	MTU								
Acquired 08/06/03		165	4.27	691.65	12.4800	1,929.75	1,267.93	11.16	0.57
MITSUI SUMITOMO INS	MITSOY								
Acquired 11/03/05		15	117.82	1,767.36	108.6000	1,627.50	- 139.86	23.04	1.08
Acquired 11/28/06		5	114.36	571.76		542.50	- 29.26		
Total		20		$2,339.13	108.5000	$2,170.00	- $169.13	$23.04	1.06
NESTLE S A REG ADR	NSRGY								
Acquired 08/23/05		30	51.10	1,533.00	69.0000	2,070.00	1,137.00	65.06	1.32
Acquired 01/04/05		25	64.63	1,615.96		2,225.00	609.04		
Total		55		$3,148.96	69.0000	$4,295.00	$1,746.04	$65.06	1.53
NIPPON TELEGRAPH & TELEPHONE CORP SPONSORED ADR	NTT								
Acquired 09/06/05		95	20.38	1,936.10	24.7000	2,355.06	418.96	25.74	1.09
NORTEL NETWORKS CORP	NT								
Acquired 09/12/05		40	25.45	1,018.31	28.7300	1,089.20	50.89	N/A	N/A
PFIZER INCORPORATED	PFE								
Acquired 07/13/05		75	27.07	2,030.47	25.9000	1,942.50	- 87.97	185.60	4.47
Acquired 11/03/03		50	21.79	1,749.20		2,072.00	322.80		
Acquired 05/05/06		5	23.62	118.10		129.50	11.40		
Total		180		$3,891.77	25.9000	$4,144.00	$252.23	$185.60	4.48

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WACHOVIA SECURITIES

JOSEPH HEATH SHULER
BRANDES GLOBAL

[redacted]

December 1 - December 31, 2006

Stocks and Options

Stocks continued

Description	Symbol	Quantity	Price or Adj. Cost	Cost or Other Basis	Current Price	Current Market Value	Unrealized Gain/Loss	Est. Ann. Income	Est. Ann. Yield (%)
PORTUGAL TELECOM SGPS-SP ADR	PT								
Acquired 02/23/04		110	11.35	1,249.16	12.9400	1,423.40	174.24	53.24	3.74
SAFEWAY INC NEW	SWY								
Acquired 08/05/02		45	21.06	948.00	34.5900	1,555.20	608.00	23.90	0.66
Acquired 02/22/04		40	22.40	893.03		1,382.40	489.37		
Acquired 02/23/05		45	18.10	814.50		1,555.20	740.70		
Total		130		$2,659.13	34.5900	$4,492.80	$1,833.67	$23.90	0.67
SANOFI-AVENTIS ADR	SNY								
Acquired 01/29/03		40	37.43	1,497.28	46.1700	1,846.80	349.55	49.08	1.77
Acquired 11/03/03		20	41.98	839.73		923.40	83.67		
Total		60		$2,336.99	46.1700	$2,770.20	$433.22	$49.08	1.77
SARA LEE CORP	SLE								
Acquired 09/23/96		105	14.75	1,549.22	17.0300	1,788.15	238.93	42.00	2.34
SCHERING-PLOUGH CORP	SGP								
Acquired 05/05/93		120	16.59	1,990.00	23.6400	2,836.80	846.80	44.00	0.93
Acquired 10/23/03		45	16.46	741.05		1,063.80	322.75		
Acquired 05/03/05		30	18.10	543.21		709.20	165.99		
Acquired 03/31/06		5	18.17	90.87		118.20	27.33		
Total		200		$3,390.73	23.6400	$4,728.00	$1,337.87	$44.00	0.93
STMICROELECTRONICS N V SHS N Y REGISTRY	STM								
Acquired 02/25/05		55	17.95	987.45	18.4000	1,012.00	24.55	13.50	0.48
Acquired 04/18/05		40	18.23	609.53		736.00	126.47		
Acquired 07/18/06		55	14.82	815.20		1,012.00	196.80		
Total		150		$2,412.18	18.4000	$2,760.00	$347.82	$13.50	0.49
SUPERVALU INC	SVU								
Acquired 08/05/06		20	28.23	564.69	35.7500	715.00	150.41	13.20	1.84
TELECOM ITALIA SPA SPON ADR	TI								
Acquired 02/20/03		60	25.41	1,525.19	30.1300	1,807.80	282.61	190.20	4.20
Acquired 10/28/03		35	28.43	995.05		1,054.55	59.50		
Acquired 03/31/06		55	25.22	1,607.28		1,657.15	49.87		
Total		150		$4,127.52	30.1300	$4,519.50	$391.98	$190.20	4.21

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WACHOVIA SECURITIES

JOSEPH HEATH SHULER
BRANDES GLOBAL

[redacted]

December 1 - December 31, 2006

Activity Detail

Date	Account Type	Transaction	Quantity	Description	Price	Amount	Cash/Money Market/Sweep Fund Balance
12/01				BEGINNING BALANCE			6,103.42
12/01	Cash	DIVIDEND		INTEL CORP 120106 235		23.50	
12/01	Cash	DIVIDEND		KROGER COMPANY COMMON 120106 115		7.48	
12/01	Cash	CASH IN LIEU		ALCATEL-LUCENT ADR		2.33	
12/01	Cash	MERGER	- 1,005.00000	LUCENT TECHNOLOGIES ICHG			
12/01	Cash	NAME CHANGE	195.00000	ALCATEL-LUCENT ADR			
12/01	Cash	REV SPLIT	40.00000	NORTEL NETWORKS CORP RDST FR NORTEL NETWORKS @ .10			
12/01	Cash	REV SPLIT	- 400.00000	NORTEL NETWORKS CORP CHG NEW			
12/01	Cash	MERGER	195.00000	ALCATEL-LUCENT ADR FMGR FR LUCENT TECHNOLOG @ .1932			
12/01	Cash	PURCHASE	65.00000	DOW CHEMICAL COMPANY WE ACTED AS AGENT FOR YOUR ACCOUNT	39.6880	-2,579.59	
12/01	Cash	NAME CHANGE	- 195.00000	ALCATEL SA ADR XXX			3,647.14
12/05	Cash	DIVIDEND		PFIZER INCORPORATED 120506 160		28.40	
12/05	Cash	DIVIDEND		UNILEVER N V 120408 180 AS OF 12/04/06		112.51	
12/05	Cash	WITHHOLDING		FROM NON QSOURCE UNILEVER N V F		-28.13	3,759.92
12/11	Cash	DIVIDEND		ELECTRONIC DATA SYSTEM CORP NEW 121106 95		4.75	
12/11	Cash	DIVIDEND		GENERAL MOTORS CORP 120806 160 AS OF 12/08/06		48.00	
12/11	Cash	DIVIDEND		HITACHI LTD ADR 10 COM 121106 43		11.49	

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December 1 - December 31, 2006

Activity Detail continued

Date	Account Type	Transaction	Quantity	Description	Price	Amount	Cash/Money Market/Sweep Fund Balance
12/11	Cash	DIVIDEND		INTERNATIONAL BUSINESS MACHINE CORP 120906 40 AS OF 12/08/06		12.00	
12/11	Cash	DIVIDEND		LOEWS CORPORATION 121906 30		1.88	
12/11	Cash	WITHHOLDING		FRGN-WH @ SOURCE HITACHI LTD ADR		-0.80	3,844.24
12/13	Cash	WITHHOLDING		AKZO NOBEL N V ADR FOREIGN WH TAX REFUND 110206		1.72	3,845.96
12/14	Cash	DIVIDEND		EASTMAN KODAK COMPANY 121406 85		28.75	
12/14	Cash	DIVIDEND		MICROSOFT CORP 121406 100		10.00	
12/14	Cash	WITHHOLDING		AKZO NOBEL N V ADR FOREIGN WH TAX REFUND 110206		1.72	3,861.43
12/15	Cash	DIVIDEND		SUPERVALU INC 121506 20		8.30	3,864.73
12/18	Cash	DIVIDEND		MITSUBISHI UFJ FINANCIAL ADR 121806 155		6.52	
12/18	Cash	DIVIDEND		MILLEA HOLDINGS INC-ADR 121806 28		7.37	
12/18	Cash	WITHHOLDING		FRGN-WH @ SOURCE MITSUBISHI UFJ FINANCIAL		-0.46	
12/18	Cash	WITHHOLDING		FRGN-WH @ SOURCE MILLEA HOLDINGS INC-ADR		-0.52	3,897.64
12/19	Cash	DIVIDEND		NIPPON TELEGRAPH & TELEPHONE CORP SPONSORED ADR 121906 95		15.86	

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WACHOVIA SECURITIES

JOSEPH HEATH SHULER
BRANDES GLOBAL

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December 1 - December 31, 2006

Activity Detail continued

Date	Account Type	Transaction	Quantity	Description	Price	Amount	Cash Balance by Market/Sweep Fund Balance
12/19	Cash	WITHHOLDING		AKZO NOBEL, N V ADR FOREIGN WH TAX REFUND 110206		-1.72	
12/19	Cash	WITHHOLDING		FRGN-WH @ SOURCE NIPPON TELE & TELE CORP		-1.11	
12/19	Cash	WITHHOLDING		UNILEVER N V FOREIGN WH TAX REFUND 120406		10.62	3,921.29
12/26	Cash	WITHHOLDING		NESTLE S A REG ADR FOREIGN WH TAX REFUND 82405		0.04	
12/26	Cash	WITHHOLDING		NESTLE S A REG ADR FOREIGN WH TAX REFUND 81806		0.08	3,921.39
12/29	Cash	DIVIDEND		TELEFONOS DE MEXICO SA SPON ADR REPSTG 5H ORD L 122906 100		16.38	
12/29	Cash	INTEREST		BANK DEPOSIT SWEEP OPTION 122906 3.921		10.79	3,960.68

Cash Sweep Activity

Our Cash Sweep program allows you to earn a return on the idle cash balances in your account by automatically investing such balances into one of our cash sweep options. These "sweep transactions" may represent a net amount for the day and occur on settlement date. The following section displays transfers into and out of your sweep option. Transactions displayed here are Transfer To, Transfer From and Reinvested Dividends and Interest. These transaction amounts are not included in the Cash Activity Summary.

Date	Transaction	Description	Amount
12/01		BEGINNING BALANCE	6,754.20
12/01	TRANSFER FROM	BANK DEPOSIT SWEEP OPTION	-550.78
12/08	TRANSFER FROM	BANK DEPOSIT SWEEP OPTION	-2,423.60
12/12	TRANSFER TO	BANK DEPOSIT SWEEP OPTION	74.52
12/19	TRANSFER TO	BANK DEPOSIT SWEEP OPTION	63.40
12/26	TRANSFER TO	BANK DEPOSIT SWEEP OPTION	23.86

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December 1 - December 31, 2006

Cash Sweep Activity continued

Date	Transaction	Description	Amount
12/29	REINVEST INT	BANK DEPOSIT SWEEP OPTION	10.79
12/31		ENDING BALANCE	3,932.08

Thank you for allowing Wachovia Securities, LLC to serve you. If you have any questions regarding your account or this statement, please contact your Financial Advisor.

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WACHOVIA SECURITIES

Command Asset Program

JOSEPH HEATH SHULER

[redacted]

December 1 - December 31, 2006

Your Financial Advisor:

[redacted]

For Banking Inquiries:
(800) COMMAND
(800) 266-6263

En Espanol:
(800) 428-6977

Your Managed Program:
ASSET ADVISOR

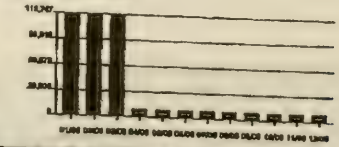
Portfolio Summary

Portfolio Assets

	Value as Nov 30	Value as Dec 31	Est. Ann. Income	% Total Assets
Cash and Money Market Funds	0.00	0.00	0	
Bank Deposit Sweep Option *	8,678.32	8,938.43	260	100.00
Stocks and Options	0.00	0.00	0	
Fixed Income Securities	0.00	0.00	0	
Open End Mutual Funds	0.00	0.00	0	
Closed End Mutual Funds	0.00	0.00	0	
Portfolio Value	**$8,678.32**	**$8,938.43**	**$260**	**100%**

*The Bank Deposit Sweep Option consists of monies held in an interest-bearing deposit account at Wachovia Bank, N.A. These assets are not held in your securities brokerage account and therefore not covered by SIPC. Such monies are eligible for FDIC insurance, up to $100,000 per depositor ($250,000 for IRA accounts) in accordance with FDIC rules.

Total Value Comparison



Asset Allocation (Portfolio Assets)

Bank Deposit Sweep Option *

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Command Asset Program

JOSEPH HEATH SHULER

[redacted]

December 1 - December 31, 2006

Investment Objective/Risk Tolerance: LONG TERM GROWTH

Cash Activity Summary

Total Cash/Money Market/Sweep Funds on Nov 30:	$8,676.32	
	Current Period	Year to Date
Deposits	0.00	0.00
Income and Distributions	22.11	1,051.09
Securities Sold and Redeemed	0.00	106,416.73
Other Additions	0.00	362,979.22
Net Additions to Cash	$22.11	$472,447.04
Withdrawals by Check	0.00	0.00
Securities Purchased	0.00	- 17,886.88
Electronic Funds Transfers	0.00	- 470,000.00
Other Subtractions	0.00	- 2,194.89
Net Subtractions from Cash	$0.00	- $490,081.45
Total Cash/Money Market/Sweep Funds on Dec 31:	$8,698.43	

Year to date totals in the cash activity summary are based upon activity shown on this and past month's statements. This information is presented for informational purposes and should not be used for tax reporting.

Gain/Loss Summary

Realized Gain/Loss	This Period	Year to Date
Short-term	0.00	276.00
Long-term	0.00	- 4,061.98
Total Realized Gain/Loss	$0.00	- $3,698.95
Unrealized Gain/Loss	Current	
Short-term	0.00	
Long-term	0.00	
Total Unrealized Gain/Loss	$0.00	

Income Summary

	Current Period	Year to Date
Money Market/Sweep Funds	22.11	382.04
Qualified Dividends	0.00	669.05
Total Taxable Income	$22.11	$1,051.09
Total Federally Tax-Exempt Income	$0.00	$0.00
Total Account Income	$22.11	$1,051.09
Miscellaneous Information		
Gross Proceeds	0.00	106,416.73
Foreign Withholding	0.00	-27.13

The above totals may not match the cash activity summary or detailed activity in this statement, due to reclassification activity or other tax corrections. These figures represent your accounts as of this month end. Remember, you may have certain products that are not included in these figures and whose income is only available on the tax forms sent to you at year end. Reclassifications and other tax reporting requirements may alter these numbers both during and after year end. Rely only on your tax documents for tax reporting information. Contact your tax advisor if you have any questions about the tax consequences of your brokerage activity.

[redacted]

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Additional Information

Available Funds	
Cash	0.00
Money Market/Sweep Funds	8,698.43
Available Margin	0.00
Total Funds Available	$8,698.43
Sweep Option	BANK DEPOSIT SWEEP OPTION

"Available Margin" reflects the approximate amount available as of the statement period ending date and should be reduced by any pending checks and Visa charges not yet cleared. This amount is the approximate amount available for withdrawal and loans. A margin loan is a variable rate loan secured by your account.

Portfolio Assets

This section includes estimated or unrealized gains or losses for your information only and should not be used for tax purposes. If acquisition information is not available, the gain/loss information may not be displayed and section and summary totals may not reflect your complete portfolio. Cost basis information provided by the account owner is not verified by First Clearing, LLC and should not be relied upon for legal or tax purposes. Factored bonds (GNMA, CMO, etc.) will be adjusted for paydown of principal. Systematic investments in mutual funds and reinvested dividends for mutual funds and stocks have been consolidated for each position. Unit cost data for systematic investments and dividend reinvestment securities is provided for informational purposes only and is a non-weighted average. To update your cost information or provide omitted cost information, contact Your Financial Advisor. Estimated Current Yield on Money Market funds, when available, reflects the current estimated yield for the Interest Period dates displayed. Estimated Annual Income, when available, reflects the estimated amount you would earn on a security if your current position and its related income remained constant for a year. Estimated Annual Yield, when available, reflects the current estimated annual income divided by the current value of the security as of the statement closing date. The information used to derive these estimates is obtained from various outside vendors; FCC is not responsible for incorrect or missing estimated annual income and yields.

Bank Deposit Sweep Option

The Bank Deposit Sweep Option consists of monies held in an interest-bearing deposit account at Wachovia Bank, N.A. These assets are not held in your securities brokerage account and therefore not covered by SIPC. Such monies are eligible for FDIC insurance, up to $100,000 per depositor ($250,000 for IRA accounts) in accordance with FDIC rules. If you have questions about your sweep option, including rates, please contact Your Financial Advisor.

Description	Current Market Value	Estimated Annual Income	Annual Percentage Yield Earned*
BANK DEPOSIT SWEEP OPTION Interest Period 12/01/06 - 12/31/06	8,698.43	260.95	3.00
Total Bank Deposit Sweep Option	$8,698.43		

* APY measures the total amount of interest paid on an account based on the interest rate and the frequency of compounding. The annual percentage yield is expressed as an annualized rate, based on a 365- or 360-day year (as applicable).

[redacted]

[redacted] / Branch / Rep / Account No. / CAP Account No.

December 1 - December 31, 2006

Curious how your securities are performing? The "Unrealized Gain/Loss" column tells you how much each stock has increased or decreased in value since you bought it (based on cost data supplied by you or by outside services, which may not be complete). Revisions to this information (because of corporate mergers, tenders, and other reorganizations, for example) may be necessary from time to time. To update your cost information or provide omitted costs, contact Your Financial Advisor.

Please note that the unrealized gain/loss information presented here does not incorporate amounts or situations that you may use to adjust basis
- Cost basis for fixed income tax lots has been amortized (for securities purchased at a premium) or accrued (for securities purchased at a discount), when possible, for applicable securities
- Partial return of principal or capital payments may not adjust original cost basis information
- Marked-to-market information is not available or provided

When information is available, tax lots are marked with an "L" to denote Long-term (shares held more than 1 year) or an "S" to denote Short-term (shares held 1 year or less).

Activity Detail

Income and Distributions

Date	Account Type	Transaction	Quantity	Description	Price	Amount
12/29	Cash	INTEREST		BANK DEPOSIT SWEEP OPTION 122906 9,876		22.11
				Total Income and Distributions :		$22.11

Cash Sweep Activity

Our Cash Sweep program allows you to earn a return on the idle cash balances in your account by automatically investing such balances into one of our cash sweep options. These "sweep transactions" may correspond a net amount for the day and occur on settlement date. The following section displays transfers into and out of your sweep option. Transactions displayed here are Transfer To, Transfer From and Reinvested Dividends and Interest. These transaction amounts are not included in the Cash Activity Summary.

Date	Transaction	Description	Amount
12/01		BEGINNING BALANCE	8,676.32
12/29	REINVEST INT	BANK DEPOSIT SWEEP OPTION	22.11
12/31		ENDING BALANCE	8,698.43

Thank you for allowing Wachovia Securities, LLC to serve you. If you have any questions regarding your account or this statement, please contact your Financial Advisor.

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MAY. 15. 2007 1:21PM NO. 0260 P. 39/55

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WACHOVIA SECURITIES

Investment Account

0,587

J HEATH SHULER CUST
NAVY HEATH SHULER
UNIF GIFT MIN ACT TN

[redacted]

December 1 - December 31, 2006

Your Financial Advisor:

[redacted]

Your Managed Program:
ASSET ADVISOR

Portfolio Summary

Portfolio Assets

	Value on Nov 30	Value on Dec 31	Est. Ann. Income	% Total Assets
Cash and Money Market Funds	0.00	250.00	0	0.36
Bank Deposit Sweep Option *	18,759.29	18,808.09	564	27.11
Stocks and Options	49,816.00	50,125.00	3,220	72.53
Fixed Income Securities	0.00	0.00	0	
Open End Mutual Funds	0.00	0.00	0	
Closed End Mutual Funds	0.00	0.00	0	
Portfolio Value	$68,575.29	$69,183.09	$3,784	100%

*The Bank Deposit Sweep Option consists of money held in an interest-bearing deposit account at Wachovia Bank, N.A. These assets are not held in your securities brokerage account and therefore not covered by SIPC. Such monies are eligible for FDIC insurance, up to $100,000 per depositor ($250,000 for IRA accounts) in accordance with FDIC rules.

As a Wachovia Securities Client, you can easily simplify your finances by combining all your investing and banking into a single, easy-to-manage relationship. By upgrading to the Command Asset Program, you'll have access to many more features and benefits to help you manage your finances. You'll see all your investing and banking activity on one comprehensive monthly statement.

It's as simple as talking with Your Financial Advisor. Ask them today about the Command Asset Program.

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Investment Account

J HEATH SHULER CUST
HAVY HEATH SHULER
UNIF GIFT MIN ACT TN

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December 1 - December 31, 2006

Investment Objective/Risk Tolerance: MODERATE GROWTH

Cash Activity Summary

Total Cash/Money Market/Sweep Funds on Nov 30:	$18,758.29	
	Current Period	Year to Date
Deposits	0.00	0.00
Income and Distributions	297.80	2,933.83
Securities Sold and Redeemed	0.00	23,764.27
Net Additions to Cash	$297.80	$26,697.90
Withdrawals by Check	0.00	0.00
Securities Purchased	0.00	- 8,934.10
Net Subtractions from Cash	$0.00	- $8,934.10
Total Cash/Money Market/Sweep Funds on Dec 31:	$19,056.09	

Year to date totals in the cash activity summary are based upon activity shown on this and past month's statements. This information is presented for informational purposes and should not be used for tax reporting.

Gain/Loss Summary

	This Period	Year to Date
Realized Gain/Loss	0.00	12,274.27
Short-term	0.00	0.00
Long-term	$0.00	$12,274.27
Total Realized Gain/Loss		

Income Summary

	Current Period	Year to Date
Money Market/Sweep Funds	47.80	634.87
Ordinary Dividends and ST Capital Gains	250.00	1,935.00
Partnership Distributions	0.00	448.73
Total Taxable Income	$297.80	$2,918.63
Total Federally Tax-Exempt Income	$0.00	$0.00
Total Account Income	$297.80	$2,918.63
Miscellaneous Information		
Gross Proceeds	0.00	23,764.27

The above totals may not match the cash activity summary or detailed activity in this statement, due to reclassification activity or other tax corrections. These figures represent your account as of this month end. Remember, you may have certain products that are not included in these figures and whose income is only available on the tax forms sent to you at year end. Reclassifications and other tax reporting requirements may alter these numbers both during and after year end. Rely only on your tax documents for tax reporting information. Contact your tax advisor if you have any questions about the tax consequences of your brokerage activity.

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WACHOVIA SECURITIES

J HEATH SHULER CUST
NAVY HEATH SHULER
UNIF GIFT MIN ACT TN

[redacted]

December 1 - December 31, 2006

Portfolio Assets

This section includes estimated or unrealized gains or losses for your information only and should not be used for tax purposes. If acquisition information is not available, the gain/loss information may not be displayed and section and summary totals may not reflect your complete portfolio. Cost basis information provided by the account owner is not verified by First Clearing, LLC and should not be relied upon for legal or tax purposes. Factored bonds (GNMA, CMO, etc.) will be adjusted for paydown of principal. Systematic investments in mutual funds and reinvested dividends for mutual funds and stocks have been consolidated for each position. Unit cost data for systematic investments and dividend reinvestment securities is provided for informational purposes only and is a non-weighted average. To update your cost information or provide certified cost information, contact Your Financial Advisor. Estimated Current Yield on Money Market funds, when available, reflects the current estimated yield for the Interest Period dates displayed. Estimated Annual Income, when available, reflects the estimated amount you would earn on a security if your current position and the related income remained constant for a year. Estimated Annual Yield, when available, reflects the current estimated annual income divided by the current value of the security as of the statement closing date. The information used to derive these estimates is obtained from various outside vendors; FCC is not responsible for incorrect or missing estimated annual income and yields.

Cash and Money Market Funds

Description	Current Market Value	Estimated Annual Income	Estimated Current Yield(%)
Cash	250.00		
Total Cash and Money Market Funds	$250.00		

Bank Deposit Sweep Option

The Bank Deposit Sweep Option consists of monies held in an interest-bearing deposit account at Wachovia Bank, N.A. These assets are not held in your securities brokerage account and therefore not covered by SIPC. Such monies are eligible for FDIC insurance, up to $100,000 per depositor ($250,000 for IRA accounts) in accordance with FDIC rules. If you have questions about your sweep option, including rates, please contact Your Financial Advisor.

Description	Current Market Value	Estimated Annual Income	Annual Percentage Yield Earned*
BANK DEPOSIT SWEEP OPTION Interest Period 12/01/06 - 12/31/06	18,536.09	564.18	3.00
Total Bank Deposit Sweep Option	$18,536.09		

* APY measures the total amount of interest paid on an account based on the interest rate and the frequency of compounding. The annual percentage yield is expressed as an annualized rate, based on a 365- or 366-day year (as applicable).

[redacted]

J HEATH SHULER CUST
NAVY HEATH SHULER
UNIF GIFT MIN ACT TN

[redacted]

December 1 - December 31, 2006

Curious how your securities are performing? The "Unrealized Gain/Loss" column tells you how much each stock has increased or decreased in value since you bought it (based on cost data supplied by you or by outside services, which may not be complete). Revisions to this information (because of corporate mergers, tenders, and other reorganizations, for example) may be necessary from time to time. To update your cost information or provide omitted costs, contact Your Financial Advisor.

Please note that the unrealized gain/loss information presented here does not incorporate amounts or situations that you may use to adjust basis
- Cost basis for fixed income tax lots has been amortized (for securities purchased at a premium) or accreted (for securities purchased at a discount), when possible, for applicable securities
- Partial return of principal or capital payments may not adjust original cost basis information
- Marked-to-market information is not available or provided

Stocks and Options

Stocks

Description	Symbol	Quantity	Price or Adj. Cost	Cost or Other Basis	Current Price	Current Market Value	Unrealized Gain/Loss	Est. Ann. Income	Est. Ann. Yield (%)
AMERICAN CAP STRATEGIES LTD Acquired 09/19/94	ACAS	500	23.45	13,225.00	46.2600	23,130.00	9,905.00	1,780.00	7.70
APOLLO INVESTMENT CORP Acquired 08/28/05	AINV	500	17.86	8,934.10	22.4000	11,200.00	2,265.90	1,000.00	8.92
ENTERPRISE PRODUCTS PARTNERS Acquired 08/10/05	EPD	250	26.30	6,325.00	28.9800	7,245.00	920.00	480.00	6.34
UTSTARCOM INC Acquired 11/19/03 Acquired 01/30/04	UTSI	600 500	33.38 33.32	19,890.00 16,660.00	8.7500	4,375.00 4,375.00	- 12,315.00 - 12,285.00	N/A	N/A
Total		1,000		$33,550.00	8.7500	$8,750.00	- $24,600.00	N/A	N/A
Total Stocks				$61,834.10		$50,325.00	- $11,509.10	$3,220.00	6.40
Total Stocks and Options				$61,834.10		$50,325.00	- $11,509.10	$3,220.00	6.40

[redacted]

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WACHOVIA SECURITIES

J HEATH SHULER CUST
NAVY HEATH SHULER
UNIF GIFT MIN ACT TN

[redacted]

December 1 - December 31, 2006

Activity Detail

Date	Account Type	Transaction	Quantity	Description	Price	Amount	Cash/Money Market/Sweep Fund Balance
12/01				BEGINNING BALANCE			18,758.29
12/28	Cash	DIVIDEND		APOLLO INVESTMENT CORP 122806 560		250.00	19,008.29
12/29	Cash	INTEREST		BANK DEPOSIT SWEEP OPTION 122908 15,768		47.80	19,056.09

Cash Sweep Activity

Our Cash Sweep program allows you to earn a return on the idle cash balances in your account by automatically investing such balances into one of our cash sweep options. These sweep transactions may represent a net amount for the day and occur on settlement date. The following section displays transfers into and out of your sweep option. Transactions displayed here are Transfer To, Transfer From and Reinvested Dividends and Interest. These transaction amounts are not included in the Cash Activity Summary.

Date	Transaction	Description	Amount
12/01		BEGINNING BALANCE	18,758.29
12/29	REINVEST INT	BANK DEPOSIT SWEEP OPTION	47.80
12/31		ENDING BALANCE	18,806.09

Thank you for allowing Wachovia Securities, LLC to serve you. If you have any questions regarding your account or this statement, please contact your Financial Advisor.

[redacted]

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WACHOVIA SECURITIES

2,123

Account Summary for
Current period ending December 31, 2006

[redacted]

[redacted]

[redacted]

Message from Wachovia Securities

AS WE LOOK FORWARD TO 2007, WE REMEMBER THAT WE EARN YOUR TRUST BY HELPING YOU ACHIEVE YOUR LIFETIME FINANCIAL GOALS. THE 15,000 ASSOCIATES OF WACHOVIA SECURITIES WISH YOU A HAPPY AND PROSPEROUS NEW YEAR.

IF YOU USE OUR ACCESS ONLINE SERVICE, YOU CAN CHOOSE TO RECEIVE YOUR STATEMENTS ELECTRONICALLY. SIMPLY LOGIN TO ACCESS ONLINE AND SELECT THE "STATEMENTS&CONFIRMS" LINK UNDER THE ACCOUNT & SERVICES TAB. IF YOU WOULD LIKE TO ENROLL IN OUR ACCESS ONLINE SERVICE, VISIT US AT WWW.WACHOVIASEC.COM.

At a Glance

If you have more than one account with us, why not get them linked? Your summary below will list them all. Contact Your Financial Advisor today.

Accounts	Account no.	Previous value	Current value
Individual Retirement Account	[redacted]	3,111.03	3,134.62
Total		$3,111.03	$3,134.62

[redacted]

[redacted]

Wachovia Securities, LLC, member NYSE/SIPC. Brokerage accounts are cleared by First Clearing, LLC (FCC), member NYSE/SIPC.

[redacted]

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WACHOVIA SECURITIES

Individual Retirement Account

NAVY HEATH SHULER
FCC AS CUSTODIAN
J HEATH SHULER GUARDIAN
MINOR ROTH IRA

Sub / Branch / Seq / Account No.
[redacted]

October 1 - December 31, 2005

Your Financial Advisor:

[redacted]

Portfolio Summary

Portfolio Assets	Value on Sept 30	Value on Dec 31	Est. Ann. Income	% Total Assets
Cash and Money Market Funds	0.00	0.00	0	
Bank Deposit Sweep Option *	3,111.03	3,134.62	94	100.00
Stocks and Options	0.00	0.00	0	
Fixed Income Securities	0.00	0.00	0	
Open End Mutual Funds	0.00	0.00	0	
Closed End Mutual Funds	0.00	0.00	0	
Portfolio Value	$3,111.03	$3,134.62	$94	100%

*The Bank Deposit Sweep Option consists of monies held in an interest-bearing deposit account at Wachovia Bank, N.A. These assets are not held in your securities brokerage account and therefore not covered by SIPC. Bank monies are eligible for FDIC insurance, up to $100,000 per depositor ($250,000 for IRA accounts) in accordance with FDIC rules.

As an established Wachovia Securities Client, your relationship is very important to us and we appreciate the trust you have placed in us to help manage your finances. Your Financial Advisor can assist you in many ways that you may not be aware of such as retirement planning, asset consolidation, and simplifying your investing and banking into a single, easy-to-manage relationship with the Command Asset Program.

Talk with Your Financial Advisor today and discover all the benefits available to you from Wachovia Securities.

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Individual Retirement Account

NAVY HEATH SHULER
FCC AS CUSTODIAN
J HEATH SHULER GUARDIAN
MINOR ROTH IRA
Sub / Branch / Rep / Account No.
[redacted]

October 1 - December 31, 2006

Retirement Account Summary

Message from the IRA Department

For IRA accounts established with First Clearing, LLC (FCC) as custodian, this is your individual retirement account (IRA) fair market value statement. The amount reflected in the "IRA Fair Market Value" will be furnished to the Internal Revenue Service on Form 5498. Any corrections made to your market value after December 31, 2006, will result in a corrected "IRA Portfolio Holding Valuation" being issued to you.

If you own an FCC as custodian Traditional, SEP, or SIMPLE IRA and turn 70 1/2 this year, you must take a Required Minimum Distribution (RMD) with respect to your IRA by April 1st of next year. If you turned 70 1/2 in a prior year, you must take an RMD payment by December 31st of this year. Your Financial Advisor is available to provide specific RMD calculations. In accordance with IRS instructions, we will report to the IRS if you are required to receive an RMD for that calendar year.

This information is based upon the most recent data available and is being provided as a service to you. In the event of death your most recent beneficiary designation on file will govern. Please contact Your Financial Advisor if updates or corrections are required. The Fair Market Value provided below is the sum of your Net Portfolio Value and Other Assets if you hold any. It is provided for informational value only.

Account Information

IRA Fair Market Value	$3,134.62
Account Holder Birthdate:	05/17/01
Attained Age as of 12/31/06:	6.6
Custodian EIN Number:	[redacted]

WACHOVIA SECURITIES

Individual Retirement Account

1129

NAVY HEATH SHULER
FCC AS CUSTODIAN
J HEATH SHULER GUARDIAN
MINOR ROTH IRA

Sub / Branch / Reg / Account No.

[redacted]

October 1 - December 31, 2005

Investment Objective/Risk Tolerance: MODERATE GROWTH

Cash Activity Summary

		Current Period	Year to Date
Total Cash/Money Market/Sweep Funds on Sept 30:	$3,111.63		
Deposits		0.00	0.00
Income and Distributions		23.59	88.92
Securities Sold and Redeemed		0.00	0.00
Net Additions to Cash		$23.59	$88.92
Withdrawals by Check		0.00	0.00
Securities Purchased		0.00	0.00
Net Subtractions from Cash		$0.00	$0.00
Total Cash/Money Market/Sweep Funds on Dec 31:	$3,134.62		

Year to date totals in the cash activity summary are based upon activity shown on this and past month's statements. This information is presented for informational purposes and should not be used for tax reporting.

Gain/Loss Summary

Realized Gain/Loss	This Period	Year to Date
Short-term	0.00	0.00
Long-term	0.00	0.00
Total Realized Gain/Loss	$0.00	$0.00

Income Summary

	Current Period	Year to Date
Money Market/Sweep Funds	7.97	88.92
Total Income	$7.97	$88.92

This is a non-reportable account. This account income will NOT be provided to the IRS at year-end, but is being presented to you for informational purposes only.

The above totals may not match the cash activity summary or detailed activity in this statement, due to reclassification activity or other tax corrections. These figures represent your account as of this month end. Remember, you may have certain products that are not included in these figures and whose income is only available on the tax forms sent to you at year end. Reclassifications and other tax reporting requirements may alter these numbers both during and after year end. Rely only on your tax documents for tax reporting information. Contact your tax advisor if you have any questions about the tax consequences of your brokerage activity.

[redacted]

NAVY HEATH SHULER
FCC AS CUSTODIAN
J HEATH SHULER GUARDIAN
MINOR ROTH IRA
[redacted]

October 1 - December 31, 2006

Portfolio Assets

This section includes estimated or unrealized gains or losses for your information only and should not be used for tax purposes. If acquisition information is not available, the gain/loss information may not be displayed and section and summary totals may not reflect your complete portfolio. Cost basis information provided by the account owner is not verified by First Clearing, LLC and should not be relied upon for legal or tax purposes. Factored bonds (GNMA, CMO, etc.) will be adjusted for paydown of principal. Systematic investments in mutual funds and reinvested dividends for mutual funds and stocks have been consolidated for each position. Unit cost data for systematic investments and dividend reinvestment securities is provided for informational purposes only and is a non-weighted average. To update your cost information or provide omitted cost information, contact Your Financial Advisor. Estimated Current Yield on Money Market funds, when available, reflects the current estimated yield for the Interest Period dates displayed. Estimated Annual Income, when available, reflects the estimated amount you would earn on a security if your current position and its related income remained constant for a year. Estimated Annual Yield, when available, reflects the current estimated annual income divided by the current value of the security as of the statement closing date. The information used to derive these estimates is obtained from various outside vendors; FCC is not responsible for incorrect or missing estimated annual income and yields.

Bank Deposit Sweep Option

The Bank Deposit Sweep Option consists of monies held in an interest-bearing deposit account at Wachovia Bank, N.A. These assets are not held in your securities brokerage account and therefore not covered by SIPC. Bank monies are eligible for FDIC insurance, up to $100,000 per depositor ($250,000 for IRA accounts) in accordance with FDIC rules. If you have questions about your sweep option, including rates, please contact Your Financial Advisor.

Description	Current Market Value	Estimated Annual Income	Annual Percentage Yield Earned*
BANK DEPOSIT SWEEP OPTION Interest Period 12/01/06 - 12/31/06	3,134.62	94.03	3.00
Total Bank Deposit Sweep Option	**$3,134.62**		

* APY measures the total amount of interest paid on an account based on the interest rate and the frequency of compounding. The annual percentage yield is expressed as an annualized rate, based on a 365- or 366-day year (as applicable).

Curious how your securities are performing? The "Unrealized Gain/Loss" column tells you how much each stock has increased or decreased in value since you bought it (based on cost data supplied by you or by outside services, which may not be complete). Revisions to this information (because of corporate mergers, tenders, and other reorganizations, for example) may be necessary from time to time. To update your cost information or provide omitted costs, contact Your Financial Advisor.

Please note that the unrealized gain/loss information presented here does not incorporate amounts or situations that you may use to adjust basis
- Cost basis for fixed income tax lots has been amortized (for securities purchased at a premium) or accreted (for securities purchased at a discount), when possible, for applicable securities
- Partial return of principal or capital payments may not adjust original cost basis information
- Market-to-market information is not available or provided

[redacted]

6463

WACHOVIA SECURITIES

NAVY HEATH SHULER
FCC AS CUSTODIAN
J HEATH SHULER GUARDIAN
MINOR ROTH IRA
[redacted] / Account No.

October 1 - December 31, 2006

Activity Detail

Date	Account Type	Transaction	Quantity	Description	Price	Amount	Cash/Money Market/Sweep Fund Balance
10/01				BEGINNING BALANCE			3,111.03
10/31	Cash	INTEREST		BANK DEPOSIT SWEEP OPTION 103106 3,111		7.93	3,118.96
11/30	Cash	INTEREST		BANK DEPOSIT SWEEP OPTION 113006 3,118		7.69	3,126.65
12/29	Cash	INTEREST		BANK DEPOSIT SWEEP OPTION 122906 3,126		7.97	3,134.62

Cash Sweep Activity

Our Cash Sweep program allows you to earn a return on the idle cash balances in your account by automatically investing such balances into one of our cash sweep options. These sweep transactions may represent a net amount for the day and occur on settlement date. The following section displays transfers into and out of your sweep option. Transactions displayed here are Transfer To, Transfer From and Reinvested Dividends and Interest. These transaction amounts are not included in the Cash Activity Summary.

Date	Transaction	Description	Amount
12/01		BEGINNING BALANCE	3,111.03
10/31	REINVEST INT	BANK DEPOSIT SWEEP OPTION	7.93
11/30	REINVEST INT	BANK DEPOSIT SWEEP OPTION	7.69
12/29	REINVEST INT	BANK DEPOSIT SWEEP OPTION	7.97
12/31		ENDING BALANCE	3,134.62

Thank you for allowing Wachovia Securities, LLC to serve you. If you have any questions regarding your account or this statement, please contact your Financial Advisor.

[redacted]

National Financial Services LLC

Brokerage Name and Address:
SUNTRUST INVESTMENT SERVICES INC
P.O. BOX 598
RICHMOND, VA 23286

[redacted]

2006 Tax Reporting Statement

[redacted]

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Payer's Name and Address:
NATIONAL FINANCIAL SERVICES LLC
200 LIBERTY ST. 5TH FLR
NEW YORK, NY 10281-5500

[redacted]

[redacted]

Customer Service: 800-874-4770

CORRECTED 03/23/2007
C=Corrected

06017

Corrected

Form 1099-DIV * Corrected 04/23/2007	2006 Dividends and Distributions	OMB NO. 1545-0110 Copy B for Recipient	Amount
1a Total Ordinary Dividends			8,704.60C
1b Qualified Dividends			7,492.55C
2a Total Capital Gain Distributions (Includes 2b - 2d)			0.00C
2b Capital Gains that represent Unrecaptured Section 1250 Gain			0.00
2c Capital Gains that represent Section 1202 Gain			0.00
2d Capital Gains that represent Collectibles (28%) Gain			0.00
3 Nondividend Distributions			77.30C
4 Federal Income Tax Withheld			0.00
5 Investment Expenses			0.00
6 Foreign Tax Paid			28.14
7 Foreign Country or U.S. Possession			Various
8 [illegible]			0.00
9 Non-Taxable Liquidation Distributions			0.00

Form 1099-INT *	2006 Interest Income	OMB NO. 1545-0112 Copy B for Recipient	Amount
1 Interest Income			0.00
2 Early Withdrawal Penalty			0.00
3 Interest on U.S. Savings Bonds and Treas. Obligations			0.00
4 Federal Income Tax Withheld			0.00
5 Investment Expenses			0.00
6 Foreign Tax Paid			0.00
7 Foreign Country or U.S. Possession			
8 Tax-Exempt Interest			0.00

Form 1099-MISC *	2006 Miscellaneous Income	OMB NO. 1545-0115 Copy B for Recipient	Amount
2 Royalties			0.00
4 Federal Income Tax Withheld			0.00
5 Substitute Payments in Lieu of Dividends or Interest			0.00

Summary of 2006 Proceeds From Broker and Barter Exchange Transactions

	Amount
Gross Proceeds Less Commissions	687,550.06†
Federal Income Tax Withheld	0.00†

†Gross Proceeds from each of your security transactions are reported individually to the IRS. Refer to the Form 1099-B section of this statement. Report gross proceeds individually for each security on the appropriate IRS tax return. Do not report gross proceeds in aggregate.

Summary of 2006 Original Issue Discount

	Amount
Total Original Issue Discount	0.00‡
Total Original Issue Discount on U.S. Treasury Obligations	0.00‡

‡Original Issue Discount (OID) amounts are reported individually to the IRS. Refer to the Original Issue Discount detail section of this statement.

*This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Please see the enclosed important instructions.

National Financial Services LLC

2006 Supplemental Information		
Account No.	Taxpayer ID.	Page
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[redacted]

Customer Service: 800-874-4770

Detail Information — **1099-DIV — Dividends and Distributions**

This detail information is not reported to the IRS. It is provided for [illegible] use in tax return preparation.

Corrected

Description	CUSIP	Date	Amount	Total
Total Ordinary Dividends - LINE 1a (Includes 1b)				
Dividend Distributions				
AMERICAN HOME MTG INVESTMENTS REIT	02660R107	03/28/06	111.02	
		03/30/06	104.86 C	
		04/30/06	111.02-C	
		04/28/06	140.14	
		04/28/06	132.37 C	
		04/28/06	140.14-C	
		07/27/06	93.12	
		07/27/06	87.95 C	
		07/27/06	93.12-C	
		10/30/06	97.97	
		10/30/06	92.54 C	
		10/30/06	97.97-C	417.73
STI CLASSIC PRIME QUALITY MKT CORE	784768925	01/31/06	65.92	
		02/28/06	87.84	
		03/31/06	105.48	
		04/28/06	71.59	
		05/31/06	48.79	
		06/30/06	44.64	
		07/31/06	57.28	
		08/31/06	67.75	
		09/29/06	70.07	
		10/31/06	63.21	
		11/30/06	35.90	
		12/29/06	38.11	776.85
SCHWAB INC	808178105	06/30/06	5.82	
		09/29/06	5.82	
		12/29/06	5.82	17.46
		Total Dividend Distributions		1,212.07
Qualified Dividends - LINE 1b				
ACE LTD ORD USD0.125 ISIN #KYG0070K1031	G0070K103	10/13/06	31.25	31.25
EVEREST REINSURANCE GROUP LTD ISIN	G3223R108	03/24/06	4.44	
		06/16/06	4.68	
		09/15/06	4.68	
		12/15/06	9.36	23.16

2006 Supplemental Information

Account No	Page
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National Financial Services LLC

[redacted]

Customer Service: 800-874-4770

Detail Information — **1099-DIV — Dividends and Distributions**

Corrected

Description	CUSIP	Date	Amount	Total
This detail information is not reported to the IRS. It is provided [illegible] in tax return preparation.				
Total Ordinary Dividends - LINE 1a (Includes 1b)				
Qualified Dividends - LINE 1b				
CEL CORPORATION SWS ISIN #BMY8476W1049	Y8476W104	[illegible]	24.50	
		[illegible]/12/06	31.00	
		07/05/06	31.60	86.60
AT&T INC COM	[illegible]	11/01/06	34.25	34.25
ABBOTT LABORATORIES	002824100	02/15/06	18.60	
		05/15/06	50.45	
		08/15/06	50.45	
		11/15/06	17.70	135.10
ALLSTATE CORP	020002101	01/03/06	44.80	
		04/03/06	49.50	
		07/03/06	31.00	
		10/02/06	31.50	156.80
AMERICAN EAGLE OUTFITTERS	02553E106	01/06/06	13.13	
		04/07/06	13.13	
		07/07/06	19.69	
		10/06/06	19.24	56.19
AMERICAN HOME MTG INVESTMENTS REIT	02660R107	01/30/06	6.18 C	
		04/28/06	7.77 C	
		07/27/06	5.16 C	
		10/30/06	5.43 C	24.52
AMER INTL GROUP INC	026874107	03/17/06	13.65	
		06/16/06	9.75	
		09/15/06	9.41	
		12/15/06	9.41	42.22
AGN CORP	037389103	02/14/06	46.50	
		05/15/06	40.20	
		08/14/06	40.20	
		11/14/06	18.45	145.35
ARKANSAS BEST CORP	040790107	02/22/06	18.00	
		05/18/06	18.00	

National Financial Services LLC

2006 Supplemental Information		
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[redacted]

Customer Service: 800-874-4770

Detail Information 1099-DIV — Dividends and Distributions

Description	CUSIP	Date	Amount	Total
This detail information is not reported to the IRS. It is provided for [illegible] in tax return preparation.				
Total Ordinary Dividends - LINE 1a (Includes 1b)				
Qualified Dividends - LINE 1b				
ADVANCED BENT CORP	040780107	[illegible]	18.00	54.00
AVIGEN CORP	[illegible]	03/15/06	32.20	
		05/15/06	23.94	56.14
BANK NEW YORK INC	[illegible]102	02/02/06	39.69	
		05/05/06	39.69	
		08/04/06	41.58	120.96
BAXTER INTL INC	071813109	01/05/06	125.13	125.13
BEAZER HOMES USA INC	07556Q105	03/22/06	10.40	
		06/22/06	10.40	
		09/22/06	10.40	
		12/22/06	3.90	35.10
BERKLEY W R CORP	084423102	01/04/06	6.75	
		04/04/06	8.10	
		06/30/06	2.20	
		10/03/06	3.12	20.17
BORGWARNER INC	099724106	11/15/06	8.00	8.00
CAPITAL ONE FINANCIAL CORP	14040H105	11/20/06	1.95	1.95
CARNIVAL CORP PAIRED CTF 1 COM CARNIVAL	143658300	03/10/06	16.75	16.75
CHEVRON CORP NEW	166764100	03/10/06	63.00	
		06/12/06	72.80	
		09/11/06	72.80	
		12/11/06	34.84	243.44
CHUBB CORP	171232101	01/10/06	39.13	
		04/04/06	45.50	
		07/11/06	45.50	
		10/10/06	45.50	175.63
CINTAS CORP	172908105	03/14/06	40.95	40.95

Corrected

2006 Supplemental Information		
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National Financial Services LLC

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Customer Service: 800-874-4770

Detail Information — **1099-DIV — Dividends and Distributions**

Description	CUSIP	Date	Amount	Total
This detail information is not reported to the IRS. It is provided to [illegible] to in tax return preparation.				
Total Ordinary Dividends - LINE 1a (Includes 1b)				
Qualified Dividends - LINE 1b				
CITIGROUP INC	172967101	[illegible]	69.[illegible]	
		[illegible]	67.05	
		[illegible]	53.50	267.37
COLGATE-PALMOLIVE CO	194162103	02/[illegible]	34.61	
		[illegible]	21.12	55.53
COMMERCIAL METALS CO	201723103	01/[illegible]	6.30	
		[illegible]	10.50	
		07/21/06	12.60	29.40
CONAGRA FOODS INC	205887102	12/01/06	18.64	18.64
CONOCOPHILLIPS	20825C104	03/01/06	51.80	
		06/01/06	47.18	
		09/01/06	47.18	
		12/01/06	28.28	181.80
DANAHER CORP	235851102	04/28/06	1.72	1.72
DONNELLEY R R & SONS	257867101	09/01/06	43.84	
		12/01/06	43.84	87.68
DORAL FINANCIAL CORP ISIN #PR25811P1005	25811P100	03/10/06	20.40	20.40
DU PONT E I DE NEMOURS & CO	263534109	03/14/06	57.35	
		06/12/06	57.35	
		09/12/06	57.35	
		12/14/06	35.15	207.20
EMSCO INTERNATIONAL INC	268740100	06/15/06	0.90	
		09/15/06	1.65	
		12/15/06	0.60	3.15
EATON CORP	278058102	02/24/06	20.65	
		05/26/06	20.65	
		08/25/06	17.16	
		11/24/06	17.16	75.62

Corrected

National Financial Services LLC

2006 Supplemental Information

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Customer Service: 800-874-4770

Detail Information **1099-DIV — Dividends and Distributions**

This detail information is not reported to the IRS. It is provided for [illegible] use in tax return preparation.

Corrected

Description	CUSIP	Date	Amount	Total
Total Ordinary Dividends - LINE 1a (Includes 1b)				
Qualified Dividends - LINE 1b				
EMERSON ELECTRIC CO	291011104	03/[illegible]/06	22.25	22.25
EMERSON CORP	[illegible]	06/01/06	11.44	
		09/01/06	11.44	
		12/01/06	11.44	34.32
EXXON MOBIL CORP	30231G102	05/10/06	46.40	46.40
FEDEX CORP	31428X106	10/02/06	4.23	4.23
FORTUNE BRANDS INC	349631101	12/01/06	22.62	22.62
FRANKLIN RES INC	354613101	07/14/06	3.96	3.96
FREMONT GEN CORP	357288109	01/31/06	16.50	
		04/28/06	18.15	
		07/31/06	18.15	
		10/31/06	18.15	70.95
GAP INC DEL	364760108	04/25/06	30.80	
		07/25/06	30.80	
		10/24/06	49.92	111.52
HARMAN INTL INDS INC NEW	413086109	11/22/06	0.40	0.40
HARRIS CORP DEL	413875105	12/01/06	7.28	7.28
HARTFORD FINL SVCS GROUP INC	416515104	01/03/06	31.50	
		04/03/06	42.00	
		07/03/06	42.00	
		10/02/06	42.00	157.50
HEINZ H J CO	423074103	04/10/06	54.60	
		07/10/06	63.70	
		10/10/06	63.70	182.00
HEWLETT-PACKARD CO DE	428236103	01/04/06	28.00	
		04/05/06	28.00	

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National Financial Services LLC

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Customer Service: 800-874-4770

Detail Information **1099-DIV — Dividends and Distributions**

Description	CUSIP	Date	Amount	Total
This detail information is not reported to the IRS. It is provided fo[illegible]s in tax return preparation.				
Total Ordinary Dividends - LINE 1a (Includes 1b)				
Qualified Dividends - LINE 1b				
HEWLETT-PACKARD CO DE	428236103	[illegible]/06	28.00	
		[illegible]/04/06	25.44	109.44
HILB ROGAL & HOBBS CO FORMERLY HILB	[illegible]	06/15/06	9.60	
		09/15/06	9.60	
		12/29/06	6.00	25.20
HONEYWELL INTL INC	438516106	09/03/06	22.48	22.48
HUNTINGTON BANCSHARES INC CO[illegible]	446150104	01/03/06	24.73	
		04/03/06	28.75	
		07/03/06	28.75	
		10/02/06	28.75	110.98
ILLINOIS TOOL WORKS	452308109	01/03/06	13.68	
		04/17/06	22.11	
		07/17/06	13.53	49.50
INDYMAC BANCORP INC	456607100	03/09/06	48.40	
		06/08/06	50.60	
		09/07/06	52.80	
		09/07/06	52.80-C	
		12/07/06	24.50	
		12/07/06	24.50-C	99.60
INTEL CORP	458140100	06/01/06	29.90	
		09/01/06	50.40	
		12/01/06	32.40	112.70
JOHNSON & JOHNSON	478160104	03/14/06	53.46	
		06/13/06	34.88	88.34
KLA-TENCOR CORP FMLY KLA INSTRUMENT	482480100	12/01/06	10.32	10.32
L-3 COMMUNICATIONS HLDGS INC	502424104	03/15/06	12.19	
		06/15/06	12.19	
		09/15/06	12.19	
		12/15/06	7.50	44.07

Corrected

National Financial Services LLC

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Customer Service: 800-874-4770

Detail Information — 1099-DIV — Dividends and Distributions

Description	CUSIP	Date	Amount	Total
This detail information is not reported to the IRS. It is provided for [illegible] use in tax return preparation.				
Total Ordinary Dividends - LINE 1a (Includes 1b)				
Qualified Dividends - LINE 1b				
LIMITED BRANDS INC	532716107	03/15/06	53.75	
		06/16/06	53.40	
		09/15/06	53.40	
		12/15/06	22.65	183.20
~~LOWES COMPANIES~~	~~548661107~~	~~02/04/06~~	~~4.26~~	~~4.26~~
MATTEL INC	577081102	12/15/06	150.15	150.15
MEDTRONIC INC	585055106	01/27/06	16.36	
		04/28/06	16.36	
		07/28/06	13.09	45.81
MERCK & CO INC	589331107	01/09/06	106.40	
		04/09/06	106.40	
		07/03/06	42.94	
		10/02/06	42.94	298.68
METHANEX CORP	59151K108	12/31/05	25.19 C	
		03/31/06	20.79	
		06/30/06	23.63	
		09/30/06	23.63	93.24
MICROSOFT CORP	594918104	03/09/06	13.86	
		06/08/06	13.86	
		09/14/06	13.86	
		12/14/06	17.60	59.18
MORGAN STANLEY	617446448	01/31/06	60.21	
		04/28/06	52.92	
		07/31/06	52.92	
		10/31/06	30.51	196.56
MEMS CORP CL B	65248E203	10/18/06	14.60	14.60
NOBLE ENERGY INC COM	655044105	08/21/06	5.78	
		11/20/06	5.85	11.63

Corrected

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National Financial Services LLC

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Customer Service: 800-874-4770

Detail Information — **1099-DIV — Dividends and Distributions**

Description	Cusip	Date	Amount	Total
This detail information is not reported to the IRS. It is provided [illegible] in tax return preparation.				
Total Ordinary Dividends - LINE 1a (Includes 1b)				
Qualified Dividends - LINE 1b				
NORTHERN TR CORP	665859104	[illegible]	21.85	
		[illegible]/06	14.26	36.11
NOVARTIS AG ADR ISIN #US66987V1098	[illegible]	04/03/06	85.87	85.37
OMNICOM GROUP	681919106	04/03/06	15.00	15.00
PMI GROUP INC	69344M101	01/18/06	5.51	
		04/18/06	5.51	
		07/17/06	5.51	
		10/13/06	5.51	22.04
PEPSICO INC	713448108	01/03/06	37.70	
		03/31/06	37.70	
		06/30/06	34.50	109.90
PFIZER INC	717081103	03/03/06	31.12	
		06/09/06	40.68	
		09/08/06	40.68	
		12/05/06	40.68	201.36
PILGRIMS PRIDE CORP CL B	721467108	03/31/06	3.94	
		06/30/06	3.17	7.11
PROCTER & GAMBLE CO	742718109	02/15/06	24.64	
		05/15/06	27.28	
		08/15/06	34.10	86.02
PROGRESS ENERGY INC	743263105	02/01/06	94.38	
		05/01/06	94.38	
		08/01/06	71.39	
		11/01/06	71.39	331.54
QUALCOMM INC	747525103	01/04/06	21.42	
		03/24/06	9.54	
		06/23/06	12.72	43.68
QUEST DIAGNOSTICS INC	74834L100	10/18/06	10.80	10.80

Corrected

National Financial Services LLC

2006 Supplemental Information

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Customer Service: 800-874-4770

Detail Information **1099-DIV — Dividends and Distributions**

Description	CUSIP	Date	Amount	Total
This detail information is not reported to the IRS. It is provided for [illegible] use in tax return preparation.				
Total Ordinary Dividends - LINE 1a (Includes 1b)				
Qualified Dividends - LINE 1b				
RAYTHEON GROUP INC	760235101	03/20/06	1.70	
		09/20/06	1.70	3.40
RAYTHEON CO COM NEW	[illegible]	11/01/06	30.96	30.96
ROYAL DUTCH SHELL PLC	7[illegible]103	02/15/06	12.80	
		12/15/06	8.96	21.76
ROCKWELL AUTOMATION INC	773903109	09/05/06	10.13	10.13
SAFEWAY INC COM NEW FOLY SAFE[illegible]S	786514208	01/20/06	15.50	
		04/24/06	16.50	
		07/07/06	17.83	
		10/05/06	17.83	68.66
SANDERSON FARMS INC	800013104	02/21/06	14.64	14.64
SCHERING PLOUGH CORP	806605101	11/28/06	11.11	11.11
STEEL DYNAMICS INC	858119100	10/10/06	11.60	
		10/10/06	5.80	17.40
SYSCO CORP	871829107	01/27/06	37.74	
		04/28/06	20.06	57.80
TXU CORP	873168108	10/02/06	43.73	43.73
TARGET CORP	87612E106	03/10/06	11.50	
		06/10/06	8.20	
		09/10/06	9.84	29.54
TECHNICAL OLYMPIC USA INC COM	878483106	12/20/06	3.03	3.03
TEXAS INSTRUMENTS INC	882508104	02/13/06	6.00	
		05/22/06	4.44	
		08/21/06	4.44	
		11/20/06	5.72	20.60

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National Financial Services LLC

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Customer Service: 800-874-4770

Detail Information — **1099-DIV — Dividends and Distributions**

This detail information is not reported to the IRS. It is provided [illegible] in tax return preparation.

Description	CUSIP	Date	Amount	Total
Total Ordinary Dividends - LINE 1a (Includes 1b)				
Qualified Dividends - LINE 1b				
THOR INDS INC	885160101	[illegible]/06	4.70	
		[illegible]/06	6.58	
		[illegible]/06	3.76	
		10/16/06	54.00	
		10/16/06	3.76	72.64
TORO CO	891092108	01/12/06	6.68	
		04/12/06	6.68	
		07/12/06	6.68	
		10/12/06	6.68	26.64
TOTAL S A SPON ADR	89151E109	06/09/06	62.11	62.11
UNION PACIFIC CORP	907818108	01/03/06	33.00	
		04/03/06	33.00	66.00
UNISOURCE ENERGY CRP HLD CO	909205106	10/10/06	14.91	
		12/27/06	14.91	29.82
UNITED TECHNOLOGIES CORP	913017109	03/10/06	11.00	
		06/10/06	13.25	
		09/10/06	13.25	37.50
UNITEDHEALTH GROUP	91324P102	04/17/06	4.92	4.92
VERIZON COMMUNICATIONS	92343V104	11/01/06	45.36	45.36
WACHOVIA CORP 2ND NEW	929903102	03/15/06	94.35	
		06/15/06	94.35	
		09/15/06	103.60	
		12/15/06	42.56	334.86
WAL-MART STORES INC	931142103	01/03/06	35.40	
		04/03/06	39.58	
		06/05/06	8.68	
		09/05/06	8.68	92.69
WASTE MANAGEMENT INC	94106L109	03/24/06	58.02	

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National Financial Services LLC

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Customer Service: 800-874-4770

Detail Information — 1099-DIV — Dividends and Distributions

Description	CUSIP	Date	Amount	Total
This detail information is not reported to the IRS. It is provided for [illegible] in tax return preparation.				
Total Ordinary Dividends - LINE 1a (Includes 1b)				
Qualified Dividends - LINE 1b				
WASTE MANAGEMENT INC	94106L109	01/20/06	53.02	
		04/22/06	53.02	
		12/22/06	24.88	183.92
WELLS FARGO & CO NEW	949746101	03/01/06	71.24	
		06/01/06	71.24	142.48
WINNEBAGO INDS INC	974637100	01/09/06	9.81	9.81
WYETH	983024100	03/01/06	43.75	
		06/01/06	43.75	
		09/01/06	43.75	
		12/01/06	21.58	152.83
XTO ENERGY INC	98385X106	07/14/06	5.63	5.63
XILINX INC	983919101	12/06/06	13.23	13.23
		Total Qualified Dividend Distributions		7,492.63
		Total Ordinary Dividends - LINE 1a (Includes 1b)		8,704.60 #

- Short-term capital gain distributions reported on account statements are included in "Total Ordinary Dividends" on Form 1099-DIV. Such gains may also be included in "Qualified Dividends" on Form 1099-DIV if they may be taxed as qualified dividends. As a result, the reporting of short-term capital gain distributions on account statements and on Form 1099-DIV may not match.

Nondividend Distributions - LINE 2				
INDYMAC BANCORP INC	456607100	09/07/06	52.80 C	
		12/07/06	24.50 C	77.30
		Total Nondividend Distributions - LINE 3		77.30
Foreign Tax Paid - LINE 6				
DORAL FINANCIAL CORP ISIN #PR25811P1005	25811P100	03/10/06	2.04	2.04
METHANEX CORP	59151K108	12/31/05	3.78	
		03/31/06	3.12	
		06/30/06	3.54	
		09/30/06	3.54	13.98
NOVARTIS AG ADR ISIN #US66987V1098	66987V109	04/03/06	12.80	12.80

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National Financial Services LLC

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Customer Service: 800-874-4770

Detail Information	1099-DIV — Dividends and Distributions			
Description	CUSIP	Date	Amount	Total

This detail information is not reported to the IRS. It is provided for [illegible] use in tax return preparation.

Corrected

Foreign Tax Paid - LINE 6				
TOTAL S A SPON ADR	89151E109	[illegible]	9.32	9.32
		Total Foreign Tax Paid - LINE 6		38.14

National Financial Services LLC

Brokerage Name and Address:
SUNTRUST INVESTMENT SERVICES INC
P.O. BOX 596
RICHMOND, VA 23286

2006 Tax Reporting Statement

Account No.	Taxpayer ID.	Page
[redacted]	[redacted]	1 of 2

Payer's Name and Address:
NATIONAL FINANCIAL SERVICES LLC
200 LIBERTY ST. 5TH FLR
NEW YORK, NY 10281-5500

[redacted]

0265473 02 AT 0.648 **AUTO T4 0 8810 28784-711751
C A 01
J HEATH SHULER
[redacted]

Customer Service: 800-526-1177

00002

Form 1099-DIV *	2006 Dividends and Distributions	OMB NO. 1545-0110 Copy B for Recipient	Amount
1a Total Ordinary Dividends			1,819.80
1b Qualified Dividends			0.00
2a Total Capital Gain Distributions (Includes 2b - 2d)			0.00
2b Capital Gains that represent Unrecaptured Section 1250 Gain			0.00
2c Capital Gains that represent Section 1202 Gain			0.00
2d Capital Gains that represent Collectibles (28%) Gain			0.00
Nondividend Distributions			0.00
Federal Income Tax Withheld			0.00
Investment Expenses			0.00
Foreign Tax Paid			0.00
Foreign Country or U.S. Possession			
Cash Liquidation Distributions			0.00
Noncash Liquidation Distributions			0.00

Form 1099-INT *	2006 Interest Income	OMB NO. 1545-0112 Copy B for Recipient	Amount
Interest Income			0.00
Early Withdrawal Penalty			0.00
Interest on U.S. Savings Bonds and Treas. Obligations			0.00
Federal Income Tax Withheld			0.00
Investment Expenses			0.00
Foreign Tax Paid			0.00
Foreign Country or U.S. Possession			
Tax-Exempt Interest			0.00

Form 1099-MISC *	2006 Miscellaneous Income	OMB NO. 1545-0115 Copy B for Recipient	Amount
Royalties			0.00
Federal Income Tax Withheld			0.00
Substitute Payments in Lieu of Dividends or Interest			0.00

Summary of 2006 Proceeds From Broker and Barter Exchange Transactions	Amount
Gross Proceeds Less Commissions	0.00†
Federal Income Tax Withheld	0.00†

†Gross Proceeds from each of your security transactions are reported individually to the IRS. Refer to the Form 1099-B section of this statement. Report gross proceeds individually for each security on the appropriate IRS tax return. Do not report gross proceeds in aggregate.

Summary of 2006 Original Issue Discount	Amount
Total Original Issue Discount	0.00‡
Total Original Issue Discount on U.S. Treasury Obligations	0.00‡

‡Original Issue Discount (OID) amounts are reported individually to the IRS. Refer to the Original Issue Discount detail section of this statement.

*This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Please see the enclosed important instructions.

National Financial Services LLC

2006 Supplemental Information

Account No	Taxpayer ID	Page
[redacted]	[redacted]	1 of 2

Customer Service: 800-526-1177

J HEATH SHULER
[redacted]

Detail Information — **1099-DIV — Dividends and Distributions**

Description	CUSIP	Date	Amount	Total
This detail information is not reported to the IRS. It is provided for your assistance in tax return preparation.				
Total Ordinary Dividends - LINE 1a (Includes 1b)				
Dividend Distributions				
STI CLASSIC PRIME QUALITY MMKT CORE	724766825	01/31/06	0.15	
		02/28/06	0.13	
		03/31/06	0.14	
		04/28/06	184.00	
		05/31/06	390.04	
		06/30/06	387.25	
		07/31/06	345.82	
		08/31/06	104.46	
		09/29/06	101.44	
		10/31/06	104.99	
		11/30/06	102.04	
		12/29/06	106.34	1,819.60
		Total Dividend Distributions		1,819.60
		Total Ordinary Dividends - LINE 1a (Includes 1b)		1,819.60 #

- Shortterm capital gain distributions reported on account statements are included in "Total Ordinary Dividends" on Form 1099-DIV. Such gains may also be included in "Qualified Dividends" on Form 1099-DIV if they may be taxed as qualified dividends. As a result, the reporting of shortterm capital gain distributions on account statements and on Form 1099-DIV may not match.

WACHOVIA SECURITIES

Sub / Branch / Reg / Account No.
[redacted]

JOSEPH HEATH SHULER
RITTENHOUSE

Your Financial Advisor :
BILL DAVIS,JR
6540 COLONNADE CENTER DRIVE
SUITE, 101
RALEIGH NC 27615
919-841-6400 / 800-482-3939

Payer:
FIRST CLEARING, LLC
10700 WHEAT FIRST DRIVE
GLEN ALLEN, VA 23060
Payer ID #: 23-2384840

As of Date: 2/09/07

Details of Reportable Tax Information

While only the Form 1099 box totals are reported to the IRS, the following specific transaction detail is being provided to you for courtesy purposes. The IRS box numbers referenced for individual items listed below comprise the totals which appear on the actual Forms 1099, shown on the first page of this package. Please consult with your Financial Advisor or tax advisor regarding specific questions.

Tax reporting requirements can create differences with the amounts previously reported in monthly client statements. If you have an investment in a mutual fund, regulated investment company (RIC), real estate investment trust (REIT), or unit investment trust (UIT), some of those issuers provide reclassification information after the original tax form is printed. We will issue an amended form for information received after your original tax forms are generated.

1099-DIV Dividends and Distributions

Description	Notes	Payment Date	Ex-Div Date	Activity	Amount	IRS Box	Country
ABBOTT LABORATORIES	*	05/15/2006	4/11/2006	QUALIFIED DIVIDEND	15.84	1a, 1b	
AMER INTL GROUP INC	*	03/17/2006	3/01/2006	QUALIFIED DIVIDEND	6.30	1a, 1b	
BAKER HUGHES INC	*	02/17/2006	2/02/2006	QUALIFIED DIVIDEND	3.77	1a, 1b	
BANK OF NEW YORK INC	*	02/02/2006	1/23/2006	QUALIFIED DIVIDEND	11.34	1a, 1b	
CARNIVAL CORP	*	03/10/2006	2/15/2006	QUALIFIED DIVIDEND	8.00	1a, 1b	PM
CINTAS CORP	*	03/14/2006	2/03/2006	QUALIFIED DIVIDEND	19.25	1a, 1b	
CITIGROUP INC	*	02/24/2006	2/02/2006	QUALIFIED DIVIDEND	32.34	1a, 1b	
COLGATE PALMOLIVE CO	*	02/15/2006	1/24/2006	QUALIFIED DIVIDEND	14.79	1a, 1b	
DANAHER CORP	*	04/28/2006	3/29/2006	QUALIFIED DIVIDEND	0.80	1a, 1b	
DISNEY WALT COMPANY	*	01/06/2006	12/08/2005	QUALIFIED DIVIDEND	36.45	1a, 1b	
GENERAL ELECTRIC COMPANY	*	01/26/2006	12/21/2006	QUALIFIED DIVIDEND	36.25	1a, 1b	
GENERAL ELECTRIC COMPANY	*	04/25/2006	3/23/2006	QUALIFIED DIVIDEND	36.25	1a, 1b	
HONEYWELL INTERNATIONAL	*	03/10/2006	2/23/2006	QUALIFIED DIVIDEND	13.16	1a, 1b	
ILL TOOL WORKS INC	*	01/23/2006	12/28/2005	QUALIFIED DIVIDEND	6.27	1a, 1b	
ILL TOOL WORKS INC	*	04/17/2006	3/29/2006	QUALIFIED DIVIDEND	10.56	1a, 1b	

IMPORTANT TAX INFORMATION - PLEASE RETAIN FOR TAX PREPARATION PURPOSES

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2006 FORMS 1099

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JOSEPH HEATH SHULER

Your Financial Advisor :
BILL DAVIS,JR
8540 COLONNADE CENTER DRIVE
SUITE, 101
RALEIGH NC 27615
919-841-5400 / 800-462-3939

Payer:
FIRST CLEARING, LLC
10700 WHEAT FIRST DRIVE
GLEN ALLEN, VA 23060
Payer ID #: 23-2384840

As of Date: 2/09/07

Details of Reportable Tax Information

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1099-DIV Dividends and Distributions

Description	Notes	Payment Date	Ex-Div Date	Activity	Amount	IRS Box	Country
ABBOTT LABORATORIES	*	02/15/2006	1/11/2006	QUALIFIED DIVIDEND	21.73	1a, 1b	
ABBOTT LABORATORIES	*	05/15/2006	4/11/2006	QUALIFIED DIVIDEND	23.31	1a, 1b	
ALLSTATE CORP	*	01/03/2006	11/28/2005	QUALIFIED DIVIDEND	19.52	1a, 1b	
ALLSTATE CORP	*	04/03/2006	3/08/2006	QUALIFIED DIVIDEND	21.35	1a, 1b	
AON CORP	*	02/14/2006	1/30/2006	QUALIFIED DIVIDEND	18.20	1a, 1b	
AT & T INC	*	02/01/2006	1/06/2006	QUALIFIED DIVIDEND	50.54	1a, 1b	
AT & T INC	*	05/01/2006	4/06/2006	QUALIFIED DIVIDEND	50.54	1a, 1b	
BAXTER INTERNATIONAL INC	*	01/05/2006	12/07/2005	QUALIFIED DIVIDEND	54.71	1a, 1b	
BRISTOL MYERS SQUIBB CO	*	02/01/2006	1/04/2006	QUALIFIED DIVIDEND	41.44	1a, 1b	
BRISTOL MYERS SQUIBB CO	*	05/01/2006	4/05/2006	QUALIFIED DIVIDEND	41.44	1a, 1b	
CHEVRON CORPORATION	*	03/10/2006	2/14/2006	QUALIFIED DIVIDEND	28.35	1a, 1b	
CHUBB CORP	*	01/10/2006	12/20/2005	QUALIFIED DIVIDEND	18.06	1a, 1b	
CHUBB CORP	*	04/04/2006	3/15/2006	QUALIFIED DIVIDEND	21.00	1a, 1b	
CONAGRA FOODS INC	*	03/01/2006	1/26/2006	QUALIFIED DIVIDEND	44.42	1a, 1b	
CONOCOPHILLIPS	*	03/01/2006	2/16/2006	QUALIFIED DIVIDEND	21.24	1a, 1b	

IMPORTANT TAX INFORMATION - PLEASE RETAIN FOR TAX PREPARATION PURPOSES

[redacted]

2006 FORMS 1099

WACHOVIA SECURITIES

[redacted]

JOSEPH HEATH SHULER

Your Financial Advisor :
BILL DAVIS JR
8540 COLONNADE CENTER DRIVE
SUITE, 101
RALEIGH NC 27615
919-841-5400 / 800-482-3939

Payer:
FIRST CLEARING, LLC
10700 WHEAT FIRST DRIVE
GLEN ALLEN, VA 23060
Payer ID #: 23-2384840

As of Date: 2/02/07

Details of Reportable Tax Information

1099-DIV Dividends and Distributions *Continued*

Description	Notes	Payment Date	Ex-Div Date	Activity	Amount	IRS Box	Country
DU PNT EI DE NEMOURS &CO	*	03/14/2006	2/13/2006	QUALIFIED DIVIDEND	34.78	1a, 1b	
EXXON MOBIL CORP	*	03/10/2006	2/08/2006	QUALIFIED DIVIDEND	19.84	1a, 1b	
GAP INC	*	01/25/2006	12/30/2005	QUALIFIED DIVIDEND	9.18	1a, 1b	
GAP INC	*	04/25/2006	3/31/2006	QUALIFIED DIVIDEND	16.32	1a, 1b	
HARTFORD FINL SVCS GROUP	*	01/03/2006	11/29/2005	QUALIFIED DIVIDEND	13.80	1a, 1b	
HARTFORD FINL SVCS GROUP	*	04/03/2006	2/27/2006	QUALIFIED DIVIDEND	18.40	1a, 1b	
HEINZ H J CO COMMON	*	04/10/2006	3/22/2006	QUALIFIED DIVIDEND	31.50	1a, 1b	
HEWLETT-PACKARD COMPANY	*	01/04/2006	12/12/2005	QUALIFIED DIVIDEND	12.08	1a, 1b	
HEWLETT-PACKARD COMPANY	*	04/05/2006	3/13/2006	QUALIFIED DIVIDEND	12.08	1a, 1b	
HUNTINGTON BANCSHARES IN	*	01/03/2006	12/14/2005	QUALIFIED DIVIDEND	31.82	1a, 1b	
HUNTINGTON BANCSHARES IN	*	04/03/2006	3/15/2006	QUALIFIED DIVIDEND	37.00	1a, 1b	
INTL BUSINESS MACH CORP	*	03/10/2006	2/08/2006	QUALIFIED DIVIDEND	8.80	1a, 1b	
KIMBERLY-CLARK CORP	*	01/04/2006	12/07/2005	QUALIFIED DIVIDEND	26.10	1a, 1b	
KIMBERLY-CLARK CORP	*	04/04/2006	3/08/2006	QUALIFIED DIVIDEND	28.42	1a, 1b	
LIMITED BRANDS INC	*	03/17/2006	2/28/2006	QUALIFIED DIVIDEND	22.95	1a, 1b	
MERCK & CO INC	*	01/03/2006	11/30/2005	QUALIFIED DIVIDEND	45.60	1a, 1b	
MERCK & CO INC	*	04/03/2006	3/08/2006	QUALIFIED DIVIDEND	45.60	1a, 1b	
MORGAN STANLEY & CO	*	01/31/2006	1/11/2006	QUALIFIED DIVIDEND	18.90	1a, 1b	
MORGAN STANLEY & CO	*	04/28/2006	4/11/2006	QUALIFIED DIVIDEND	18.90	1a, 1b	
PFIZER INCORPORATED	*	03/07/2006	2/08/2006	QUALIFIED DIVIDEND	33.38	1a, 1b	
PROGRESS ENERGY INC	*	02/01/2006	1/06/2006	QUALIFIED DIVIDEND	51.43	1a, 1b	

IMPORTANT TAX INFORMATION - PLEASE RETAIN FOR TAX PREPARATION PURPOSES

[redacted]

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2006 FORMS 1099

[redacted]

JOSEPH HEATH SHULER

Your Financial Advisor :
BILL DAVIS,JR
8540 COLONNADE CENTER DRIVE
SUITE, 101
RALEIGH NC 27615
919-641-5400 / 800-462-3039

Payer:
FIRST CLEARING, LLC
10700 WHEAT FIRST DRIVE
GLEN ALLEN, VA 23060
Payer ID #: 23-2384840

As of Date: 2/09/07

Details of Reportable Tax Information

1099-DIV Dividends and Distributions *Continued*

Description	Notes	Payment Date	Ex-Div Date	Activity	Amount	IRS Box	Country
PROGRESS ENERGY INC	*	05/01/2006	4/06/2006	QUALIFIED DIVIDEND	51.43	1a, 1b	
SAFEWAY INC NEW	*	01/20/2006	12/28/2005	QUALIFIED DIVIDEND	7.75	1a, 1b	
SAFEWAY INC NEW	*	04/21/2006	3/29/2006	QUALIFIED DIVIDEND	7.75	1a, 1b	
UNION PACIFIC CORP	*	01/03/2006	12/12/2005	QUALIFIED DIVIDEND	15.60	1a, 1b	
UNION PACIFIC CORP	*	04/03/2006	3/06/2006	QUALIFIED DIVIDEND	15.60	1a, 1b	
VERIZON COMMUNICATIONS	*	02/01/2006	1/06/2006	QUALIFIED DIVIDEND	46.98	1a, 1b	
VERIZON COMMUNICATIONS	*	05/01/2006	4/06/2006	QUALIFIED DIVIDEND	46.98	1a, 1b	
WACHOVIA CORP	*	03/15/2006	2/27/2006	QUALIFIED DIVIDEND	37.23	1a, 1b	
WASHINGTON MUT INC	*	02/15/2006	1/27/2006	QUALIFIED DIVIDEND	43.50	1a, 1b	
WASTE MGMT INC DEL	*	03/24/2006	3/02/2006	QUALIFIED DIVIDEND	26.82	1a, 1b	
WYETH	*	03/01/2006	2/09/2006	QUALIFIED DIVIDEND	20.25	1a, 1b	
TOTAL ORDINARY DIVIDENDS (INCLUDING QUALIFIED DIVS AND SHORT TERM CAP GAINS)					1,332.60	1a	
TOTAL QUALIFIED DIVIDENDS					1,332.60	1b	

* The "ex-dividend" date information displayed for qualified dividends reported on Form 1099-DIV are to assist you with holding period calculations. First Clearing, LLC does not certify all dates are accurate.

1099-INT Interest Income

Date	Description	Notes	Activity	Amount	IRS Box	Country
01/31/06	BANK DEPOSIT SWEEP OPT		INTEREST	9.14	1	
02/28/06	BANK DEPOSIT SWEEP OPT		INTEREST	15.89	1	
03/31/06	BANK DEPOSIT SWEEP OPT		INTEREST	16.33	1	
04/18/06	BANK DEPOSIT SWEEP OPT		INTEREST	8.87	1	

IMPORTANT TAX INFORMATION - PLEASE RETAIN FOR TAX PREPARATION PURPOSES

[redacted]

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2006 ENHANCED 1099

WACHOVIA SECURITIES

Sub / Branch / Rep / Account No / CAP Account No

JOSEPH HEATH SHULER

Your Financial Advisor :
BILL DAVIS,JR
8540 COLONNADE CENTER DRIVE
SUITE, 101
RALEIGH NC 27615
919-841-5400 / 800-462-3939

Payer:
FIRST CLEARING, LLC
10700 WHEAT FIRST DRIVE
GLEN ALLEN, VA 23060
Payer ID #: 23-2384840

As of Date: 2/09/07

Details of Reportable Tax Information

While only the Form 1099 box totals are reported to the IRS, the following specific transaction detail is being provided to you for courtesy purposes. The IRS box numbers referenced for individual items listed below comprise the totals which appear on the actual Forms 1099, shown on the first page of this package. Please consult with your Financial Advisor or tax advisor regarding specific questions.

Tax reporting requirements can create differences with the amounts previously reported in monthly client statements. If you have an investment in a mutual fund, regulated investment company (RIC), real estate investment trust (REIT), or unit investment trust (UIT), some of those issuers provide reclassification information after the original tax form is printed. We will issue an amended form for information received after your original tax forms are generated.

1099-DIV Dividends and Distributions

Description	Notes	Payment Date	Ex-Div Date	Activity	Amount	IRS Box	Country
AMER INTL GROUP INC	*	03/17/2006	3/01/2006	QUALIFIED DIVIDEND	15.00	1a, 1b	
APPLIED MATERIALS INC	*	03/09/2006	2/14/2006	QUALIFIED DIVIDEND	18.00	1a, 1b	
GENERAL ELECTRIC COMPANY	*	01/25/2006	12/21/2006	QUALIFIED DIVIDEND	175.00	1a, 1b	
GENERAL ELECTRIC COMPANY	*	04/25/2006	3/23/2006	QUALIFIED DIVIDEND	175.00	1a, 1b	
INTEL CORP	*	03/01/2006	2/03/2006	QUALIFIED DIVIDEND	20.00	1a, 1b	
MICROSOFT CORP	*	03/09/2006	2/15/2006	QUALIFIED DIVIDEND	36.00	1a, 1b	
NOKIA CORP SPONSORED ADR	*	04/26/2006	3/31/2006	QUALIFIED DIVIDEND	180.89	1a, 1b	FI
NOKIA CORP SPONSORED ADR	*	05/26/2006	3/31/2006	QUALIFIED DIVIDEND	1.16	1a, 1b	FI
PFIZER INCORPORATED	*	03/07/2006	2/08/2006	QUALIFIED DIVIDEND	48.00	1a, 1b	
TOTAL ORDINARY DIVIDENDS (INCLUDING QUALIFIED DIV9 AND SHORT TERM CAP GAINS)					669.05	1a	
TOTAL QUALIFIED DIVIDENDS					669.05	1b	
NOKIA CORP SPONSORED ADR		04/26/2006		FOREIGN TAX	-27.13	6,7	FI
TOTAL FOREIGN TAX PAID					-27.13	6	

* The "ex-dividend" date information displayed for qualified dividends reported on Form 1099-DIV are to assist you with holding period calculations. First Clearing, LLC does not certify all dates are accurate.

IMPORTANT TAX INFORMATION - PLEASE RETAIN FOR TAX PREPARATION PURPOSES

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2006 ENHANCED 1099

Rep / Branch / Rep / Account No / CAP Account No

JOSEPH HEATH SHULER

Your Financial Advisor :
BILL DAVIS,JR
8540 COLONNADE CENTER DRIVE
SUITE, 101
RALEIGH NC 27615
919-841-6400 / 800-482-3939

Payer:
FIRST CLEARING, LLC
10700 WHEAT FIRST DRIVE
GLEN ALLEN, VA 23060
Payer ID #: 23-2384840

As of Date: 2/09/07

Details of Reportable Tax Information

1099-INT Interest Income

Date	Description	Notes	Activity	Amount	IRS Box	Country
01/31/06	BANK DEPOSIT SWEEP OPT		INTEREST	56.46	1	
02/28/06	BANK DEPOSIT SWEEP OPT		INTEREST	57.84	1	
03/31/06	BANK DEPOSIT SWEEP OPT		INTEREST	67.42	1	
04/18/06	BANK DEPOSIT SWEEP OPT		INTEREST	17.27	1	
04/28/06	BANK DEPOSIT SWEEP OPT		INTEREST	6.73	1	
05/31/06	BANK DEPOSIT SWEEP OPT		INTEREST	21.31	1	
06/30/06	BANK DEPOSIT SWEEP OPT		INTEREST	21.56	1	
07/31/06	BANK DEPOSIT SWEEP OPT		INTEREST	22.88	1	
08/31/06	BANK DEPOSIT SWEEP OPT		INTEREST	22.72	1	
09/29/06	BANK DEPOSIT SWEEP OPT		INTEREST	22.05	1	
10/31/06	BANK DEPOSIT SWEEP OPT		INTEREST	22.35	1	
11/30/06	BANK DEPOSIT SWEEP OPT		INTEREST	21.34	1	
12/29/06	BANK DEPOSIT SWEEP OPT		INTEREST	22.11	1	
	TOTAL INTEREST INCOME NOT INCLUDED IN BOX 3			382.04	1	

IMPORTANT TAX INFORMATION - PLEASE RETAIN FOR TAX PREPARATION PURPOSES

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The Fidelity Advisor 529 Plan
Sponsored by the State of New Hampshire
Managed by Fidelity Investments

Customer Statement Period : 01/01/06 to 12/31/06
PAGE 1 of 1

Are you on target to meet your college savings goals? Talk to your advisor about the potential benefits of systematic investing with the Fidelity Advisor 529 Plan or call a Fidelity representative at (877) 208-0094, Monday through Friday, from 8:30 a.m. to 7:00 p.m. ET, to learn more.

JOSEPH H SHULER [redacted]
IRENE ISLAND NIKOL SHULER
[redacted]

SUNTRUST INVESTMENT SERVICES INC
9950 KINGSTON PIKE
KNOXVILLE, TN 37922-3319
Rep. Name: MASON JR/TAYLOR
Rep. Number: 711
Dealer Number: 61030
Branch Number: 91553

ACCOUNT INFORMATION [redacted]

Participant - JOSEPH H SHULER
Beneficiary - ISLAND NIKOL SHULER

ACCOUNT SUMMARY

Account Value As Of 12/31/05	$	10,053.88
Additions (this statement period)	$	0.00
Distributions (this statement period)	$	0.00
Change in Investment Value	$	1,304.58
Account Value As Of 12/31/06	$	11,358.46

COLLEGE INVESTMENT DETAILS

2006 contribution cap:	$	270,000.00
Total beneficiary prior year-end balance:	$	10,053.88
Total beneficiary contributions(YTD):	$	0.00
2006 remaining to contribute:	$	259,946.12
Total beneficiary contributions(life of plan):	$	10,000.00

529 PORTFOLIO SUMMARY

Portfolio Name	Portfolio - Acct #	Market Value 12/31/05	Market Value 12/31/06	Units Held 12/31/06	Net Unit Value 12/31/06	% of Total
FA 529 PORT 2022-CL A	1213-007011101081	10,053.88	11,358.46	672.068	16.90	100.00
TOTAL		$10,053.88	$11,358.46			100.00

TRANSACTION DETAILS

FID ADVISOR 529 PORT 2022

Pool # 1213 - FA 529 PORT 2022-CL A

Transaction Description	Front-End Sales Charge	Confirm Date	Trade Date	Dollar Amount	Unit Price	Unit Amount	Unit Balance
Beginning Balance							673.401
Annual Maintenance Fee		03/06/06	03/06/06	$ (20.00)	$15.35	(1.333)	672.068
Ending Balance							672.068

Fidelity INVESTMENTS

M # 122906 1900 2511462 37

The Fidelity Advisor 529 Plan
Sponsored by the State of New Hampshire
Managed by Fidelity Investments

Customer Statement Period : 01/01/06 to 12/31/06
PAGE 1 of 1

Are you on target to meet your college savings goals? Talk to your advisor about the potential benefits of systematic investing with the Fidelity Advisor 529 Plan or call a Fidelity representative at (877) 208-0028, Monday through Friday, from 8:30 a.m. to 7:00 p.m. ET, to learn more.

JOSEPH H SHULER [redacted]
[redacted]

SUNTRUST INVESTMENT SERVICES INC
9950 KINGSTON PIKE
KNOXVILLE, TN 37922-3319
Rep. Name: MASON JR
Rep. Number: 711
Dealer Number: 81030
Branch Number: 91653

ACCOUNT INFORMATION [redacted]

Participant - JOSEPH H SHULER
Beneficiary - NAVY H SHULER

ACCOUNT SUMMARY

Account Value As Of 12/31/05	$	28,771.39
+ Additions (this statement period)	$	0.00
Distributions (this statement period)	$	0.00
Change in Investment Value	$	3,480.22
Account Value As Of 12/31/06	$	32,251.61

COLLEGE INVESTMENT DETAILS

2006 contribution cap:	$	70,000.00
Total beneficiary prior year-end balance:	$	28,771.39
Total beneficiary contributions(YTD):	$	0.00
2006 remaining to contribute:	$	41,228.61
Total beneficiary contributions(life of plan):	$	20,000.00

529 PORTFOLIO SUMMARY

Portfolio Name	Portfolio - Acct #	Market Value 12/31/05	Market Value 12/31/06	Units Held 12/31/06	Net Unit Value 12/31/06	% of Total
FA 529 PORT 2019-OLD CL A	5500-00539820192	28,771.39	32,251.61	2,343.711	13.63	100.00
TOTAL		$28,771.39	$32,251.61			100.00

TRANSACTION DETAILS

FID ADV SCR 529 PORT 2019

Pool # 5100 - FA 529 PORT 2019-OLD CL A

Transaction Description	Front-End Sales Charge	Position Date	Trade Date	Dollar Amount	Unit Price	Unit Amount	Unit Balance
Beginning Balance							2,343.711
No Transaction Activity For This Period							
Ending Balance							2,343.711

Fidelity INVESTMENTS

M # 122906 1300 [illegible]

United State House of Representatives
Financial Disclosure Statement for Calendar Year 2006

Joseph Heath Shuler
NC-11

Attachment to Schedule IV

2006 Tax Reporting Statement

[redacted]

Page 2 of 8

Payer's Name and Address:
NATIONAL FINANCIAL SERVICES LLC
200 LIBERTY ST. 5TH FLR
NEW YORK, NY 10281-5560

National Financial Services LLC

Brokerage Name and Address:
SUNTRUST INVESTMENT SERVICES INC
P.O. BOX 596
RICHMOND, VA 23266

[redacted]

Customer Service: 800-874-4770

Form 1099-B *

2006 Proceeds from Broker and Barter Exchange Transactions

OMB NO. 1545-0715
Copy B for Recipient

Description (7)	CUSIP (1b)	Quantity	Event	Date Sold or Disposed (1c)	Sales Price, Bonds, etc. (2)[1]	Federal Income Tax Withheld (4)
ACE LTD ORD USD0.125 ISIN #KYG0070K10	G0070K103	37.000	SALE	03/13/06	2,170.36	0.00
EVEREST REINSURANCE GROUP LTD ISIN	G3223R108	37.000	SALE	03/08/06	3,457.54	0.00
HELEN OF TROY LTD SHS ISIN #BMG4388N1	G4388N106	139.0	SALE	03/01/06	2,523.35	0.00
MAX RE CAPITAL LTD HAMILTON ISIN	G6052F103	101.0	SALE	02/14/06	2,553.77	0.00
ORTHOFIX INTL N V SEDOL #2644547 ISIN	N6748L102	[illegible]	SALE	04/03/06	5,879.32	0.00
OMI CORPORATION SHS ISIN #MHY6476W104	Y6476W104	[illegible]	SALE	03/03/06	6,637.97	0.00
ABBOTT LABORATORIES	002824100	[illegible]	SALE	03/13/06	5,676.41	0.00
AFFILIATED MANAGERS GROUP	008252108	16.000	SALE	03/23/06	1,316.05	0.00
		16.000	SALE	10/16/06	1,731.34	0.00
		7.000	SALE	11/01/06	827.63	0.00
ALLSTATE CORP	020002101	60.000	SALE	03/20/06	3,286.82	0.00
AMERICAN EAGLE OUTFITTERS	02553E106	24.000	SALE	03/10/06	2,820.17	0.00
		61.000	SALE	10/05/06	4,114.37	0.00
AMERICAN HOME MTG INVESTMENTS R	02660R107	57.000	SALE	04/03/06	1,753.39	0.00
AMER INTL GROUP INC	026874107	26.000	SALE	04/03/06	1,704.28	0.00
		8.000	SALE	05/23/06	524.94	0.00
AMGEN INC	031162100	59.000	SALE	03/03/06	4,045.38	0.00
		69.000	SALE	03/27/06	4,831.84	0.00
ANSYS INC	03662Q105	33.000	SALE	02/02/06	1,483.49	0.00
ANTHEM INTL CORP CASH MERGER @55.50	037347108	105.000	SALE	01/09/06	5,734.64	0.00
AON CORP	037389103	42.000	SALE	03/07/06	1,749.76	0.00
		148.000	SALE	10/13/06	5,288.62	0.00
APPLE COMPUTER INC	037833100	26.000	SALE	04/06/06	1,865.18	0.00
		70.000	SALE	02/22/06	4,762.56	0.00
ARKANSAS BEST CORP	040790107	120.000	SALE	02/10/06	5,085.97	0.00
ARKEMA SPONSORED ADR	041232109	0.000	CASH IN LIEU	05/30/06	14.54	0.00
		1.000	SALE	06/07/06	39.64	0.00
ARMOR HLDGS INC COM	042260109	148.000	SALE	10/13/06	8,283.38	0.00
AVISTA CORP	053793107	109.000	SALE	03/08/06	2,951.49	0.00
		171.000	SALE	07/06/06	3,969.40	0.00
BAKER HUGHES INC	057224107	63.000	SALE	10/04/06	4,205.12	0.00
BANK NEW YORK INC	064057102	189.000	SALE	06/22/06	6,471.25	0.00
BAXTER INTL INC	071813109	111.000	SALE	06/22/06	4,866.33	0.00
		121.000	SALE	10/13/06	5,587.60	0.00
BEAZER HOMES USA INC	07556Q105	65.000	SALE	10/04/06	2,832.79	0.00
BED BATH & BEYOND INC	075896100	43.000	SALE	04/03/06	1,840.89	0.00
BERKLEY W R CORP	084423102	97.000	SALE	04/27/06	3,259.03	0.00
		117.000	SALE	05/11/06	4,036.62	0.00
BOEING CO	097023105	140.000	SALE	01/31/06	9,842.55	0.00
BROADCOM CORP CL A	111320107	15.000	SALE	10/13/06	645.88	0.00
CV THERAPEUTICS INC	126667104	158.000	SALE	04/06/06	3,317.91	0.00

Corrected

*This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

National Financial Services LLC

Brokerage Name and Address:
SUNTRUST INVESTMENT SERVICES INC
P.O. BOX 598
RICHMOND, VA 23286

[redacted]

2006 Tax Reporting Statement

[redacted]

Page 3 of 8

Payer's Name and Address:
NATIONAL FINANCIAL SERVICES LLC
200 LIBERTY ST. 5TH FLR
NEW YORK, NY 10281-5500

[redacted]

Customer Service: 800-874-4770

Form 1099-B*

2006 Proceeds from Broker and Barter Exchange Transactions

OMB NO. 1545-0715
Copy B for Recipient

Description (f)	Date Sold (b)	Quantity	Event	Date Acquired	Sale or Exchange (e)	Stocks, Bonds, etc. (a)	Federal Income Tax Withheld (d)
CV THERAPEUTICS INC	126397104	52.000	SALE	[illegible]	10/04/06	568.40	0.00
CARNIVAL CORP PAIRED CTF 1 COM CARNEV	143658300	67.000	SALE	[illegible]	10/04/06	3,188.43	0.00
CENTURY ALUMINUM CO	156431108	[illegible]	SALE	[illegible]	10/04/06	2,147.79	0.00
CEPHALON INC	[illegible]	[illegible]	SALE	[illegible]	10/13/06	2,655.03	0.00
CHESAPEAKE ENERGY CORPORATION OKLAHOMA	165167107	[illegible]	SALE	[illegible]	09/08/06	2,259.08	0.00
CHEVRON CORP NEW	166764100	[illegible]	SALE	[illegible]	10/13/06	4,715.65	0.00
CHIQUITA BRANDS INC	[illegible]	[illegible]	SALE	[illegible]	09/12/06	2,224.97	0.00
[illegible]	[illegible]	[illegible]	SALE	[illegible]	10/13/06	5,464.94	0.00
[illegible]	[illegible]	[illegible]	SALE	[illegible]	09/22/06	7,476.03	0.00
CINTAS CORP	[illegible]	117.000	SALE	[illegible]	09/22/06	4,590.49	0.00
CITIGROUP INC	[illegible]	181.000	SALE	[illegible]	09/13/06	9,382.50	0.00
CITRIX SYSTEMS INC	[illegible]	54.000	SALE	[illegible]	10/13/06	1,590.46	0.00
COACH INC	[illegible]	68.000	SALE	[illegible]	10/13/06	2,401.06	0.00
COLGATE-PALMOLIVE CO	[illegible]	59.000	SALE	[illegible]	09/13/06	3,682.04	0.00
	[illegible]	69.000	SALE	[illegible]	09/13/06	3,954.30	0.00
COMMERCIAL METALS CO	201723103	210.000	SALE	[illegible]	07/06/06	5,158.73	0.00
COM CAPACITY CORP	[illegible]	49.000	SALE	[illegible]	04/06/06	1,731.22	0.00
CONCERT TELECOMMUNICATIONS CORP COM N	[illegible]	31.000	SALE	[illegible]	04/06/06	918.23	0.00
CONSTELLATION	[illegible]	99.000	SALE	[illegible]	04/06/06	2,624.81	0.00
	[illegible]	75.000	SALE	[illegible]	10/13/06	4,607.73	0.00
CRYSTALOGIC INC	[illegible]	104.000	SALE	[illegible]	10/04/06	1,600.84	0.00
DANAHER CORP	[illegible]	40.000	SALE	[illegible]	04/06/06	2,637.59	0.00
		46.000	SALE	[illegible]	06/23/06	2,903.18	0.00
DAVITA INC COM	[illegible]	54.000	SALE	[illegible]	08/22/06	3,068.25	0.00
DECKERS OUTDOOR	[illegible]	56.000	SALE	[illegible]	09/10/06	3,677.55	0.00
DELL INC	247026101	87.000	SALE	[illegible]	04/06/06	2,584.69	0.00
		75.000	SALE	[illegible]	08/22/06	1,632.70	0.00
DORAL FINANCIAL CORP ISIN #PR25811P10	25811P100	253.000	SALE	[illegible]	10/13/06	1,426.87	0.00
DU PONT E I DE NEMOURS & CO	263534109	60.000	SALE	[illegible]	10/13/06	2,711.91	0.00
E M C CORP MASS	268648102	281.000	SALE	[illegible]	08/22/06	3,126.32	0.00
EKSCO INTERNATIONAL INC	2887A0100	42.000	SALE	[illegible]	10/04/06	1,676.58	0.00
E TRADE FINANCIAL CORP	269246104	70.000	SALE	[illegible]	10/13/06	1,568.05	0.00
EATON CORP	278058102	15.000	SALE	[illegible]	07/06/06	1,122.58	0.00
ELECTRONICS ARTS	285512109	87.000	SALE	[illegible]	08/22/06	4,476.55	0.00
EMERSON ELECTRIC CO	291011104	50.000	SALE	[illegible]	08/22/06	4,047.11	0.00
ENERGY PARTNERS LTD COM	29270U105	148.000	SALE	[illegible]	04/06/06	3,397.97	0.00
		128.000	SALE	[illegible]	06/06/06	2,559.49	0.00
EXPRESS SCRIPTS INC COM FORMERLY CL A	302182100	22.000	SALE	[illegible]	10/04/06	1,589.42	0.00
		12.000	SALE	[illegible]	10/13/06	830.73	0.00
EXXON MOBIL CORP	30231G102	145.000	SALE	[illegible]	02/24/06	8,767.85	0.00
FEDEX CORP	31428X106	16.000	SALE	[illegible]	10/13/06	1,711.14	0.00

Corrected

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2006 Tax Reporting Statement

[redacted]

NATIONAL FINANCIAL SERVICES LLC
[redacted]00 LIBERTY ST. 5TH FLR
[redacted]EW YORK, NY 10281-5500

[redacted]

[redacted]

National Financial Services LLC

Brokerage Name and Address:
SUNTRUST INVESTMENT SERVICES INC
P.O. BOX 596
RICHMOND, VA 23286

Federal ID Number: 04-3523567

Customer Service: 800-874-4770

Form 1099-B *

2006 Proceeds from Broker and Barter Exchange Transactions

OMB NO. 1545-0715
Copy B for Recipient

Description (7)	CUSIP (1b)	Quantity	Event	Date or Total	Stocks, Bonds, etc.	Federal Income Tax Withheld (4)
FIRSTFED FINL CORP DEL	337907109	25.000	[illegible]	[illegible]/06	1,403.88	0.00
		59.000	[illegible]	[illegible]/06	3,351.19	0.00
FISHER SCIENTIFIC INTL EXCH'D FOR 2.0	338032204	20.000	[illegible]	[illegible]/06	1,629.74	0.00
FRANKLIN RES INC	354613101	33.000	[illegible]	[illegible]	3,414.85	0.00
FREMONT GEN CORP	357288109	[illegible]	SALE	[illegible]	[illegible]	0.00
GAP INC DEL	364760108	[illegible]	SALE	10/16/06	4,589.25	0.00
GOODYEAR TIRE & RUBBER CO	382550101	[illegible]	SALE	[illegible]	3,347.09	0.00
		[illegible]	SALE	[illegible]	1,493.71	0.00
GRANT PRIDECO INC	[illegible]	83.000	SALE	[illegible]	2,125.12	0.00
GRIFFON CORP	[illegible]	136.000	SALE	[illegible]	3,289.83	0.00
HARTFORD FINL SVCS GROUP INC	[illegible]	67.000	SALE	[illegible]	5,941.61	0.00
HEINZ H J CO	[illegible]	79.000	SALE	[illegible]	3,297.35	0.00
HEWLETT-PACKARD CO DE	[illegible]103	205.000	SALE	[illegible]	7,[illegible]	0.00
HILB ROGAL & BOBBS CO FORMERLY [illegible]	[illegible]84107	30.000	SALE	[illegible]	1,[illegible]	0.00
HONEYWELL INTL INC	[illegible]15106	99.000	SALE	[illegible]	3,874.75	0.00
HONGKONGLAN ENTERPRISE INC CL A	462497203	33.000	SALE	[illegible]	1,[illegible]	0.00
		67.000	SALE	[illegible]	[illegible]	0.00
		45.000	SALE	[illegible]	[illegible]	0.00
HUTCHINSON TECH INC	448407106	57.000	SALE	[illegible]	1,[illegible]	0.00
		61.000	SALE	07/25/06	1,284.60	0.00
IDEXX INC COM DELAWARE	451863108	0.000	CASH IN LIEU	[illegible]	16.11	0.00
ILLINOIS TOOL WORKS	452308109	26.000	SALE	06/23/06	2,767.70	0.00
		62.000	SALE	08/23/06	3,682.71	0.00
INDYMAC BANCORP INC	456607100	61.000	SALE	10/19/06	2,774.80	0.00
INTEGRATED DEVICE TECH INC	458118106	186.000	SALE	07/06/06	2,633.32	0.00
INTEL CORP	458140100	240.000	SALE	07/26/06	5,176.64	0.00
		27.000	SALE	08/22/06	489.76	0.00
		153.000	SALE	10/18/06	3,332.23	0.00
J2 GLOBAL COMM INC COM NEW	46626E205	32.000	SALE	08/10/06	1,280.24	0.00
JOHNSON & JOHNSON	478160104	44.000	SALE	04/05/06	2,870.84	0.00
		28.000	SALE	04/18/06	1,446.76	0.00
		93.000	SALE	07/12/06	5,631.63	0.00
KLA-TENCOR CORP FRMLY KLA INSTRUMENT	482480100	43.000	SALE	10/12/06	2,103.92	0.00
KOHLS CORP	500255104	31.000	SALE	08/17/06	1,637.51	0.00
		41.000	SALE	09/01/06	2,294.59	0.00
		31.000	SALE	10/18/06	2,182.84	0.00
L-3 COMMUNICATIONS HLDGS INC	502424104	25.000	SALE	10/18/06	1,981.18	0.00
LABORATORY CORP AMER HLDGS COM NEW	505408409	52.000	SALE	09/08/06	3,497.22	0.00
LABOR & SESSIONS CO	513698104	18.000	SALE	10/18/06	438.76	0.00
ESTEE LAUDER COMPANIES INC CL A	518439104	71.000	SALE	07/06/06	2,697.10	0.00
		114.000	SALE	08/23/06	4,020.94	0.00

Corrected

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National Financial Services LLC

Brokerage Name and Address:
SUNTRUST INVESTMENT SERVICES INC
P.O. BOX 596
RICHMOND, VA 23266

[redacted]

[redacted]

2006 Tax Reporting Statement

[redacted]

[redacted] 5 of 8

Payer's Name and Address:
NATIONAL FINANCIAL SERVICES LLC
200 LIBERTY ST. 5TH FLR
NEW YORK, NY 10281-5500

[redacted]

Customer Service: 800-874-4770

Form 1099-B *

2006 Proceeds from Broker and Barter Exchange Transactions

OMB NO. 1545-0715
Copy B for Recipient

Corrected

Description (7)	CUSIP (1b)	Quantity	Event	Date Sold or Disposed (1a)	Stocks, Bonds, etc. (2)*	Federal Income Tax Withheld (4)
LILLY ELI & CO	532457108	107.000	SALE	02/10/06	5,976.82	0.00
LIMITED BRANDS INC	532716107	69.000	SALE	04/06/06	1,721.49	0.00
		208.000	SALE	10/13/06	5,837.41	0.00
LINEAR TECHNOLOGY CORP	535678106	150.000	SALE	04/06/06	5,843.04	0.00
LOWES COMPANIES	548661107	[illegible]	SALE	02/10/06	4,411.09	0.00
MAVERICK TUBE CORP CASH MERGER AT $65	577914104	[illegible]	SALE	03/11/06	968.76	0.00
MEMC INC	552716101	[illegible]	SALE	04/06/06	3,264.13	0.00
		200.000	SALE	10/13/06	2,850.81	0.00
[illegible]	[illegible]	119.000	SALE	06/22/06	2,376.91	0.00
MICRONIC INC	[illegible]	51.000	SALE	04/06/06	2,627.48	0.00
		18.000	SALE	07/12/06	765.12	0.00
		103.000	SALE	08/22/06	4,657.32	0.00
MERCK & CO INC	[illegible]107	183.000	SALE	04/06/06	5,788.37	0.00
METRONIX CORP	[illegible]108	40.000	SALE	03/02/06	750.77	0.00
		58.000	SALE	10/06/06	1,437.58	0.00
MORGAN STANLEY	617446448	27.000	SALE	04/06/06	1,738.74	0.00
		68.000	SALE	08/22/06	5,657.70	0.00
		57.000	SALE	10/13/06	4,360.36	0.00
MULTI FINELINE ELECTRONIX INC	62541B101	69.000	SALE	03/27/06	4,401.18	0.00
NEOPHARM INC	640919102	315.000	SALE	02/06/06	2,731.42	0.00
NETGEAR INC	641139104	312.000	SALE	03/23/06	5,934.13	0.00
NEXT CORP CL B	652463203	58.000	SALE	10/13/06	1,887.54	0.00
NOBLE ENERGY INC COM	655044105	6.000	SALE	02/06/06	294.65	0.00
NORTHERN TR CORP	665859104	33.000	SALE	04/06/06	1,737.72	0.00
		62.000	SALE	08/02/06	3,505.52	0.00
NOVARTIS AG ADR ISIN #US66987V1098	66987V109	97.000	SALE	08/22/06	5,511.03	0.00
OMNICOM GROUP	681919106	60.000	SALE	02/10/06	4,928.84	0.00
ORACLE CORPORATION	68389X105	185.000	SALE	04/06/06	2,543.69	0.00
		231.000	SALE	05/01/06	3,363.25	0.00
		161.000	SALE	08/02/06	2,363.38	0.00
		277.000	SALE	08/22/06	4,298.23	0.00
PMI GROUP INC	69344M101	40.000	SALE	10/13/06	1,786.34	0.00
PARLUX FRAGRANCES INC	701645103	214.000	SALE	10/04/06	1,067.33	0.00
PEPSICO INC	713448108	30.000	SALE	04/06/06	1,743.54	0.00
		115.000	SALE	08/22/06	7,302.27	0.00
PFIZER INC	717081103	171.000	SALE	04/06/06	4,279.99	0.00
PILGRIMS PRIDE CORP CL B	721467108	69.000	SALE	06/06/06	1,828.27	0.00
		55.000	SALE	07/06/06	1,410.04	0.00
		86.000	SALE	09/10/06	2,016.40	0.00
PROCTER & GAMBLE CO	742718109	110.000	SALE	06/22/06	6,716.05	0.00
PROGRESS ENERGY INC	743263105	38.000	SALE	04/06/06	1,663.20	0.00

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2008 Tax Reporting Statement

[redacted]

Page 6 of 8

Payer's Name and Address:
NATIONAL FINANCIAL SERVICES LLC
200 LIBERTY ST. 5TH FLR
NEW YORK, NY 10281-5500

National Financial Services LLC

Brokerage Name and Address:
SUNTRUST INVESTMENT SERVICES INC
P.O. BOX 596
RICHMOND, VA 23286

[redacted]

Customer Service: 800-874-4770

Form 1099-B *

2008 Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715
Copy B for Recipient

Description (7)	CUSIP (1b)	Quantity	Event	Date Sold or Disposed (1e)	Stocks, Bonds, etc. (1c)	Federal Income Tax Withheld (4)
QUALCOMM INC	747525103	138.000	[illegible]	[illegible]/08	5,091.99	0.00
QUEST DIAGNOSTICS INC	74834L100	103.000	[illegible]	[illegible]/08	5,416.12	0.00
RADIAN GROUP INC	750236101	80.000	[illegible]	04/02/08	1,159.45	0.00
RAYTHEON CO COM NEW	755111507	33.000	[illegible]	[illegible]	1,818.60	0.00
ROBERT HALF INTL INC	770323103	[illegible]	SALE	[illegible]/08	1,692.42	0.00
ROCKWELL AUTOMATION INC	773903109	[illegible]	SALE	03/28/08	2,739.60	0.00
SAFEWAY INC COM	786514207	[illegible]	SALE	01/28/08	1,710.86	0.00
		[illegible]	SALE	03/10/08	1,990.73	0.00
SAFEWAY INC COM NEW FMLY SAFEWAY STOR	[illegible]	162.000	SALE	[illegible]/08	4,[illegible]	0.00
SANDERSON FARMS INC	[illegible]	132.000	SALE	[illegible]	3,424.53	0.00
SCHERING PLOUGH CORP	[illegible]	89.000	SALE	10/[illegible]/07	1,[illegible]	0.00
SIERRA PACIFIC RESOURCES NEW	[illegible]	210.000	SALE	[illegible]/08	2,724.29	0.00
	[illegible]	222.000	SALE	[illegible]	3,091.84	0.00
STAPLES INC	[illegible]073102	74.000	SALE	[illegible]/08	1,697.47	0.00
STARBUCKS CORP	[illegible]109	79.000	SALE	[illegible]	2,[illegible].71	0.00
STEEL DYNAMICS INC	[illegible]100	63.000	SALE	[illegible]/08	2,[illegible].92	0.00
SYSCO CORP	871829107	104.000	SALE	02/10/08	3,129.89	0.00
		118.000	SALE	05/01/08	3,543.27	0.00
TARGET CORP	87612E106	39.000	SALE	[illegible]/08	1,732.74	0.00
		82.000	SALE	[illegible]/08	4,082.90	0.00
TEXAS INSTRUMENTS INC	882508104	52.000	SALE	03/03/08	1,746.10	0.00
		50.000	SALE	02/15/08	1,639.44	0.00
THOR INDS INC	885160101	49.000	SALE	06/[illegible]/08	2,494.77	0.00
TOTAL S A SPON ADR	89151E109	58.000	SALE	03/[illegible]/08	3,[illegible].54	0.00
UNION PACIFIC CORP	907818108	110.000	SALE	05/13/08	10,536.83	0.00
UNISOURCE ENERGY CRP HLD CO	909205106	26.000	SALE	03/10/08	873.74	0.00
UNITED TECHNOLOGIES CORP	913017109	50.000	SALE	02/22/08	3,059.28	0.00
UNITEDHEALTH GROUP	91324P102	31.000	SALE	04/08/08	1,686.34	0.00
		32.000	SALE	03/22/08	1,[illegible].53	0.00
VALERO ENERGY CORP	91913Y100	60.000	SALE	10/04/08	3,925.87	0.00
VARIAN SEMICONDUCTOR EQUIP ASSOC INC	922207105	116.000	SALE	03/10/08	3,706.65	0.00
WACHOVIA CORP 2ND NEW	929903102	102.000	SALE	10/13/08	6,184.95	0.00
WAL-MART STORES INC	931142103	183.000	SALE	04/08/08	8,520.21	0.00
		53.000	SALE	03/22/08	2,339.84	0.00
WASTE MANAGEMENT INC	94106L109	128.000	SALE	10/13/08	4,856.17	0.00
WELLCARE HEALTH PLANS INC FORMERLY	94946T106	38.000	SALE	10/03/08	2,214.89	0.00
WELLS FARGO & CO NEW	949746101	91.000	SALE	05/[illegible]/08	6,109.64	0.00
		48.000	SALE	06/16/08	3,052.12	0.00
WHITING PETE CORP NEW COM	966387102	39.000	SALE	08/10/08	2,633.55	0.00
WYETH	983024100	92.000	SALE	10/[illegible]/08	4,782.68	0.00
WYNDHAM WORLDWIDE CORP COM	98310W108	48.000	SALE	09/15/08	1,251.35	0.00

Corrected

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National Financial Services LLC

Brokerage Name and Address:
SUNTRUST INVESTMENT SERVICES INC
P.O. BOX 596
RICHMOND, VA 23266

[redacted]

2006 Tax Reporting Statement

[redacted]

Page 7 of 8

Payer's Name and Address:
NATIONAL FINANCIAL SERVICES LLC
200 LIBERTY ST. 5TH FLR
NEW YORK, NY 10281-6500

[redacted]

Customer Service: 800-874-4770

Form 1099-B *

2006 Proceeds from Broker and Barter Exchange Transactions

OMB NO. 1545-0715
Copy B for Recipient

Description (7)	CUSIP (1b)	Quantity	Event	Date Sold or Disposed (1a)	Stocks, Bonds, etc.(2) ¶	Federal Income Tax Withheld (4)
XTO ENERGY INC	98385X106	75.000	[illegible]	08/22/06	3,465.04	0.00
XILINX INC	983919101	67.000	[illegible]	10/13/06	1,664.82	0.00
ZIMMER HLDGS INC	98956P102	26.000	[illegible]	10/13/06	1,554.62	0.00
			Totals - COLUMN 2		657,560.05	
			Totals - COLUMN 4			0.00

¶ - Gross proceeds less commissions.

Corrected

*This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Please see the enclosed important instructions.

National Financial Services LLC

2006 Supplemental Information

[redacted]

[redacted]

Customer Service: 800-874-4770

Other Information For Tax Return Preparation

Account

Except for Tax-Exempt Interest, the information on this and the following page is not reported to the IRS. It is provided for your assistance in tax return preparation.

Tax-Exempt Interest	0.00
Margin Interest Paid	0.00
Return of Principal	0.00
Accrued Interest Paid on Purchases	0.00
Short-Term Realized Gain/Loss	16,728.51
Long-Term Realized Gain/Loss	48,698.71

Corrected

Detail Information — Long-Term Realized Gain/Loss

Description / CUSIP Date Acquired	Date Sold	Quantity	Proceeds	Cost Basis		Gain/Loss (-)
Cost basis, realized gain or loss and holding period information reported may not reflect all adjustments necessary for tax reporting purposes. This information is not reported to the IRS. It is provided for your assistance in tax return preparation.						
ACE LTD ORD USD0.125 ISIN #KYG0070K1031 / G0070K103						
06/23/06	10/13/06	37.000	2,170.35	1,926.15	f	244.20
EVEREST REINSURANCE GROUP LTD ISIN / G3223R108						
02/14/06	04/06/06	37.000	3,457.54	3,671.75	f	214.21-
HELEN OF TROY LTD SHS ISIN #BMG4388N1065 / G4388N108						
08/27/06	10/04/06	4.000	72.67	80.20	f	7.53-
MAX RE CAPITAL LTD HAMILTON ISIN / G6052F103						
11/07/05	02/14/06	101.000	2,555.77	2,525.00	f	30.77
ORTHOFIX INTL N V SEDOL #2644547 ISIN / N6748L102						
various	04/06/06	74.000	2,973.22	3,359.30	f	386.08-
ABBOTT LABORATORIES / 002824100						
02/10/06	08/22/06	56.000	2,738.89	2,371.35	f	367.54
AMERICAN EAGLE OUTFITTERS / 025532106						
10/19/05	08/10/06	84.000	2,890.17	1,891.63	f	998.54
10/18/05	10/05/06	91.000	4,114.37	2,049.27	f	2,065.10
AMGEN INC / 031162100						
10/19/05	04/06/06	51.000	3,646.90	3,887.22	f	240.32-
10/19/05	08/22/06	58.000	3,894.85	4,396.97	f	502.12-
ANSYS INC / 036620105						
04/06/06	09/06/06	33.000	1,485.49	1,745.37	f	259.88-
APPLE COMPUTER INC / 037833100						
02/21/06	04/06/06	26.000	1,865.18	1,808.29	f	56.89
various	08/22/06	70.000	4,762.30	4,936.99	f	174.69-
ARKANSAS BEST CORP / 040790107						
10/19/05	08/10/06	120.000	5,085.97	4,012.98	f	1,072.99

National Financial Services LLC

[redacted]

Customer Service: 800-874-4770

Detail Information — Short-Term Realized Gain/Loss

Corrected

Description / CUSIP Date Acquired	Date Sold	Quantity	Proceeds	Cost Basis		Gain/Loss (-)
Cost basis, realized gain and loss, and holding period information (reported [illegible] all adjustments necessary for tax reporting purposes. This information is not reported to the IRS. It [illegible] your assistance in tax return preparation.						
ANSWER SPONSORED ADR / 041232109						
05/30/06	06/30/06	0.000	[illegible]	0.00	f	14.64
12/09/05	06/07/06	1.000	[illegible]	88.75	f	0.94-
AVISTA CORP / 053793107						
10/19/05	03/08/06	109.000	[illegible]	1,954.84	f	96.65
10/19/05	07/05/06	171.000	[illegible]	3,068.78	f	992.54
BAKER HUGHES INC / 057224107						
08/22/06	10/04/06	[illegible]	4,206.12	4,704.65	f	498.53-
BANK NEW YORK INC / 064057102						
10/19/05	08/22/06	[illegible]	2,396.76	2,075.50	f	321.26
BAXTER INTL INC / 071813109						
07/12/06	10/13/06	17.000	785.03	627.04	f	157.99
BERKLEY W R CORP / 084423102						
10/19/05	03/27/06	67.000	3,259.03	2,140.18	f	1,118.85
10/19/05	05/11/06	117.000	4,034.62	2,928.97	f	1,105.65
BROADCOM CORP CL A / 111320107						
08/22/06	10/13/06	15.000	448.83	443.50	f	5.33
CV THERAPEUTICS INC / 126667104						
06/01/05	04/06/06	158.000	3,317.91	3,236.00	f	81.91
CARNIVAL CORP PAIRED CTF 1 COM CARNIVAL / 143658300						
12/06/03	04/06/06	67.000	3,188.43	3,650.84	f	462.41-
CENTURY ALUMINUM CO / 156431108						
various	10/04/06	70.000	2,147.79	3,545.46	f	1,397.67-
CERAMALOX INC / 156706109						
10/19/05	10/13/06	38.000	2,655.03	1,742.40	f	912.63
CHESAPEAKE ENERGY CORPORATION OKLAHOMA / 165167107						
05/11/06	08/06/06	75.000	2,269.08	2,457.03	f	187.95-
CHICOS FAS INC / 168615102						
03/17/06	08/22/06	91.000	2,224.09	3,855.77	f	1,631.68-
CINTAS CORP / 172908105						
various	08/22/06	117.000	4,296.83	4,572.65	f	275.82-
CITRIX SYSTEMS INC / 177376100						
11/07/05	10/13/06	54.000	1,890.48	1,480.07	f	410.41
COACH INC / 189754104						
08/22/06	10/13/06	66.000	2,401.00	2,097.22	f	303.78
COMMERCIAL METALS CO / 201723103						
10/19/05	07/06/06	210.000	5,198.73	2,848.41	f	2,350.32
COMUCREDIT CORP / 20478N100						
05/11/06	04/06/06	48.000	1,721.22	1,543.48	f	177.74

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Customer Service: 800-874-4770

Detail Information — Short-Term Realized Gain/Loss

Corrected

Description / CUSIP Date Acquired	Date Sold	Quantity	Proceeds	Cost Basis		Gain/Loss (-)
Cost basis, realized gain and loss, and covered basis information reported [illegible] all adjustments necessary for tax reporting purposes. This information is not reported to the IRS. [illegible] your assistance in tax return preparation.						
CONTECH TELECOMMUNICATIONS CORP COM NEW / 205928208						
01/09/06	04/06/06	91.000	[illegible]	1,025.02	f	111.79-
CONOCOPHILLIPS / 20825C104						
10/04/06	10/18/06	76.000	[illegible]	4,227.68	f	579.85
CRYPTOLOGIC INC / 288925103						
02/09/06	10/06/06	104.000	1,800.84	2,676.34	f	875.50-
[illegible] / [illegible]						
03/10/06	04/06/06	[illegible]	2,637.69	2,245.09	f	392.68
02/10/06	06/23/06	[illegible]	2,909.68	2,581.86	f	321.72
DAVITA INC COM / 23918K108						
04/18/06	06/26/06	64.000	3,068.25	2,965.47	f	102.78
DECKERS OUTDOOR / 243537107						
04/06/06	06/10/06	86.000	3,677.55	3,504.50	f	173.05
DOWAL FINANCIAL CORP [illegible] / 25811P100						
10/10/06	10/13/06	353.000	1,428.87	2,995.11	f	1,566.24-
DU PONT E I DE NEMOURS & CO / 263534109						
11/17/04	10/13/06	60.000	2,711.91	2,527.81	f	184.10
E M C CORP MASS / 268648102						
07/21/06	08/22/06	281.000	3,128.32	2,890.16	f	238.16
ENSCO INTERNATIONAL INC / 26874Q100						
various	10/04/06	42.000	1,676.58	2,297.40	f	620.82-
E TRADE FINANCIAL CORP / 269246104						
08/22/06	10/13/06	70.000	1,598.05	1,680.80	f	82.75-
EATON CORP / 278058102						
01/06/06	07/06/06	15.000	1,122.56	1,019.84	f	102.72
ELECTRONICS ARTS / 285512109						
various	08/22/06	87.000	4,476.55	4,498.23	f	21.68-
EMERSON ELECTRIC CO / 291011104						
05/26/06	08/22/06	50.000	4,047.11	4,082.51	f	35.40-
EXPRESS SCRIPTS INC COM FORMERLY CL A / 302182100						
08/22/06	10/04/06	22.000	1,689.42	1,833.10	f	143.68-
08/22/06	10/13/06	12.000	830.73	999.87	f	169.14-
FEDEX CORP / 31428X106						
08/22/06	10/13/06	15.000	1,711.14	1,530.59	f	180.55
FISHER SCIENTIFIC INTL EXCH'D FOR 2.0 / 338032204						
08/24/06	10/13/06	20.000	1,629.74	1,560.27	f	69.47
FRANKLIN RES INC / 354613101						
06/18/06	08/22/06	33.000	3,218.95	2,950.40	f	268.55

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Detail Information — **Short-Term Realized Gain/Loss**

Description / CUSIP Date Acquired	Date Sold	Quantity	Proceeds	Cost Basis		Gain/Loss (-)
Cost basis, realized gain and loss, and holding period information reported [illegible] adjustments necessary for tax reporting purposes. This information is not reported to the IRS. It [illegible] your assistance in tax return preparation.						
GAP INC DEL / 364760108						
01/31/06	10/13/06	245.000	4,[illegible]	4,446.93	f	411.87
GOODYEAR TIRE & RUBBER CO / 382550101						
10/19/05	01/09/06	183.000	[illegible]	2,456.63	f	589.18
10/19/05	03/27/06	102.000	[illegible].71	1,368.10	f	47.61-
GRANT PRIDECO INC / 38821G101						
10/19/05	07/05/06	[illegible]	2,723.87	1,924.06	f	799.79
GRIFFON CORP / 398433102						
08/09/05	01/09/06	80.000	1,218.17	1,266.69	f	48.52-
HEINZ H J CO / 423074103						
03/06/06	10/13/06	78.000	3,297.35	2,999.91	f	297.44
HILB ROGAL & HOBBS CO FORMERLY [illegible] / [illegible]1294107						
03/27/06	11/03/06	30.000	1,200.28	1,191.66	f	8.62
HONEYWELL INTL INC / 438516106						
06/23/06	08/22/06	99.000	3,874.74	3,888.09	f	13.35-
HUTCHINSON TECH INC / 448407106						
01/09/06	07/06/06	38.000	800.18	1,186.83	f	386.65-
IDEARC INC COM DELAWARE / 451663108						
11/24/06	11/24/06	0.000	18.71	0.00	f	18.71
ILLINOIS TOOL WORKS / 452308109						
12/14/05	05/08/06	28.000	2,767.70	2,337.52	f	430.18
various	08/22/06	82.000	3,652.71	3,699.77	f	47.06-
INDYMAC BANCORP INC / 456607100						
10/19/05	10/13/06	61.000	2,774.80	2,135.41	f	639.39
INTEGRATED DEVICE TECH INC / 458118106						
10/19/05	01/09/06	166.000	2,685.82	1,750.39	f	935.43
INTEL CORP / 458140100						
04/27/06	06/22/06	27.000	489.76	538.15	f	48.39-
Wash Sale Disallowed Loss				48.39-		48.39
04/27/06	10/13/06	153.000	3,332.23	3,049.49	f	282.74
I2 GLOBAL COMM INC COM NEW / 46626E205						
03/06/06	06/10/06	52.000	1,250.24	1,170.28	f	79.96
JA-TENCOR CORP FMLY KLA INSTRUMENT / 482480100						
06/22/06	10/13/06	43.000	2,103.92	1,927.13	f	176.79
OHLS CORP / 500255104						
12/06/05	03/17/06	31.000	1,637.51	1,423.54	f	213.97
12/06/05	05/01/06	41.000	2,291.59	1,882.74	f	408.85
12/06/05	10/13/06	31.000	2,182.64	1,423.54	f	759.10

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Detail Information — Short-Term Realized Gain/Loss

Description / CUSIP Date Acquired	Date Sold	Quantity	Proceeds	Cost Basis		Gain/Loss (-)
Cost basis, realized gain and loss, and holding period information reported may not reflect all adjustments necessary for tax reporting purposes. This information is not reported to the IRS. Please consult your tax advisor for assistance in tax return preparation.						
L-3 COMMUNICATIONS HLDGS INC / 502424104						
10/19/05	10/13/06	25.000	1,[illegible].18	2,020.40	f	39.22-
LABORATORY CORP AMER HLDGS COM NEW / 50540R409						
10/19/05	09/06/06	52.000	[illegible]	2,569.95	f	527.27
LAUDER & [illegible] CO / 518439104						
[illegible]	[illegible]	18.[illegible]	135.76	469.10	f	83.34-
[illegible] CL A / 64[illegible]						
02/10/06	04/06/06		2,597.10	2,692.10	f	95.00-
Wash Sale Disallowed Loss				95.00-		95.00
various	03/22/06	[illegible]000	4,020.94	4,344.95	f	324.01-
LILLY ELI & CO / 532457108						
10/19/05	02/10/06	60.000	3,251.49	3,068.40	f	283.09
LINEAR TECHNOLOGY CORP / 535678106						
04/28/06	04/06/06	160.000	5,843.04	6,129.60	f	286.56-
LOWES COMPANIES / 548661107						
10/19/05	02/10/06	35.000	2,174.48	2,092.65	f	81.83
MEDCO HEALTH SOLUTIONS INC / 58405U102						
10/19/05	08/22/06	50.000	3,099.12	2,615.31	f	483.81
MERCK & CO INC / 589331107						
10/19/05	04/06/06	2.000	69.30	53.88	f	15.42
MULTI FINELINE ELECTRONIX INC / 62541B101						
01/03/06	03/27/06	69.000	4,401.16	3,459.42	f	941.74
NEOWARE INC / 64065P102						
various	09/06/06	215.000	2,731.42	4,697.08	f	1,965.66-
NETGEAR INC / 641110104						
05/11/06	03/27/06	312.000	5,934.13	5,387.54	f	546.59
NEWS CORP CL B / 65248E203						
08/22/06	10/13/06	88.000	1,887.54	1,735.13	f	152.41
NOBLE ENERGY INC COM / 655044105						
06/06/06	09/06/06	6.000	294.65	257.22	f	37.43
NORTHERN TR CORP / 665859104						
02/10/06	04/06/06	33.000	1,737.72	1,732.70	f	5.02
02/10/06	08/02/06	62.000	3,505.52	3,255.39	f	250.13
NOVARTIS AG ADR ISIN #US66987V1098 / 66987V109						
10/13/05	08/22/06	97.000	5,511.03	4,965.87	f	545.16
OMNICOM GROUP / 681919106						
10/19/05	02/10/06	30.000	2,464.42	2,280.60	f	183.82
ORACLE CORPORATION / 68389X105						
07/20/05	05/01/06	83.000	1,208.44	1,160.34	f	48.10

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Detail Information — Short-Term Realized Gain/Loss

Cost basis, realized gain and loss, and holding period information reported [illegible] all adjustments necessary for tax reporting purposes. This information is not reported to the IRS. It [illegible] your assistance in tax return preparation.

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Description / CUSIP Date Acquired	Date Sold	Quantity	Proceeds	Cost Basis		Gain/Loss (-)
ORACLE CORPORATION / 68389X105						
09/29/05	08/02/06	53.000	[illegible].59	648.19	f	135.40
09/29/05	08/22/06	277.000	[illegible]	3,687.71	f	910.52
PHI GROUP INC / 69344M101						
10/19/05	10/13/06	40.000	[illegible].34	1,544.67	f	241.67
PARLUX FRAGRANCES INC / 701645103						
07/06/06	10/04/06	[illegible]	1,087.33	[illegible].45	f	[illegible].12-
PEPSICO INC / 713448108						
10/19/05	08/22/06	[illegible]	2,282.43	2,[illegible].80	f	[illegible].63
PFIZER INC / 717081103						
various	04/06/06	171.000	4,279.98	4,522.57	f	242.58-
PILGRIMS PRIDE CORP CL B / 7214[illegible]						
03/08/06	06/06/06	69.000	1,[illegible].27	1,542.06	f	[illegible].21
03/08/06	07/06/06	55.000	1,[illegible].04	1,[illegible].17	f	[illegible].87
various	08/10/06	88.000	2,016.40	1,906.15	f	110.25
PROCTER & GAMBLE CO / 742718109						
05/01/06	08/22/06	22.000	1,343.20	1,279.43	f	63.77
PROGRESS ENERGY INC / 743263105						
12/12/05	04/06/06	38.000	1,663.20	1,675.75	f	12.55-
QUALCOMM INC / 747525103						
various	08/22/06	77.000	2,930.63	3,397.17	f	466.54-
QUEST DIAGNOSTICS INC / 74834L100						
08/22/06	10/04/06	108.000	5,416.12	6,842.84	f	1,426.72-
RADIAN GROUP INC / 750236101						
10/19/05	06/06/06	85.000	5,159.45	4,192.17	f	967.28
RAYTHEON CO COM NEW / 755111507						
08/22/06	10/13/06	38.000	1,818.30	1,710.94	f	107.36
ROBERT HALF INTL INC / 770323103						
08/22/06	10/13/06	48.000	1,692.42	1,547.89	f	144.53
ROCKWELL AUTOMATION INC / 773903109						
06/26/06	08/22/06	45.000	2,739.09	3,108.07	f	368.98-
SCHERING PLOUGH CORP / 806605101						
08/22/06	10/13/06	85.000	1,894.59	1,704.15	f	190.44
SIERRA PACIFIC RESOURCES NEW / 826428104						
10/19/05	02/14/06	150.000	2,068.88	1,924.17	f	144.71
STAPLES INC / 855030102						
08/22/06	10/13/06	72.000	1,937.47	1,689.88	f	247.59
STARBUCKS CORP / 855244109						
06/26/05	05/01/06	79.000	2,984.71	1,945.71	f	1,039.00

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Detail Information — Short-Term Realized Gain/Loss

Corrected

Description / CUSIP Date Acquired	Date Sold	Quantity	Proceeds	Cost Basis		Gain/Loss (-)
Cost basis, realized gain and loss, and holding period information reported [illegible] all adjustments necessary for tax reporting purposes. This information is not reported to the IRS. It [illegible] your assistance in tax return preparation.						
STEEL DYNAMICS INC / 858119100						
07/06/06	10/04/06	58.000	2,[illegible].92	3,777.32	f	863.40-
TARGET CORP / 87612E106						
10/19/05	03/22/06	40.000	[illegible].27	2,176.00	f	210.73-
THOR IND INC / 885160101						
[illegible]	[illegible]	40.[illegible]	[illegible]4.77	1,903.58	f	591.19
TOTAL S.A. SPONSORED ADR / 89151E109						
12/08/05	03/22/06	[illegible]	3,855.54	3,583.65	f	271.89
UNISOURCE ENERGY CORP HLD CO / 9[illegible]03						
07/03/06	08/10/06	[illegible].000	875.74	825.20	f	50.54
VALERO ENERGY CORP / 91913Y100						
[illegible]	[illegible]	69.000	3,923.67	5,071.51	f	1,147.84-
VARIAN SEMICONDUCTOR EQUIP ASSOC [illegible] 922207105						
08/27/06	09/10/06	116.000	3,706.85	3,328.16	f	378.49
WAL-MART STORES INC / 931142103						
10/19/06	08/22/06	45.000	1,986.49	2,042.55	f	56.06-
WASTE MANAGEMENT INC / 94106L109						
10/26/05	10/13/06	128.000	4,856.17	3,571.46	f	1,284.71
WELLCARE HEALTH PLANS INC FORMERLY / 94946T106						
12/19/05	10/05/06	38.000	2,214.99	1,612.84	f	602.15
WELLS FARGO & CO NEW / 949746101						
10/18/05	06/16/06	35.000	2,322.27	2,062.55	f	259.72
WHITING PETE CORP NEW COM / 966387102						
06/06/06	08/10/06	59.000	2,633.55	2,252.37	f	381.18
WYNDHAM WORLDWIDE CORP COM / 98310W108						
08/22/06	09/15/06	43.000	1,251.35	1,145.27	f	106.08
XTO ENERGY INC / 98385X106						
08/23/06	08/22/06	75.000	3,465.04	3,080.45	f	384.59
XILINX INC / 983919101						
08/22/06	10/13/06	67.000	1,664.89	1,530.31	f	134.58

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Detail Information — Short-Term Realized Gain/Loss

Description / CUSIP Date Acquired	Date Sold	Quantity	Proceeds	Cost Basis		Gain/Loss (-)
Cost basis, realized gain and loss, and holding period information reported may not reflect all adjustments necessary for tax reporting purposes. This information is not reported to the IRS. It is provided for your assistance in tax return preparation.						
ZIMMER HLDGS INC / 98956P102						
08/22/06	10/13/06	26.000	[illegible]	1,754.02	f	100.80
				Short-Term Realized Gain		35,592.10
				Short-Term Realized Loss		19,254.98-
				Short-Term Realized Disallowed Loss		160.62
				Total Short-Term Realized Gain/Loss		16,176.51

f - FIFO (First-in, First-out)

Amortization, accretion, and similar adjustments to cost basis are not reported for certain fixed income securities, such as short-term instruments, Investment Unit Trusts, foreign fixed income securities, or those that are subject to early prepayment of principal (pass-thru). Where current year premium or equalization premium amortization is provided, the prior years' cumulative amortization is reflected in the adjusted cost basis, but we cannot provide a breakdown or the total of such prior amortization amounts.

Corrected

Detail Information — Long-Term Realized Gain/Loss

Description / CUSIP Date Acquired	Date Sold	Quantity	Proceeds	Cost Basis		Gain/Loss (-)
Cost basis, realized gain and loss, and holding period information reported may not reflect all adjustments necessary for tax reporting purposes. This information is not reported to the IRS. It is provided for your assistance in tax return preparation.						
HELEN OF TROY LTD SHS ISIN #BMG4388N1066 / G4388N106						
various	10/04/06	135.000	2,452.68	3,653.16	f	1,200.48-
NMI CORPORATION SHS ISIN #NNY6476W1049 / Y6476W104						
various	09/06/06	310.000	6,847.97	2,581.10	f	4,266.87
ABBOTT LABORATORIES / 002824100						
01/04/05	06/22/06	60.000	2,934.52	2,773.47	f	161.05
AFFILIATED MANAGERS GROUP / 008252108						
01/14/04	03/08/06	19.000	1,818.95	976.71	f	842.24
01/14/04	10/13/06	18.000	1,783.74	925.31	f	858.43
01/14/04	11/03/06	7.000	692.55	359.84	f	332.71
ALLSTATE CORP / 020002101						
01/04/05	04/06/06	50.000	2,569.92	2,564.64	f	5.28
AMERICAN HOME MTG INVESTMENTS REIT / 02660R107						
02/25/04	04/06/06	57.000	1,758.39	1,396.04	f	362.35
AMER INTL GROUP INC / 026874107						
04/07/04	04/06/06	26.000	1,704.24	1,968.72	f	264.48-

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Detail Information — Long-Term Realized Gain/Loss

Cost basis, realized gain and loss, and holding period information reported [illegible] all adjustments necessary for tax reporting purposes. This information is not reported to the IRS. It [illegible] your assistance in tax return preparation.

Corrected

Description / CUSIP Date Acquired	Date Sold	Quantity	Proceeds	Cost Basis		Gain/Loss (-)
AMER INTL GROUP INC / 026874107						
04/07/04	08/22/06	8.000	[illegible]	605.76	f	100.62-
AMGEN INC / 031162100						
04/07/04	04/06/06	8.000	[illegible]	455.64	f	105.42
ANTHEM INTL CORP CASH MERGER $585.50 PER / 036745						
various	08/22/06	105.000	4,764.84	3,433.66	f	2,331.18
ADM COMPANY / [illegible]						
01/04/05	04/06/06	[illegible]	1,743.78	982.79	f	760.99
01/04/05	10/13/06	14[illegible].000	5,238.68	3,392.98	f	1,846.70
ARMOR HOLDS INC COM / 042260109						
08/11/05	10/13/06	7[illegible].000	8,283.30	5,117.37	f	3,165.93
BANK NEW YORK INC / 064057102						
08/13/04	08/22/06	112.000	4,074.49	3,556.55	f	518.94
BAXTER INTL INC / 071813109						
01/04/05	08/22/06	111.000	4,846.33	3,667.08	f	978.25
01/04/05	10/13/06	104.000	4,802.57	3,623.21	f	1,179.36
BEAZER HOMES USA INC / 07556Q105						
08/22/05	10/04/06	65.000	2,532.78	3,688.84	f	1,156.06-
BED BATH & BEYOND INC / 075896100						
04/07/04	04/06/06	45.000	1,840.69	1,781.10	f	59.79
BOEING CO / 097023105						
01/04/05	01/31/06	140.000	9,619.65	7,090.86	f	2,528.79
CV THERAPEUTICS INC / 126667104						
08/01/05	10/04/06	52.000	568.40	1,065.01	f	496.61-
CHEVRON CORP NEW / 166764100						
04/07/04	10/13/06	73.000	4,715.65	3,282.45	f	1,433.20
CHUBB CORP / 171232101						
04/04/05	10/13/06	101.000	5,464.94	4,001.16	f	1,463.78
CISCO SYS INC / 17275R102						
various	08/22/06	352.000	7,476.03	5,198.70	f t	2,277.33
CITIGROUP INC / 172967101						
various	08/22/06	141.000	6,859.60	6,251.02	f t	608.58
COLGATE-PALMOLIVE CO / 194162103						
various	02/10/06	53.000	2,862.94	2,811.21	f	51.73
01/06/05	06/15/06	68.000	3,964.20	3,318.48	f	645.72
CONOCOPHILLIPS / 20825C104						
01/04/05	04/06/06	39.000	2,624.61	1,541.76	f	982.85
DELL INC / 24702R101						
various	04/06/06	87.000	2,584.69	3,010.16	f	425.47-

2006 Supplemental Information

[redacted]

National Financial Services LLC

[redacted]

Customer Service: 800-874-4770

Detail Information — Long-Term Realized Gain/Loss

Description / CUSIP						
Date Acquired	Date Sold	Quantity	Proceeds	Cost Basis		Gain/Loss (-)

Cost basis, realized gain and loss, and holding period information [illegible] all adjustments necessary for tax reporting purposes. This information is not reported to the IRS. [illegible] your assistance in tax return preparation.

Corrected

DELL INC / 24702R101						
various	06/22/06	75.000	[illegible]	2,948.45	f	1,315.75-
ENERGY PARTNERS LTD COM / 29270U105						
05/03/04	04/06/06	148.000	[illegible]	2,108.18	f	1,222.79
various	09/06/06	128.000	[illegible]	1,926.76	f	848.71
EXXON MOBIL CORP / 30231G102						
01/04/05	02/24/06	145.[illegible]	8,767.86	7,291.57	f	1,476.28
FIRSTFED FINL CORP DEL / 337907109						
09/03/04	01/09/06	2[illegible].000	1,403.69	1,197.07	f	336.62
various	02/14/06	[illegible].000	3,351.19	2,840.33	f	510.86
FREMONT GEN CORP / 357288109						
01/14/04	10/04/06	65.000	906.44	1,137.68	f	231.24-
Wash Sale Disallowed Loss				221.24-		231.24
04/07/03	10/04/06	106.000	1,334.53	2,141.00	f	706.47-
Wash Sale Disallowed Loss				706.47-		706.47
GRIFFON CORP / 398433102						
various	01/09/06	86.000	2,088.65	1,833.40	f	254.65
HARTFORD FINL SVCS GROUP INC / 416515104						
01/04/05	10/13/06	57.000	5,141.81	3,912.24	f	1,229.57
HEWLETT-PACKARD CO DE / 428236103						
01/04/05	10/13/06	205.000	7,986.55	4,298.85	f	3,687.70
HOVNANIAN ENTERPRISE INC CL A / 442487203						
11/20/03	04/06/06	38.000	1,671.94	1,572.44	f	99.50
various	10/04/06	57.000	1,764.42	2,292.26	f	527.84-
10/19/05	11/03/06	45.000	1,309.79	1,974.15	f	664.36-
HUTCHINSON TECH INC / 448407106						
various	04/06/06	57.000	1,687.14	1,805.99	f	118.85-
04/07/04	07/06/06	23.000	484.32	655.96	f	171.64-
INTEL CORP / 458140100						
various	01/26/06	240.000	5,176.64	6,051.60	f	874.96-
JOHNSON & JOHNSON / 478160104						
unknown	04/06/06	44.000	2,670.84	unknown		unknown
unknown	04/18/06	25.000	1,448.79	unknown		unknown
unknown	07/12/06	93.000	5,651.83	unknown		unknown
LILLY ELI & CO / 532457108						
various	02/10/05	47.000	2,625.33	2,803.04	f	177.71-
LIMITED BRANDS INC / 532716107						
01/04/05	04/06/06	69.000	1,721.49	1,547.48	f	174.01
01/04/05	10/13/06	205.000	5,887.41	4,597.57	f	1,289.84

National Financial Services LLC

2006 Supplemental Information

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Customer Service: 800-874-4770

[redacted]

Detail Information — Long-Term Realized Gain/Loss

Corrected

Description / CUSIP

Date Acquired	Date Sold	Quantity	Proceeds	Cost Basis		Gain/Loss (-)
Cost basis, realized gain and loss, and holding period information reported [illegible] all adjustments necessary for tax reporting purposes. This information is not reported to the IRS. [illegible] your assistance in tax return preparation.						
LOWES COMPANIES / 548661107						
07/06/04	02/10/06	36.000	2,[illegible].61	1,934.92	f	301.69
MAVERICK TUBE CORP CASH MERGER AT $65 A / 577914104						
02/09/05	05/11/06	17.000	[illegible]	564.40	f	404.38
MEDAREX INC / 583916101						
11/29/06	06/05/06	203.[illegible]	[illegible].13	1,728.60	f	1,545.53
11/29/06	12/26/06	[illegible]	2,850.81	1,522.20	f	1,328.61-
MEDCO HEALTH SOLUTIONS INC / 58405U102						
12/27/04	03/22/06	[illegible]	4,276.79	2,796.55	f	1,480.24
MEDTRONIC INC / 585055106						
various	04/06/06	51.000	2,627.43	990.45	f t	1,636.98
03/04/04	07/12/06	16.000	765.12	764.00	f	1.12
various	03/22/06	103.000	4,967.32	5,237.01	f	579.69-
MERCK & CO INC / 589331107						
04/07/04	04/06/06	165.000	5,717.07	7,411.80	f	1,694.73-
METHANEX CORP / 59151K108						
various	03/03/06	40.000	760.77	464.78	f	315.99
various	10/05/06	58.000	1,437.58	630.73	f	806.85
MORGAN STANLEY / 617446448						
01/04/05	04/06/06	27.000	1,738.74	1,512.81	f	226.93
various	03/22/06	83.000	5,657.70	4,660.34	f	997.36
04/07/05	10/13/06	57.000	4,360.36	3,204.72	f	1,155.64
OMNICOM GROUP / 681919106						
01/04/05	02/10/06	30.000	2,464.42	2,565.60	f	101.18-
ORACLE CORPORATION / 68389X105						
various	04/06/06	185.000	2,543.69	2,241.19	f	302.50
08/03/04	05/01/06	148.000	2,154.81	1,579.16	f	575.65
07/20/05	03/02/06	108.000	1,598.79	1,509.84	f	88.95
PEPSICO INC / 713448108						
11/20/03	04/06/06	30.000	1,743.54	1,430.70	f	312.84
various	03/22/06	80.000	5,079.84	4,139.65	f	940.19
PROCTER & GAMBLE CO / 742718109						
various	03/22/06	88.000	5,372.85	4,783.29	f	589.56
QUALCOMM INC / 747525103						
07/20/05	03/22/06	56.000	2,131.36	2,006.21	f	125.15
SAFEWAY INC COM / 786458107						
05/03/04	04/06/06	66.000	1,710.68	1,435.49	f	275.17
various	03/10/06	112.000	1,900.78	2,668.69	f	767.86-

2006 Supplemental Information

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National Financial Services LLC

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Customer Service: 800-874-4770

Detail Information — Long-Term Realized Gain/Loss

Description / CUSIP						
Date Acquired	Date Sold	Quantity	Proceeds	Cost Basis		Gain/Loss (-)
SAFEWAY INC COM NEW FMLY SAFEWAY STORES / 786514208						
06/09/05	10/13/06	162.609	[illegible]	3,714.99	f	854.88
SANDERSON FARMS INC / 800013104						
various	03/06/06	122.000	[illegible]	4,117.69	f	1,533.04-
SIERRA PACIFIC RESOURCES NEW / 826428104						
09/03/04	01/09/06	210.000	2,[illegible]01.26	1,582.85	f	898.41
09/03/04	02/14/06	72.000	693.06	624.68	f	368.06
SYSCO CORP / 871829107						
various	02/10/06	10[illegible].000	8,169.89	2,897.50	f t	272.39
various	05/01/06	1[illegible].000	3,648.27	3,630.70	f	82.43-
TARGET CORP / 87612E106						
11/20/03	04/06/06	33.000	1,735.74	1,261.59	f	474.15
various	08/22/06	42.000	2,068.63	1,808.66	f	255.97
TEXAS INSTRUMENTS INC / 882508104						
various	04/06/06	52.000	1,748.10	1,463.08	f	285.02
04/07/04	10/13/06	50.000	1,639.44	1,449.50	f	189.94
UNION PACIFIC CORP / 907818108						
01/04/05	05/12/06	110.000	10,536.83	7,299.40	f	3,237.43
UNITED TECHNOLOGIES CORP / 913017109						
03/04/04	08/22/06	50.000	3,059.26	2,251.00	f	808.26
UNITEDHEALTH GROUP / 91324P102						
04/07/04	04/06/06	31.000	1,686.34	1,031.99	f	654.35
04/07/04	08/22/06	32.000	1,688.53	1,066.28	f	588.25
WACHOVIA CORP 2ND NEW / 929903102						
01/04/05	10/13/06	109.000	6,164.85	5,711.60	f	453.25
WAL-MART STORES INC / 931142103						
various	04/06/06	183.000	8,520.21	10,066.61	f	1,546.40-
10/25/04	08/22/06	8.000	353.15	418.96	f	65.81-
WELLS FARGO & CO NEW / 949746101						
various	05/26/06	91.000	6,109.64	4,677.39	f t	1,432.25
07/06/04	06/15/06	11.000	729.85	627.99	f	101.86

Corrected

Cost basis, realized gain and loss, and holding period information provided [illegible] all adjustments necessary for tax reporting purposes. This information is not reported to the IRS. [illegible] your assistance in tax return preparation.

National Financial Services LLC

2006 Supplemental Information

[redacted]

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[redacted]

Customer Service: 800-874-4770

Detail Information **Long-Term Realized Gain/Loss**

Description / CUSIP Date Acquired	Date Sold	Quantity	Proceeds	Cost Basis	Gain/Loss (-)
Cost basis, realized gain and loss, and holding period information reporting [illegible] all adjustments necessary for tax reporting purposes. This information is not reported to the IRS. It [illegible] your assistance in tax return preparation.					
WYETH / 983024100					
01/04/05	10/19/06	92.000	4,[illegible].69	3,920.12 f	842.57
				Long-Term Realized Gain	63,825.63
				Long-Term Realized Loss	15,057.02-
				Long-Term Realized Disallowed Loss	930.10
				Total Long-Term Realized Gain/Loss	49,[illegible].71 ††

Corrected

f - FIFO (First-in, First-out)

t - Cost basis information was provided [illegible] third party. We treat it as original cost basis, as of the date it is provided, and we assume that for equities, it reflects any prior corporate actions, and for asset-backed fixed-income securities, it reflects [illegible] principal pay downs. We do not apply any wash sale rules to tax lots with third party-provided cost b[illegible]

†† - All gain/loss information may not be reflected due to incomplete cost basis information.

Amortization, accretion, and similar adjustments to cost basis are not provided for certain fixed income securities, such as short-term instruments, Investment Unit Trusts, foreign fixed income securities, or those that are subject to early prepayment of principal (pay downs). Where current year premium or acquisition premium amortization is provided, the prior years' cumulative amortization is reflected in the adjusted cost basis, but we cannot provide a breakdown or the total of such prior amortization amounts.

2006 FORMS 1099

Sub / Branch / Rep / Account No
[redacted]

JOSEPH HEATH SHULER
RITTENHOUSE

Your Financial Advisor :
BILL DAVIS,JR
8540 COLONNADE CENTER DRIVE
SUITE, 101
RALEIGH NC 27615
919-841-5400 / 800-482-3939

Payer:
FIRST CLEARING, LLC
10700 WHEAT FIRST DRIVE
GLEN ALLEN, VA 23060
Payer ID #: 23-2384840

As of Date: 2/09/07

Your Federal Identification Number: [redacted]

Reportable Tax Information

Tax reporting requirements can create differences with the amounts previously reported in monthly statements.

1099-B Proceeds from Broker and Barter Exchange Transactions for 2006

OMB NO. 1545-0715

Description (Box 7)	Cusip (Box 1b)	Price	Quantity	Trade Date (Box 1a)	Amount	IRS Box	Transaction Description	Reported On
ABBOTT LABORATORIES	002824100	41.26000	53.00000	04/17/2006	2,188.71	2	SALE	1099-B
AMER INTL GROUP INC	026874107	62.99000	42.00000	04/17/2006	2,645.49	2	SALE	1099-B
AMGEN INC	031162100	69.63000	46.00000	04/17/2006	3,202.88	2	SALE	1099-B
APPLE COMPUTER INC	037833100	64.86000	25.00000	04/17/2006	1,621.45	2	SALE	1099-B
BAKER HUGHES INC	057224107	72.47000	29.00000	04/17/2006	2,101.58	2	SALE	1099-B
BANK OF NEW YORK INC	064057102	34.77000	54.00000	04/17/2006	1,877.52	2	SALE	1099-B
BED BATH & BEYOND INC	075896100	38.56000	57.00000	04/17/2006	2,197.85	2	SALE	1099-B
CARNIVAL CORP	143658300	47.39000	32.00000	04/17/2006	1,516.43	2	SALE	1099-B
CHICOS FAS INC	168615102	35.63000	44.00000	04/17/2006	1,567.67	2	SALE	1099-B
CINTAS CORP	172908105	41.32000	55.00000	04/17/2006	2,272.53	2	SALE	1099-B
CISCO SYS INC	17275R102	21.09000	176.00000	04/17/2006	3,711.72	2	SALE	1099-B
CITIGROUP INC	172967101	48.41000	66.00000	04/17/2006	3,194.96	2	SALE	1099-B
COLGATE PALMOLIVE CO	194162103	53.77820	20.00000	02/09/2006	1,075.52	2	SALE	1099-B
COLGATE PALMOLIVE CO	194162103	56.34000	31.00000	04/17/2006	1,746.48	2	SALE	1099-B
DANAHER CORP	235851102	63.99500	18.00000	03/31/2006	1,151.87	2	SALE	1099-B
DANAHER CORP	235851102	65.84000	22.00000	04/17/2006	1,448.43	2	SALE	1099-B
DAVITA INC	23918K108	54.82000	15.00000	04/17/2006	822.27	2	SALE	1099-B
DAVITA INC	23918K108	58.89000	16.00000	04/20/2006	910.21	2	SALE	1099-B
DELL INC	24702R101	28.96200	111.00000	04/17/2006	3,214.68	2	SALE	1099-B

THIS IS YOUR FORMS 1099 (COPY B FOR RECIPIENT) - PLEASE RETAIN FOR TAX PREPARATION PURPOSES
The above is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction will be imposed on you if the income is taxable and the IRS determines that it has not been reported.

[redacted]

6507

2006 FORMS 1099

Sub / Branch / Reg / Account No: [redacted]

JOSEPH HEATH SHULER
RITTENHOUSE

Your Financial Advisor :
BILL DAVIS,JR
8540 COLONNADE CENTER DRIVE
SUITE, 101
RALEIGH NC 27615
919-841-5400 / 800-482-3939

Payer:
FIRST CLEARING, LLC
10700 WHEAT FIRST DRIVE
GLEN ALLEN, VA 23060
Payer ID #: 25-2384840

As of Date: 2/09/07

Your Federal Identification Number: [redacted]

Reportable Tax Information

1099-B Proceeds from Broker and Barter Exchange Transactions for 2006 *Continued*

OMB NO. 1545-0715

Description (Box 7)	Cusip (Box 1b)	Price	Quantity	Trade Date (Box 1a)	Amount	IRS Box	Transaction Description	Reported On
NOVARTIS AG	66987V109	56.20000	42.00000	04/17/2006	2,360.32	2	SALE	1099-B
OMNICOM GROUP	681919106	81.65350	13.00000	02/08/2006	1,061.46	2	SALE	1099-B
ORACLE CORP	68389X105	13.75200	334.00000	04/17/2006	4,593.02	2	SALE	1099-B
PEPSICO INCORPORATED	713448108	57.55000	28.00000	04/17/2006	1,611.35	2	SALE	1099-B
PROCTER & GAMBLE CO	742718109	56.28000	37.00000	04/17/2006	2,082.29	2	SALE	1099-B
QUALCOMM INC	747525103	51.11000	49.00000	04/17/2006	2,504.31	2	SALE	1099-B
STARBUCKS CORP	855244109	37.94000	36.00000	04/17/2006	1,365.79	2	SALE	1099-B
SYSCO CORPORATION	871829107	30.55880	37.00000	02/09/2006	1,130.64	2	SALE	1099-B
SYSCO CORPORATION	871829107	31.60000	54.00000	04/17/2006	1,706.34	2	SALE	1099-B
TARGET CORP	87612E106	51.00000	53.00000	04/17/2006	2,702.91	2	SALE	1099-B
TEX INSTRUMENTS INC	882508104	32.43000	60.00000	04/17/2006	1,945.74	2	SALE	1099-B
TOTAL S.A. SPONS ADR	89151E109	135.49000	13.00000	04/17/2006	1,761.31	2	SALE	1099-B
UNITED TECHNOLOGIES CORP	913017109	57.44000	24.00000	04/17/2006	1,376.51	2	SALE	1099-B
UNITEDHEALTH GROUP	91324P102	52.06000	79.00000	04/17/2006	4,112.61	2	SALE	1099-B
WAL-MART STORES INC	931142103	45.81000	90.00000	04/17/2006	4,122.77	2	SALE	1099-B
WELLS FARGO COMPANY	949746101	64.37000	47.00000	04/17/2006	3,025.29	2	SALE	1099-B
TOTAL PROCEEDS FROM BROKER AND BARTER EXCHANGE					125,376.56	2		

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WACHOVIA SECURITIES

[redacted]

JOSEPH HEATH SHULER
RITTENHOUSE

Your Financial Advisor :
BILL DAVIS,JR
6540 COLONNADE CENTER DRIVE
SUITE, 101
RALEIGH NC 27615
919-841-5400 / 800-462-3939

Payer:
FIRST CLEARING, LLC
10700 WHEAT FIRST DRIVE
GLEN ALLEN, VA 23060
Payer ID #: 23-2384840

As of Date: 2/09/07

Your Federal Identification Number: [redacted]

Reportable Tax Information

1099-B Proceeds from Broker and Barter Exchange Transactions for 2006 *Continued*

OMB NO. 1545-071

Description (Box 7)	Cusip (Box 1b)	Price	Quantity	Trade Date (Box 1a)	Amount	IRS Box	Transaction Description	Reported On
DISNEY WALT COMPANY	254687106	27.77000	135.00000	04/17/2006	3,748.83	2	SALE	1099-B
ELECTRONIC ARTS INC	285512109	57.01000	31.00000	04/20/2006	1,767.25	2	SALE	1099-B
ELI LILLY & CO	532457108	56.72460	40.00000	02/02/2006	2,268.91	2	SALE	1099-B
GENERAL ELECTRIC COMPANY	369604103	33.27000	145.00000	04/17/2006	4,824.00	2	SALE	1099-B
HONEYWELL INTERNATIONAL	438516106	42.55730	58.00000	03/16/2006	2,468.24	2	SALE	1099-B
ILL TOOL WORKS INC	452308109	96.56000	32.00000	04/17/2006	3,089.82	2	SALE	1099-B
INTEL CORP	458140100	21.61050	117.00000	01/26/2006	2,528.35	2	SALE	1099-B
JOHNSON & JOHNSON	478160104	57.70390	20.00000	04/13/2006	1,154.04	2	SALE	1099-B
JOHNSON & JOHNSON	478160104	57.68000	51.00000	04/17/2006	2,941.58	2	SALE	1099-B
KOHLS CORP	500255104	52.29160	19.00000	03/16/2006	993.50	2	SALE	1099-B
KOHLS CORP	500255104	52.38000	55.00000	04/17/2006	2,880.81	2	SALE	1099-B
LAUDER ESTEE COS INC CLA	518439104	35.93000	44.00000	04/17/2006	1,580.87	2	SALE	1099-B
LINEAR TECHNOLOGY CORP	535678106	35.95000	73.00000	04/17/2006	2,624.25	2	SALE	1099-B
LOWES COMPANIES INC	548661107	63.56450	34.00000	02/02/2006	2,161.13	2	SALE	1099-B
MEDCO HEALTH SOLUTIONS	58405U102	55.19000	51.00000	04/17/2006	2,814.60	2	SALE	1099-B
MEDTRONIC INC	585055106	49.75000	71.00000	04/17/2006	3,532.14	2	SALE	1099-B
MICROSOFT CORP	594918104	26.88200	190.00000	04/17/2006	5,107.42	2	SALE	1099-B
MORGAN STANLEY & CO	617446448	63.65000	26.00000	04/17/2006	1,654.84	2	SALE	1099-B
NORTHERN TRUST CORP	665859104	52.39000	44.00000	04/17/2006	2,305.08	2	SALE	1099-B

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2006 FORMS 1099

WACHOVIA SECURITIES

Sub / Branch / Rep / Account No
[redacted]

As of Date: 2/09/0

JOSEPH HEATH SHULER
RITTENHOUSE

Your Financial Advisor :
BILL DAVIS,JR
8540 COLONNADE CENTER DRIVE
SUITE, 101
RALEIGH NC 27615
919-841-5400 / 800-462-3939

Payer:
FIRST CLEARING, LLC
10700 WHEAT FIRST DRIVE
GLEN ALLEN, VA 23060
Payer ID #: 23-2384840

Federal Non-Reportable Information

The following is being provided for your information only and will NOT be furnished to the Internal Revenue Service.

This section lists miscellaneous information which can be helpful in the preparation of your tax return. It provides supplementary information that you may need to either include or consider for adjustments when completing your federal, state or local tax return. Please review this information with your tax advisor.

Miscellaneous Activity Summary

Line Ref	Type	Amount
1	Margin Debit Interest	6.69
2	Federally Tax-Exempt OID Bonds	0.00
3	Return of Capital or Principal Payments	0.00
4	OID Subject to Alternative Minimum Tax (AMT)	0.00
5	Master Limited Partnership Distributions	0.00
6	Accrued Interest on Purchases	0.00
7	Federally Tax-Exempt Accrued Interest on Purchases	0.00

Miscellaneous Activity Detail

Description	Notes	Date	Activity	Amount	Line
CASH DEBIT INTEREST		05/30/2006	CASH DEBIT INTEREST	-6.69	1
TOTAL MARGIN INTEREST				-6.69	1

Purchase Activity Detail

Description	Cusip	Date	Activity	Quantity	Price	Amo
ABBOTT LABORATORIES	002824100	02/03/2006	PURCHASE	53.00000	42.59320	-2,257.
APPLE COMPUTER INC	037833100	02/15/2006	PURCHASE	25.00000	69.19330	-1,729.
CHICOS FAS INC	168615102	03/17/2006	PURCHASE	44.00000	42.19020	-1,856.

IMPORTANT TAX INFORMATION - PLEASE RETAIN FOR TAX PREPARATION PURPOSES

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2006 FORMS 1099

Sub / Branch / Reg / Account No
[redacted]

As of Date: 2/09/07

JOSEPH HEATH SHULER
RITTENHOUSE

Your Financial Advisor :
BILL DAVIS,JR
8540 COLONNADE CENTER DRIVE
SUITE, 101
RALEIGH NC 27615
919-841-6400 / 800-462-3939

Payer:
FIRST CLEARING, LLC
10700 WHEAT FIRST DRIVE
GLEN ALLEN, VA 23060
Payer ID #: 23-2384840

Federal Non-Reportable Information

Purchase Activity Detail *Continued*

Description	Cusip	Date	Activity	Quantity	Price	Amount
CINTAS CORP	172908105	01/11/2006	PURCHASE	28.00000	41.64020	-1,165.93
DANAHER CORP	235851102	02/06/2006	PURCHASE	28.00000	55.74890	-1,560.91
DANAHER CORP	235851102	02/07/2006	PURCHASE	12.00000	55.47260	-665.67
DAVITA INC	23918K108	04/13/2006	PURCHASE	15.00000	54.99730	-824.96
DAVITA INC	23918K108	04/17/2006	PURCHASE	16.00000	54.65490	-874.48
ELECTRONIC ARTS INC	285512109	04/17/2006	PURCHASE	31.00000	54.97100	-1,704.10
ILL TOOL WORKS INC	452308109	03/01/2006	PURCHASE	13.00000	88.28440	-1,147.70
LAUDER ESTEE COS INC CLA	518439104	02/10/2006	PURCHASE	25.00000	37.86970	-946.74
LAUDER ESTEE COS INC CLA	518439104	02/13/2006	PURCHASE	19.00000	37.74440	-717.14
LINEAR TECHNOLOGY CORP	535678106	01/26/2006	PURCHASE	28.00000	38.38380	-1,074.75
NORTHERN TRUST CORP	665859104	02/10/2006	PURCHASE	20.00000	52.48910	-1,049.78
NORTHERN TRUST CORP	665859104	02/13/2006	PURCHASE	24.00000	52.49760	-1,259.94
UNITEDHEALTH GROUP	91324P102	03/17/2006	PURCHASE	21.00000	56.50820	-1,186.67

IMPORTANT TAX INFORMATION - PLEASE RETAIN FOR TAX PREPARATION PURPOSES

[redacted]

6511

JOSEPH HEATH SHULER
RITTENHOUSE

Realized Gain/Loss Detail for Year

Short Term

Description	Quantity	Original Price	Date Acquired	Close Date	Proceeds	Cost/Adjusted Cost	Gain/Los
ABBOTT LABORATORIES	53.0000	42.5932	02/03/06	04/17/06	2,186.71	2,257.44	-70.7
APPLE COMPUTER INC	25.0000	69.1933	02/15/06	04/17/06	1,821.45	1,929.83	-108.3
BAKER HUGHES INC	29.0000	58.6034	09/14/05	04/17/06	2,101.56	1,699.50	402.0
CARNIVAL CORP	32.0000	52.1387	11/07/05	04/17/06	1,516.43	1,668.44	-152.01
CHICOS FAS INC	44.0000	42.1902	03/17/06	04/17/06	1,587.87	1,856.37	-268.7
CINTAS CORP	10.0000	35.4958	10/07/05	04/17/06	413.18	394.96	18.2
	17.0000	39.6780	10/10/05	04/17/06	702.41	674.53	27.8
	28.0000	41.6492	01/11/06	04/17/06	1,158.94	1,186.93	-8.9
DANAHER CORP	18.0000	55.7489	02/06/06	03/31/06	1,151.87	1,003.45	148.4
	10.0000	55.7489	02/06/06	04/17/06	658.37	557.46	100.91
	12.0000	55.4726	02/07/06	04/17/06	790.06	665.87	124.3
DAVITA INC	15.0000	54.9973	04/13/06	04/17/06	822.27	824.96	-2.6
	16.0000	54.6549	04/17/06	04/20/06	910.21	874.49	35.7
DISNEY WALT COMPANY	60.0000	27.6311	05/24/05	04/17/06	1,668.14	1,657.87	6.2
	75.0000	26.3787	07/14/05	04/17/06	2,082.69	1,978.40	104.2
ELECTRONIC ARTS INC	31.0000	54.9710	04/17/06	04/20/06	1,767.25	1,704.10	63.1
HONEYWELL INTERNATIONAL INC	58.0000	38.0110	08/24/05	03/16/06	2,488.24	2,204.84	283.6
ILLINOIS TOOL WORKS INC	19.0000	89.9880	12/21/05	04/17/06	1,834.58	1,709.77	124.81
	13.0000	88.2844	03/01/06	04/17/06	1,255.24	1,147.70	107.54
KOHLS CORP	19.0000	45.9067	12/08/05	03/16/06	993.50	872.23	121.27
	31.0000	45.9067	12/08/05	04/17/06	1,623.72	1,423.11	200.61
	24.0000	48.9819	12/28/05	04/17/06	1,257.09	1,175.09	82.0
LAUDER ESTEE COS INC CL A	25.0000	37.8897	02/10/06	04/17/06	898.22	946.74	-48.52
	19.0000	37.7444	02/13/06	04/17/06	682.65	717.14	-34.49
LINEAR TECHNOLOGY CORP	28.0000	38.3838	01/26/06	04/17/06	1,006.57	1,074.75	-68.18
MEDCO HEALTH SOLUTIONS INC	23.0000	53.9232	10/07/05	04/17/06	1,269.33	1,240.23	29.10
NORTHERN TRUST CORP	20.0000	52.4891	02/10/06	04/17/06	1,047.78	1,049.78	-2.02
	24.0000	52.4976	02/13/06	04/17/06	1,257.32	1,259.94	-2.62

INFORMATION PROVIDED AS COURTESY ONLY - NOT FURNISHED TO THE IRS

[redacted]

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Realized Gain/Loss

Sub / Branch / Reg / Account No [redacted]

As of Date: 2/09/07

JOSEPH HEATH SHULER
RITTENHOUSE

Short Term *Continued*

Description	Quantity	Original Price	Date Acquired	Close Date	Proceeds	Cost/Adjusted Cost	Gain/Loss
NOVARTIS AG SPON ADR	30.0000	51.7716	10/07/05	04/17/06	1,685.94	1,559.13	126.81
	12.0000	52.1529	10/10/05	04/17/06	674.38	625.83	48.55
ORACLE CORPORATION	82.0000	13.9382	07/14/05	04/17/06	1,127.62	1,142.77	-15.15
	93.0000	13.5225	09/20/05	04/17/06	1,278.91	1,257.59	21.32
QUALCOMM INC	35.0000	35.3151	07/14/05	04/17/06	1,788.80	1,236.03	552.77
STARBUCKS CORP	38.0000	25.8271	08/09/05	04/17/06	1,385.79	929.77	456.02
TARGET CORP	16.0000	55.7191	12/28/05	04/17/06	815.99	891.51	-75.52
TOTAL S.A. SPONS ADR	13.0000	123.9443	11/14/05	04/17/06	1,761.31	1,611.28	150.03
UNITEDHEALTH GROUP INC	14.0000	56.2774	10/07/05	04/17/06	728.81	787.88	-59.07
	21.0000	56.5082	03/17/06	04/17/06	1,093.24	1,186.67	-93.43
Total - Short Term					$49,030.22	$46,762.97	$2,267.25

Long Term

Description	Quantity	Original Price	Date Acquired	Close Date	Proceeds	Cost/Adjusted Cost	Gain/Loss
AMERICAN INTL GROUP INC	42.0000	68.7700	01/08/04	04/17/06	2,645.49	2,888.34	-242.85
AMGEN INC	8.0000	69.1450	07/30/03	04/17/06	557.02	553.19	3.83
	30.0000	63.1500	01/08/04	04/17/06	2,088.83	1,894.50	194.33
	8.0000	58.5518	03/25/04	04/17/06	557.03	468.41	88.62
BANK OF NEW YORK INC	54.0000	28.9733	04/03/04	04/17/06	1,877.52	1,618.56	258.96
BED BATH & BEYOND INC	40.0000	42.3150	01/08/04	04/17/06	1,542.35	1,692.40	-150.05
	17.0000	37.8875	07/01/04	04/17/06	655.50	644.09	11.41
CISCO SYSTEMS INC	55.0000	19.2200	07/30/03	04/17/06	1,159.91	1,057.10	102.81
	65.0000	26.2600	01/08/04	04/17/06	1,370.80	1,706.90	-336.10
	58.0000	19.0594	12/20/04	04/17/06	1,181.01	1,067.33	113.68
CITIGROUP INC	41.0000	45.4350	07/30/03	04/17/06	1,984.74	1,862.63	122.11
	25.0000	49.9100	01/08/04	04/17/06	1,210.22	1,247.75	-37.53
COLGATE-PALMOLIVE CO	20.0000	50.6400	01/08/04	02/09/06	1,075.52	1,012.80	62.72
	1.0000	50.6400	01/08/04	04/17/06	56.33	50.64	5.69
DELL INC	30.0000	45.8383	10/22/04	04/17/06	1,690.15	1,375.15	315.00
	70.0000	33.1390	07/30/03	04/17/06	2,027.27	2,319.73	-292.46

INFORMATION PROVIDED AS COURTESY ONLY - NOT FURNISHED TO THE IRS

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WACHOVIA SECURITIES

Realized Gain/Loss

Sub / Branch / Rep / Account No. [redacted]

As of Date: 2/09/07

JOSEPH HEATH BHULER
RITTENHOUSE

Long Term *Continued*

Description	Quantity	Original Price	Date Acquired	Close Date	Proceeds	Cost/Adjusted Cost	Gain/L
	15.0000	32.9845	03/04/04	04/17/06	434.41	494.47	-60.
ELI LILLY & CO	26.0000	35.2594	10/22/04	04/17/06	753.00	916.74	-163.
	23.0000	76.8964	05/07/04	02/02/06	1,304.62	1,764.02	-459.
GENERAL ELECTRIC COMPANY	17.0000	55.2950	12/20/04	02/02/06	964.29	940.02	24
	50.0000	27.9800	07/30/03	04/17/06	1,663.44	1,399.00	264
	15.0000	31.1900	09/12/03	04/17/06	499.03	467.85	31
INTEL CORP	80.0000	32.1500	01/08/04	04/17/06	2,661.53	2,572.00	89
	41.0000	24.5390	07/30/03	01/26/06	885.00	1,006.10	-120.
	45.0000	34.2700	01/08/04	01/26/06	972.44	1,542.15	-569.
JOHNSON & JOHNSON	31.0000	27.6253	03/26/04	01/26/06	669.81	856.38	-186.
	20.0000	51.5700	01/08/04	04/13/06	1,154.04	1,031.40	122
	35.0000	51.5700	01/08/04	04/17/06	2,018.73	1,804.95	213
	16.0000	63.7385	01/25/05	04/17/06	922.85	1,019.82	-96.
LINEAR TECHNOLOGY CORP	45.0000	36.8219	01/11/05	04/17/06	1,617.69	1,656.99	-39.
LOWES COMPANIES INC	13.0000	54.1800	01/08/04	02/02/06	826.31	704.34	121
	21.0000	52.9911	06/29/04	02/02/06	1,334.82	1,112.60	222
MEDCO HEALTH SOLUTIONS INC	28.0000	39.8104	12/21/04	04/17/06	1,545.27	1,114.69	430
MEDTRONIC INC	50.0000	48.9000	01/08/04	04/17/06	2,984.90	2,880.00	104.
	11.0000	47.8262	03/04/04	04/17/06	547.24	526.09	21
MICROSOFT CORP	85.0000	26.4490	07/30/03	04/17/06	2,284.89	2,248.17	36
	20.0000	27.7997	09/12/03	04/17/06	537.62	555.99	-18.
	45.0000	28.1200	01/08/04	04/17/06	1,209.65	1,265.40	-55.
	40.0000	26.3654	02/01/05	04/17/06	1,075.28	1,054.62	20
MORGAN STANLEY & CO	13.0000	58.2400	01/08/04	04/17/06	827.41	757.12	70
	13.0000	48.1812	07/28/04	04/17/06	827.43	626.10	201.
OMNICOM GROUP	13.0000	78.8784	05/17/04	02/08/06	1,061.48	1,022.82	38
ORACLE CORPORATION	85.0000	14.2900	01/08/04	04/17/06	1,168.88	1,214.65	-45.
	74.0000	10.0757	07/28/04	04/17/06	1,017.51	745.60	272
PEPSICO INCORPORATED	28.0000	48.4100	07/30/03	04/17/06	1,611.35	1,299.48	311
PROCTER & GAMBLE CO	18.0000	49.4800	01/08/04	04/17/06	1,013.00	890.64	122
	19.0000	53.7203	03/03/05	04/17/06	1,069.29	1,020.89	48
QUALCOMM INC	14.0000	37.0657	02/01/05	04/17/06	715.51	518.08	197

INFORMATION PROVIDED AS COURTESY ONLY - NOT FURNISHED TO THE IRS

[redacted]

Realized Gain/Loss

Sub / Branch / Rep / Account No
[redacted]

As of Date: 2/09/07

JOSEPH HEATH SHULER
RITTENHOUSE

Long Term *Continued*

Description	Quantity	Original Price	Date Acquired	Close Date	Proceeds	Cost/Adjusted Cost	Gain/Loss
SYSCO CORPORATION	28.0000	36.7700	01/08/04	02/09/06	794.50	956.02	-161.52
	11.0000	31.8685	08/24/04	02/09/06	336.14	350.56	-14.42
	21.0000	31.8685	08/24/04	04/17/06	663.57	669.23	-5.66
	33.0000	30.7798	10/26/04	04/17/06	1,042.77	1,015.73	27.04
TARGET CORP	2.0000	38.3500	07/30/03	04/17/06	101.99	76.72	25.27
	15.0000	39.1146	11/13/03	04/17/06	764.97	586.72	178.25
	20.0000	37.8800	01/08/04	04/17/06	1,019.98	757.60	262.38
TEXAS INSTRUMENTS INC	60.0000	31.4600	01/08/04	04/17/06	1,945.74	1,887.60	58.14
UNITED TECHNOLOGIES CORP	24.0000	45.0875	03/04/04	04/17/06	1,378.51	1,082.10	296.41
UNITEDHEALTH GROUP INC	44.0000	28.8050	01/08/04	04/17/06	2,290.56	1,267.42	1,023.14
WAL-MART STORES INC	50.0000	53.2800	01/08/04	04/17/06	2,290.42	2,664.00	-373.58
	22.0000	52.3182	07/08/04	04/17/06	1,007.78	1,151.00	-143.22
	18.0000	52.1775	10/22/04	04/17/06	824.57	939.20	-114.63
WELLS FARGO COMPANY	28.0000	58.0772	03/12/04	04/17/06	1,802.30	1,626.16	176.14
	19.0000	57.9940	06/28/04	04/17/06	1,222.99	1,101.89	121.10
Total - Long Term					$77,346.34	$74,620.44	$2,725.90

INFORMATION PROVIDED AS COURTESY ONLY - NOT FURNISHED TO THE IRS

[redacted]

6515

2006 FORMS 1099

[redacted]

Your Financial Advisor :
BILL DAVIS,JR
8540 COLONNADE CENTER DRIVE
SUITE, 101
RALEIGH NC 27615
919-841-5400 / 800-482-3939

Payer:
FIRST CLEARING, LLC
10700 WHEAT FIRST DRIVE
GLEN ALLEN, VA 23060
Payer ID #: 23-2384840

As of Date: 2/09/07

JOSEPH HEATH SHULER

Your Federal Identification Number: [redacted]

Reportable Tax Information

Tax reporting requirements can create differences with the amounts previously reported in monthly statements.

1099-B Proceeds from Broker and Barter Exchange Transactions for 2006

OMB NO. 1545-0715

Description (Box 7)	Cusip (Box 1b)	Price	Quantity	Trade Date (Box 1a)	Amount	IRS Box	Transaction Description	Reported On
ABBOTT LABORATORIES	002824100	41.27000	79.00000	04/18/2006	3,260.22	2	SALE	1099-B
ALLSTATE CORP	020002101	50.97000	61.00000	04/18/2006	3,103.07	2	SALE	1099-B
AON CORP	037389103	41.48100	108.00000	04/18/2006	4,479.81	2	SALE	1099-B
AT & T INC	00206R102	25.51000	152.00000	04/18/2006	3,877.40	2	SALE	1099-B
BAXTER INTERNATIONAL INC	071813109	36.63000	94.00000	04/18/2006	3,443.11	2	SALE	1099-B
BOEING CO	097023105	68.15420	57.00000	01/26/2006	3,884.67	2	SALE	1099-B
BRISTOL MYERS SQUIBB CO	110122108	24.72100	148.00000	04/18/2006	3,658.59	2	SALE	1099-B
CHEVRON CORPORATION	166764100	60.20000	63.00000	04/18/2006	3,792.48	2	SALE	1099-B
CHUBB CORP	171232101	96.37000	42.00000	04/18/2006	4,047.41	2	SALE	1099-B
CONAGRA FOODS INC	205887102	21.35000	188.00000	04/18/2006	4,013.67	2	SALE	1099-B
CONOCOPHILLIPS	20825C104	69.87000	59.00000	04/18/2006	4,122.20	2	SALE	1099-B
DU PNT EI DE NEMOURS &CO	263534109	43.46000	94.00000	04/18/2006	4,085.11	2	SALE	1099-B
EXXON MOBIL CORP	30231G102	60.58280	62.00000	02/17/2006	3,754.77	2	SALE	1099-B
GAP INC	364760108	17.70000	204.00000	04/18/2006	3,610.68	2	SALE	1099-B
HARTFORD FINL SVCS GROUP	416515104	81.77000	46.00000	04/18/2006	3,761.30	2	SALE	1099-B
HEINZ H J CO COMMON	423074103	38.01000	105.00000	04/18/2006	3,990.92	2	SALE	1099-B
HEWLETT-PACKARD COMPANY	428236103	32.22000	151.00000	04/18/2006	4,865.07	2	SALE	1099-B
HUNTINGTON BANCSHARES IN	446150104	23.85200	148.00000	04/18/2006	3,529.99	2	SALE	1099-B
INTL BUSINESS MACH CORP	459200101	82.84000	44.00000	04/18/2006	3,644.84	2	SALE	1099-B

THIS IS YOUR FORMS 1099 (COPY B FOR RECIPIENT) - PLEASE RETAIN FOR TAX PREPARATION PURPOSES

The above is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction will be imposed on you if the income is taxable and the IRS determines that it has not been reported.

[redacted]

WACHOVIA SECURITIES

Sub / Branch / Rep / Account No [redacted]

JOSEPH HEATH SHULER

Your Financial Advisor :
BILL DAVIS JR
6540 COLONNADE CENTER DRIVE
SUITE, 101
RALEIGH NC 27615
919-841-5400 / 800-482-3939

Payer:
FIRST CLEARING, LLC
10700 WHEAT FIRST DRIVE
GLEN ALLEN, VA 23060
Payer ID #: 23-2384840

As of Date: 2/09/0

Your Federal Identification Number: [redacted]

Reportable Tax Information

1099-B Proceeds from Broker and Barter Exchange Transactions for 2006 *Continued*

OMB NO. 1545-07

Description (Box 7)	Cusip (Box 1b)	Price	Quantity	Trade Date (Box 1a)	Amount	IRS Box	Transaction Description	Reported t
KIMBERLY-CLARK CORP	494368103	57.04000	58.00000	04/18/2006	3,308.21	2	SALE	1099-B
LIMITED BRANDS INC	532716107	24.87000	153.00000	04/18/2006	3,804.99	2	SALE	1099-B
MATTEL INC	577081102	16.55000	240.00000	04/18/2006	3,971.87	2	SALE	1099-B
MERCK & CO INC	589331107	33.92000	120.00000	04/18/2006	4,070.27	2	SALE	1099-B
MORGAN STANLEY & CO	617446448	64.86000	70.00000	04/18/2006	4,540.08	2	SALE	1099-B
PFIZER INCORPORATED	717081103	24.66000	139.00000	04/18/2006	3,427.63	2	SALE	1099-B
PROGRESS ENERGY INC	743263105	41.92000	85.00000	04/18/2006	3,563.09	2	SALE	1099-B
SAFEWAY INC NEW	786514208	24.93000	155.00000	04/18/2006	3,864.03	2	SALE	1099-B
UNION PACIFIC CORP	907818108	93.55000	52.00000	04/18/2006	4,864.45	2	SALE	1099-B
VERIZON COMMUNICATIONS	92343V104	32.75000	116.00000	04/18/2006	3,798.88	2	SALE	1099-B
WACHOVIA CORP	929903102	55.58000	73.00000	04/18/2006	4,055.75	2	SALE	1099-B
WASHINGTON MUT INC	939322103	43.87000	87.00000	04/18/2006	3,816.57	2	SALE	1099-B
WASTE MGMT INC DEL	94106L109	35.87000	131.00000	04/18/2006	4,698.82	2	SALE	1099-B
WYETH	983024100	45.94000	81.00000	04/18/2006	3,721.02	2	SALE	1099-B
XEROX CORP	984121103	14.68000	281.00000	04/18/2006	4,124.95	2	SALE	1099-B
TOTAL PROCEEDS FROM BROKER AND BARTER EXCHANGE					132,561.90	2		

THIS IS YOUR FORMS 1099 (COPY B FOR RECIPIENT) - PLEASE RETAIN FOR TAX PREPARATION PURPOSES
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[redacted]

6517

2006 FORMS 1099

Sub / Branch / Rep / Account No.
[redacted]

As of Date: 2/09/07

JOSEPH HEATH SHULER

Your Financial Advisor :
BILL DAVIS,JR
8540 COLONNADE CENTER DRIVE
SUITE, 101
RALEIGH NC 27615
919-841-5400 / 800-452-3909

Payer:
FIRST CLEARING, LLC
10700 WHEAT FIRST DRIVE
GLEN ALLEN, VA 23060
Payer ID #: 23-2384840

Federal Non-Reportable Information

The following is being provided for your information only and will NOT be furnished to the Internal Revenue Service.

This section lists miscellaneous information which can be helpful in the preparation of your tax return. It provides supplementary information that you may need to either include or consider for adjustments when completing your federal, state or local tax return. Please review this information with your tax advisor.

Purchase Activity Detail

Description	Cusip	Date	Activity	Quantity	Price	Amount
CONAGRA FOODS INC	205887102	02/02/2006	PURCHASE	19.00000	20.77600	-394.76
CONAGRA FOODS INC	205887102	03/29/2006	PURCHASE	6.00000	21.36000	-128.16
HEINZ H J CO COMMON	423074103	02/28/2006	PURCHASE	105.00000	37.58670	-3,946.60
MATTEL INC	577081102	02/02/2006	PURCHASE	41.00000	16.43320	-673.76

IMPORTANT TAX INFORMATION - PLEASE RETAIN FOR TAX PREPARATION PURPOSES

6518

JOSEPH HEATH SHULER

Realized Gain/Loss Detail for Year

Short Term

Description	Quantity	Original Price	Date Acquired	Close Date	Proceeds	Cost/Adjusted Cost	Gain/
ABBOTT LABORATORIES	79.0000	47.0500	08/01/05	04/18/06	3,280.22	3,720.11	-45
ALLSTATE CORP	61.0000	60.9300	08/01/05	04/18/06	3,109.07	3,716.73	-607
AON CORP	108.0000	25.4800	08/01/05	04/18/06	4,479.81	2,749.68	1,73
AT & T INC	152.0000	23.5181	10/24/05	04/18/06	3,877.40	3,574.45	30
BAXTER INTERNATIONAL INC	94.0000	39.3300	08/01/05	04/18/06	3,443.11	3,697.02	-25
BOEING CO	57.0000	65.8900	08/01/05	01/26/06	3,884.87	3,755.73	12
BRISTOL MYERS SQUIBB CO	148.0000	25.0100	08/01/05	04/18/06	3,858.59	3,701.48	-4
CHEVRON CORPORATION	63.0000	58.5700	08/01/05	04/18/06	3,792.48	3,689.91	10
CHUBB CORP	42.0000	89.1400	08/01/05	04/18/06	4,047.41	3,743.88	30
CONAGRA FOODS INC	163.0000	22.8000	08/01/05	04/18/06	3,479.93	3,716.40	-23
	19.0000	20.7769	02/02/06	04/18/06	405.63	394.76	1
	6.0000	21.3600	03/29/06	04/18/06	128.11	128.16	-0
CONOCOPHILLIPS	59.0000	63.3500	08/01/05	04/18/06	4,122.20	3,737.65	38
DU PONT E.I. DE NEMOURS AND COMPANY	20.0000	38.1073	10/19/05	04/18/06	869.17	762.15	107
	74.0000	39.9238	10/20/05	04/18/06	3,215.94	2,909.96	30
EXXON MOBIL CORP	14.0000	63.9400	03/09/06	02/17/08	847.85	895.16	-47
	13.0000	54.6118	05/20/05	02/17/06	787.30	709.95	77
GAP INC	204.0000	18.3579	12/16/05	04/18/06	3,610.58	3,745.01	-134
HARTFORD FINL SVCS GROUP INC	48.0000	80.4850	08/01/05	04/18/06	3,761.30	3,702.31	58
HEINZ H J CO COMMON	105.0000	37.5897	02/28/06	04/18/06	3,990.92	3,948.80	4
HEWLETT-PACKARD COMPANY	151.0000	24.5800	08/01/05	04/18/06	4,885.07	3,711.58	1,153
HUNTINGTON BANCSHRES INC	148.0000	24.9994	08/01/05	04/18/06	3,529.96	3,899.91	-189
INTERNATIONAL BUSINESS MACHINE CORP	44.0000	83.7500	08/01/05	04/18/06	3,644.84	3,685.00	-40
KIMBERLY-CLARK CORP	58.0000	63.8000	08/01/05	04/18/06	3,308.21	3,700.40	-39
LIMITED BRANDS INC	153.0000	24.3300	08/01/05	04/18/06	3,604.99	3,722.49	-9
MATTEL INCORPORATED	199.0000	18.7200	08/01/05	04/18/06	3,293.34	3,725.28	-431
	41.0000	16.4332	02/02/06	04/18/06	678.53	673.78	4
MERCK & CO INC	120.0000	30.7800	08/01/05	04/18/06	4,070.27	3,693.60	37
MORGAN STANLEY & CO	70.0000	52.9700	08/01/05	04/18/06	4,540.06	3,707.90	83

INFORMATION PROVIDED AS COURTESY ONLY - NOT FURNISHED TO THE IRS

[redacted]

Realized Gain/Loss

Sub / Branch / Rep / Account No.
[redacted]

As of Date: 2/09/07

JOSEPH HEATH SHULER

Short Term *Continued*

Description	Quantity	Original Price	Date Acquired	Close Date	Proceeds	Cost/Adjusted Cost	Gain/Loss
PFIZER INCORPORATED	20.0000	28.6200	05/20/05	04/18/06	493.18	572.40	-79.22
	23.0000	28.7400	08/01/05	04/18/06	567.19	615.02	-47.83
PROGRESS ENERGY INC	85.0000	44.9730	11/30/05	04/18/06	3,583.09	3,822.71	-239.62
SAFEWAY INC NEW	155.0000	24.0100	08/01/05	04/18/06	3,864.03	3,721.55	142.48
UNION PACIFIC CORP	52.0000	70.6300	08/01/05	04/18/06	4,864.45	3,688.36	1,176.09
VERIZON COMMUNICATIONS COM	104.0000	32.1770	11/29/05	04/18/06	3,405.89	3,346.41	59.48
	12.0000	32.3854	11/30/05	04/18/06	392.99	388.62	4.37
WACHOVIA CORP	13.0000	52.5938	05/20/05	04/18/06	722.25	683.72	38.53
	21.0000	50.7000	08/01/05	04/18/06	1,166.74	1,064.70	102.04
WASHINGTON MUT INC	87.0000	42.5500	08/01/05	04/18/06	3,818.57	3,701.85	114.72
WASTE MGMT INC DEL	10.0000	28.2193	09/28/05	04/18/06	358.88	282.19	76.49
	13.0000	28.3309	09/29/05	04/18/06	466.29	368.30	97.99
	14.0000	28.5334	09/30/05	04/18/06	502.18	399.47	102.69
	70.0000	28.7516	10/03/05	04/18/06	2,510.81	2,012.61	498.20
	24.0000	28.9683	10/04/05	04/18/06	860.88	695.19	165.69
WYETH	28.0000	46.0600	08/01/05	04/18/06	1,286.30	1,289.68	-3.38
XEROX CORP	281.0000	13.3200	08/01/05	04/18/06	4,124.95	3,742.92	382.03
Total - Short Term					$123,473.54	$117,712.72	$5,760.82

Long Term

Description	Quantity	Original Price	Date Acquired	Close Date	Proceeds	Cost/Adjusted Cost	Gain/Loss
EXXON MOBIL CORP	15.0000	45.5272	08/20/04	02/17/06	908.41	682.90	225.51
	20.0000	50.1669	01/07/05	02/17/06	1,211.21	1,003.34	207.87
PFIZER INCORPORATED	41.0000	33.9000	07/31/03	04/18/06	1,011.02	1,389.90	-378.88
	28.0000	28.4300	10/15/04	04/18/06	690.45	795.04	-105.59
	27.0000	27.0600	03/08/05	04/18/06	665.79	730.62	-64.83
WACHOVIA CORP	25.0000	44.7754	06/22/04	04/18/06	1,388.95	1,119.39	269.56
	14.0000	50.1000	03/23/05	04/18/06	777.81	701.40	76.41

INFORMATION PROVIDED AS COURTESY ONLY - NOT FURNISHED TO THE IRS

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WACHOVIA SECURITIES - Rep / Branch / Rep / Account No [redacted]

As of Date: 2/09/0

JOSEPH HEATH SHULER

Long Term *Continued*

Description	Quantity	Original Price	Date Acquired	Close Date	Proceeds	Cost/Adjusted Cost	Gain
WYETH	4.0000	43.9100	08/05/03	04/18/06	183.75	175.64	
	12.0000	40.7600	11/13/03	04/18/06	551.26	489.12	6
	17.0000	40.8000	01/29/04	04/18/06	780.95	693.60	8
	14.0000	39.6700	01/31/05	04/18/06	643.13	555.38	8
	6.0000	39.4300	02/01/05	04/18/06	275.63	238.58	3
Total - Long Term					$9,088.36	$8,573.91	$51

INFORMATION PROVIDED AS COURTESY ONLY - NOT FURNISHED TO THE IRS

[redacted]

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2006 FORMS 1099

Sub / Branch / Rep / Account No

JOSEPH HEATH SHULER

Your Financial Advisor :
BILL DAVIS JR
8540 COLONNADE CENTER DRIVE
SUITE, 101
RALEIGH NC 27615
919-841-5400 / 800-462-3939

Payer:
FIRST CLEARING, LLC
10700 WHEAT FIRST DRIVE
GLEN ALLEN, VA 23060
Payer ID #: 23-2384840

As of Date: 2/09/07

Your Federal Identification Number:

Reportable Tax Information

Tax reporting requirements can create differences with the amounts previously reported in monthly statements.

1099-B Proceeds from Broker and Barter Exchange Transactions for 2006

OMB NO. 1545-0715

Description (Box 7)	Cusip (Box 1b)	Price	Quantity	Trade Date (Box 1a)	Amount	IRS Box	Transaction Description	Reported On
AETNA INC	00817Y108	47.42000	48.00000	04/17/2006	2,276.09	2	SALE	1099-B
AGILENT TECH INC	00846U101	36.76570	47.00000	03/13/2006	1,727.97	2	SALE	1099-B
AGILENT TECH INC	00846U101	36.63000	47.00000	04/17/2006	1,721.55	2	SALE	1099-B
ALBERTSONS INC	013104104	25.11870	45.00000	02/02/2006	1,130.30	2	SALE	1099-B
ALTRIA GROUP INC	02209S103	68.88000	60.00000	04/17/2006	4,132.67	2	SALE	1099-B
ADN CORP	037389103	41.18000	60.00000	04/17/2006	2,470.72	2	SALE	1099-B
AT & T INC	00206R102	25.46000	87.00000	04/17/2006	2,214.95	2	SALE	1099-B
BARRICK GOLD CORP	067901108	30.05000	132.00000	04/17/2006	3,966.47	2	SALE	1099-B
BURLINGTON RESOURCES INC	122014103	0.00000	20.00000	04/03/2006	930.00	2	MERGER	1099-B
CA INC	12673P105	26.32000	160.00000	04/17/2006	4,211.07	2	SALE	1099-B
CBS CORP CL B	124857202	0.00000	0.50000	01/04/2006	13.10	2	CASH IN LIEU	1099-B
CBS CORP CL B	124857202	24.77000	71.00000	04/17/2006	1,758.61	2	SALE	1099-B
CITIGROUP INC	172967101	48.49000	55.00000	04/17/2006	2,666.86	2	SALE	1099-B
COMCAST CORP CL A SPL	20030N200	27.88000	83.00000	04/17/2006	2,313.96	2	SALE	1099-B
CONOCOPHILLIPS	20825C104	0.00000	0.42800	04/03/2006	27.02	2	CASH IN LIEU	1099-B
CONOCOPHILLIPS	20825C104	68.09000	50.00000	04/17/2006	3,404.39	2	SALE	1099-B
COUNTRYWIDE FINANCIAL	222372104	36.89000	117.00000	04/17/2006	4,315.99	2	SALE	1099-B
DOMINION RES INC VA NEW	25746U109	70.83000	33.00000	04/17/2006	2,337.31	2	SALE	1099-B
FANNIE MAE	313586109	52.61000	67.00000	04/17/2006	3,524.76	2	SALE	1099-B

THIS IS YOUR FORMS 1099 (COPY B FOR RECIPIENT) - PLEASE RETAIN FOR TAX PREPARATION PURPOSES

The above is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction will be imposed on you if the income is taxable and the IRS determines that it has not been reported.

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2006 FORMS 1099

WACHOVIA SECURITIES

Sub / Branch / Rep / Account No

JOSEPH HEATH SHULER

Your Financial Advisor :
BILL DAVIS,JR
8540 COLONNADE CENTER DRIVE
SUITE, 101
RALEIGH NC 27615
919-841-5400 / 800-462-3939

Payer:
FIRST CLEARING, LLC
10700 WHEAT FIRST DRIVE
GLEN ALLEN, VA 23060
Payer ID #: 23-2384840

As of Date: 2/09/07

Your Federal Identification Number:

Reportable Tax Information

1099-B Proceeds from Broker and Barter Exchange Transactions for 2006 *Continued*

OMB NO. 1545-0715

Description (Box 7)	Cusip (Box 1b)	Price	Quantity	Trade Date (Box 1a)	Amount	IRS Box	Transaction Description	Reported On
GENWORTH FINANCIAL INC	37247D106	34.37000	79.00000	04/17/2006	2,715.14	2	SALE	1099-B
HARTFORD FINL SVCS GROUP	416515104	81.34000	38.00000	04/17/2006	3,090.62	2	SALE	1099-B
INGERSOLL RAND CO CL A	G4776G101	42.48000	52.00000	04/17/2006	2,208.89	2	SALE	1099-B
INTL PAPER CO	460146103	34.92000	50.00000	04/17/2006	1,745.94	2	SALE	1099-B
JPMORGAN CHASE & CO	46625H100	42.13000	67.00000	04/17/2006	2,822.62	2	SALE	1099-B
KERR-MC GEE CORPORATION	492386107	101.94000	58.00000	04/17/2006	5,912.33	2	SALE	1099-B
KIMBERLY-CLARK CORP	494368103	57.25000	48.00000	04/17/2006	2,747.91	2	SALE	1099-B
LIBERTY MEDIA CORP A	530718105	8.23000	145.00000	04/17/2006	1,193.31	2	SALE	1099-B
LOCKHEED MARTIN CORP	539830109	75.07830	13.00000	03/16/2006	975.99	2	SALE	1099-B
LOCKHEED MARTIN CORP	539830109	73.39000	39.00000	04/17/2006	2,862.12	2	SALE	1099-B
MGIC INVESTMENT CORP WIS	552848103	69.54000	23.00000	04/17/2006	1,599.37	2	SALE	1099-B
MICROSOFT CORP	594918104	26.84200	102.00000	04/17/2006	2,737.79	2	SALE	1099-B
MOTOROLA INCORPORATED	620076109	23.50000	92.00000	04/17/2006	2,161.93	2	SALE	1099-B
NOBLE ENERGY INC	655044105	45.63000	88.00000	04/17/2006	4,015.31	2	SALE	1099-B
NORTHROP GRUMMAN CORP	666807102	68.57000	55.00000	04/17/2006	3,771.23	2	SALE	1099-B
PITNEY BOWES INC	724479100	42.67000	57.00000	04/17/2006	2,432.11	2	SALE	1099-B
POSCO SPONSORED ADR	693483109	69.88000	23.00000	04/17/2006	1,607.19	2	SALE	1099-B
RADIAN GROUP INC	750236101	60.55000	39.00000	04/17/2006	2,361.37	2	SALE	1099-B
RAYTHEON COMPANY	755111507	43.85000	53.00000	04/17/2006	2,323.97	2	SALE	1099-B

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6523

2006 FORMS 1099

Stb / Branch / Rem / Account No

JOSEPH HEATH SHULER

Your Financial Advisor :
BILL DAVIS,JR
8540 COLONNADE CENTER DRIVE
SUITE, 101
RALEIGH NC 27615
919-841-5400 / 800-482-3939

Payer:
FIRST CLEARING, LLC
10700 WHEAT FIRST DRIVE
GLEN ALLEN, VA 23060
Payer ID #: 23-2384840

As of Date: 2/09/07

Your Federal Identification Number:

Reportable Tax Information

1099-B Proceeds from Broker and Barter Exchange Transactions for 2006 *Continued*

OMB NO. 1545-0715

Description (Box 7)	Cusip (Box 1b)	Price	Quantity	Trade Date (Box 1a)	Amount	IRS Box	Transaction Description	Reported On
RIO TINTO PLC	767204100	190.91790	8.00000	01/04/2006	1,527.29	2	SALE	1099-B
RIO TINTO PLC	767204100	223.90000	10.00000	04/17/2006	2,238.93	2	SALE	1099-B
SPRINT NEXTEL CORP	852061100	25.99000	83.00000	04/17/2006	2,157.10	2	SALE	1099-B
TRONOX INC CLASS B	897051207	0.00000	0.89512	03/30/2006	11.94	2	CASH IN LIEU	1099-B
TRONOX INC CLASS B	897051207	18.06000	11.00000	04/17/2006	198.65	2	SALE	1099-B
TYSON FOODS INC	902494103	13.15000	120.00000	04/17/2006	1,577.95	2	SALE	1099-B
UNION PACIFIC CORP	907818108	92.78000	33.00000	04/17/2006	3,061.64	2	SALE	1099-B
VERIZON COMMUNICATIONS	92343V104	32.65000	51.00000	04/17/2006	1,665.09	2	SALE	1099-B
VIACOM INC CL B	92553P201	0.00000	0.50000	01/04/2006	20.79	2	CASH IN LIEU	1099-B
VIACOM INC CL B	92553P201	38.46000	123.00000	04/17/2006	4,730.43	2	SALE	1099-B
WACHOVIA CORP	929903102	55.07000	40.00000	04/17/2006	2,202.73	2	SALE	1099-B
WELLS FARGO COMPANY	949746101	64.36000	50.00000	04/17/2006	3,217.90	2	SALE	1099-B
TOTAL PROCEEDS FROM BROKER AND BARTER EXCHANGE					117,039.57	2		

THIS IS YOUR FORMS 1099 (COPY B FOR RECIPIENT) - PLEASE RETAIN FOR TAX PREPARATION PURPOSES
The above is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction will be imposed on you if the income is taxable and the IRS determines that it has not been reported.

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2006 FORMS 1099

WACHOVIA SECURITIES

Sub / Branch / Rep / Account No

JOSEPH HEATH SHULER

Your Financial Advisor :
BILL DAVIS,JR
8540 COLONNADE CENTER DRIVE
SUITE, 101
RALEIGH NC 27615
919-841-5400 / 800-462-3939

Payer:
FIRST CLEARING, LLC
10700 WHEAT FIRST DRIVE
GLEN ALLEN, VA 23060
Payer ID #: 23-2384840

As of Date: 2/09/07

Federal Non-Reportable Information

The following is being provided for your information only and will NOT be furnished to the Internal Revenue Service.

This section lists miscellaneous information which can be helpful in the preparation of your tax return. It provides supplementary information that you may need to either include or consider for adjustments when completing your federal, state or local tax return. Please review this information with your tax advisor.

Purchase Activity Detail

Description	Cusip	Date	Activity	Quantity	Price	Amount
COMCAST CORP CL A SPL	20030N200	01/13/2006	PURCHASE	42.00000	27.51250	-1,155.53
COMCAST CORP CL A SPL	20030N200	01/24/2006	PURCHASE	41.00000	27.11800	-1,111.84
DOMINION RES INC VA NEW	25746U109	04/07/2006	PURCHASE	10.00000	70.93510	-709.35
TYSON FOODS INC	902494103	02/09/2006	PURCHASE	52.00000	14.37930	-747.72
VIACOM INC CL B	92553P201	01/04/2006	PURCHASE	24.00000	41.98940	-1,007.75
VIACOM INC CL B	92553P201	03/06/2006	PURCHASE	28.00000	39.32030	-1,100.97

IMPORTANT TAX INFORMATION - PLEASE RETAIN FOR TAX PREPARATION PURPOSES

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JOSEPH HEATH SHULER

Realized Gain/Loss Detail for Year

Short Term

Description	Quantity	Original Price	Date Acquired	Close Date	Proceeds	Cost/Adjusted Cost	Gain/Loss
AT & T INC	87.0000	23.8790	10/31/05	04/17/06	2,214.95	2,077.47	137.48
BURLINGTON RESOURCES CHG	20.0000	48.4751	04/15/05	04/02/06	1,818.37	969.50	848.87
CBS CORP CL B	20.0000	27.2123	09/13/05	04/17/06	495.39	557.85	-62.46
COMCAST CORP CL A SPL NEW	42.0000	27.5125	01/13/06	04/17/06	1,170.91	1,155.53	15.38
DOMINION RES INC VA NEW	41.0000	27.1180	01/24/06	04/17/06	1,143.05	1,111.84	31.21
GENERAL ELEC CORPORATICHG	10.0000	70.9351	04/07/06	04/17/06	708.28	709.35	-1.07
MICROSOFT CORP	12.0000	69.6563	05/24/05	04/17/06	1,233.26	835.88	397.38
NOBLE ENERGY INC	45.0000	25.2132	09/24/05	04/17/06	1,207.85	1,134.59	73.26
TRONOX INC CLASS B	28.0000	34.7131	05/20/05	04/17/06	1,277.51	971.97	305.54
TYSON FOODS INC CL A	1.7248	12.8630	05/24/05	04/17/06	31.15	31.12	0.03
VIACOM INC CL B	50.0000	16.5519	12/13/05	04/17/06	657.47	827.60	-170.13
	18.0000	16.9904	12/14/05	04/17/06	236.69	300.43	-63.74
	52.0000	14.3793	02/08/06	04/17/06	683.79	747.72	-63.93
	20.0000	41.4602	08/13/05	04/17/06	768.17	849.93	-80.76
	24.0000	41.9894	01/04/06	04/17/06	923.01	1,007.75	-84.74
	28.0000	39.3203	03/08/06	04/17/06	1,076.86	1,100.97	-24.11
Total - Short Term					$15,837.51	$14,389.50	$1,248.31

Long Term

Description	Quantity	Original Price	Date Acquired	Close Date	Proceeds	Cost/Adjusted Cost	Gain/Loss
AETNA INC(NEW)	40.0000	31.0097	12/21/04	04/17/06	1,898.74	1,240.38	658.36
	8.0000	31.0097	12/21/04	04/17/06	379.85	248.08	131.27
AGILENT TECH INC	47.0000	23.6961	12/21/04	03/13/06	1,727.97	1,113.72	614.25
	47.0000	23.6961	12/21/04	04/17/06	1,721.55	1,113.71	607.84
ALBERTSONS INC CHG	45.0000	23.2258	12/21/04	02/02/06	1,130.30	1,045.16	85.14
ALTRIA GROUP INC	60.0000	61.0848	12/21/04	04/17/06	4,132.87	3,685.09	487.58
AON CORP	60.0000	23.1000	12/21/04	04/17/06	2,470.72	1,386.00	1,084.72
BARRICK GOLD CORP	132.0000	24.0800	12/21/04	04/17/06	3,966.47	3,175.92	790.55
CA INC	154.0000	30.9693	12/21/04	04/17/06	4,053.15	4,769.27	-716.12
	6.0000	27.8547	02/08/05	04/17/06	157.92	167.13	-9.21

INFORMATION PROVIDED AS COURTESY ONLY - NOT FURNISHED TO THE IRS

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Realized Gain/Loss

Sub / Branch / Rep / Account No

As of Date: 2/09/07

JOSEPH HEATH GHULER

Long Term *Continued*

Description	Quantity	Original Price	Date Acquired	Close Date	Proceeds	Cost/Adjusted Cost	Gain/Loss
CBS CORP CL B	51.0000	28.5150	12/21/04	04/17/06	1,283.22	1,474.26	-191.04
CITIGROUP INC	55.0000	47.1787	12/21/04	04/17/06	2,666.86	2,594.83	72.03
CONOCOPHILLIPS	38.0000	44.0850	12/21/04	04/17/06	2,451.16	1,587.06	864.10
	14.0000	63.4550	04/15/05	04/17/06	953.23	888.37	64.86
COUNTRYWIDE FINANCIAL CORP	117.0000	35.9242	12/21/04	04/17/06	4,315.99	4,203.13	112.86
DOMINION RES INC VA NEW	23.0000	67.9500	12/21/04	04/17/06	1,629.03	1,582.85	66.18
FANNIE MAE	67.0000	70.2391	12/21/04	04/17/06	3,524.78	4,708.02	-1,181.26
ASSN							
GENWORTH FINANCIAL INC CL-A	79.0000	26.2470	12/21/04	04/17/06	2,715.14	2,073.51	641.63
HARTFORD FINL SVCS GROUP INC	38.0000	68.0500	12/21/04	04/17/06	3,090.82	2,585.90	504.92
INGERSOLL RAND CO CL A	52.0000	40.4450	12/21/04	04/17/06	2,208.89	2,103.14	105.75
INTERNATIONAL PAPER CO	50.0000	41.5300	12/21/04	04/17/06	1,745.94	2,076.50	-330.56
JPMORGAN CHASE & CO	67.0000	39.0600	12/21/04	04/17/06	2,822.82	2,617.02	205.80
KERR-MC GEE CORPORATICHG	22.0000	57.7496	12/21/04	04/17/06	2,242.60	1,270.50	972.10
	5.0000	57.7496	12/21/04	04/17/06	509.68	288.75	220.93
	19.0000	57.7496	12/21/04	04/17/06	1,936.79	1,097.25	839.54
KIMBERLY-CLARK CORP	48.0000	64.8000	12/21/04	04/17/06	2,747.91	3,110.40	-362.49
LIBERTY MEDIA CORP A CHG	145.0000	9.2735	12/21/04	04/17/06	1,193.31	1,344.66	-151.35
LOCKHEED MARTIN CORP	13.0000	57.5100	12/21/04	03/15/06	975.99	747.63	228.36
	39.0000	57.5100	12/21/04	04/17/06	2,862.12	2,242.89	619.23
MGIC INVESTMENT CORP WIS	23.0000	68.5482	12/21/04	04/17/06	1,599.37	1,576.61	22.76
MICROSOFT CORP	67.0000	26.3456	02/02/05	04/17/06	1,529.94	1,501.70	28.24
MOTOROLA INCORPORATED	82.0000	17.3200	12/21/04	04/17/06	2,181.93	1,593.44	588.49
NOBLE ENERGY INC	34.0000	30.7827	12/21/04	04/17/06	1,551.38	1,045.93	505.43
	26.0000	31.0408	02/14/05	04/17/06	1,186.34	807.06	379.28
NORTHROP GRUMMAN CORP NEW	55.0000	65.8100	12/21/04	04/17/06	3,771.23	3,069.55	701.68
PITNEY BOWES INC	57.0000	45.5800	12/21/04	04/17/06	2,432.11	2,616.92	-184.81
POSCO SPONSORED ADR	23.0000	44.5800	12/21/04	04/17/06	1,607.19	1,025.34	581.85
RADIAN GROUP INC	39.0000	52.2374	12/21/04	04/17/06	2,361.37	2,037.25	324.12

INFORMATION PROVIDED AS COURTESY ONLY - NOT FURNISHED TO THE IRS

Realized Gain/Loss

WACHOVIA SECURITIES

Sub / Branch / Rep / Account No

As of Date: 2/09/07

JOSEPH HEATH SHULER

Long Term *Continued*

Description	Quantity	Original Price	Date Acquired	Close Date	Proceeds	Cost/Adjusted Cost	Gain/Loss
RAYTHEON COMPANY	53.0000	38.8540	12/21/04	04/17/06	2,323.97	2,059.26	264.71
RIO TINTO PLC SPONSORED ADR	8.0000	116.3033	12/21/04	01/04/06	1,527.29	930.43	596.86
	10.0000	116.3033	12/21/04	04/17/06	2,238.93	1,163.03	1,075.90
SPRINT NEXTEL CORP	83.0000	24.7800	12/21/04	04/17/06	2,157.10	2,058.74	100.38
TRONOX INC CLASS B	9.2754	10.6645	12/21/04	04/17/06	187.50	98.90	88.60
UNION PACIFIC CORP	33.0000	85.8500	12/21/04	04/17/06	3,061.64	2,173.38	888.26
VERIZON COMMUNICATIONS COM	51.0000	41.1200	12/21/04	04/17/06	1,865.09	2,097.12	-232.03
VIACOM INC CL B	51.0000	43.4449	12/21/04	04/17/06	1,961.39	2,215.69	-254.30
WACHOVIA CORP	40.0000	52.7800	12/21/04	04/17/06	2,202.73	2,111.20	91.53
WELLS FARGO COMPANY	50.0000	62.2800	12/21/04	04/17/06	3,217.90	3,114.00	103.90
Total - Long Term					$102,217.28	$89,752.68	$12,464.60

INFORMATION PROVIDED AS COURTESY ONLY - NOT FURNISHED TO THE IRS

6528

2006 ENHANCED 1099

Sub / Branch / Rec / Account No

JOSEPH HEATH SHULER
BRANDES GLOBAL

Your Financial Advisor :
BILL DAVIS,JR
8540 COLONNADE CENTER DRIVE
SUITE, 101
RALEIGH NC 27615
919-841-5400 / 800-462-3939

Payer:
FIRST CLEARING, LLC
10700 WHEAT FIRST DRIVE
GLEN ALLEN, VA 23060
Payer ID #: 23-2384840

As of Date: 2/09/07

Your Federal Identification Number: 245-21-1059

Reportable Tax Information

Tax reporting requirements can create differences with the amounts previously reported in monthly statements.

1099-B Proceeds from Broker and Barter Exchange Transactions for 2006

OMB NO. 1545-0715

Description (Box 7)	Cusip (Box 1b)	Price	Quantity	Trade Date (Box 1a)	Amount	IRS Box	Transaction Description	Reported On
ALBERTSONS INC	013104104	25.02000	45.00000	02/03/2006	1,125.86	2	SALE	1099-B
ALBERTSONS INC	013104104	0.00000	0.00000	06/05/2006	2,340.25	2	MERGER	1099-B
ALBERTSONS INC	013104104	0.00000	20.00000	06/05/2006	584.60	2	MERGER	1099-B
ALCATEL-LUCENT ADR	013904305	0.00000	0.17600	12/01/2006	2.33	2	CASH IN LIEU	1099-B
AT & T INC	00206R102	32.25920	30.00000	09/21/2006	967.75	2	SALE	1099-B
BANCO SANTANDER CENT	05964H105	14.52820	75.00000	02/27/2006	1,089.58	2	SALE	1099-B
BANCO SANTANDER CENT	05964H105	14.67900	75.00000	03/24/2006	1,100.89	2	SALE	1099-B
BELLSOUTH CORP	079860102	40.40000	65.00000	08/09/2006	2,625.91	2	SALE	1099-B
CONAGRA FOODS INC	205887102	25.70000	55.00000	10/19/2006	1,413.45	2	SALE	1099-B
EL PASO CORPORATION	28336L109	15.34340	137.00000	05/11/2006	2,101.98	2	SALE	1099-B
EL PASO CORPORATION	28336L109	15.58000	78.00000	06/01/2006	1,215.20	2	SALE	1099-B
GENERAL MOTORS CORP	370442105	29.53030	30.00000	07/12/2006	885.88	2	SALE	1099-B
HANESBRANDS INC	410345102	0.00000	0.12500	09/05/2006	2.61	2	CASH IN LIEU	1099-B
HANESBRANDS INC	410345102	21.74850	13.00000	09/11/2006	282.72	2	SALE	1099-B
HEINEKEN N V ADR	423012202	21.60230	102.00000	07/06/2006	2,203.38	2	SALE	1099-B
JPMORGAN CHASE & CO	46625H100	47.40000	30.00000	09/29/2006	1,421.95	2	SALE	1099-B
LEXMARK INTL GROUP INC	529771107	66.19090	35.00000	11/14/2006	2,316.80	2	SALE	1099-B
MARKS & SPENCER PLC-ADR	570912105	52.63800	20.00000	01/04/2006	1,052.72	2	SALE	1099-B
MERCK & CO INC	589331107	37.47940	40.00000	07/19/2006	1,499.13	2	SALE	1099-B

THIS IS YOUR FORMS 1099 (COPY B FOR RECIPIENT) - PLEASE RETAIN FOR TAX PREPARATION PURPOSES
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6529

WACHOVIA SECURITIES

2006 ENHANCED 1099

Sub / Branch / Rep / Account No

JOSEPH HEATH SHULER
BRANDES GLOBAL

Your Financial Advisor :
BILL DAVIS,JR
8540 COLONNADE CENTER DRIVE
SUITE, 101
RALEIGH NC 27615
919-841-5400 / 800-482-3939

Payer:
FIRST CLEARING, LLC
10700 WHEAT FIRST DRIVE
GLEN ALLEN, VA 23060
Payer ID #: 23-2384840

As of Date: 2/09/0

Your Federal Identification Number: 245-21-1

Reportable Tax Information

1099-B Proceeds from Broker and Barter Exchange Transactions for 2006 *Continued*

OMB NO. 1545-0

Description (Box 7)	Cusip (Box 1b)	Price	Quantity	Trade Date (Box 1a)	Amount	IRS Box	Transaction Description	Reported
MERCK & CO INC	589331107	42.12060	20.00000	09/28/2006	842.39	2	SALE	1099-B
MICRON TECHNOLOGY INC	595112103	16.10120	70.00000	02/09/2006	1,127.04	2	SALE	1099-B
MILLEA HOLDINGS INC-ADR	60032R106	0.00000	0.50000	10/12/2006	19.42	2	CASH IN LIEU	1099-B
SAFEWAY INC NEW	786514208	30.35000	50.00000	09/07/2006	1,517.45	2	SALE	1099-B
SONY CORP ADR NEW	835699307	44.17220	40.00000	01/26/2006	1,766.83	2	SALE	1099-B
SUMITOMO MITSUI FINL	86562M100	11.13280	142.00000	02/06/2006	1,580.81	2	SALE	1099-B
SUN MICROSYSTEMS INC	866810104	4.76450	220.00000	03/17/2006	1,048.15	2	SALE	1099-B
SUN MICROSYSTEMS INC	866810104	5.30000	315.00000	03/29/2006	1,669.44	2	SALE	1099-B
SUPERVALU INC	868536103	0.00000	0.93000	09/05/2006	27.34	2	CASH IN LIEU	1099-B
VOLKSWAGEN A G SPONS ADR	928662303	21.84110	110.00000	11/08/2006	2,380.44	2	SALE	1099-B
TOTAL PROCEEDS FROM BROKER AND BARTER EXCHANGE					38,212.07	2		

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6530

2006 ENHANCED 1099

Sub / Branch / Rep / Account No

As of Date: 2/02/07

Your Financial Advisor :
BILL DAVIS,JR
8540 COLONNADE CENTER DRIVE
SUITE, 101
RALEIGH NC 27615
919-841-5400 / 800-482-3939

Payer:
FIRST CLEARING, LLC
10700 WHEAT FIRST DRIVE
GLEN ALLEN, VA 23060
Payer ID #: 23-2384840

JOSEPH HEATH SHULER
BRANDES GLOBAL

Federal Non-Reportable Information

The following is being provided for your information only and will NOT be furnished to the Internal Revenue Service.

This section lists miscellaneous information which can be helpful in the preparation of your tax return. It provides supplementary information that you may need to either include or consider for adjustments when completing your federal, state or local tax return. Please review this information with your tax advisor.

Purchase Activity Detail

Description	Cusip	Date	Activity	Quantity	Price	Amount
BOSTON SCIENTIFIC CORP	101137107	10/02/2006	PURCHASE	170.00000	14.66960	-2,493.83
CONAGRA FOODS INC	205887102	09/23/2006	PURCHASE	55.00000	20.48500	-1,126.68
DELL INC	24702R101	07/12/2006	PURCHASE	20.00000	22.76570	-455.31
DELL INC	24702R101	07/24/2006	PURCHASE	35.00000	20.64900	-722.72
DELL INC	24702R101	08/11/2006	PURCHASE	90.00000	21.22140	-1,909.93
DELL INC	24702R101	09/22/2006	PURCHASE	45.00000	21.28730	-957.93
DEUTSCHE TELEKOM AG	251566105	01/03/2006	PURCHASE	40.00000	17.00980	-680.39
DOW CHEMICAL COMPANY	260543103	12/01/2006	PURCHASE	65.00000	39.68600	-2,579.59
FIFTH THIRD BANCORP	316773100	01/05/2006	PURCHASE	55.00000	38.85000	-2,136.75
FRANCE TELECOM	35177Q105	02/07/2006	PURCHASE	45.00000	21.46740	-966.03
FRANCE TELECOM	35177Q105	09/11/2006	PURCHASE	70.00000	21.79990	-1,525.78
GANNETT CO INC DEL	364730101	02/10/2006	PURCHASE	15.00000	62.38870	-935.83
GANNETT CO INC DEL	364730101	06/13/2006	PURCHASE	40.00000	54.37390	-2,174.96
GENERAL MOTORS CORP	370442105	01/06/2006	PURCHASE	50.00000	20.89430	-1,044.72
INTEL CORP	458140100	01/27/2006	PURCHASE	50.00000	21.76140	-1,088.07
INTEL CORP	458140100	02/07/2006	PURCHASE	90.00000	20.71600	-1,864.44
INTEL CORP	458140100	03/03/2006	PURCHASE	40.00000	20.02160	-800.86
INTEL CORP	458140100	03/29/2006	PURCHASE	55.00000	19.60500	-1,078.28

IMPORTANT TAX INFORMATION - PLEASE RETAIN FOR TAX PREPARATION PURPOSES

6531

WACHOVIA SECURITIES

Sub / Branch / Rec / Account No

Your Financial Advisor :
BILL DAVIS,JR
8540 COLONNADE CENTER DRIVE
SUITE, 101
RALEIGH NC 27615
919-841-6400 / 800-452-3939

Payer:
FIRST CLEARING, LLC
10700 WHEAT FIRST DRIVE
GLEN ALLEN, VA 23060
Payer ID #: 23-2384840

As of Date: 2/09/0

JOSEPH HEATH SHULER
BRANDES GLOBAL

Federal Non-Reportable Information

Purchase Activity Detail *Continued*

Description	Cusip	Date	Activity	Quantity	Price	Amo
INTL BUSINESS MACH CORP	459200101	07/10/2006	PURCHASE	15.00000	76.29650	-1,144.
INTL BUSINESS MACH CORP	459200101	07/14/2006	PURCHASE	10.00000	73.51520	-735.
INTL BUSINESS MACH CORP	459200101	07/20/2006	PURCHASE	15.00000	75.63900	-1,134.
MICROSOFT CORP	594918104	05/12/2006	PURCHASE	85.00000	23.27590	-1,978.
MICROSOFT CORP	594918104	06/07/2006	PURCHASE	15.00000	22.19960	-332.
MITSUI SUMITOMO INS	606839207	11/13/2006	PURCHASE	15.00000	117.82350	-1,767.
MITSUI SUMITOMO INS	606839207	11/28/2006	PURCHASE	5.00000	114.35530	-571.
PFIZER INCORPORATED	717081103	06/08/2006	PURCHASE	5.00000	23.62000	-118.
SANOFI-AVENTIS ADR	80105N105	11/03/2006	PURCHASE	20.00000	41.98630	-839.
SARA LEE CORP	803111103	06/02/2006	PURCHASE	105.00000	17.35820	-1,822.
SCHERING PLOUGH CORP	806605101	03/03/2006	PURCHASE	30.00000	18.10700	-543.
SCHERING PLOUGH CORP	806605101	03/31/2006	PURCHASE	5.00000	19.17350	-95.
STMICROELECTRONICS N V	861012102	07/13/2006	PURCHASE	55.00000	14.82190	-815.
TELECOM ITALIA SPA ADR	87927Y102	03/31/2006	PURCHASE	55.00000	29.22320	-1,607.

IMPORTANT TAX INFORMATION - PLEASE RETAIN FOR TAX PREPARATION PURPOSES

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Realized Gain/Loss

Sub / Branch / Rep / Account No

As of Date: 2/09/07

JOSEPH HEATH SHULER
BRANDES GLOBAL

Realized Gain/Loss Detail for Year

Short Term

Description	Quantity	Original Price	Date Acquired	Close Date	Proceeds	Cost/Adjusted Cost	Gain/Loss
ALBERTSONS INC CHG	55.0000	20.8194	06/29/05	08/05/05	1,398.84	1,134.07	264.77
CONAGRA FOODS INC	55.0000	20.4850	03/23/06	10/19/06	1,413.45	1,126.68	286.77
HANESBRANDS INC	13.0000	20.9296	06/02/06	06/11/06	272.72	272.39	0.33
HEINEKEN N V ADR	40.0000	16.7157	10/10/05	07/06/06	884.07	688.63	195.44
SONY CORP ADR NEW	40.0000	34.5278	10/05/05	01/26/06	1,766.83	1,381.12	385.71
SUN MICROSYSTEMS INC	205.0000	3.5400	07/08/05	03/17/06	976.68	746.20	230.48
	15.0000	3.8605	07/22/05	03/17/06	71.47	57.91	13.56
	315.0000	3.8605	07/22/05	03/29/06	1,669.44	1,216.06	453.38
Total - Short Term					$8,443.50	$6,604.06	$1,839.44

Long Term

Description	Quantity	Original Price	Date Acquired	Close Date	Proceeds	Cost/Adjusted Cost	Gain/Loss
ALBERTSONS INC CHG	45.0000	18.8800	08/05/03	02/03/06	1,125.86	849.60	276.26
	60.0000	18.8800	08/05/03	08/05/06	1,526.00	1,132.80	393.20
AT & T INC	30.0000	23.6300	08/05/03	09/21/06	967.75	708.90	258.85
BANCO SANTANDER CENT HISPANO SPON ADR	75.0000	11.4550	11/18/04	02/27/06	1,089.58	859.13	230.45
	75.0000	11.4550	11/18/04	03/24/06	1,100.89	859.12	241.77
BELLSOUTH CORP	65.0000	25.8800	08/05/03	08/02/06	2,525.91	1,688.70	837.21
EL PASO CORPORATION	137.0000	7.0200	08/05/03	05/11/06	2,101.98	961.74	1,140.24
	78.0000	7.0200	08/05/03	06/01/06	1,215.20	547.56	667.64
GENERAL MOTORS CORP	30.0000	28.5298	05/21/05	07/12/06	885.88	855.90	29.98
HEINEKEN N V ADR	60.0000	15.0890	04/01/04	07/06/06	1,339.29	997.52	341.77
JPMORGAN CHASE & CO	30.0000	33.2400	08/05/03	09/29/06	1,421.95	997.20	424.75
LEXMARK INTL INC	35.0000	43.3846	10/11/05	11/14/06	2,316.50	1,517.76	798.84
MARKS & SPENCER PLC-ADR	20.0000	29.6000	08/05/03	01/04/06	1,052.72	592.00	460.72
MERCK & CO INC	30.0000	44.0525	11/07/03	07/19/06	1,124.34	1,321.58	-197.24
	10.0000	47.7146	01/07/04	07/19/06	374.79	477.15	-102.36
	10.0000	47.7148	01/07/04	09/28/06	421.19	477.14	-55.95
	10.0000	33.8694	10/01/04	09/28/06	421.19	338.70	82.49
MICRON TECHNOLOGY INC	70.0000	11.1405	08/17/04	02/09/06	1,127.04	779.84	347.20

INFORMATION PROVIDED AS COURTESY ONLY - NOT FURNISHED TO THE IRS

WACHOVIA SECURITIES

As of Date: 2/09/0

JOSEPH HEATH SHULER
BRANDES GLOBAL

Long Term *Continued*

Description	Quantity	Original Price	Date Acquired	Close Date	Proceeds	Cost/Adjusted Cost	Gain/
SAFEWAY INC NEW	50.0000	21.0800	08/05/03	09/07/06	1,517.45	1,054.00	48
SUMITOMO MITSUI FINL GROUP INC ADR	142.0000	2.4900	08/05/03	02/06/06	1,580.81	353.58	1,22
VOLKSWAGEN A G SPONSORED ADR	110.0000	8.7000	08/05/03	11/08/06	2,380.44	957.00	1,42
Total - Long Term					$27,716.86	$18,326.92	$9,38

INFORMATION PROVIDED AS COURTESY ONLY - NOT FURNISHED TO THE IRS

2006 ENHANCED 1099

Sub / Branch / Reg / Account No / CAP Account No

Your Financial Advisor :
BILL DAVIS,JR
6540 COLONNADE CENTER DRIVE
SUITE, 101
RALEIGH NC 27615
919-841-5400 / 800-452-3939

Payer:
FIRST CLEARING, LLC
10700 WHEAT FIRST DRIVE
GLEN ALLEN, VA 23060
Payer ID #: 25-2384840

As of Date: 2/09/07

JOSEPH KEATH SHULER

For Banking Inquiries: 888-215-3904

Your Federal Identification Number:

Reportable Tax Information

Tax reporting requirements can create differences with the amounts previously reported in monthly statements.

1099-B Proceeds from Broker and Barter Exchange Transactions for 2006

OMB NO. 1545-0715

Description (Box 7)	Cusip (Box 1b)	Price	Quantity	Trade Date (Box 1a)	Amount	IRS Box	Transaction Description	Reported On
AMER INTL GROUP INC	026874107	62.97000	100.00000	04/17/2006	6,296.80	2	SALE	1099-B
APOLLO INVESTMENT CORP	03761U106	18.14000	600.00000	04/17/2006	10,883.66	2	SALE	1099-B
APOLLO INVESTMENT CORP	03761U106	18.14540	400.00000	04/17/2006	7,257.93	2	SALE	1099-B
APPLIED MATERIALS INC	038222105	17.77180	600.00000	04/17/2006	10,662.75	2	SALE	1099-B
CISCO SYS INC	17275R102	21.13180	500.00000	04/17/2006	10,565.57	2	SALE	1099-B
FLEXTRONICS INTL	Y2573F102	11.25200	200.00000	04/17/2006	2,250.33	2	SALE	1099-B
GENERAL ELECTRIC COMPANY	369604103	33.20000	548.00000	04/17/2006	18,193.04	2	SALE	1099-B
INTEL CORP	458140100	19.19000	200.00000	04/17/2006	3,837.88	2	SALE	1099-B
MICROSOFT CORP	594918104	26.86200	400.00000	04/17/2006	10,744.47	2	SALE	1099-B
NOKIA CORP SPONSORED ADR	654902204	21.11000	400.00000	04/17/2006	8,443.74	2	SALE	1099-B
ORACLE CORP	68389X105	13.76000	600.00000	04/17/2006	8,255.74	2	SALE	1099-B
PFIZER INCORPORATED	717081103	24.46000	200.00000	04/17/2006	4,891.84	2	SALE	1099-B
SIEBEL SYS INC	826170102	0.00000	300.00000	02/07/2006	3,198.00	2	MERGER	1099-B
SUN MICROSYSTEMS INC	866810104	4.89180	600.00000	04/17/2006	2,934.98	2	SALE	1099-B
TOTAL PROCEEDS FROM BROKER AND BARTER EXCHANGE					108,416.73	2		

THIS IS YOUR FORMS 1099 (COPY B FOR RECIPIENT) - PLEASE RETAIN FOR TAX PREPARATION PURPOSES

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6535

WACHOVIA SECURITIES

2006 ENHANCED 1099

Sub / Branch / Rep / Account No / CAP Account No

JOSEPH HEATH SHULER

Your Financial Advisor :
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8540 COLONNADE CENTER DRIVE
SUITE, 101
RALEIGH NC 27615
919-841-5400 / 800-462-3939

Payer:
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10700 WHEAT FIRST DRIVE
GLEN ALLEN, VA 23060
Payer ID #: 23-2384840

As of Date: 2/09/07

Federal Non-Reportable Information

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This section lists miscellaneous information which can be helpful in the preparation of your tax return. It provides supplementary information that you may need to either include or consider for adjustments when completing your federal, state or local tax return. Please review this information with your tax advisor.

Miscellaneous Activity Summary

Line Ref	Type	Amount
1	Margin Debit Interest	55.78
2	Federally Tax-Exempt OID Bonds	0.00
3	Return of Capital or Principal Payments	0.00
4	OID Subject to Alternative Minimum Tax (AMT)	0.00
5	Master Limited Partnership Distributions	0.00
6	Accrued Interest on Purchases	0.00
7	Federally Tax-Exempt Accrued Interest on Purchases	0.00

Miscellaneous Activity Detail

Description	Notes	Date	Activity	Amount	Line Re
MARGIN DEBIT INTEREST		04/27/2006	MARGIN INTEREST	-55.78	1
TOTAL MARGIN INTEREST				-55.78	1

Purchase Activity Detail

Description	Cusip	Date	Activity	Quantity	Price	Amour
APOLLO INVESTMENT CORP	03761U106	03/29/2006	PURCHASE	800.00000	17.86820	-14,294.5
APOLLO INVESTMENT CORP	03761U106	03/29/2006	PURCHASE	200.00000	17.86000	-3,572.0

IMPORTANT TAX INFORMATION - PLEASE RETAIN FOR TAX PREPARATION PURPOSES

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As of Date: 2/09/07

JOSEPH HEATH SHULER

Realized Gain/Loss Detail for Year

Short Term

Description	Quantity	Original Price	Date Acquired	Close Date	Proceeds	Cost/Adjusted Cost	Gain/Loss
APOLLO INVESTMENT CORP	200.0000	17.8600	03/29/06	04/17/06	3,627.88	3,572.00	55.88
	400.0000	17.8682	03/29/06	04/17/06	7,255.78	7,147.28	108.50
	400.0000	17.8682	03/29/06	04/17/06	7,257.93	7,147.28	110.65
Total - Short Term					$18,141.59	$17,866.56	$275.03

Long Term

Description	Quantity	Original Price	Date Acquired	Close Date	Proceeds	Cost/Adjusted Cost	Gain/Loss
AMERICAN INTL GROUP INC	100.0000	57.3400	11/26/03	04/17/06	6,296.80	5,734.00	562.80
APPLIED MATERIALS INC	600.0000	23.7300	11/26/03	04/17/06	10,662.75	14,238.00	-3,575.25
CISCO SYSTEMS INC	500.0000	22.6000	11/26/03	04/17/06	10,585.57	11,300.00	-714.43
FLEXTRONICS INTL	200.0000	15.2500	11/26/03	04/17/06	2,250.33	3,050.00	-799.67
GENERAL ELECTRIC COMPANY	548.0000	28.6500	11/26/03	04/17/06	18,193.04	15,722.12	2,470.92
INTEL CORP	200.0000	33.1400	11/26/03	04/17/06	3,837.88	6,628.00	-2,790.12
MICROSOFT CORP	400.0000	25.4100	11/26/03	04/17/06	10,744.47	10,164.00	580.47
NOKIA CORP SPONSORED ADR	400.0000	17.9100	11/26/03	04/17/06	8,443.74	7,164.00	1,279.74
ORACLE CORPORATION	600.0000	11.9400	11/26/03	04/17/06	8,255.74	7,164.00	1,091.74
PFIZER INCORPORATED	200.0000	33.7900	11/26/03	04/17/06	4,891.84	6,758.00	-1,866.16
SIEBEL SYS INC CHG	300.0000	12.9700	11/26/03	02/07/06	3,198.00	3,891.00	-693.00
SUN MICROSYSTEMS INC	600.0000	4.2400	11/26/03	04/17/06	2,934.98	2,544.00	390.98
Total - Long Term					$90,275.14	$94,357.12	-$4,081.98

INFORMATION PROVIDED AS COURTESY ONLY - NOT FURNISHED TO THE IRS

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UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2006

FORM A

For use by Members, officers, and employees

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER

2007 MAY 14 PM 5:00

(Office Use Only)

THE HOUSE OF REPRESENTATIVES

MC

6538

William Franklin Shuster
(Full Name)

9 Overlook Drive Hollidaysburg, PA 16648
(Mailing Address)

202-225-2431
Daytime Telephone:

Filer Status	☑ Member of the U.S. House of Representatives — State: PA, District: 09	☐ Officer Or Employee	Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☑ No ☐	
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐ No ☑	
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☑ No ☐	
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☑ No ☐	
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☑ No ☐	
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐ No ☑	
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☑ No ☐	
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☐ No ☑	
IX.	Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐ No ☑	

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-	**Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?**	Yes ☐ No ☑
Exemptions--	**Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?**	Yes ☐ No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	William F. Shuster	MAY 14, 2007

SCHEDULE I - EARNED INCOME

Name William Franklin Shuster

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Thomas Holding Company(spouse)	Advertising Consulting Fee	$8,373

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name William Franklin Shuster

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
	5 S LLC-General Partner of Shuster Family Limited Partnership	$1,001 - $15,000	RENT and Interest Income	$1 - $200	
DC	American Washington Mutual Investors Fund A	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	Blairmont Club LLC	$1,001 - $15,000	Other: PARTNERSHIP	$201 - $1,000	
DC	CBF Aggressive Growth Emp Port 1990-1992 Alt Cx	$50,001 - $100,000	DIVIDENDS	NONE	
DC	CBF Aggressive Growth Emp Port 1987-1989 Alt Cx	$50,001 - $100,000	DIVIDENDS	NONE	
	Congressional Federal Credit Union	$1,001 - $15,000	DIVIDENDS	$1 - $200	

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SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name William Franklin Shuster

JT	M & T Bank	$1,001 - $15,000	INTEREST	$1 - $200	
JT	M & T Bank	$1,001 - $15,000	INTEREST	$1 - $200	
JT	M & T Bank	$1 - $1,000	INTEREST	$1 - $200	
SP	M & T Bank Certificate of Deposit	$1,001 - $15,000	Interest	$201 - $1,000	
DC	M & T Bank Certificates of Deposit	$1,001 - $15,000	INTEREST	$1 - $200	
DC	M & T Bank Savings	$1 - $1,000	INTEREST	$1 - $200	
JT	M &T Bank Checking	$1,001 - $15,000	INTEREST	$1 - $200	
DC	Oppenheimer Convertible Securities Fund	$1 - $1,000	DIVIDENDS	$1 - $200	
DC	Oppenheimer Quest Opportunity Value Fund A & B	$1,001 - $15,000	DIVIDENDS	$1 - $200	
DC	Rite Aid Corporation	$1,001 - $15,000	DIVIDENDS	NONE	
DC	Rite Aid Corporation	$1,001 - $15,000	DIVIDENDS	NONE	
	S2 & L2 Partnership East Freedom, PA.	$250,001 - $500,000	RENT	$50,001 - $100,000	
	SEI Cash Balance	$1 - $1,000		NONE	
	SEI Core Fixed Income Fund	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	P

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name William Franklin Shuster

	SEI Emerging Markets Debt Fund	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
	SEI Emerging Markets Equity Fund	$1,001 - $15,000	DIVIDENDS	$1,001 - $2,500	PS
	SEI High Yield Bond Fund	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	SEI International Equity Fund	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500	PS
	SEI International Fixed Income Fund	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	SEI Large Cap Growth Fund	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	SEI Large Cap Value Fund	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500	P
	SEI PA Municipal Bond Fund	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
	SEI Prime Obligation Fund	$1 - $1,000	DIVIDENDS	$1 - $200	
	SEI Small Cap Growth Fund	$1,001 - $15,000	DIVIDENDS	NONE	
	SEI Small Cap Value Fund	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
	SEI Tax Free Fund	$1 - $1,000	DIVIDENDS	$1 - $200	
	SEI Tax Managed Large Cap	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
	SEI Tax Managed Small Cap	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name William Franklin Shuster

	Shuster Family Limited Partnership Fomerly Shuster Rentals	$100,001 - $250,000	RENT and Interest Income	$201 - $1,000	
JT	UBS Cash Fund	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	

SCHEDULE IV - TRANSACTIONS

Name William Franklin Shuster

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	SEI Cored Fixed Income Fund	P	42 times	$1,001 - $15,000
	SEI Emerging Markets Equity Fund	PS	13 times	$1,001 - $15,000
	SEI International Equity Fund	PS	13 times	$1,001 - $15,000
	SEI Large Cap Value Fund	P	15 times	$1,001 - $15,000

SCHEDULE V - LIABILITIES

Name William Franklin Shuster

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report "revolving charge accounts" (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
JT	Congressional Federal Credit Union Visa	Revolving charge account	$10,001 - $15,000

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name William Franklin Shuster

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure–Destination–Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's expense
RoyB Fund	Nov. 9-12	DC-Charleston SC-DC	Y	Y	Y	None

FOOTNOTES

Number	Section / Schedule	Footnote	This note refers to the following item
1	Schedule III	S2 & L2 ownership represents a 60% interest in the following assets and liabilities: a. Cash $80,896 b. Commercial real estate RD 1 Box 316-D East Freedom, PA 16637 (rented to Thomas Holding Co for auto dealership) $1,300,000 - $1,500,000 c. Mortgage and other debts $696,544	S2 & L2 Partnership East Freedom, PA
2	Schedule III	The interest in the Shuster Family Limited Partnership is comprised of a 19.8% in the following assets: a. Cash in checking and savings $38,311 b. Amounts due from rental agent $3,709 c. Family farm and rental residence located at 825 Ritchey Road, Everett, PA(approx. 100 acres) $500,000 - $1,000,000	Shuster Family Limited Partnership
3	Schedule III	5S LLC is the general partner in the Shuster Family Limited Partnership of which 20% is owned by William F. Shuster. The following are the assets and liabilities of the company: a. Advances made on behalf of clients $43,607 b. Cash $13,344 c. Investment in Shuster Family Limited Partnership $1,000 - $2,500 d. Amounts due to rental clients $3,709	5S LLC
4	Schedule III	The Blairmont Club LLC is a private dining club with book value net worth of $40,008 of which William F. Shuster owns 2%.	The Blairmont Club LLC

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UNITED STATES HOUSE OF REPRESENTATIVES

2007 FINANCIAL DISCLOSURE STATEMENT

FORM A
For use by Members, officers, and employees

HAND DELIVERED
LEGISLATIVE RESOURCE CENTER
2007 MAY 15 AM 9:47
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
MC (Office Use Only)

Michael K. Simpson
(Full Name)

5265 Shadow Creek Drive
(Mailing Address)

202 225-5531
Daytime Telephone

Idaho Falls, Idaho 83401

Filer Status	[X] Member of the U.S. House of Representatives	State: Idaho District: 2nd	[ ] Officer or Employee	Employing Office:
Report Type	[ ] Annual (May 15)	[ ] Amendment	[ ] Termination	Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes [ ]	No [X]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ]	No [X]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X]	No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [X]	No [ ]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.		5/14/07

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Name Michael K. Simpson	Page 1 of 1

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$8,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	Battelle Energy Alliance	Spouse Salary	NA

For payments to charity in lieu of honoraria, use Schedule II.

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Name Michael K. Simpson	Page 1 of 1

SCHEDULE II — PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

	Source	Activity	Date	Amount
Examples:	Association of American Associations, Washington, DC	Speech	Feb. 2, 2006	$2,000
	XYZ Magazine	Article	Aug. 13, 2006	$500
	NA			

This page may be copied if more space is required.

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Name Michael K. Simpson

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the name of the business, the nature of the business, and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT). In the optional column on the far left.

BLOCK B — Value of Asset at close of reporting year.

If you use a valuation method other than fair market value, please specify the method used.

If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

Check all columns that apply.

Check "None" if asset did not generate any income during the calendar year.

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was received.

BLOCK E — Transaction

Indicate if the asset had purchases (P), sales (S), or exchanges (E) exceeding $1000 in reporting year.

	Block A		Block B	Block C							Block D											Block E
			A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP, DC, JT	Examples:	SP	Mega Corp Stock				X								X										X								P
			Simon & Schuster		Indefinite																Royalties								X			
			1st Bank of Paducah, KY accounts					X									X									X						
SP	Smith Barney - IRA					X										X			X						X							
	Smith Barney - IRA					X										X			X					X								
	PERSI - Retirement Acct					X												X				NA										
SP	INL - Vanguard 401 K							X								X			X							X						
	Edward Jones - IRA									X						X			X								X					
SP	UBS Financial Services (formerly Piper Jaffray)					X										X			X					X								

For additional assets and unearned income, use next page.

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Michael K. Simpson

Page 1 of 1

SP DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
SP	Farm Ground							X								X										X					
SP	Westmark C.U. ck. acct		X												X							X									
	Westmark C.U. ck. acct			X											X							X									

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SCHEDULE IV — TRANSACTIONS

Name Michael K. Simpson | Page 1 of 1

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.		Type of Transaction			Date	Amount of Transaction									
SP, DC, JT	Asset	PURCHASE	SALE	EXCHANGE	(MO/DAY/YR)	B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10–12–06		X								
SP	EXXON MOBIL CORP		X		9-15-06	X									
SP	MICRON TECHNOLOGY INC		X		9-15-06	X									
SP	SKYWEST		X		9-15-06	X									

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Name Michael K. Simpson | Page 1 of 1

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability										
			B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
Example:	First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
SP	First American Title Co.	Mortgage on rental property			X							
	Bank of America	Personal Loan			X							

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $305 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $122 or less need not be added towards the $305 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$325
NA		

Use additional sheets if more space is required.

Name Michael K. Simpson | Page 1 of 1

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were paid directly by the sponsor or were paid by you and reimbursed by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	City of Departure—Destination—City of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Number of days not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	DC—Chicago—DC	N	N	N	None
	Roycroft Corporation	Aug. 6–11	DC—Los Angeles—Cleveland	Y	Y	Y	2 Days
	NA						

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Name Michael K. Simpson	Page 1 of 1

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
NA	

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
	NA	

GPO 2007 33-427 (mac)

Use additional sheets if more space is required.

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SMITH BARNEY

Ref: 00001212 00025670

Individual Retirement Account
December 1 - December 31, 2006

L06000001212 306363AC01 CFLAT001A
KATHERINE SIMPSON
CGM SPOUSAL IRA CUSTODIAN
5265 SHADOW CREEK
IDAHO FALLS ID 83401-6352

Your Broker/Dealer Is
CITIGROUP GLOBAL MKTS INC.

670 SO WOODRUFF AVE
IDAHO FALLS ID 83401
208-227-0301
Email: william.k.brown@smithbarney.com
www.smithbarney.com

Branch Phone: 800 224 1753

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IMPORTANT NOTICE FOR RETIREMENT PLAN CLIENTS. *See the last page of this statement for your 2006 fee invoice and the opportunity to elect to have the fee paid automatically each year from this account or from another Smith Barney account that you designate. Smith Barney reserves the right to liquidate assets in your account to satisfy outstanding fees.*

Account value

	Last period	This period	%
Bank Deposit Program[BNI]-principal	$ 18.18	$ 18.18	.05
TRAK® - Advisory Service	33,946.45	34,257.15	99.95
Total value	**$ 33,964.63**	**$ 34,275.33**	**100.00**
Fair Market Value 12/31/06		$ 34,275.33	

Earnings summary

	This period	This year
Other dividends	$ 234.91	$ 493.75
Bank Deposit Program[BNI]	0.00	.11
Cap. gains distributions-ST	160.71	160.71
Cap. gains distributions-LT	928.30	928.30
Total	$ 1,323.92	$ 1,582.87

Cash, money fund, bank deposits

	This period	This year
Opening balance	$ 18.18	
Securities bought and other subtractions	(1,323.92)	
Securities sold and other additions	120.75	
Deposits	0.00	15.64
Withdrawals	(120.75)	(542.19)
Dividends credited	234.91	
Capital gains distributions credited	1,089.01	
Closing balance	$ 18.18	

A free credit balance in any securities account may be paid to you on demand. Although properly accounted for on our books and records, these funds may be used for our business purposes.

Portfolio summary

	This period	This year
Beginning total value (excl. accr. int.)	$ 33,964.63	$ 30,826.81
Net security deposits/withdrawals	0.00	0.00
Net cash deposits/withdrawals	(120.75)	(528.55)
Beginning value net of deposits/withdrawals	33,843.88	30,300.26
Total value as of 12/29/2006 (excl. accr. int.)	$ 34,275.33	$ 34,275.33
Total return	$ 431.45	$ 3,975.07

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SMITH BARNEY

Ref: 00001212 00025671

Individual Retirement Account
December 1 - December 31, 2006

KATHERINE SIMPSON [redacted]

Gain/loss summary

	This period	This year
Realized gain or (loss)	$ 0.00	$ 0.00 LT ($ 337.80) ST
Unrealized gain or (loss) to date	2,876.62	

IRA ACTIVITY SUMMARY

We report to the IRS total contributions, rollovers, recharacterizations, and distributions. For your convenience, deductible and non-deductible contributions are shown on this statement separately, based on information you provided.

	2006	2005	Since Inception*
Rollovers and Transfers			
Transfers into your IRA (Does not include value of direct investments)	$ 0.00	$ 30,827.91	

**Note: This column is provided for informational purposes only. The column does not include incoming or outgoing transfers of cash and securities. Please contact your Financial Advisor if you wish to adjust this column. We are not responsible for any information you provide.*

BENEFICIARIES

Please contact your Financial Advisor for the documents necessary to change or update your beneficiary information. CGMI is not the custodian of any annuities that may have been purchased through this account. Please contact the annuity company for your annuity beneficiary designation.

Primary Beneficiary	Contingent Beneficiary
MICHAEL SIMPSON	

PORTFOLIO DETAILS

The values of your holdings are as of 12/31/06.

Securities purchased or sold are included or excluded in this section as of the trade-date. This section may include securities that have not settled as of this statement closing date. Please see the "Unsettled Purchases/Sales" section for more information. Dividend yield is the estimated annual income, assuming the current dividend, divided by the security's market price at the end of the statement period. We do not guarantee the accuracy of the prices reflected on the statement nor do these prices represent levels at which securities can be bought or sold.

***Please Note:** unrealized gain/(loss) is being shown for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor.*

Bank Deposit Program℠

Balances are FDIC insured up to $100,000 per institution, subject to combined total of all your deposits, including those outside this account.

Principal	Description	Current Value	Accrued Interest	Annualized % return	Anticipated income (annualized)
18.18	CITIBANK NA SOUTH DAKOTA BANK DEPOSIT PROGRAM	$ 18.18		1.50%	$ .27
Total Bank Deposit Program		$ 18.18	$ 0.00	1.50%	$ .27

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SMITH BARNEY

Ref: 00001212 00025672

Individual Retirement Account
December 1 - December 31, 2006

KATHERINE SIMPSON [redacted]

TRAK ® Personalized Investment Advisory Service

Certain mutual funds may not be transferable to other broker-dealers. For example, clients can purchase and hold shares of Legg Mason mutual funds in Smith Barney accounts but (with few exceptions) cannot transfer these positions to other firms. For further information, please refer to the fund's Prospectus or call your Financial Advisor.

*Yield is the current distribution annualized, divided by the fund's net asset value at the end of the statement period. Distributions may consist of income, capital gains or the return of capital. Distributions and current dividend for funds not sponsored by us are based upon information provided by an outside vendor and are not verified by us. **"Tax-Based Cost vs. Current Value"** is being provided for information purposes only. **"Cash Distributions (since inception)"** when shown, may reflect distributions on positions no longer held in the account, and may not reflect all distributions received in cash due to but not limited to the following: investments made prior to 11/1/89, asset transfers, recent activity and certain adjustments made in your account. **"Total Purchases vs. Current Value"** is provided to assist you in comparing your **"Total purchases"** excluding reinvested distributions, with the current value of the fund's shares in your account. **"Fund Value Increase/Decrease"** reflects the difference between your total purchases and the current value of the fund's shares, plus cash distributions since inception.*

Number of shares	Description	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Net Value Increase/ Decrease	Yield	Anticipated income (annualized)
37.664	ALLIANCEBERNSTEIN SMALL CAP GROWTH FUND ADVISOR CLASS	12/27/05	$ 948.38	$ 25.18	$ 27.92	$ 1,051.58	$ 103.20 LT			
	Total Purchases vs. Current Value		948.38			1,051.58		103.20		
	Fund Value Increase/Decrease							103.20		
48.267	AMERICAN CENTURY INTERNATIONAL BOND	09/27/06	636.17	13.75	13.78	637.56	1.39 ST		2.235	14.25
	Total Purchases vs. Current Value		636.17			637.56		1.39		
	Fund Value Increase/Decrease							1.39		
276.992	COLUMBIA INTERMEDIATE BOND FUND Z	12/27/05	2,468.00	8.91	8.84	2,448.61	(19.39) LT			
110.274		09/27/06	973.72	8.83	8.84	974.82	1.10 ST			
387.266	**Total Purchases**		3,441.72	8.89	8.84	3,423.43	(18.29)			
12.592	Reinvestments to date		110.40	8.767	8.84	111.31	.91 ST			
399.858	**Tax-based Cost vs. Current Value**		3,552.12	8.883		3,534.74	(17.38)		5.056	178.73
	Cash distributions (since inception)							7.82		
	Total Purchases vs. Current Value		3,441.72			3,534.74		93.02		
	Fund Value Increase/Decrease							100.84		
90.231	DAVIS N Y VENTURE FD INC CLASS Y	12/27/05	3,085.00	34.19	38.95	3,514.50	429.50 LT			
6		09/27/06	218.57	36.43	38.95	233.70	15.13 ST			
96.231	**Total Purchases**		3,303.57	34.33	38.95	3,748.20	444.63			
.9	Reinvestments to date		34.26	38.066	38.95	35.06	.80 ST			
97.131	**Tax-based Cost vs. Current Value**		3,337.83	34.364		3,783.26	445.43		913	34.57
	Total Purchases vs. Current Value		3,303.57			3,783.26		479.69		
	Fund Value Increase/Decrease							479.69		
150.635	GROWTH FUND OF AMERICA CLASS F	12/27/05	4,627.50	30.72	32.68	4,922.75	295.25 LT			
79.657		09/27/06	2,549.81	32.01	32.68	2,603.19	53.38 ST			

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Ref: 00001212 00025673

Individual Retirement Account
December 1 - December 31, 2006

KATHERINE SIMPSON [redacted]

TRAK ® Personalized Investment Advisory Service

continued

Number of shares	Description	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)		Net Value Increase/ Decrease	Yield	Anticipated income (annualized)
61.047	GROWTH FUND OF AMERICA CLASS F	09/27/06	$ 1,634.02	$ 32.01	$ 32.68	$ 1,868.22	$ 34.20	ST			
27.25		09/27/06	872.26	32.01	32.68	890.53	18.27	ST			
14.958		09/27/06	478.73	32.01	32.68	488.76	10.03	ST			
5.406		09/27/06	173.05	32.01	32.68	176.67	3.62	ST			
328.951	**Total Purchases**		10,335.37	31.42	32.88	10,750.12	414.75				
13.936	Reinvestments to date		457.79	32.849	32.68	455.43	(2.36)	ST			
342.887	**Tax-based Cost vs. Current Value**		10,793.16	31.477		11,205.55	412.39			890	100.12
	Total Purchases vs. Current Value		10,335.37			11,205.55			870.18		
	Fund Value Increase/Decrease								870.18		
100.776	JPMORGAN EMERGING MARKETS EQUITY FUND SELECT	12/27/05	1,391.72	13.81	18.57	1,871.41	479.69	LT			
100.776	**Total Purchases**		1,391.72	13.81	18.57	1,871.41	479.69				
.481	Reinvestments to date		8.75	18.191	18.57	8.93	.18	ST			
101.257	**Tax-based Cost vs. Current Value**		1,400.47	13.831		1,880.34	479.87			.468	8.80
	Total Purchases vs. Current Value		1,391.72			1,880.34			488.62		
	Fund Value Increase/Decrease								488.62		
244.148	NEUBERGER BERMAN INTL TRUST	12/27/05	5,742.36	23.52	26.88	6,562.70	820.34	LT			
244.148	**Total Purchases**		5,742.36	23.52	26.88	6,562.70	820.34				
25.454	Reinvestments to date		675.80	26.549	26.88	684.20	8.40	ST			
269.602	**Tax-based Cost vs. Current Value**		6,418.16	23.806		7,246.90	828.74			.889	64.43
	Total Purchases vs. Current Value		5,742.36			7,246.90			1,504.54		
	Fund Value Increase/Decrease								1,504.54		
36.751	ROYCE PREMIER FUND	12/27/05	615.58	16.75	17.66	649.02	33.44	LT			
36.751	**Total Purchases**		615.58	16.75	17.66	649.02	33.44				
1.425	Reinvestments to date		25.68	18.021	17.66	25.17	(.51)	ST			
38.176	**Tax-based Cost vs. Current Value**		641.26	16.797		674.19	32.93			.413	2.78
	Total Purchases vs. Current Value		615.58			674.19			58.61		
	Fund Value Increase/Decrease								58.61		
523.63	SB MONEY FUNDS GOVT PORT CL A (WAIVED)				1.00	523.63				4.70	24.61
99.677	WASHINGTON MUTUAL INVESTORS FUND CLASS F	12/27/05	3,085.00	30.95	34.79	3,467.78	382.78	LT			
1.519		09/27/06	51.16	33.68	34.79	52.85	1.69	ST			
1.221		09/27/06	41.12	33.68	34.79	42.48	1.36	ST			
102.417	**Total Purchases**		3,177.28	31.02	34.79	3,563.09	385.81				
4.493	Reinvestments to date		152.07	33.845	34.79	156.31	4.24	ST			
106.91	**Tax-based Cost vs. Current Value**		3,329.35	31.142		3,719.40	390.05			1.828	67.99

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citigroup
SMITH BARNEY

Ref: 00001212 00025874

Individual Retirement Account
December 1 - December 31, 2006

KATHERINE SIMPSON [redacted]

TRAK ® Personalized Investment Advisory Service

continued

Number of shares	Description	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Net Value Increase/ Decrease	Yield	Anticipated income (annualized)
	WASHINGTON MUTUAL INVESTORS FUND CLASS F									
	Total Purchases vs. Current Value		$ 3,177.28			$ 3,719.40		$ 542.12		
	Fund Value Increase/Decrease							542.12		
Total TRAK Investments (Tax based)			$ 31,056.90			$ 34,267.15	$ 151.83 ST		1.44	
							$ 2,524.79 LT			$ 496.28
Total Fund Value Increase/Decrease								$ 4,149.19		
Total portfolio value			$ 31,076.08			$ 34,275.33	$ 151.83 ST		1.44	$ 496.85
							$ 2,524.79 LT			

TRANSACTION DETAILS

All transactions appearing are based on trade-date.

Investment activity

Date	Activity	Description	Quantity	Price	Amount
12/01/06	Reinvest	COLUMBIA INTERMEDIATE BOND FUND Z WITHDRAWAL, PENDING REINVEST			$ -14.88
12/01/06	Reinvest	COLUMBIA INTERMEDIATE BOND FUND Z REINVESTMENT SHS FOR 11/30/06 REINVESTED AMOUNT $14.88	1.67	8.90	0.00
12/04/06	Reinvest	DAVIS N Y VENTURE FD INC CLASS Y WITHDRAWAL, PENDING REINVEST			-34.26
12/04/06	Reinvest	DAVIS N Y VENTURE FD INC CLASS Y REINVESTMENT SHS FOR 12/04/06 REINVESTED AMOUNT $34.26	.9	38.07	0.00
12/04/06	Reinvest	SB MONEY FUNDS GOVT PORT CL A (WAIVED) WITHDRAWAL, PENDING REINVEST RECORD 10/31/06 PAY 11/30/06			-2.47
12/04/06	Reinvest	SB MONEY FUNDS GOVT PORT CL A (WAIVED) REINVESTMENT SHS FOR 11/30/06 REINVESTED AMOUNT $2.47	2.47	1.00	0.00
12/06/06	Reinvest	ROYCE PREMIER FUND WITHDRAWAL, PENDING REINVEST			-2.68

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SMITH BARNEY

Ref: 00001212 00025675

Individual Retirement Account
December 1 - December 31, 2006

KATHERINE SIMPSON [redacted]

Investment activity *continued*

Date	Activity	Description	Quantity	Price	Amount
12/08/06	Reinvest	ROYCE PREMIER FUND WITHDRAWAL, PENDING REINVEST			$ -22.83
12/06/06	Reinvest	ROYCE PREMIER FUND WITHDRAWAL, PENDING REINVEST			-.18
12/06/06	Reinvest	ROYCE PREMIER FUND REINVESTMENT SHS FOR 12/05/06 REINVESTED AMOUNT $2.68	.149	18.03	0.00
12/06/06	Reinvest	ROYCE PREMIER FUND REINVESTMENT SHS FOR 12/05/06 REINVESTED AMOUNT $22.83	1.268	18.03	0.00
12/06/06	Reinvest	ROYCE PREMIER FUND REINVESTMENT SHS FOR 12/05/06 REINVESTED AMOUNT $0.18	.01	18.03	0.00
12/13/06	Sold	SB MONEY FUNDS GOVT PORT CL A (WAIVED) TO COVER TRAK QUARTERLY FEE	-120.75	1.00	120.75
12/18/06	Reinvest	NEUBERGER BERMAN INTL TRUST WITHDRAWAL, PENDING REINVEST RECORD 12/15/06 PAY 12/15/06			-58.40
12/18/06	Reinvest	NEUBERGER BERMAN INTL TRUST WITHDRAWAL, PENDING REINVEST RECORD 12/15/06 PAY 12/15/06			-456.87
12/18/06	Reinvest	NEUBERGER BERMAN INTL TRUST WITHDRAWAL, PENDING REINVEST RECORD 12/15/06 PAY 12/15/06			-160.53
12/18/06	Reinvest	NEUBERGER BERMAN INTL TRUST REINVESTMENT SHS FOR 12/15/06 REINVESTED AMOUNT $58.40	2.2	26.55	0.00
12/18/06	Reinvest	NEUBERGER BERMAN INTL TRUST REINVESTMENT SHS FOR 12/15/06 REINVESTED AMOUNT $456.87	17.208	26.55	0.00
12/18/06	Reinvest	NEUBERGER BERMAN INTL TRUST REINVESTMENT SHS FOR 12/15/06 REINVESTED AMOUNT $160.53	6.046	26.55	0.00
12/19/06	Reinvest	GROWTH FUND OF AMERICA CLASS F WITHDRAWAL, PENDING REINVEST			-95.98
12/19/06	Reinvest	GROWTH FUND OF AMERICA CLASS F WITHDRAWAL, PENDING REINVEST			-351.85
12/19/06	Reinvest	GROWTH FUND OF AMERICA CLASS F REINVESTMENT SHS FOR 12/19/06 REINVESTED AMOUNT $95.98	2.921	32.85	0.00

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SMITH BARNEY

Ref: 00001212 00025676

Individual Retirement Account
December 1 - December 31, 2006

KATHERINE SIMPSON [redacted]

Investment activity *continued*

Date	Activity	Description	Quantity	Price	Amount
12/19/06	Reinvest	GROWTH FUND OF AMERICA CLASS F REINVESTMENT SHS FOR 12/19/06 REINVESTED AMOUNT $361.85	11.015	$ 32.85	$ 0.00
12/26/06	Reinvest	JPMORGAN EMERGING MARKETS EQUITY FUND SELECT WITHDRAWAL, PENDING REINVEST			-8.75
12/26/06	Reinvest	JPMORGAN EMERGING MARKETS EQUITY FUND SELECT REINVESTMENT SHS FOR 12/26/06 REINVESTED AMOUNT $8.75	.481	18.21	0.00
12/26/06	Reinvest	WASHINGTON MUTUAL INVESTORS FUND CLASS F WITHDRAWAL, PENDING REINVEST			-17.53
12/26/06	Reinvest	WASHINGTON MUTUAL INVESTORS FUND CLASS F WITHDRAWAL, PENDING REINVEST			-86.75
12/26/06	Reinvest	WASHINGTON MUTUAL INVESTORS FUND CLASS F REINVESTMENT SHS FOR 12/26/06 REINVESTED AMOUNT $17.53	.507	34.59	0.00
12/26/06	Reinvest	WASHINGTON MUTUAL INVESTORS FUND CLASS F REINVESTMENT SHS FOR 12/26/06 REINVESTED AMOUNT $86.75	2.508	34.59	0.00
Total securities bought and other subtractions					$ -1,323.92
Total securities sold and other additions					$ 120.75

Withdrawals

Date	Description	Reference no	Amount	Date	Description	Reference no	Amount
12/14/06	INVESTMENT & ADVISORY SERVICES FROM 10/01/06 TO 12/31/06		120.75				

EARNINGS DETAILS

*The tax status of earnings is reliable to the best of our knowledge. **Taxable** and **non-taxable** designations refer to the federal income tax status of your securities, not of your account.*

Other dividends

Date	Description	Comment		Taxable	Non-taxable	Amount
12/01/06	COLUMBIA INTERMEDIATE BOND FUND Z	CASH DIV ON	398.1880 SHS	$ 14.86		$ 14.86
12/04/06	DAVIS N Y VENTURE FD INC CLASS Y	CASH DIV ON	98.2310 SHS	34.26		34.26

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KATHERINE SIMPSON [redacted]

Other dividends continued

Date	Description	Comment	Taxable	Non-taxable	Amount
12/04/06	SB MONEY FUNDS GOVT PORT CL A (WAIVED)	CASH DIV ON 841.9100 SHS RECORD 10/31/06 PAY 11/30/06	$ 2.47		$ 2.47
12/08/06	ROYCE PREMIER FUND	CASH DIV ON 38.7510 SHS	2.68		2.68
12/18/06	NEUBERGER BERMAN INTL TRUST	CASH DIV ON 244.1480 SHS RECORD 12/15/06 PAY 12/15/06	58.40		58.40
12/19/06	GROWTH FUND OF AMERICA CLASS F	CASH DIV ON 328.9510 SHS	95.96		95.96
12/26/06	JPMORGAN EMERGING MARKETS EQUITY FUND SELECT	CASH DIV ON 100.7760 SHS	8.75		8.75
12/26/06	WASHINGTON MUTUAL INVESTORS FUND CLASS F	CASH DIV ON 103.8850 SHS	17.53		17.53
Total other dividends earned			$ 234.81	$ 0.00	$ 234.81

Capital gains distributions

Date	Description	Comment	Long Term	Short Term	Amount
12/06/06	ROYCE PREMIER FUND	L/T GNS ON 38.7510 SHS	$ 22.83		$ 22.83
12/06/06	ROYCE PREMIER FUND	S/T GNS ON 38.7510 SHS		18	18
12/18/06	NEUBERGER BERMAN INTL TRUST	L/T GNS ON 244.1480 SHS RECORD 12/15/06 PAY 12/15/06	456.87		456.87
12/18/06	NEUBERGER BERMAN INTL TRUST	S/T GNS ON 244.1480 SHS RECORD 12/15/06 PAY 12/15/06		160.53	160.53
12/19/06	GROWTH FUND OF AMERICA CLASS F	L/T GNS ON 328.9510 SHS	381.85		381.85
12/26/06	WASHINGTON MUTUAL INVESTORS FUND CLASS F	L/T GNS ON 103.8850 SHS	86.75		86.75
Total income from capital gains distributions			$ 928.30	$ 160.71	$ 1,089.01

We have available at no cost, brochures describing our investment advisory services and those of any other investment advisors managing your account. If you would like copies, please ask your Financial Advisor or Portfolio Manager.

Please contact your Financial Advisor or Portfolio Manager if there have been any changes in your financial situation or investment objective, or if you wish to impose any restrictions or modify existing restrictions on the management of your account.

Independent, third-party research on certain companies covered by Citigroup Investment Research is available to clients of the firm at no cost. Clients can access this research at www.smithbarney.com or call 1-866-838-9342 to request that a copy of this research be sent to them.

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Individual Retirement Account
December 1 - December 31, 2006

KATHERINE SIMPSON [redacted]

Message: *If you held a mutual fund, regulated investment company (RIC), unit investment trust (UIT), real estate investment trust (REIT), or foreign security (other than common shares) and you normally file your taxes early, please read the important information in the enclosed "In the Know."*

Message: *Effective in January 2007, the Smith Barney Accel Program will be discontinued and a new client loyalty program will be introduced to qualifying clients. This change will be reflected in your January statement. Detailed information regarding the new program will be communicated to qualifying clients.*

Message: *Please note that Smith Barney is required by law to report the December 31, 2006, Fair Market Value of this account to the Internal Revenue Service. Smith Barney will forward this information to the IRS on or before May 31, 2007, on IRS Form 5498.*

Information regarding commissions and charges will be made available to you promptly upon request. Please advise us of any material change in your financial objectives or financial situation. All checks written and deposited to your account must be made payable to Smith Barney. A financial statement of Citigroup Global Markets Inc. is available for your personal inspection at its offices, or a copy of it will be mailed upon your written request. ***If you believe your statement is not correct, you must contact us within ten (10) days. Complaints and incorrect statement issues may be directed to the Manager of the branch servicing your account (see page 1 of statement for address and phone number).*** *To protect your rights, including any rights you may have under the Securities Investor Protection Act (SIPA), you must reconfirm all communication in writing to Citigroup Global Markets Inc., Attention: Early Dispute Resolution Group, 485 Lexington Avenue, 14th Floor, New York, NY 10017.*

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Ref: 00001212 00025680

Individual Retirement Account
December 1 - December 31, 2006

L06000001212 306363AC01 CFLAT001A
MICHAEL SIMPSON
CGM IRA CUSTODIAN
5265 SHADOW CREEK
IDAHO FALLS ID 83401-6352

Your Broker/Dealer is [redacted]
CITIGROUP GLOBAL MKTS INC.

670 SO WOODRUFF AVE
IDAHO FALLS ID 83401
208-227-0301
Email: william.k.brown@smithbarney.com
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Branch Phone: 800 224 1753

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IMPORTANT NOTICE FOR RETIREMENT PLAN CLIENTS. *See the last page of this statement for your 2006 fee invoice and the opportunity to elect to have the fee paid automatically each year from this account or from another Smith Barney account that you designate. Smith Barney reserves the right to liquidate assets in your account to satisfy outstanding fees.*

Account value

	Last period	This period	%
Bank Deposit Program℠-principal	$ 6.02	$ 6.02	.02
TRAK® - Advisory Service	25,009.73	25,250.48	99.98
Total value	**$ 25,015.75**	**$ 25,256.50**	**100.00**

Fair Market Value 12/31/06 $ 25,256.50

Earnings summary

	This period	This year
Other dividends	$ 189.61	$ 324.93
Bank Deposit Program℠	0.00	.11
Cap. gains distributions-ST	75.74	75.74
Cap. gains distributions-LT	537.91	537.91
Total	$ 803.26	$ 938.69

Gain/loss summary

	This period	This year
Unrealized gain or (loss) to date	$ 1,851.19	

Cash, money fund, bank deposits

	This period	This year
Opening balance	$ 6.02	
Securities bought and other subtractions	(803.26)	
Securities sold and other additions	89.48	
Deposits	0.00	6.02
Withdrawals	(89.48)	(399.34)
Dividends credited	189.61	
Capital gains distributions credited	613.65	
Closing balance	**$ 6.02**	

A free credit balance in any securities account may be paid to you on demand. Although properly accounted for on our books and records, these funds may be used for our business purposes.

Portfolio summary

	This period	This year
Beginning total value (excl. accr. int.)	$ 25,015.75	$ 22,800.71
Net security deposits/withdrawals	0.00	0.00
Net cash deposits/withdrawals	(89.48)	(393.32)
Beginning value net of deposits/withdrawals	24,926.27	22,407.39
Total value as of 12/29/2006 (excl. accr. int.)	$ 25,256.50	$ 25,256.50
Total return	$ 330.23	$ 2,849.11

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SMITH BARNEY

Ref: 00001212 00025681

Individual Retirement Account
December 1 - December 31, 2006

MICHAEL SIMPSON [redacted]

IRA ACTIVITY SUMMARY

We report to the IRS total contributions, rollovers, recharacterizations, and distributions. For your convenience, deductible and non-deductible contributions are shown on this statement separately, based on information you provided.

	2006	2005	Since Inception*
Rollovers and Transfers			
Transfers into your IRA (Does not include value of direct investments)	$ 0.00	$ 22,823.24	

**Note: This column is provided for informational purposes only. The column does not include incoming or outgoing transfers of cash and securities. Please contact your Financial Advisor if you wish to adjust this column. We are not responsible for any information you provide.*

BENEFICIARIES

Please contact your Financial Advisor for the documents necessary to change or update your beneficiary information. CGMI is not the custodian of any annuities that may have been purchased through this account. Please contact the annuity company for your annuity beneficiary designation.

Primary Beneficiary	Contingent Beneficiary
KATHERINE SIMPSON	

PORTFOLIO DETAILS

The values of your holdings are as of 12/31/06.

Securities purchased or sold are included or excluded in this section as of the trade-date. This section may include securities that have not settled as of this statement closing date. Please see the "Unsettled Purchases/Sales" section for more information. Dividend yield is the estimated annual income, assuming the current dividend, divided by the security's market price at the end of the statement period. We do not guarantee the accuracy of the prices reflected on the statement nor do these prices represent levels at which securities can be bought or sold.

***Please Note:** unrealized gain/(loss) is being shown for informational purposes only and should not be used for tax preparation without the assistance of your tax advisor.*

Bank Deposit Program℠

Balances are FDIC insured up to $100,000 per institution, subject to combined total of all your deposits, including those outside this account.

Principal	Description	Current value	Accrued interest	Annualized % return	Anticipated income (annualized)
6.02	CITIBANK NA SOUTH DAKOTA BANK DEPOSIT PROGRAM	$ 6.02		1.50%	$ .09
Total Bank Deposit Program		$ 6.02	$ 0.00	1.50%	$ .09

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SMITH BARNEY

Ref: 00001212 00025682

Individual Retirement Account
December 1 - December 31, 2006

MICHAEL SIMPSON

TRAK ® Personalized Investment Advisory Service

Certain mutual funds may not be transferable to other broker-dealers. For example, clients can purchase and hold shares of Legg Mason mutual funds in Smith Barney accounts but (with few exceptions) cannot transfer these positions to other firms. For further information, please refer to the fund's Prospectus or call your Financial Advisor.

*Yield is the current distribution annualized, divided by the fund's net asset value at the end of the statement period. Distributions may consist of income, capital gains or the return of capital. Distributions and current dividend for funds not sponsored by us are based upon information provided by an outside vendor and are not verified by us. **"Tax-Based Cost vs. Current Value"** is being provided for information purposes only. **"Cash Distributions (since inception)"** when shown, may reflect distributions on positions no longer held in the account, and may not reflect all distributions received in cash due to but not limited to the following: investments made prior to 1/1/89, asset transfers, recent activity and certain adjustments made in your account. **"Total Purchases vs. Current Value"** is provided to assist you in comparing your **"Total purchases"** excluding reinvested distributions, with the current value of the fund's shares in your account. **"Fund Value Increase/Decrease"** reflects the difference between your total purchases and the current value of the fund's shares, plus cash distributions since inception.*

Number of shares	Description	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)		Net Value Increase/ Decrease	Yield	Anticipated income (annualized)
94.028	AMERICAN HIGH INCOME TRUST CLASS F	12/27/05	$ 1,141.50	$ 12.14	$ 12.61	$ 1,185.69	$ 44.19	LT			
94.028	Total Purchases		1,141.50	12.14	12.61	1,185.69	44.19				
5.937	Reinvestments to date		72.94	12.285	12.61	74.87	1.93	ST			
99.965	Tax-based Cost vs. Current Value		1,214.44	12.149		1,260.56	46.12			7.113	89.65
	Cash distributions (since inception)								12.25		
	Total Purchases vs. Current Value		1,141.50			1,260.56			119.06		
	Fund Value Increase/Decrease								131.31		
88.806	DAVIS N Y VENTURE FD INC CLASS Y	12/27/05	2,967.90	34.19	38.95	3,381.09	413.19	LT			
86.806	Total Purchases		2,967.90	34.19	38.95	3,381.09	413.19				
.812	Reinvestments to date		30.91	38.066	38.95	31.63	.72	ST			
87.618	Tax-based Cost vs. Current Value		2,998.81	34.226		3,412.72	413.91			.913	31.19
	Total Purchases vs. Current Value		2,967.90			3,412.72			444.82		
	Fund Value Increase/Decrease								444.82		
112.077	EUROPACIFIC GROWTH FUND CLASS F	12/27/05	4,566.00	40.74	46.38	5,198.13	632.13	LT			
112.077	Total Purchases		4,566.00	40.74	46.38	5,198.13	632.13				
8.521	Reinvestments to date		390.07	45.777	46.38	395.20	5.13	ST			
120.598	Tax-based Cost vs. Current Value		4,956.07	41.096		5,593.33	637.26			1.658	92.73
	Total Purchases vs. Current Value		4,566.00			5,593.33			1,027.33		
	Fund Value Increase/Decrease								1,027.33		
118.906	GROWTH FUND OF AMERICA CLASS F	12/27/05	3,652.80	30.72	32.68	3,885.85	233.05	LT			
118.906	Total Purchases		3,652.80	30.72	32.68	3,885.85	233.05				
5.038	Reinvestments to date		165.48	32.846	32.68	164.64	(.84)	ST			

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SMITH BARNEY

Ref: 00001212 00025683

Individual Retirement Account
December 1 - December 31, 2006

MICHAEL SIMPSON [redacted]

TRAK ® Personalized Investment Advisory Service

continued

Number of shares	Description	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Net Value Increase/ Decrease	Yield	Anticipated Income (annualized)
	GROWTH FUND OF AMERICA CLASS F									
123.944	**Tax-based Cost vs. Current Value**		$ 3,818.28	$ 30.806		$ 4,050.49	$ 232.21		.893	$ 36.19
	Total Purchases vs. Current Value		3,652.80			4,050.49		397.69		
	Fund Value Increase/Decrease							397.69		
82.657	JPMORGAN EMERGING MARKETS EQUITY FUND SELECT	12/27/05	1,141.50	13.81	18.57	1,534.94	393.44 LT			
82.657	**Total Purchases**		1,141.50	13.81	18.57	1,534.94	393.44			
.394	Reinvestments to date		7.17	18.197	18.57	7.32	.15 ST			
83.051	**Tax-based Cost vs. Current Value**		1,148.67	13.881		1,542.26	393.59		.468	7.22
	Total Purchases vs. Current Value		1,141.50			1,542.26		400.76		
	Fund Value Increase/Decrease							400.76		
64.583	RS SMALLER COMPANY GROWTH FUND	12/27/05	1,369.80	21.21	21.10	1,362.70	(7.10) LT			
64.583	**Total Purchases**		1,369.80	21.21	21.10	1,362.70	(7.10)			
3.018	Reinvestments to date		63.61	21.076	21.10	63.68	.07 ST			
67.601	**Tax-based Cost vs. Current Value**		1,433.41	21.204		1,426.38	(7.03)			
	Total Purchases vs. Current Value		1,369.80			1,426.38		56.58		
	Fund Value Increase/Decrease							56.58		
54.519	ROYCE PREMIER FUND	12/27/05	913.20	16.75	17.66	962.81	49.61 LT			
54.519	**Total Purchases**		913.20	16.75	17.66	962.81	49.61			
2.114	Reinvestments to date		38.10	18.022	17.66	37.33	(.77) ST			
56.633	**Tax-based Cost vs. Current Value**		951.30	16.798		1,000.14	48.84		.413	4.13
	Total Purchases vs. Current Value		913.20			1,000.14		86.94		
	Fund Value Increase/Decrease							86.94		
113.98	SB MONEY FUNDS GOVT PORT CL A (WAIVED)				1.00	113.98			4.70	5.35
433.31	TOUCHSTONE SANDS CAP SELECT GROWTH FD CL Z	12/27/05	3,652.80	8.43	7.77	3,366.82	(285.98) LT			
	Total Purchases vs. Current Value		3,652.80			3,366.82		(285.98)		
	Fund Value Increase/Decrease							(285.98)		
95.893	WASHINGTON MUTUAL INVESTORS FUND CLASS F	12/27/05	2,967.90	30.95	34.79	3,336.12	368.22 LT			
95.893	**Total Purchases**		2,967.90	30.95	34.79	3,336.12	368.22			
4.245	Reinvestments to date		143.63	33.835	34.79	147.68	4.05 ST			
100.138	**Tax-based Cost vs. Current Value**		3,111.53	31.072		3,483.80	372.27		1.829	63.68
	Cash distributions (since inception)							.01		

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SMITH BARNEY

Ref: 00001212 00025684

Individual Retirement Account
December 1 - December 31, 2006

MICHAEL SIMPSON [redacted]

TRAK ® Personalized Investment Advisory Service

continued

Number of shares	Description	Date acquired	Cost	Share cost	Current price	Current value	Unrealized gain/(loss)	Net Value Increase/ Decrease	Yield	Anticipated income (annualized)
	WASHINGTON MUTUAL INVESTORS FUND CLASS F									
	Total Purchases vs. Current Value		$ 2,967.90			$ 3,483.80		$ 515.90		
	Fund Value Increase/Decrease							515.91		
Total TRAK Investments (Tax based)			$ 23,285.31			$ 26,250.48	$ 10.44 ST		1.30	
							$ 1,840.75 LT			$ 330.15
Total Fund Value Increase/Decrease								$ 2,775.36		
Total portfolio value			$ 20,291.55			$ 26,250.50	$ 10.44 ST		1.30	$ 330.24
							$ 1,840.75 LT			

TRANSACTION DETAILS

All transactions appearing are based on trade-date.

Investment activity

Date	Activity	Description	Quantity	Price	Amount
12/04/06	Reinvest	DAVIS N Y VENTURE FD INC CLASS Y WITHDRAWAL, PENDING REINVEST			$ -30.90
12/04/06	Reinvest	DAVIS N Y VENTURE FD INC CLASS Y REINVESTMENT SHS FOR 12/04/06 REINVESTED AMOUNT $30.90	.812	38.07	0.00
12/04/06	Reinvest	SB MONEY FUNDS GOVT PORT CL A (WAIVED) WITHDRAWAL, PENDING REINVEST RECORD 10/31/06 PAY 11/30/06			-.78
12/04/06	Reinvest	SB MONEY FUNDS GOVT PORT CL A (WAIVED) REINVESTMENT SHS FOR 11/30/06 REINVESTED AMOUNT $0.78	.78	1.00	0.00
12/06/06	Reinvest	ROYCE PREMIER FUND WITHDRAWAL, PENDING REINVEST			-3.98
12/06/06	Reinvest	ROYCE PREMIER FUND WITHDRAWAL, PENDING REINVEST			-33.87
12/06/06	Reinvest	ROYCE PREMIER FUND WITHDRAWAL, PENDING REINVEST			-.25
12/06/06	Reinvest	ROYCE PREMIER FUND REINVESTMENT SHS FOR 12/05/06 REINVESTED AMOUNT $3.98	.221	18.03	0.00

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Ref: 00001212 00025685

Individual Retirement Account
December 1 - December 31, 2006

MICHAEL SIMPSON [redacted]

Investment activity *continued*

Date	Activity	Description	Quantity	Price	Amount
12/06/06	Reinvest	ROYCE PREMIER FUND REINVESTMENT SHS FOR 12/05/06 REINVESTED AMOUNT $33.67	1.879	$ 18.03	$ 0.00
12/06/06	Reinvest	ROYCE PREMIER FUND REINVESTMENT SHS FOR 12/05/06 REINVESTED AMOUNT $0.26	.014	18.03	0.00
12/13/06	Sold	SB MONEY FUNDS GOVT PORT CL A (WAIVED) TO COVER TRAK QUARTERLY FEE	-89.48	1.00	89.48
12/15/06	Reinvest	RS SMALLER COMPANY GROWTH FUND WITHDRAWAL, PENDING REINVEST			-53.93
12/15/06	Reinvest	RS SMALLER COMPANY GROWTH FUND WITHDRAWAL, PENDING REINVEST			-9.69
12/15/06	Reinvest	RS SMALLER COMPANY GROWTH FUND REINVESTMENT SHS FOR 12/14/06 REINVESTED AMOUNT $53.93	2.558	21.08	0.00
12/15/06	Reinvest	RS SMALLER COMPANY GROWTH FUND REINVESTMENT SHS FOR 12/14/06 REINVESTED AMOUNT $9.69	.46	21.08	0.00
12/19/06	Reinvest	GROWTH FUND OF AMERICA CLASS F WITHDRAWAL, PENDING REINVEST			-34.68
12/19/06	Reinvest	GROWTH FUND OF AMERICA CLASS F WITHDRAWAL, PENDING REINVEST			-130.80
12/19/06	Reinvest	GROWTH FUND OF AMERICA CLASS F REINVESTMENT SHS FOR 12/19/06 REINVESTED AMOUNT $34.68	1.056	32.85	0.00
12/19/06	Reinvest	GROWTH FUND OF AMERICA CLASS F REINVESTMENT SHS FOR 12/19/06 REINVESTED AMOUNT $130.80	3.982	32.85	0.00
12/26/06	Reinvest	JPMORGAN EMERGING MARKETS EQUITY FUND SELECT WITHDRAWAL, PENDING REINVEST			-7.18
12/26/06	Reinvest	JPMORGAN EMERGING MARKETS EQUITY FUND SELECT REINVESTMENT SHS FOR 12/26/06 REINVESTED AMOUNT $7.18	.394	18.21	0.00
12/26/06	Reinvest	WASHINGTON MUTUAL INVESTORS FUND CLASS F WITHDRAWAL, PENDING REINVEST			[illegible]
12/26/06	Reinvest	WASHINGTON MUTUAL INVESTORS FUND CLASS F WITHDRAWAL, PENDING REINVEST			-81.26

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MICHAEL SIMPSON [redacted]

Investment activity *continued*

Date	Activity	Description	Quantity	Price	Amount
12/26/06	Reinvest	WASHINGTON MUTUAL INVESTORS FUND CLASS F REINVESTMENT SHS FOR 12/26/06 REINVESTED AMOUNT $16.42	.475	$ 34.59	$ 0.00
12/26/06	Reinvest	WASHINGTON MUTUAL INVESTORS FUND CLASS F REINVESTMENT SHS FOR 12/26/06 REINVESTED AMOUNT $81.26	2.349	34.59	0.00
12/27/06	Reinvest	EUROPACIFIC GROWTH FUND CLASS F WITHDRAWAL, PENDING REINVEST			-86.24
12/27/06	Reinvest	EUROPACIFIC GROWTH FUND CLASS F WITHDRAWAL, PENDING REINVEST			-238.05
12/27/06	Reinvest	EUROPACIFIC GROWTH FUND CLASS F WITHDRAWAL, PENDING REINVEST			-65.79
12/27/06	Reinvest	EUROPACIFIC GROWTH FUND CLASS F REINVESTMENT SHS FOR 12/27/06 REINVESTED AMOUNT $86.24	1.884	45.78	0.00
12/27/06	Reinvest	EUROPACIFIC GROWTH FUND CLASS F REINVESTMENT SHS FOR 12/27/06 REINVESTED AMOUNT $238.05	5.2	45.78	0.00
12/27/06	Reinvest	EUROPACIFIC GROWTH FUND CLASS F REINVESTMENT SHS FOR 12/27/06 REINVESTED AMOUNT $65.79	1.437	45.78	0.00
12/29/06	Reinvest	AMERICAN HIGH INCOME TRUST CLASS F WITHDRAWAL, PENDING REINVEST			-9.43
12/29/06	Reinvest	AMERICAN HIGH INCOME TRUST CLASS F REINVESTMENT SHS FOR 12/28/06 REINVESTED AMOUNT $9.43	.748	12.60	0.00
Total securities bought and other subtractions					**$ -803.26**
Total securities sold and other additions					**$ 89.48**

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Ref: 00001212 00025687

Individual Retirement Account
December 1 - December 31, 2006

MICHAEL SIMPSON [redacted]

Withdrawals

Date	Description	Reference no.	Amount
12/14/06	INVESTMENT & ADVISORY SERVICES FROM 10/01/06 TO 12/31/06		89.42

Date	Description	Reference no	Amount

EARNINGS DETAILS

The tax status of earnings is reliable to the best of our knowledge. Taxable and non-taxable designations refer to the federal income tax status of your securities, not of your account.

Other dividends

Date	Description	Comment		Taxable	Non-taxable	Amount
12/04/06	DAVIS N Y VENTURE FD INC CLASS Y	CASH DIV ON	48.8060 SHS	$ 30.90		$ 30.90
12/04/06	SB MONEY FUNDS GOVT PORT CL A (WAIVED)	CASH DIV ON RECORD 10/31/06 PAY 11/30/06	202.6800 SHS	.78		.78
12/06/06	ROYCE PREMIER FUND	CASH DIV ON	54.5190 SHS	3.98		3.98
12/19/06	GROWTH FUND OF AMERICA CLASS F	CASH DIV ON	118.9060 SHS	34.68		34.68
12/26/06	JPMORGAN EMERGING MARKETS EQUITY FUND SELECT	CASH DIV ON	82.6570 SHS	7.18		7.18
12/26/06	WASHINGTON MUTUAL INVESTORS FUND CLASS F	CASH DIV ON	97.3140 SHS	18.42		18.42
12/27/06	EUROPACIFIC GROWTH FUND CLASS F	CASH DIV ON	112.0770 SHS	86.24		86.24
12/29/06	AMERICAN HIGH INCOME TRUST CLASS F	CASH DIV ON	69.2170 SHS	9.43		9.43
Total other dividends earned				**$ 189.61**	**$ 0.00**	**$ 189.61**

Capital gains distributions

Date	Description	Comment		Long Term	Short Term	Amount
12/06/06	ROYCE PREMIER FUND	L/T GNS ON	54.5190 SHS	$ 33.87		$ 33.87
12/06/06	ROYCE PREMIER FUND	S/T GNS ON	54.5190 SHS		.26	.26
12/15/06	RS SMALLER COMPANY GROWTH FUND	L/T GNS ON	64.5830 SHS	53.93		53.93
12/15/06	RS SMALLER COMPANY GROWTH FUND	S/T GNS ON	64.5830 SHS		9.69	9.69
12/19/06	GROWTH FUND OF AMERICA CLASS F	L/T GNS ON	118.9060 SHS	130.80		130.80
12/26/06	WASHINGTON MUTUAL INVESTORS FUND CLASS F	L/T GNS ON	97.3140 SHS	81.26		81.26
12/27/06	EUROPACIFIC GROWTH FUND CLASS F	L/T GNS ON	112.0770 SHS	238.05		238.05
12/27/06	EUROPACIFIC GROWTH FUND CLASS F	S/T GNS ON	112.0770 SHS		65.79	65.79
Total income from capital gains distributions				**$ 537.91**	**$ 75.74**	**$ 613.65**

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SMITH BARNEY

Ref: 00001212 00025658

Individual Retirement Account
December 1 - December 31, 2006

MICHAEL SIMPSON [redacted]

We have available at no cost, brochures describing our investment advisory services and those of any other investment advisors managing your account. If you would like copies, please ask your Financial Advisor or Portfolio Manager.

Please contact your Financial Advisor or Portfolio Manager if there have been any changes in your financial situation or investment objective, or if you wish to impose any restrictions or modify existing restrictions on the management of your account.

Independent, third-party research on certain companies covered by Citigroup Investment Research is available to clients of the firm at no cost. Clients can access this research at www.smithbarney.com or call 1-888-836-9542 to request that a copy of this research be sent to them.

Message: *If you held a mutual fund, regulated investment company (RIC), unit investment trust (UIT), real estate investment trust (REIT), or foreign security (other than common shares) and you normally file your taxes early, please read the important information in the enclosed "In the Know."*

Message: *Effective in January 2007, the Smith Barney Accel Program will be discontinued and a new client loyalty program will be introduced to qualifying clients. This change will be reflected in your January statement. Detailed information regarding the new program will be communicated to qualifying clients.*

Message: *Please note that Smith Barney is required by law to report the December 31, 2006, Fair Market Value of this account to the Internal Revenue Service. Smith Barney will forward this information to the IRS on or before May 31, 2007, on IRS Form 5498.*

Information regarding commissions and charges will be made available to you promptly upon request. Please advise us of any material change in your financial objectives or financial situation. All checks written and deposited to your account must be made payable to Smith Barney. A financial statement of Citigroup Global Markets Inc. is available for your personal inspection at its offices, or a copy of it will be mailed upon your written request. ***If you believe your statement is not correct, you must contact us within ten (10) days. Complaints and incorrect statement issues may be directed to the Manager of the branch servicing your account (see page 1 of statement for address and phone number).*** *To protect your rights, including any rights you may have under the Securities Investor Protection Act (SIPA), you must reconfirm all communication in writing to Citigroup Global Markets Inc., Attention: Early Dispute Resolution Group, 485 Lexington Avenue, 14th Floor, New York, NY 10017.*

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PERSI - Public Employee Retirement System of Idaho

BENEFITS SUMMARY FOR MICHAEL K SIMPSON [redacted]

Based on Account information as of 06/30/06

RETIREMENT BENEFIT

Formula used to calculate your retirement benefit:

Months of Credited Service	203
x Average Monthly Salary	$ 1,472.21
x Statutory Multiplier	.01917
x COLA Factor	1.20720
= Annual Benefit	$ 6,916.19
÷ 12	
= Monthly Service Retirement Benefit	$ 576.35

This estimate reflects the benefit you have earned thus far. It shows a regular retirement allowance at age 65 with no subsequent service and no future benefit enhancements. See the following sections for additional accrued benefits amounts

DISABILITY BENEFIT

Formula used to calculate your disability benefit

Months of Credited Service	
x Average Monthly Salary	
x Statutory Multiplier	
= Annual Benefit	
÷ 12	
= Monthly Disability Retirement Benefit	

Should you become disabled as defined by applicable law, you may be eligible to receive disability retirement benefits. You must be an active member to be eligible for this benefit. As an inactive member, you are not eligible for a disability benefit. Effective July 1, 2006, an inactive member who was totally and permanently disabled when they left PERSI covered employment must apply for disability retirement within one (1) year from their date of last contribution or the effective date of the law (July 1, 2006), whichever is later.

SEPARATION BENEFIT
(Your Account Balance)

Account balance as of 06/30/2005	$ 23,593.11
Contributions from 07/01/2005 to 06/30/06	
Accrued Interest 07/01/2005 to 06/30/06	$ 3,259.36
Total Account as of 06/30/06	$ 26,852.47
Separation Benefit = **Total Account**	**$ 26,852.47**

Of your total account, your contributions are taxed or tax-deferred as follows:

Total Taxed Contributions	$ 218.31
Total Tax-Deferred Contributions	$ 10,346.33
Total Interest (Tax-Deferred)	$ 16,287.83

A Separation Benefit is a lump-sum payment of your account balance plus interest. As an inactive member, your account balance and interest are available to you now. However, because you are vested to a lifetime retirement benefit, we suggest you consult with PERSI before withdrawing your funds as a Separation Benefit is paid in lieu of a retirement benefit and cancels any credited service. Your account balance and the interest you earn do not affect the amount of your retirement benefit. When you retire, you receive the retirement benefit amount calculated in the box above, not the account amount shown in this box. Generally, the total of your lifetime retirement benefit will far exceed your account balance.

DEATH BENEFIT

Member Contributions	$ 10,564.64
Accrued Interest	$ 16,287.83
Accumulated Contributions	$ 26,852.47
Death Benefit	**$ 26,852.47**

Beneficiaries:

Mary K Simpson	Primary	100%

If you die before retirement, a death benefit will be paid to your beneficiary(ies). If your beneficiary is your surviving spouse, he or she may choose an optional annuity benefit similar to the retirement benefit above

IDAHO NATIONAL LABORATORY

KATHERINE SIMPSON
5265 SHADOW CREEK DR
IDAHO FALLS ID 83401-6352

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Vanguard®

INVESTMENT PLAN STATEMENT

ACCOUNT SUMMARY: 10/01/2006 - 12/31/2006
INL EMPLOYEE INVESTMENT PLAN— 091194
DIVISION NAME: BEA/BBWI EXEMPT

Total Account Balance: $206,171.34

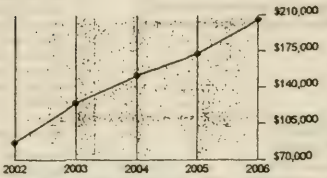
Your Account Summary

Account Balance

	Current Period	Year to Date
Beginning balance	$189,034.79	$172,383.12
Your contributions	$2,082.47	$8,329.88
Employer contributions	$1,249.43	$4,997.72
Market gain/loss	$241.06	$5,271.42
Other transactions	$13,568.88	$15,220.41
Fees*	-$5.29	-$31.21
Ending balance	$206,171.34	$206,171.34

*Can include plan recordkeeping, administrative, or purchase/redemption fees.

Your Account Progress



Includes all contributions and market activity.

Your Retirement Income Outlook™

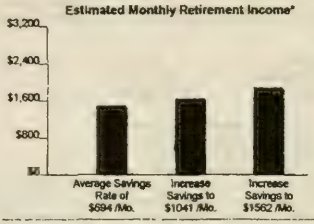
In retirement, we estimate you'll be able to withdraw about **$1,482 a month** from your employer's retirement plan at Vanguard.* This estimate is based on your average monthly contributions of **$694** at Vanguard over the previous 12 months.

Need more? To have an estimated **$1,635 a month,** your monthly contributions should average at least **$1,041 a month** until retirement. For approximately **$1,866 a month,** your monthly contributions should average **$1,562 a month** until you retire.

If you've recently joined the plan or changed your contribution rate, your average monthly contributions will change gradually. Also, check your plan rules for contribution limits and restrictions.

How to take action: To raise your savings rate or further personalize this retirement income estimate, visit us online or call Vanguard at 800-523-1188. (Recent changes may not be reflected on this statement.)

Estimated Monthly Retirement Income*



*Based on your current balance in qualified defined contribution plans administered by Vanguard, all contributions to these plans in the last 12 months, an annual after-inflation investment return of 4%, and withdrawal of 4% of your balance at age 66. Taxes may be due on withdrawals. The estimate is for illustrative purposes and not a guarantee.‡

Your Investments

Your Asset Mix

■ 100% Stock

Your Personal Performance*

As of 12/31/2008

	1 year	3 years	5 years
Annualized Personal Rate of Return	11.50%	9.10%	6.50%

*Your personal performance is based not only on the performance of your investments, but also the timing and amounts of any purchases and redemptions.

Investment Activity

	Beginning Balance	Contributions	Other Transactions	Market Gain/Loss	Shares/ Units	Ending Balance
Vanguard® Inst Index Fund Inst	$9,397.88	$3,331.90	$67.50	$638.61	103.880	$13,435.89
Vanguard® PRIMECAP Fund Investor	$58,213.88	$0.00	$3,674.93	-$1,145.38	880.978	$60,743.43
Vanguard® Capital Opportunity Inv	$59,269.68	$0.00	$3,161.18	$876.35	1,725.932	$63,307.19
Vanguard® International Growth Inv	$62,153.37	$0.00	$6,659.98	-$128.52	2,878.660	$68,684.83
Total Invested	**$189,034.79**	**$3,331.90**	**$13,563.59**	**$241.06**		**$206,171.34**

Your Recommendations

Your Retirement Saving and Investing Recommendations

Savings. We estimate that you may be able to withdraw about $1,482 a month from your retirement savings at Vanguard. To discuss your savings options, visit us online or call Vanguard at 800-523-1188.

[redacted]
Statement type: Preferred
November 25 - December 31, 2006

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FBO MICHAEL K SIMPSON PS
5265 SHADOW CREEK
IDAHO FALLS ID 83401-6352

NEIL A. ANDERSON
PO BOX 778
BLACKFOOT ID 83221
208-785-3370
800-927-0837

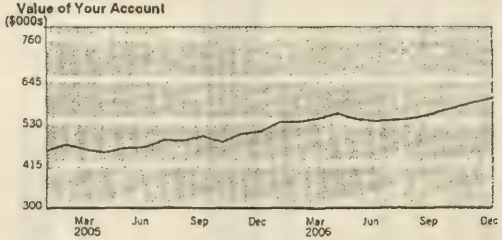
Value Summary (Held at Edward Jones)

Value on Dec 31	$598,104.42
Value on Nov 25	$585,634.07
Value one year ago	$509,983.80

Summary of Your Assets

Held at Edward Jones	Value on Dec 31	Value on Nov 25	Change in value
Cash & money market	$541.72	$539.21	$2.51
Mutual funds	597,562.70	585,094.86	12,467.84
Total at Edward Jones	$598,104.42	$585,634.07	$12,470.35

Value of Your Account



This chart shows how the value of your account has changed recently (includes only assets held at Edward Jones). While it's important to be aware of short-term results, don't lose sight of the long term. Edward Jones believes a long-term investment strategy offers the greatest potential for success.

(Profit sharing/separate account)

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[redacted]

Statement type: Preferred
November 25 - December 31, 2006

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Your Retirement Account Summary

	This period	Cumulative
2006 Profit Sharing/Non-Elective Contributions	$0.00	$0.00
2005 Profit Sharing/Non-Elective Contributions	$0.00	$0.00

Information Regarding Your 2007 Required Minimum Distribution (RMD)
If you are age 70½ or older, the Internal Revenue Service (IRS) may require you to remove a minimum distribution from your retirement account by Dec. 31, 2007. However, if you turn 70½ in 2007, you may defer your 2007 RMD until April 1, 2008. If an RMD is due, Edward Jones will report that information to the IRS on IRS Form 5498. Contact your investment representative for this calculation.

Summary of Your Income

	This Period	Year-to-date
Income from securities		
Money market dividends	$2.51	$19.66
Dividends	5,121.94	12,176.38
Capital gain distributions	17,952.78	17,952.78
Total	$23,077.23	$30,148.82

Your Estimated Interest and Dividends

The following is an estimated summary of your interest and dividend income for the next 12 months. It is based on a variety of factors. These factors, including distribution rates and amount of principal invested, are subject to change. Because they are estimates, the specific amounts listed here are not guaranteed by Edward Jones or by the underlying issuer(s) of the securities. Income from items with * is being reinvested.

		2007												
Cash & money market funds	Quantity	JAN	FEB	MAR	APR	MAY	JUN	JUL	AUG	SEP	OCT	NOV	DEC	Total
Money Market 4.27%	541	1	1	1	1	1	1	1	1	1	1	1	1	12
Mutual Funds														
AIM CHARTER FUND CL A*	2,893												309	309
AIM GLOBAL AGGRESSIVE GROWTH A*	2,655												100	100
AIM INTERNATIONAL GROWTH FD A*	1,954												361	361
AMERN HIGH INCOME TRUST FUND A*	4,218	400	400	400	400	400	400	400	400	400	400	400	400	4,800

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Mutual Funds	Quantity	2007 JAN	FEB	MAR	APR	MAY	JUN	JUL	AUG	SEP	OCT	NOV	DEC	Total
BOND FUND OF AMERICA CL A*	3,560	258	258	258	258	258	258	258	258	258	258	258	258	3,096
FUNDAMENTAL INVESTORS FD CL A*	1,861			223			223			223			223	892
INCOME FUND OF AMERICA CL A*	3,363			655			655			655			655	2,620
NEW PERSPECTIVE FUND CL A*	2,524												1,259	1,259
NEW WORLD FUND CL A*	428												392	392
Total		**659**	**659**	**1,537**	**659**	**659**	**1,537**	**659**	**659**	**1,537**	**659**	**659**	**3,958**	**13,841**

Your Assets at Edward Jones

Cash and money market funds	7-day current yield	7-day compounded yield	Current value
Retirement money market	4.27%	4.36%	$541.72
Total cash and money market funds			**$541.72**

Mutual funds	Our asset category	Current price	Current shares	Current value	Amount invested	Amount withdrawn
AIM CHARTER FUND CL A Quote Symbol: CHTRX	Growth	15.38	2,893.778	$44,506.31	—	—
AIM CONSTELLATION FUND CL A Quote Symbol: CSTGX	Aggressive	26.22	3,344.709	87,698.27	—	—
AIM GLOBAL AGGRESSIVE GROWTH FUND CL A Quote Symbol: AGAAX	Aggressive	23.60	2,655.752	62,675.75	—	—
AIM INTERNATIONAL GROWTH FUND CL A Quote Symbol: AIIEX	Growth	29.75	1,954.000	58,131.50	—	—

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Mutual funds, continued	Our asset category	Current price	Current shares	Current value	Amount invested	Amount withdrawn
AMERICAN HIGH INCOME TRUST CL A Quote Symbol: AHITX	Aggressive Income	12.61	4,218.972	$53,201.24	$26,564.05	—
BOND FUND OF AMERICA CL A Quote Symbol: ABNDX	Income	13.32	3,560.746	47,429.14	26,572.52	—
FUNDAMENTAL INVESTORS FUND CL A Quote Symbol: ANCFX	Growth & Income	40.05	1,861.274	74,544.02	26,564.04	—
INCOME FUND OF AMERICA CL A Quote Symbol: AMECX	Growth & Income	20.36	3,363.432	68,479.48	26,564.04	—
NEW PERSPECTIVE FUND CL A Quote Symbol: ANWPX	Growth	31.74	2,524.312	80,121.66	26,564.03	—
NEW WORLD FUND CL A Quote Symbol: NEWFX	Growth	48.44	428.888	20,775.33	6,565.94	—
Total mutual funds				$597,562.70	—	—
Total estimated asset value				$598,104.42		

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Summary of Your Investment Activity

Total cash and money market funds on Nov 25	$539.21
Additions	
Income	$23,077.23
Total additions	$23,077.23
Subtractions	
Withdrawals to purchase securities	-$23,074.72
Total subtractions	-$23,074.72
Total cash and money market funds on Dec 31	**$541.72**

Detail of Your Investment Activity

Additions

	Type	Date			Days	Rate	Amount	Where Invested
Income	Money market dividends	12/29	MONEY MARKET		41	4.20	2.51	Money market
	Type	**Date**		**Quantity**	**Amount per share**	**Rate**	**Amount**	**Where Invested**
	Dividends	11/27	BOND FUND OF AMERICA CL A AT DAILY ACCRUAL RATE	3521.094			$184.78	Reinvested
		11/29	AMERN HIGH INCOME TRUST FUND A AT DAILY ACCRUAL RATE	4155.594			311.61	Reinvested
		12/19	AIM CHARTER FUND CL A	2873.839	0.107		308.65	Reinvested
		12/19	AIM GLOBAL AGGRESSIVE GROWTH A	2320.221	0.037		87.94	Reinvested
		12/19	AIM INTERNATIONAL GROWTH FD A	1935.781	0.185		358.89	Reinvested

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Additions, continued

	Type	Date		Quantity	Amount per share	Rate	Amount	Where Invested
Income	Dividends	12/26	INCOME FUND OF AMERICA CL A	3234.039	0.15		$485.11	Reinvested
		12/26	INCOME FUND OF AMERICA CL A AT DAILY ACCRUAL RATE	3234.039			630.62	Reinvested
		12/26	BOND FUND OF AMERICA CL A	3534.894	0.025		88.37	Reinvested
		12/26	BOND FUND OF AMERICA CL A AT DAILY ACCRUAL RATE	3534.894			256.24	Reinvested
		12/27	NEW PERSPECTIVE FUND CL A	2334.688	0.499		1,165.01	Reinvested
		12/27	NEW WORLD FUND CL A	402.348	0.914		367.75	Reinvested
		12/28	FUNDAMENTAL INVESTORS FD CL A	1781.816	0.22		392.00	Reinvested
		12/29	AMERN HIGH INCOME TRUST FUND A AT DAILY ACCRUAL RATE	4180.483			397.18	Reinvested
		12/29	AMERN HIGH INCOME TRUST FUND A	4180.483	0.021		87.79	Reinvested
	Capital gains distributions Short-term	12/19	AIM GLOBAL AGGRESSIVE GROWTH A	2320.221	0.045		$106.38	Reinvested
		12/20	AIM GLOBAL AGGRESSIVE GROWTH A	2614.906	0.412	–	957.44	Reinvested
		12/27	NEW WORLD FUND CL A	402.348	0.239		96.16	Reinvested
	Capital gains distributions Long-term	12/19	AIM GLOBAL AGGRESSIVE GROWTH A	2320.221	2.893		$6,713.10	Reinvested
		12/19	AIM INTERNATIONAL GROWTH FD A	1935.781	0.091		176.93	Reinvested
		12/26	INCOME FUND OF AMERICA CL A	3234.039	0.464		1,500.59	Reinvested
		12/27	NEW WORLD FUND CL A	402.348	1.994		802.28	Reinvested
		12/27	NEW PERSPECTIVE FUND CL A	2334.688	2.057		4,802.45	Reinvested
		12/28	FUNDAMENTAL INVESTORS FD CL A	1781.816	1.57		2,797.45	Reinvested
		Total income					$23,077.23	

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Subtractions

	Date		Quantity	Price per share	Trade date	Amount	Source of Funds
Withdrawals to purchase securities	11/27	REINVESTMENT INTO BOND FUND OF AMERICA CL A	13.8	13.39		-$184.78	Income
	11/29	REINVESTMENT INTO AMERN HIGH INCOME TRUST FUND A	24.869	12.52		-311.61	Income
	12/19	REINVESTMENT INTO AIM CHARTER FUND CL A	19.939	15.48		-308.65	Income
	12/19	REINVESTMENT INTO AIM INTERNATIONAL GROWTH FD A	6.016	29.41		-176.93	Income
	12/19	REINVESTMENT INTO AIM INTERNATIONAL GROWTH FD A	12.203	29.41		-358.89	Income
	12/19	REINVESTMENT INTO AIM GLOBAL AGGRESSIVE GROWTH A	3.752	23.44		-87.94	Income
	12/19	REINVESTMENT INTO AIM GLOBAL AGGRESSIVE GROWTH A	286.395	23.44		-6,713.10	Income
	12/19	REINVESTMENT INTO AIM GLOBAL AGGRESSIVE GROWTH A	4.538	23.44		-106.38	Income
	12/20	REINVESTMENT INTO AIM GLOBAL AGGRESSIVE GROWTH A	40.846	23.44		-957.44	Income
	12/26	REINVESTMENT INTO INCOME FUND OF AMERICA CL A	23.992	20.22		-485.11	Income
	12/26	REINVESTMENT INTO INCOME FUND OF AMERICA CL A	31.188	20.22		-630.62	Income
	12/26	REINVESTMENT INTO INCOME FUND OF AMERICA CL A	74.213	20.22		-1,500.59	Income

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Subtractions, continued

	Date		Quantity	Price per share	Trade date	Amount	Source of Funds
Withdrawals to purchase securities	12/26	REINVESTMENT INTO BOND FUND OF AMERICA CL A	6.629	13.33		-$88.37	Income
	12/26	REINVESTMENT INTO BOND FUND OF AMERICA CL A	19.223	13.33		-256.24	Income
	12/27	REINVESTMENT INTO NEW WORLD FUND CL A	16.816	47.71		-802.28	Income
	12/27	REINVESTMENT INTO NEW WORLD FUND CL A	2.016	47.71		-96.16	Income
	12/27	REINVESTMENT INTO NEW WORLD FUND CL A	7.708	47.71		-367.75	Income
	12/27	REINVESTMENT INTO NEW PERSPECTIVE FUND CL A	152.604	31.47		-4 802.45	Income
	12/27	REINVESTMENT INTO NEW PERSPECTIVE FUND CL A	37.02	31.47		-1,165.01	Income
	12/28	REINVESTMENT INTO FUNDAMENTAL INVESTORS FD CL A	69.692	40.14		-2,797.45	Income
	12/28	REINVESTMENT INTO FUNDAMENTAL INVESTORS FD CL A	9.766	40.14		-392.00	Income
	12/29	REINVESTMENT INTO AMERN HIGH INCOME TRUST FUND A	6.967	12.60		-87.79	Income
	12/29	REINVESTMENT INTO AMERN HIGH INCOME TRUST FUND A	31.522	12.60		-397.18	Income
	Total withdrawals to purchase securities					-$23,074.72	

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506 SOUTH WOODRUFF AVE
IDAHO FALLS, ID 83401-5298

Your Financial Advisor
HONG JERRY K
208-522-3781/800-333-8794

Resource Management Account℠

NCZA588526-X1256
000004

[redacted]
Universal ID: 7711393108M

KATHERINE SIMPSON
5265 SHADOWCREEK
IDAHO FALLS ID 83401-6352

December 2006

Asset allocation

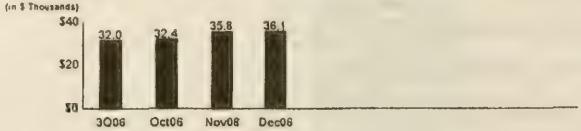
This graph provides values and/or percentages by asset class. Insurance products, 529 Plans, Private investments, accrued interest and pending return of principal are not included in the asset classification.

	Total asset value	% of total
Cash *	$ 558.14	1.54 %
Cash alternatives	.00	0.00 %
Equities	35,536.00	98.46 %
Fixed Income	.00	0.00 %
Balanced	.00	0.00 %
Alternative strategies	.00	0.00 %
Other	.00	0.00 %
Total	$ 36,092.14	100.00 %

* UBS Bank deposit balances are included in the "Cash" total value, and are not protected by SIPC. See the "This month at a glance" and "Cash" sections below, and the back of the first page of this statement for details regarding those balances.

Total value comparison

This graph includes credits, debits and changes in market value. It does not include insurance products, 529 Plans, Private investments, accrued interest and pending return of principal.



Bulletin board
BE PREPARED FOR 2007. THIS MONTH'S INVESTMENT INTELLIGENCE CONTAINS OUR MARKET OUTLOOK FOR THE NEW YEAR AS WELL AS KEY INFORMATION FOR TAX-YEAR 2006. CALL YOUR FA TO DISCUSS.
RESOURCELINE 1-800-RMA-1000: ACCOUNT #: 198001176
VISIT OUR WEB SITE AT WWW.UBS.COM.

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UBS Financial Services Inc. (the "Firm" or "UBS Financial Services"), member of all principal security, commodity and options exchanges. Executive offices, 1285 Avenue of the Americas, New York, NY 10019. UBS Financial Services is an indirect subsidiary of UBS AG and an affiliate of UBS Securities LLC. The Firm's financial statement is available for your personal inspection at its offices, or a copy of it will be mailed upon your written request.

This statement represents the only official record of your UBS Financial Services account. Other records, except official trial documents, containing conflicting data should not be relied upon. If you believe there is an error or mistake, please report it immediately in writing to the Branch Manager at the office servicing your account. Any communications should be continued in writing to further protect your rights, including your rights under the Securities Investor Protection Act (SIPA). If the transaction shown on the front of this statement is not UBS Financial Services, UBS Financial Services is carrying your account as clearing broker by arrangement with the financial institution, which you were informed of when you opened this account. In these cases, a report must be made to both firms. All account statements shall be deemed complete and accurate if not objected to in writing within 60 days of the statement postmark.

Customer complaints may be directed to the Firm's Client Relations Department at 800-344-4100, 8:00 A.M. to 6:00 P.M. ET Monday through Friday in case of errors or questions about an electronic funds transfer (EFT), bill payment, or a UBS American Express® Card transaction, call 800-763-1000, or write to UBS Financial Services Incorporated 1000 Harbor Blvd. 8th floor Weehawken, NJ 07086 Attn: RAM-BSA Services.

All checks should be made payable to the Firm, or the financial institution indicated on the front of this statement. In addition to regular account fees, accounts may be subject to maintenance fees, charges for late payment or returned instruments, and charges for wires and postage to such accounts. Accounts transferring to other institutions may be subject to a transfer fee.

UBS Bank Deposits
Cash on deposit at UBS Bank USA (the "Bank") through the UBS Deposit Account Sweep Program is deposited by the Firm, acting as your agent, at the Bank. The Firm, on your agent, maintains control over the deposit accounts established on your behalf with the Bank. Cash on deposit at the Bank is protected by the FDIC up to $100,000 per depositor, in accordance with FDIC rules. Further information regarding current policies, the Bank deposits, and important disclosures regarding the Deposit Account Sweep Program and alternatives to that Program, are available at www.ubs.com/bankdeposits. More information regarding FDIC insurance is available upon request, or by visiting the FDIC website at www.FDIC.gov. Deposits at the Bank are not guaranteed by the Firm or any affiliate of the Firm, and are not protected by SIPC (see "UBS Financial Services Account Protection" below).

UBS Financial Services Account protection
The Firm is a member of the Securities Investor Protection Corporation (SIPC). SIPC provides protection for your account(s) at the Firm for up to $500,000, including $100,000 for free cash balances at the Firm in the unlikely event that the Firm fails financially. The SIPC asset protection limits apply to all accounts that you hold in a particular capacity. For example, if you have two accounts at the Firm where you are the sole account holder and a third account where you are a joint account holder, the SIPC limits are provided under SIPC up to a combined $500,000 and $100,000 each, and the joint account is protected under SIPC separately for $500,000. The Firm, together with certain affiliates, has also purchased supplemental protection. The maximum annual payable under the policy is $900 million. Subject to this policy limit, each of the Firm is protected up to $1 million in the aggregate for all your accounts held in a particular capacity at UBS Financial Services. The SIPC protection and the supplemental protection both do not apply to (a) certain disputed cases contested by (and included in your annual notice) but held over by UBS Financial Services in a certain (i) cash at UBS Bank USA (see "UBS Bank Deposits", above), (ii) insured products, including variable annuities, and (iii) shares of mutual funds which such shares are registered directly in the name of the account holder on the books and records of the applicable issuer or transfer agent; (b) certain investment contracts or investment interests (e.g., limited partnerships and private placements) that are not registered under the Securities Act of 1933; and (c) commodities contracts (e.g., foreign exchange and precious metal contracts), including futures contracts and commodity option contracts. The SIPC protection and the supplemental protection do not apply to fines assess even if they otherwise appear on your statements. The SIPC protection and the supplemental protection do not protect against damages in the market value of your investments (whether in a result of market movement, issuer bankruptcy or otherwise) More information is available upon request, or by visiting the SIPC website at www.sipc.org.
UBS Financial Services is not a bank. Unless otherwise disclosed, securities and other investments held through UBS Financial Services ARE NOT FDIC-INSURED, ARE NOT BANK GUARANTEED, AND MAY LOSE VALUE.

International Deposit Account ("IDA")
IDA is an interest-bearing account maintained by UBS AG, UBS Financial Services Inc. a parent company, at the Cayman Island Branch where the unbounded cash in the " International Resource Management Account ("IRMA") is " ally "swept" or invested on a daily basis. These automatic deposits made into the IDA are not guaranteed by UBS Financial Services Inc., not insured by FDIC.

Dividend Reinvestment Program ("DRIP")
The price indicated is an average price and the actual price may be charged if an your Financial Advisor. Only whole shares are purchased under DRIP; partial shares will be sold and the cash credited to your account. There may be a small dilutionary, positive or negative, between the dividend reinvestment price supplied by the issuer and the market price at which the partial shares are sold.

Cash-in-Lieu
Only whole units may be held in your account. If you are entitled to a partial and as a result of a dividend payment or otherwise, the Firm will either sell whole units at market price or accept an amount determined by a registered dealing agency, and credit your account in cash.

Investment objectives
The investment objectives you select reflect the overall goals you have for the account and apply to the whole account, not to specific investments within the account. Please advise the Firm promptly in writing of any significant change in your financial situation or investment objectives. For each account held, you choose one return objective and primary and, if applicable, secondary objective. The following lists the alternatives.
Return objectives
Current income - Investments seeking generation of income.
Capital appreciation - Investments seeking growth of principal rather than generation of income.
Current income and capital appreciation - Investments seeking both generation of income and growth of principal.
Risk profiles
Conservative - Seeks securities most likely to preserve principal with low risk.
Moderate - Seeks greater potential returns, willing to accept higher risk of loss of principal.
Aggressive/Speculative - Seeks potential for significant appreciation, willing to accept high degree of risk of loss of principal.

Statements "Hold/Mailing"
As a convenience to you, in some instances we may consolidate all related account statements with the same address in the same envelope. Accounts may be mailed for this purpose because they have common and also maintain joint account relationships with other clients of the same address. This practice is known as "Householding." If you do not wish to have all of your statements bundled together that is, you prefer to receive individual statements mailed in separate envelopes you may confirm bundled mailing by calling your Financial Advisor at the toll-free number listed on your annual statements.

Accounts overview
- Closing values. Total net equity value (assets minus debit) of your account including uninvested cash, money amounts, values for margined security yield, and Global Time Deposits, short account balance, unrealized profits or profited, and certain values not held by the Firm. Does not include unpaid transactions as of the end of the prior and current statement periods. For more information see the section titled "UBS Bank Deposits," above.
- Cash/Money funds. Total of uninvested cash credit balance plus money and money market fund sweep option (but not UBS Bank deposits) and accruals as of the date of the statement period. Non-commingle free credit balances in your account are not segregated from other cash balances and the Firm may use any such funds in the ordinary course of its business. These funds are payable upon your demand. This total is included in the current period closing value.
- UBS Bank deposits. Total of cash on deposit at the UBS Bank USA through the UBS Deposit Account Sweep Program at the close of the statement period. For more information see the section titled "UBS Bank Deposits," above.
- Debit balance. Total of debit balance at the close of the statement period. This total is included in the current period closing value.
- Restricted securities value (est.). Value presented is for informational purposes only and may not represent the price at which the security may be sold due to liquidity or restrictions on constitutionalist of the security.
- Other items not in closing value. Estimates of values for bond accrued interest, your account's current period closing value including insurance values listed on your statement and pending returns of principal. Principal and values are for informational purposes only and obtained from sources considered reliable, however, accuracy cannot be guaranteed.
- Value plus other items. Totals the current period closing value and estimates of values for other items described above
- Prices presented are estimates (est.). Total of estimated values for private investments based on third party estimated values, if any, supplied by independent valuation firms rather than those provided by issuers (general partners).

Loan summary
For detailed information on the Firm's truth in lending practices, refer to the Firm's Statement of Credit Practices. The Firm reserves the right to limit margin purchases and short sales and to alter its margin requirements and also alters its house or other margin calls in accordance with the Firm's guidelines, market conditions and regulatory margin requirements.

Asset portfolio
Portfolio securities/assets (grouped by category) held in the account at the end of the statement period. You may ask for delivery of fully paid securities at any time. The only reasons permitted will be loan requests or other paying any balance due on them. Any securities transferred to the Firm during the statement period are listed in market values as of the end of the statement period. In determining the cost basis of the securities included in this statement, where indicated with the symbol "1", UBS Financial Services has relied on information obtained from sources other than UBS Financial Services, including information from another firm or that you may have provided to your Financial Advisor. The Firm does not independently verify or guarantee the accuracy or validity of any information provided by sources other than UBS Financial Services. In addition, UBS Financial Services generally assumes the information as it is received, the Firm does not provide any assurance that the information either "Cost basis" and "Unrealized gain/loss" is accurate as of the date of this statement. As such, you should not rely on this information in making purchase or sale decisions, for tax purposes or otherwise. Accounts transferred to the Firm will retain previous cost basis only for the period of time they are held at the Firm. Make a basis information not available in your Financial Advisor.
- Callable securities. Bonds and preferred stock that the issuer calls for partial redemption. Bonds and preferred stock that the issuer calls for redemption of that issue held in our name or in nominee name for our clients. Call history information is obtained from third parties and its accuracy is not guaranteed. Other call features may exist which could affect yield, complete information will be provided upon request.
- Certification of Deposit (CDs). CDs are FDIC insured up to $100,000 in principal and accrued interest per depositor and per depository institution, in conformance with FDIC rules.
- Price/Yield. The closing prices and/or yields bid and ask prices of the last recorded information of all listed securities, NASDAQ/OTC NASDAQ securities, when available. Load authority based securities may be priced using a zero priced valuation model and may not reflect an actual market price or value. This information is obtained from third parties and its accuracy is not guaranteed. Restricted securities generally are not eligible for loan, the purposes of the statement, the Firm uses the market price of the unrestricted asset of the same issuer as an implied value for the restricted asset. This implied value may be substantially less than the actual prices received if these securities are resold in a public market. All CD prices are determined using a computerized valuation model and therefore represent an estimated market value. Yields presented for insurance products are provided by the insurance company or sponsor (sources considered reliable). The accuracy is not guaranteed. Summer charges may apply. Deposits or securities denominated in currencies other than U.S. dollars are reflected at the exchange rate as of the statement date. Prices may or may not represent current or future market value. To obtain current quotations, when available, contact your Financial Advisor. Structured products may not have been registered with the Securities and Exchange Commission or under any state securities laws. The market for such structured products will generally be highly illiquid and subjectively valued and as such, valuation may not be provided.
- Private Investments and Structured Products. Private investment securities and structured products generally are highly illiquid. Certain structured products have not been registered with the Securities and Exchange Commission or under any state securities laws. We are providing estimated values for private investment securities and structured products for informational purposes only. Accuracy is not guaranteed. These values may differ substantially from prices, if any, at which a given investment security or structured product may be bought or sold and do not necessarily represent the value you would receive upon liquidation. Third party estimates of value are at a certain date and are supplied to UBS Financial Services Inc. by an independent valuation firm. Issuer, general partner or sponsor estimated values, if any, are supplied to the Firm by the issuer, general partner or sponsor and may be calculated based on different information than that used by third parties to derive their estimated values. Both third parties and issuer/ general partner or sponsor estimated values, where available, are generally updated on a regular basis (monthly, quarterly, annual or semi-annually basis). You can obtain individual information regarding the methodology used to determine the estimate of value and the date of the information which is the basis for the estimate by dialing (800) 200-8981 from 9am to 5p.m. ET. For data from outside the U.S. please call collect at (201) 272-7340. Third party estimates may be rejected as "bad priced" in several situations. Here, an independent valuation firm has not supplied or is unable to supply any such value. When the issuer/ general partner has obtained which may and a previously reported value that the "Qualifier" or issuer's value would be highly speculative due to the nature of the security. In any instance where neither an issuer/ general partner or sponsor estimated value nor a third-party estimated value is provided, the value of the security will be different from its purchase price. "Dependent to date" may include return of capital income or both. "Original and Net" represents the initial offering price per unit and they not reflect your cost basis.
- Est. (estimated) income. Estimate of annual income based on your holdings and interest rates, assuming the securities will be held for one year from statement date or until maturity. This estimate is only a guideline, accuracy and continued receipt are not guaranteed.
- Current yield and Rate. An estimate of annualized income (dividend and/or interest) divided by the current market value. This indicator is based on the last dividend or interest payment made by the issuer and assumes the securities will be held for one year from the statement date or until maturity; accuracy and continued yield are not guaranteed. Information regarding current yields and retail prices on the accounts cash money options (including bank deposits and money market mutual funds) can be viewed at www.ubs.com/cashoptions or accessed by contacting your Financial Advisor or calling 1-800-762-1000.
- Accounts held held by UBS Financial Services Inc. Certain assets are not held by the Firm and not within the Firm's possession or control. As indicated on the front of your statement, these assets are displayed on your statement for informational purposes only, and are not included in your account value. Positions and values are provided to the Firm by the issuer, firm, and the Firm is not responsible for the information, nor does the Firm provide any assurance that these assets are covered by the Firm's SIPC coverage.
- Foreign Currency and Sweep and Sweep Compensation. In addition to commissions on sales and 12b-1 fees received in connection with the purchase of mutual funds in our clients, we receive financial sharing payments from distributors and/or advisers of the mutual funds that we sell. These amounts are based on two different components: (1) the amount of new sales of the mutual funds, of a particular fund family, and (2) the amount of mutual fund assets of the particular fund family held by our clients. We also receive reimbursements based on commissions we transfer agent services that we provide to the mutual funds. These fees generally are paid from mutual assets in the mutual fund, and are a fixed dollar amount based on the number of accounts, a flat broker-dealer holding mutual funds of and fund family. In addition to commissions received in connection with the sale or distribution of annuity contracts and unit investment trust units to our clients, we receive revenue sharing compensation from many of the insurance companies underwriting the annuity contracts, affiliates of the insurance companies or sponsors of the unit investment trusts we distribute. Our affiliates also receive trading commissions and other compensation from mutual funds and other companies whose products we distribute. We receive from UBS Bank USA a fee of up to 50% per annum of amounts deposited with UBS Bank USA under the sweep deposit mutual fund program.
- Unrealized gain/loss. When data is available, estimated unrealized gain/loss are calculated by individual security lot. The transaction date for individual lots may or may not reflect commissions, charges for security transfer/delivery costs. Dividend and other reinvestments and systematic purchase lots are each compared to display the unrealized. The "Trade date" column shows the date the securities were traded.

Activity
Trade commissions and charges paid or accumulated. Information with respect to commissions and other charges incurred in connection with the execution of option transactions has been included on confirmations previously furnished to you, and will be provided to you on request.
Open orders
Open or "good and cancelled" orders that were not executed by the statement date. Open buy and sell stop orders are reduced by the amount of dividends or rights ex-dividends or ex-rights date passed, unless instructed to try this. This are represented for orders that are executed due to your failure to cancel existing open orders

Although all figures shown are expected to be accurate, statement data should not be used for tax purposes. Rely only on information on Form 1099, 5498, 1042S, etc. unless preparing your tax return. The Firm is required by law to report to the IRS all taxable dividends reported from taxable dividends and taxable interest earned on securities held in your account, and not proceeds on sale transactions.

Foreign Transactions
American Express converts transactions in foreign currencies into U.S. dollars. Unless a particular rate is required by applicable law the conversion rate used by American Express is a wholesale interbank rate selected on the business day prior to the day on which the transactions are processed by American Express, increased by 1.5%. The currency conversion rate used on the conversion date may differ from the rate in effect on the date you used your UBS Leaf American Express® Card.
If you use a UBS Leaf American Express Card, and you use your UBS American Express Card or account to effect a transaction with a party located outside the United States, the Card issuer will charge a Foreign Country Transaction Fee of one-half of one percent (0.50%) of the U.S. dollar amount. The Card Issuer's Foreign Country Transaction Fee is calculated after the conversion process discussed in the previous paragraph.

Tax Withholding on Distributions from UBS Financial Services Inc. Plans
Federal tax law requires UBS Financial Services Inc. to withhold income tax from salary/wages/IRA distributions, but you may elect NOT to have income tax withheld or instead may elect to have tax withheld at a rate or in a fixed amount as you choose. Your election will remain in effect until revoked by you. You may revoke your election at any time by making a new election. If you do not have enough income tax withheld from your distribution(s), you may be responsible for the payment of additional tax. You may your paycheck if the amount withheld and your estimated tax payments are not equal to your tax obligation.

Rev 10/02

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[redacted]

Your Financial Advisor
HONG JERRY K
208-522-3791/800-333-8784

Resource Management Account®

Statement Period: December 2006

HCZA588527-X1254
- 000005

Value on November 30	$	35,846.31
Value on December 28	$	36,092.14
Money fund and other sweep option balance in 12/29 value	$	556.14 *

UBS Bank deposit balances are FDIC-insured in accordance with FDIC rules, and are not protected by SIPC.
See the back of the first page for details and information regarding deposit balances

		Current period		Year-to-date
Net portfolio opening value	$	35,846.31	$	.00
Opening cash / money fund / sweep balance	$	434.31	$	.00
Credits to your account				
Net security earnings		121.83		178.41
Deposits		.00		562.83
Sales proceeds/redemptions/exchanges		.00		23,821.54
Total credits	$	121.83	$	24,562.78
Debits to your account				
Withdrawals		.00		-24,000.00
Margin/loan interest paid		.00		-6.64
Total debits	$	.00	$	-24,006.64
Credit/debit net change	$	121.83	$	556.14
Closing cash / money fund / sweep balance	$	556.14	$	556.14

December 06/ 7W 01176 04

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Resource Management Account®

Your Financial Advisor
HONG JERRY K
208-522-3791/800-333-6794

NCZA588529-X1256
Statement Period: December 2006
000006

	Current period	Year-to-date
Opening value of priced assets	$ 35,412.00	$ .00
Securities transferred in/received	.00	53,652.07
Securities sold/redeemed/exchanged	.00	-23,821.54
Change in value of investments	124.00	5,705.47
Net change in value of priced assets	$ 124.00	$ 35,536.00
Closing value of priced assets	$ 35,536.00	$ 35,536.00
Net portfolio closing value as of December 29	$ 36,092.14	$ 36,092.14
Realized capital gains/losses	$ .00	$ 518.13

Earnings below are classified as taxable or non-taxable based on the tax status for the specific security on which the earnings are received and does not attempt to reflect your account's tax status or reporting requirements. Use only official tax reporting documents (e.g. 1099) for tax reporting purposes. The classification of private investment distributions can only be determined by referring to the official year-end tax reporting document provided by the issuer.

	Current period	Year-to-date
Taxable		
Dividends	$ 120.00	$ 171.20
Interest	1.83	7.21
Total taxable security earnings	$ 121.83	$ 178.41
Total current year security earnings	$ 121.83	$ 178.41
Net security earnings	$ 121.83	$ 178.41

Year-to-date loan interest	$ 6.64

The following return objective and risk profile(s) describe overall goals for this account. For each account held, you must provide one return objective, one primary risk profile and, if applicable, a secondary risk profile. A full description of the alternatives is included on the back of the first page. If you have questions regarding these objectives, disagree with or wish to change them, please notify your Financial Advisor or Branch Manager at your branch office, in writing or by telephone.

Return objective: Current income & capital appreciation
Risk profile: Primary: Moderate
Secondary: None selected

[redacted]

Your Financial Advisor
HONG JERRY K
208-522-3791/800-333-6794

Resource Management Account®

Statement Period: December 2006

NCZA58525 X1354
600007

[redacted]

hen available, prices, income and current values may be approximate and thus gains/losses may not be accurately reflected. An asterisk (*) indicates the calculation of unrealized gains/losses based upon a UBS Financial Services
djustment to cost basis. The number "1" indicates cost basis information has been provided by a source other than UBS Financial Services. See the back of the first page for additional information. Gains/losses are not calculated
r zero coupon investments. "Pending adjustment" or "PJA" indicates cost basis has not yet been allocated as a spin-off or taxable exchange.

[redacted]

'BS Bank deposits

BS Bank deposits balances are FDIC-insured in accordance with FDIC rules, are not protected by SIPC. See the back of the first page of this statement for details and information regarding the deposit balances.

scription	Opening balance	Closing balance	Average rate	Interest period	Days in period
BS BANK USA DEP ACCT	434.31	556.14	4.79%	11/07 - 12/06	30

[redacted]

ommon stock

scription	Total shares	Price	Current value	Est. annual income / Current yield	Trade date	Purchase price	Cost basis	Unrealized gain/loss	Holding period
OEING COMPANY Symbol: BA Exchange: NYSE	400.000	88.840	35,536.00	560/ 1.58%			---This information was unavailable---		

[redacted]

	Current value	Est. annual income	Cost basis	Unrealized gain/loss
ash	556.14			
quities	35,536.00	560		
otal	36,092.14	560		

December 06/ 7W 01176 04

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[redacted]

BS Wealth Management Research is written by UBS Global Wealth Management & Business Banking, and UBS Investment Research is written by UBS Investment Bank. Both UBS research providers, which are separate and independent, mploy their own ratings systems, methodologies and may publish research views that are inconsistent with each other. For more information about each research source, please go to UBS Online Services or ask your Financial dvisor. Independent third-party research on certain companies covered by UBS Research is available to customers of UBS in the United States at no cost. Customers can access this research at www.ubs.com/independentresearch r can call 1-877-208-5700 to request that a copy of this research be sent to them. Research ratings are as of the end of the statement period. Where UBS dropped coverage on a company, ratings are as of the date coverage as dropped. Independent research will continue to be available for 18 months after UBS dropped coverage. Please consult the independent research website for the most current rating information. indicates that the rating for this stock may have been placed Under Review by the Wealth Management Research or Investment Research analyst, or may either be subject to a Rating/Return divergence or have an exception o the core rating bands by Investment Research. + indicates that the recommendation has been suspended due to either a restriction or pending review by the analyst

ecurity	*UBS WM Research Rating Industry Relative Rating*	*UBS Investment Research Rating*	*Independent Research Rating*	*Independent Research Rating*
IOEING COMPANY		REDUCE/ LOWER PREDICTABILITY	ARGUS FUNDAMENTAL BUY	

[redacted]

ash flow and security transactions

efer to the disclosure on the back of the first page regarding the Price/Value presented for restricted securities

ate	*Activity*	*Description*	*Quantity/ Face value*	*Price / Value*	*Cash amount*	*Cash & Total Money fund/sweep balance*
1/30		**Cash, Money fund and other sweep option balance**				$ 434.31
2/01	DIVIDEND	BOEING COMPANY PAID ON 400			120.00	554.31
2/07	INTEREST	UBS BANK USA DEPOSIT ACCOUNT AS OF 12/06/06			1.83	556.14
2/29		**Closing cash, Money fund and other sweep option balance**				$ 556.14
		Total Money fund and other sweep option balance				$ 556.14

Money funds and other sweep options

he UBS Bank USA Deposit Account is your primary sweep option.

ate	*Activity*		*Amount*	*Balance*
1/30	**Opening UBS Bank USA Deposit Account**		$	434.31
2/04	DEPOSIT	$	120.00 $	554.31
2/07	DEPOSIT	AS OF 12/06/06	1.83	556.14
2/29	**Closing UBS Bank USA Deposit Account**		$	556.14

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Your Financial Advisor or Contact
HONG JERRY K
208-522-3791/800-333-8794

UBS Financial Services Inc.

2006 Consolidated Form 1099

C31L251065.X13

2006 Realized Gain/Loss

This Realized Gain/Loss Summary is not a tax reporting document and has not been submitted to the IRS.
Estimated 2006 gain/loss for transactions with trade dates through 12/29/06 have been incorporated into this statement. The cost basis of the oldest lot (first-in, first-out or FIFO method of accounting) is assigned to a sale to calculate gain/loss unless you identified a specific lot (a "versus purchase" or "VSP" order) when you placed your sell order. An asterisk (*) indicates a UBS Financial Services adjustment to cost basis. The number "1" indicates cost basis information has been provided by a source other than UBS Financial Services. Gain/loss may not be adjusted for all capital changes. Cost basis for coupon tax-exempt municipal securities (including securities subject to AMT) has been adjusted for mandatory amortization of bond premium. "Earnings" in the Purchase Date Column indicates that gain/loss has been aggregated. Please rely on the confirmations and statements previously provided to you as your official activity record. Activity marked as "Unclassified" cannot be classified as short-term or long-term due to missing information or the product is one for which a gain/loss calculation is not provided.

Security Description	Method	Activity Type	Quantity/ Face value	Purchase Date	Sale Date	Purchase Amount	Sale Amount		Gain/Loss	Holding Period
EXXON MOBIL CORP	FIFO	Trade	160.000		09/15/06	$	$ 10,291.97	--This information was unavailable--		Gain/Loss not calculated
MICRON TECHNOLOGY INC	FIFO	Trade	600.000		09/15/06		10,666.16	--This information was unavailable--		Gain/Loss not calculated
QWEST COMMUNICATIONS INTL INC.	FIFO	Trade	53.000		09/15/06		411.58	--This information was unavailable--		Gain/Loss not calculated
SKYWEST INC	FIFO	Trade	100.000	09/18/01	09/15/06	1,933.70	2,451.83		518.13	Long Term
Total Realized Gain/Loss					$	1,933.70	$ 23,821.54	$	518.13	

2006 Realized Gain/Loss Summary

Gain/loss may not be adjusted for all capital changes, or due to missing information.
Activity marked as "Unclassified" cannot be classified as short-term or long-term due to missing information or the product is one for which a gain/loss calculation is not provided.

	Purchase	Sale	Gains	Losses	Net Gain/Loss
Long-term gains/losses:	$ 1,933.70	$ 2,451.83	$ 518.13	$	$ 518.13
Sub Total:	$ 1,933.70	$ 2,451.83	$ 518.13	$	$ 518.13
Activity with Gain/loss not calculated:	$	$ 21,369.71			
Total:	$ 1,933.70	$ 23,821.54	$ 518.13	$	$ 518.13

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UNITED STATES HOUSE OF REPRESENTATIVES

2007 FINANCIAL DISCLOSURE STATEMENT

FORM A
For use by Members, officers, and employees

LEGISLATIVE RESOURCE CENTER
2007 MAY 15 PM 4:46
FICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

HAND DELIVERED
(Office Use Only)

Albio Sires
(Full Name)

1024 Longworth Building
(Mailing Address)

Washington DC
Daytime Telephone: (202) 225-7919

Filer Status	☑ Member of the U.S. House of Representatives — State: New Jersey District: 13th	☐ Officer or Employee	Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☑	No ☐
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐	No ☑
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☑	No ☐
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☑	No ☐
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☐	No ☑
VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐	No ☐
VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☐	No ☐
VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☐	No ☑
IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐	No ☑

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐	No ☐
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐	No ☐

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, §104 and 18 U.S.C. §1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Albio Sires	4/15/07

6596

Name	Page
Albio Sires	2 of 9

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	STATE OF New Jersey	PENSION	36,000
	West New York Board of Ed	Spouse Salary	N/A

For payments to charity in lieu of honoraria, use Schedule II.

6597

SCHEDULE II — PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

	Source	Activity	Date	Amount
Examples:	Association of American Associations, Washington, DC	Speech	Feb. 2, 2006	$2,000
	XYZ Magazine	Article	Aug. 13, 2006	$500
	N/A			

This page may be copied if more space is required.

Name Albio Sires | Page 4 of 9

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the name of the business, the nature of the business, and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income Check all columns that apply. Check "None" if asset did not generate any income during the calendar year.

BLOCK D — Amount of Income For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was received.

BLOCK E — Transaction Indicate if the asset had purchases (P), sales (S), or exchanges (E) exceeding $1000 in reporting year.

SP, DC, JT	Asset	A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP	Mega Corp. Stock					X								X										X								P
DC	Simon & Schuster		Indefinite																	Royalties									X			
JT	1st Bank of Paducah, KY accounts						X									X									X							
	AM Title Agency IN	X																		BAD TURN IN INCOME								X				S
	Note: Receive money								X																							
	from A. Morell for																			LOAN PAYMENT								X				
	sale of interest in																															
	AM Title.																															

For additional assets and unearned income, use next page.

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Albio Sires

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset	BLOCK C Type of Income	BLOCK D Amount of Income	BLOCK E Transaction
		A None; B $1 – $1,000; C $1,001 – $15,000; D $15,001 – $50,000; E $50,001 – $100,000; F $100,001 – $250,000; G $250,001 – $500,000; H $500,001 – $1,000,000; I $1,000,001 – $5,000,000; J $5,000,001 – $25,000,000; K $25,000,001 – $50,000,000; L Over $50,000,000	NONE; DIVIDENDS; RENT; INTEREST; CAPITAL GAINS; EXCEPTED/BLIND TRUST; Other Type of Income (Specify)	I None; II $1 – $200; III $201 – $1,000; IV $1,001 – $2,500; V $2,501 – $5,000; VI $5,001 – $15,000; VII $15,001 – $50,000; VIII $50,001 – $100,000; IX $100,001 – $1,000,000; X $1,000,001 – $5,000,000; XI Over $5,000,000	P, S, E
	COMMERCE BANK UNION, N.J.	G	INTEREST	III	
	Ondeo Phillip Stock	D	DIVIDENDS	IV	
	General Electric	D	DIVIDENDS	IV	
	Pfizer	D	DIVIDENDS	IV	
	MUNICIPAL BOND	D	INTEREST	IV	
	VALIC Employment Plan				
	1. Lg Capital Gr	C			
	2. Stock Index Fund	C			
	3. Value (AM Century)	C			
	4. Vanguard Windsor	C			

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SCHEDULE IV — TRANSACTIONS

Name Albio Sires

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.			Type of Transaction			Date	Amount of Transaction										
			PURCHASE	SALE	EXCHANGE	(MO/DAY/YR)	B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP, DC, JT	Asset															
SP	*Example:*	Mega Corporation Common Stock	X			10–12–06		X								
	AN Title Agency/IN			X		2/17/06						X				

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Name Albio Sires | Page 7 of 9

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
	N/A											

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $305 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $122 or less need not be added towards the $305 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr Joseph H Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$325
N/A		

Use additional sheets if more space is required.

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Name Albio Sires | Page 8 of 9

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were paid directly by the sponsor or were paid by you and reimbursed by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	City of Departure—Destination—City of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Number of days not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	DC—Chicago—DC	N	N	N	None
	Roycroft Corporation	Aug. 6–11	DC—Los Angeles—Cleveland	Y	Y	Y	2 Days
	NONE						

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6603

Name Albio Sires | Page 9 of 9

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
NONO	

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
	N/A	

GPO 2007 33-427 (mac)

Use additional sheets if more space is required.

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER
2007 MAY 15 PM 2:11
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2006

FORM A — For use by Members, officers, and employees

Ike N. Skelton
(Full Name)

2206 Rayburn HOB Washington, DC 20515
(Mailing Address)

202-225-2876
Daytime Telephone:

Filer Status	☑ Member of the U.S. House of Representatives — State: MO, District: 04	☐ Officer Or Employee	Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION – ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☑ No ☐	
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐ No ☑	
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☑ No ☐	
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☐ No ☑	
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☑ No ☐	
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐ No ☑	
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☑ No ☐	
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☐ No ☑	
IX.	Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐ No ☑	

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION – ANSWER EACH OF THESE QUESTIONS

Trusts–	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions–	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

CERTIFICATION – THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and wilfully falsifies, or who knowingly and wilfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.		5-15-07

6605

SCHEDULE I - EARNED INCOME

Name Ike N. Skelton

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Missouri State Senate - Retirement	State Senate, 6 years	$8,045

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Ike N. Skelton

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
	Trust, Carolyn B. Skelton Estate, Commerce Bank, Kansas City, MO Assets: CB Tax Free Bond Funds, CB Institutional Liquid Assets Tax Exempt	$100,001 - $250,000	Dividend/Interest	$1,001 - $2,500	
	Wachovia Bank, McLean VA	$1,001 - $15,000	None/INTEREST	$1 - $200	

SCHEDULE V - LIABILITIES

Name Ike N. Skelton

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report "revolving charge accounts" (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
	Congressional Federal Credit Union, Washington, DC	Personal Loan	$10,001 - $15,000
	Lafayette County Bank, Lexington, MO	Open Note	$15,001 - $50,000

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name Ike N. Skelton

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure–Destination–Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's expense
Panetta Institute for Public Policy Group	11/11/06 - 11/12/06	Kansas City - San Francisco - Kansas City	Y	Y	N	None

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2007 JUN -8 PM 3:24 (5)

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... RESENTATIVES

(Office Use Only)

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2006

FORM A
For use by Members, officers, and employees

Louise M. Slaughter
(Full Name)

14 Manor Hill Dr. Fairport, NY 14450
(Mailing Address)

585-223-7519
Daytime Telephone:

Filer Status ☑ Member of the U.S. House of Representatives — State: NY District: 28 ☐ Officer Or Employee — Employing Office:

Report Type ☑ Annual (May 15) ☐ Amendment ☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? Yes ☑ No ☐
If yes, complete and attach Schedule I.

II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? Yes ☐ No ☑
If yes, complete and attach Schedule II.

III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? Yes ☑ No ☐
If yes, complete and attach Schedule III.

IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? Yes ☑ No ☐
If yes, complete and attach Schedule IV.

V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? Yes ☐ No ☑
If yes, complete and attach Schedule V.

VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? Yes ☐ No ☑
If yes, complete and attach Schedule VI.

VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? Yes ☐ No ☑
If yes, complete and attach Schedule VII.

VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? Yes ☐ No ☑
If yes, complete and attach Schedule VIII.

IX. Did you have any reportable agreement or arrangement with an outside entity? Yes ☐ No ☑
If yes, complete and attach Schedule IX.

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-- Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? Yes ☐ No ☑

Exemptions-- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Yes ☐ No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Louise M. Slaughter	6/8/07

0199

SCHEDULE I - EARNED INCOME

Name Louise M. Slaughter

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
New York State	Legislative Pension	$8,535

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Louise M. Slaughter

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** *at close of reporting year.* If you use a valuation method other than fair market value, *please specify the method used.* If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
	Fidelity Growth & Inc. Fund	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	N/A	N/A
	Fidelity & Independence Fund	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS	N/A	S
	Vanguard Growth & Inc. Fund	$50,001 - $100,000	DIVIDENDS/CAPITAL GAINS	N/A	N/A
SP	401K Eastman Kodak SIP	$250,001 - $500,000	DIVIDENDS/CAPITAL GAINS	N/A	S
SP	Fidelity Growth & Inc. Fund	$250,001 - $500,000	DIVIDENDS/CAPITAL GAINS	N/A	N/A
SP	Mutual Qualified Fund Cls-Z	$500,001 - $1,000,000	DIVIDENDS/CAPITAL GAINS	N/A	N/A

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Louise M. Slaughter

SP	Fidelity Growth Co. Fund	$100,001 - $250,000	DIVIDENDS/CAPITAL GAINS	N/A	N/A
SP	Fidelity Independence Fund	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS	N/A	S
SP	Fidelity Magellan Fund	$50,001 - $100,000	DIVIDENDS/CAPITAL GAINS	N/A	N/A
JT	Citigroup	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500	N/A
JT	Oppenheimer Growth Fund A	$15,001 - $50,000	None	NONE	N/A
JT	Fidelity Daily Income Trust	$100,001 - $250,000	DIVIDENDS	$5,001 - $15,000	N/A
	Clorox	$1,001 - $15,000	DIVIDENDS	$1 - $200	N/A
	Proctor & Gamble	$100,001 - $250,000	DIVIDENDS	$2,501 - $5,000	N/A
	J.M. Smucker	$1,001 - $15,000	DIVIDENDS	$1 - $200	N/A
JT	CD Eastman Savings	$15,001 - $50,000	INTEREST	$1,001 - $2,500	N/A
JT	CD Eastman Savings	$15,001 - $50,000	INTEREST	NONE	N/A

SCHEDULE IV - TRANSACTIONS

Name Louise M. Slaughter

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Fidelity Independence Fund	S	11-15-06	$1,001 - $15,000
SP	Fidelity	S	11-15-06	$15,001 - $50,000
SP	401K Eastman Kodak SIP	S	11-15-06	$15,001 - $50,000

UNITED STATES HOUSE OF REPRESENTATIVES

2007 FINANCIAL DISCLOSURE STATEMENT

FORM A
For use by Members, officers, and employees

HAND DELIVERED

2007 MAY 11 AM 9:25

HC

(Office Use Only)

Adam Smith
(Full Name)

2402 Rayburn House Office Building
(Mailing Address)

Washington, DC 20515

Daytime Telephone: (202) 225-8901

Filer Status	☒ Member of the U.S. House of Representatives	State: Washington District: 9th	☐ Officer or Employee — Employing Office:
Report Type	☒ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☐	No ☒	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐	No ☒
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐	No ☒	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☐	No ☒
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☒	No ☐	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☐	No ☒
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☒	No ☐	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐	No ☒
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☐	No ☒	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐	No ☒
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐	No ☒

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Adam Smith	5/7/07

6615

Name Adam Smith

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the name of the business, the nature of the business, and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

Check all columns that apply.
Check "None" if asset did not generate any income during the calendar year.

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was received.

BLOCK E — Transaction

Indicate if the asset had purchases (P), sales (S), or exchanges (E) exceeding $1000 in reporting year.

	Block A: Asset and/or Income Source	A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP, DC, JT	*Examples:* SP Mega Corp. Stock					X								X										X								P
	Examples: Simon & Schuster		Indefinite																	Royalties									X			
	Examples: 1st Bank of Paducah, KY accounts						X									X									X							
JT	Wash Mutual Checking			X													X					X										
JT	Wash. Mutual Savings			X													X					X										
JT	Wash Mutual CD				X												X						X									
S	Wash. St. Retirement			X										X							X											
Sp	Wash. St. Retirement		X											X							X											
Sp	IRA - IBM Stock			X										X								X										

For additional assets and unearned income, use next page.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Adam Smith

Page 3 of ___

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
SP	IRA - Calamos Growth B			X																		X										
JT	Legg Mason Partners B*				X										X			X						X								P
JT	Calamos Growth B				X										X			X						X								P
JT	Federated Kaufman B				X													X						X								P
JT	Van Kampen Equity & Income B				X										X			X						X								
JT	Vanguard Index Fund				X										X								X									
DC	Wash St. GET Account					X								X							X											
DC	Wash St. GET Account					X								X							X											

* formerly call Smith Barney Fundamental Value B

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SCHEDULE IV — TRANSACTIONS

Name Adam Smith | Page 4 of ___

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10-12-06		X								
JT	F5 Network Stock		X		1-5-06	X									
JT	Calamos Growth Fund B	X			1-12-06	X									
JT	Federated Kaufman Fund B	X			1-12-06	X									
JT	Legg Mason Partners Fund B	X			12-8-06	X									
JT	Federated Kaufman Fund B	X			11-17-06	X									

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UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2006

FORM A Page 1 of 6
For use by Members, officers, and employees

Adrian Michael Smith
(Full Name)

1150 N Street Gering, NE 69341
(Mailing Address)

202-225-6435
Daytime Telephone:

Filer Status	☑ Member of the U.S. House of Representative — State: NE, District: 03	☐ Officer Or Employee	Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☑ No ☐	VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐ No ☑
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐ No ☑	VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☐ No ☑
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☑ No ☐	VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☑ No ☐
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☐ No ☑	IX.	Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐ No ☑
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☑ No ☐		**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Adrian Smith	5/15/07

6619

SCHEDULE I - EARNED INCOME

Name Adrian Michael Smith

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Agent for Buyers	Real Estate sales (prior to taking office)	$1,249
State of Nebraska, Lincoln, Nebraska	Legislative salery	$12,000

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Adrian Michael Smith

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
Condo/part-time Residence Lincoln, NE	$15,001 - $50,000	RENT	$1,001 - $2,500	
My Other Garage (Mini-Storage Business) Gering, NE	$100,001 - $250,000	RENT	$15,001 - $50,000	
Nebraska Teacher Retirement Lincoln, Ne	$1,001 - $15,000	INTEREST	$1 - $200	
State Farm Roth IRA -State Farm Mutual Funds Bond Funds	$1 - $1,000	DIVIDENDS	$1 - $200	
State Farm Roth IRA -State Farm Mutual Funds International Index	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Adrian Michael Smith

	State Farm Roth IRA -State Farm Mutual Funds Large Cap Index	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
	State Farm Roth IRA -State Farm Mutual Funds Small Cap Index	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
	State Farm TSA Bloomington, IL	$1,001 - $15,000	INTEREST	$1 - $200	
	Vacant Lot Ogallala, NE	$15,001 - $50,000	None	NONE	

SCHEDULE V - LIABILITIES

Name Adrian Michael Smith

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report "revolving charge accounts" (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
	Valley Bank and Trust, Gering, NE	Mini-storage/ real estate investment	$50,001 - $100,000

SCHEDULE VIII - POSITIONS

Name Adrian Michael Smith

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Board Member	Panhandle Area Health Education Council
Real Estate Agent	Buyers Reality
State Senator	Nebraska Unicameral

LEGISLATIVE RESOURCE CENTER

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UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2006

FORM A
For use by Members, officers, and employees

Christopher H. Smith
(Full Name)

107 Nottinghill Lane Hamilton, NJ. 08619
(Mailing Address)

202-225-3765
Daytime Telephone:

Filer Status ☑ Member of the U.S. House of Representatives — State: NJ — District: 4 ☐ Officer Or Employee — Employing Office:

Report Type ☑ Annual (May 15) ☐ Amendment ☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? Yes ☑ No ☐
If yes, complete and attach Schedule I.

II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? Yes ☐ No ☑
If yes, complete and attach Schedule II.

III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? Yes ☑ No ☐
If yes, complete and attach Schedule III.

IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? Yes ☑ No ☐
If yes, complete and attach Schedule IV.

V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? Yes ☐ No ☑
If yes, complete and attach Schedule V.

VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? Yes ☐ No ☑
If yes, complete and attach Schedule VI.

VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? Yes ☐ No ☑
If yes, complete and attach Schedule VII.

VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? Yes ☐ No ☑
If yes, complete and attach Schedule VIII.

IX. Did you have any reportable agreement or arrangement with an outside entity? Yes ☐ No ☑
If yes, complete and attach Schedule IX.

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

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Trusts-- Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? Yes ☐ No ☑

Exemptions-- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Yes ☐ No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Christopher H. Smith	May 15, 2007

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SCHEDULE I - EARNED INCOME

Name Christopher H. Smith

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Life Issues Institute, Inc.	Spouse Salary	N/A

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Christopher H. Smith

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
JT	Aquinas LKCM Money Market Fund 615 E. Michigan St. Milwaukee, WI 53202 U.S. Bancorps Services	$126,795	Dividends	$5,772	Other
DC	Aquinas LKCM Money Market Fund U.S. Bancorp Services	$22,829	Dividends	$1,025	Other
	Vanguard Money Market Fund (IRA) Inherited P.O. Box 2600 Valley Forge, PA 19482	$46,948	Dividends	$2,276	Other
	Vanguard Money Market Fund (IRA) Inherited		Distribution	$2,000	S

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SCHEDULE IV - TRANSACTIONS

Name Christopher H. Smith

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
JT	Aquinas LKCM Money Market Fund U.S. Bancorp Services	Other	Income Dividend Each Month of year (12x)	$5,772
DC	Aquinas LKCM Money Market Fund U.S. Bancorps Services	Other	Income Dividend Each Month of Year (12x)	$1,025
	Vanguard Money Market (IRA) Inherited	Other	Income Divident Each Month of year (12x)	$2,276
	Vanguard Money Market Inherited (IRA) DISTRIBUTION	Other	12-18-06	$2,000

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LEGISLATIVE RESOURCE CENTER
2007 MAY 15 PM 5:20
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
HAND DELIVERED
(Office Use Only)

6629

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2006

FORM A
For use by Members, officers, and employees

Lamar Smith
(Full Name)

2409 Raybum HOB Washington, DC 20515
(Mailing Address)

202-225-4236
Daytime Telephone:

Filer Status ☑ Member of the U.S. House of Representatives — State: TX District: 21 — ☐ Officer Or Employee — Employing Office:

Report Type ☑ Annual (May 15) ☐ Amendment ☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? Yes ☑ No ☐
If yes, complete and attach Schedule I.

II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? Yes ☐ No ☑
If yes, complete and attach Schedule II.

III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? Yes ☑ No ☐
If yes, complete and attach Schedule III.

IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? Yes ☑ No ☐
If yes, complete and attach Schedule IV.

V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? Yes ☐ No ☑
If yes, complete and attach Schedule V.

VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? Yes ☐ No ☑
If yes, complete and attach Schedule VI.

VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? Yes ☐ No ☑
If yes, complete and attach Schedule VII.

VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? Yes ☐ No ☑
If yes, complete and attach Schedule VIII.

IX. Did you have any reportable agreement or arrangement with an outside entity? Yes ☐ No ☑
If yes, complete and attach Schedule IX.

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-- Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? Yes ☐ No ☑

Exemptions-- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Yes ☐ No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and wilfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001)

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief	Lamar Smith	5-15-07

SCHEDULE I - EARNED INCOME

Name Lamar Smith

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Christian Science Practitioner and lecturer	earnings	N/A

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Lamar Smith

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
19 Warren Street Barnstable, MA	$250,001 - $500,000	RENT	$5,001 - $15,000	Other
425 Soledad San Antonio, TX	$100,001 - $250,000	CAPITAL GAINS	$100,001 - $1,000,000	
Banc of America	$1,001 - $15,000	INTEREST	$201 - $1,000	Other
Banc of America	$250,001 - $500,000	DIVIDENDS	$15,001 - $50,000	Other
Frost Bank	$15,001 - $50,000	INTEREST	$201 - $1,000	Other
Lago Vista Joint Venture	$15,001 - $50,000	INTEREST	$5,001 - $15,000	Other

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Lamar Smith

Life Tenant, undivided (25%) surface and mineralInterest of Lamar Seeligson Ranch, Premont TX	$1,000,001-$5,000.000	RENT/Royalties/surface lease	$50,001 - $100,000	Other
Wachovia	$15,001 - $50,000	INTEREST	$1,001 - $2,500	Other
Wright Patman Federal Credit Union	$1,001 - $15,000	INTEREST	$1 - $200	Other

SCHEDULE IV - TRANSACTIONS

Name Lamar Smith

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Bank of America (see attached document)	S	dividens	$100,001 - $250,000
	19 Warren Street Barnstable, MA	Other	rent	$1,001 - $15,000
	425 Soledad San Antonio, TX	Other	rent	$100,001 - $250,000
	Banc of America	Other	interest	$1,001 - $15,000
	Life Tenant, undivided (25%) surface and mineralInterest of Lamar Seeligson Ranch, Premont TX	Other	rent/royalties/surface lease	$50,001 - $100,000
	Wachovia	Other	interest	$1,001 - $15,000
	Lago Vista Joint Venture	Other	real estate	$1,001 - $15,000

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Transactions
January 1, 2006 - December 31, 2006

LAMAR SMITH

Federated Strategic Value
Mid-Cap Value

Quantity	Description	Trade Date	Unit Price	Total Cost	Total Proceeds	Gain/ Loss
PURCHASES						
380	Kingfisher Plc Spon ADR Par	01/06/06	8.36	3,177.37		
289	Kingfisher Plc Spon ADR Par	02/14/06	8.02	2,316.97		
63	National City Corp	02/15/06	35.12	2,212.85		
55	Keycorp New	02/15/06	36.68	2,017.23		
49	Nationwide Health	03/10/06	22.70	1,112.29		
42	Hospitality Pptys Tr Com Sh Ben Int	03/10/06	46.21	1,940.86		
38	Mack Cali Rlty Corp Com	03/15/06	47.11	1,790.33		
86	Brandywine Rlty Tr	03/20/06	31.26	2,688.57		
130	Hrpt Pptys Tr Com Sh Ben Int	03/20/06	11.55	1,501.02		
53	Comerica Inc	03/21/06	57.85	3,065.90		
49	Kimberly Clark Corp	04/24/06	58.75	2,878.93		
60	Health Care Reit Inc Com	05/05/06	35.16	2,109.60		
174.60	Unilever Plc ADR Spon New	05/05/06	23.45	4,094.96		
34	Kimberly Clark Corp	05/12/06	61.49	2,090.69		
88.20	Unilever Plc ADR Spon New	05/12/06	23.40	2,064.03		
83	Gallagher Arthur J & Co Com	07/17/06	24.80	2,058.41		
86	Gallagher Arthur J & Co Com	07/25/06	25.15	2,162.56		
80	Weyerhaeuser Co	07/26/06	58.07	4,645.56		
34	Gallagher Arthur J & Co Com	07/26/06	27.26	926.72		
87	United Utils Plc ADR Sponsored	08/10/06	25.27	2,198.53		
193	Lexington Corporate Pptys Trcom	08/17/06	21.12	4,076.21		

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Transactions
January 1, 2006 - December 31, 2006

LAMAR SMITH

Federated Strategic Value
Mid-Cap Value

Quantity	Description	Trade Date	Unit Price	Total Cost	Total Proceeds	Gain/ Loss
386	Deutsche Telekom Ag ADR Sponsored	09/05/06	14.54	5,613.83		
105	Lloyds Tsb Group ADR Spon	09/05/06	40.46	4,248.60		
94	Enel Societa Per Azioni ADR	09/14/06	45.33	4,261.14		
59	Telecom Corp New Zealand Ltd ADR Sponsored	09/25/06	22.76	1,343.01		
54	Washington Mut Inc	09/25/06	43.00	2,321.97		
78	Lloyds Tsb Group ADR Spon	09/25/06	40.44	3,154.69		
20	Washington Mut Inc	09/26/06	43.20	864.09		
425	Telstra Ltd ADR Spn Final	10/24/06	13.85	5,884.55		
59	Washington Mut Inc	10/27/06	42.35	2,498.65		
79	Telecom Italia S P A New Spons ADR Ord	11/02/06	30.31	2,394.13		
146	Telecom Italia S P A New Spons ADR Ord	12/01/06	30.46	4,447.70		
75	Wachovia Group	12/06/06	55.28	4,145.71		
209	Pfizer Inc	12/11/06	25.25	5,276.69		
62	Allied Cap Corp New	12/11/06	32.41	2,009.53		
215	American Financial Realty	12/11/06	11.68	2,512.17		
49	Allied Cap Corp New	12/12/06	32.45	1,590.17		

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Transactions
January 1, 2006 - December 31, 2006

LAMAR SMITH

Federated Strategic Value
Mid-Cap Value

Quantity	Description	Trade Date	Unit Price	Total Cost	Total Proceeds	Gain/ Loss
118	Verizon Communications	12/15/06	36.47	4,303.44		
136	U S Bancorp	12/15/06	35.80	4,869.10		
	TOTAL PURCHASES			**$112,868.76**		
SALES						
69	Arden Rlty Inc	01/04/06	44.95	2,475.72	3,101.56	625.84
0.86	Boots Group Plc Unspon ADR	02/13/06		14.26		(14.26)
488	Baa Plc ADR Sponsored	02/14/06	13.36	5,552.44	6,518.59	966.15
69	Carramerica Rlty Corp	03/09/06	44.38	2,492.71	3,061.88	569.17
58	Pnc Financial Corp	03/20/06	69.48	3,260.63	4,029.95	769.32
33	Marathon Oil Corp Com	04/24/06	83.54	1,819.08	2,756.92	937.84
35	Akzo Nobel N V	05/03/06	57.18	1,405.60	2,001.35	595.75
70	Akzo Nobel N V	05/05/06	58.14	2,811.19	4,069.91	1,258.72
98	Heinz H J Co	05/12/06	41.52	3,571.64	4,069.17	497.53
0.75	Scottish Pwr Plc Sp ADR Frl New	05/26/06		33.47		(33.47)
0.80	Unilever Plc ADR Spon New	05/26/06		18.76		(18.76)
20.30	Bell Aliant Regional Commun Unit	07/19/06	29.35	688.73	595.88	(92.85)
0.20	Bce Inc New	07/21/06		4.68		(4.68)
71	Heinz H J Co	07/25/06	42.00	2,483.37	2,981.72	498.35
201	Upm-Kymmene Corp ADR Sponsored	07/26/06	21.72	3,873.27	4,365.04	491.77
256	Alliance Boots Plc ADR	08/09/06	14.61	4,235.49	3,739.07	(496.42)
61	Oge Energy Corp	08/17/06	35.37	1,798.15	2,157.41	359.26

Transactions
January 1, 2006 - December 31, 2006

LAMAR SMITH

Federated Strategic Value
Mid-Cap Value

Quantity	Description	Trade Date	Unit Price	Total Cost	Total Proceeds	Gain/ Loss
63	Pnc Financial Corp	08/31/06	70.60	3,440.63	4,447.97	1,007.34
100	Loews Corp Carolina Group	08/31/06	57.33	3,369.56	5,733.27	2,363.71
117	Oge Energy Corp	09/12/06	35.44	3,448.92	4,147.00	698.08
261	Amsouth Bancorporation	09/25/06	28.54	6,795.24	7,448.95	653.71
88	Mack Cali Rlty Corp Com	10/18/06	52.27	3,971.10	4,599.45	628.35
24	Mack Cali Rlty Corp Com	10/19/06	52.22	1,130.73	1,253.19	122.46
66	Duke Rlty Invts Inc	10/27/06	39.64	2,103.25	2,616.51	513.26
59	Duke Rlty Invts Inc	10/31/06	39.54	2,031.77	2,332.85	301.08
38	Loews Corp Carolina Group	11/27/06	61.97	1,280.44	2,354.85	1,074.41
36	Loews Corp Carolina Group	11/28/06	62.40	1,213.04	2,246.35	1,033.31
131	Scottish Pwr Plc Sp ADR Fnl New	12/05/06	59.59	5,876.18	7,806.08	1,929.90
83	Kimberly Clark Corp	12/11/06	66.31	4,969.62	5,503.79	534.17
69	Health Care Ppty Invs Inc Com	12/11/06	36.15	1,858.81	2,494.09	635.28
114	Health Care Ppty Invs Inc Com	12/15/06	36.00	3,071.07	4,104.17	1,033.10
93	Liberty Ppty Tr Sh Ben Int	12/15/06	49.49	4,131.06	4,602.96	471.90
	TOTAL SALES			**$85,230.61**	**$105,139.93**	**$19,909.32**
CAPITAL ADJUSTMENTS						
131.75	Scottish Pwr Plc Sp ADR Fnl New	05/18/06		6,925.52		
(166)	Scottish Pwr Plc ADR Spons Final	05/18/06		n/a	(6,925.52)	n/a

Transactions
January 1, 2006 - December 31, 2006

LAMAR SMITH

Federated Strategic Value
Mid-Cap Value

Quantity	Description	Trade Date	Unit Price	Total Cost	Total Proceeds	Gain/ Loss
20.30	Bell Aliant Regional Commun Unit	07/11/06		821.84		
256.20	Bce Inc New	07/11/06		7,158.16		
(280)	Bce Inc	07/11/06		n/a	(7,980.00)	n/a
256	Alliance Boots Plc ADR	08/07/06		3,805.80		
(256)	Boots Group Plc Unspon ADR	08/07/06		n/a	(3,805.80)	n/a
CASH CONTRIBUTIONS AND WITHDRAWALS						
	SELECTS QTRLY FEE	01/20/06		n/a	(909.91)	n/a
	SELECTS QTRLY FEE	04/26/06		n/a	(974.93)	n/a
	SELECTS QTRLY FEE	07/21/06		n/a	(989.08)	n/a
	SELECTS QTRLY FEE	10/18/06		n/a	(1,076.03)	n/a
	TOTAL CASH CONTRIBUTIONS AND WITHDRAWALS			**$n/a**	**($3,949.95)**	**$n/a**
DIVIDENDS AND INTEREST						
	Amsouth Bancorporation	01/02/06		n/a	67.86	n/a
	Reynolds American Inc	01/03/06		n/a	100.00	n/a
	Heinz H J Co	01/10/06		n/a	50.70	n/a
	Peoples Energy Corp Com	01/13/06		n/a	56.14	n/a
	Mack Cali Rlty Corp Com	01/13/06		n/a	46.62	n/a
	Packaging Corp Amer Com	01/13/06		n/a	63.50	n/a
	Bce Inc	01/15/06		n/a	91.25	n/a
	Liberty Ppty Tr Sh Ben Int	01/15/06		n/a	57.20	n/a
	Arden Rlty Inc	01/18/06		n/a	34.85	n/a

Transactions
January 1, 2006 - December 31, 2006

LAMAR SMITH

Federated Strategic Value
Mid-Cap Value

Quantity	Description	Trade Date	Unit Price	Total Cost	Total Proceeds	Gain/ Loss
	First Indl Rlty Tr Inc	01/23/06		n/a	65.10	n/a
	Pnc Financial Corp	01/24/06		n/a	60.50	n/a
	Columbia Cash Reserves Daily	01/30/06		n/a	12.88	n/a
	Baa Plc ADR Sponsored	01/30/06		n/a	62.36	n/a
	Oge Energy Corp	01/30/06		n/a	59.19	n/a
	Boots Group Plc Unspon ADR	01/30/06		n/a	61.13	n/a
	National City Corp	02/01/06		n/a	52.54	n/a
	Nicor Inc	02/01/06		n/a	34.88	n/a
	Progress Energy	02/01/06		n/a	59.29	n/a
	Colonial Pptys Tr Sh Ben Int	02/13/06		n/a	31.96	n/a
	Hospitality Pptys Tr Com Sh Ben Int	02/16/06		n/a	34.31	n/a
	Nisource Inc Com	02/20/06		n/a	34.96	n/a
	Health Care Reit Inc Com	02/21/06		n/a	36.58	n/a
	United Utils Plc ADR Sponsored	02/21/06		n/a	99.39	n/a
	Senior Hsg Pptys Tr Sh Ben Int	02/21/06		n/a	48.64	n/a
	Boots Group Plc Unspon ADR	02/21/06		n/a	14.05	n/a
	Health Care Ppty Invs Inc Com	02/23/06		n/a	77.78	n/a
	Hrpt Pptys Tr Com Sh Ben Int	02/23/06		n/a	68.25	n/a
	Columbia Cash Reserves Daily	02/27/06		n/a	11.94	n/a

Transactions
January 1, 2006 - December 31, 2006

LAMAR SMITH

Federated Strategic Value
Mid-Cap Value

Quantity	Description	Trade Date	Unit Price	Total Cost	Total Proceeds	Gain/ Loss
	Duke Rlty Invts Inc	02/28/06		n/a	58.75	n/a
	Carramerica Rlty Corp	02/28/06		n/a	34.50	n/a
	Pinnacle West Cap Corp	03/01/06		n/a	65.50	n/a
	Healthcare Rlty Tr	03/02/06		n/a	60.72	n/a
	Nationwide Health	03/03/06		n/a	32.68	n/a
	Southern Co Com	03/06/06		n/a	38.00	n/a
	Boots Group Plc Unspon ADR	03/06/06		n/a	1,330.68	n/a
	Marathon Oil Corp Com	03/10/06		n/a	10.89	n/a
	Loews Corp Carolina Group	03/13/06		n/a	79.17	n/a
	Keycorp New	03/15/06		n/a	68.31	n/a
	Consolidated Edison Inc	03/15/06		n/a	35.08	n/a
	Telecom Corp New Zealand Ltd ADR Sponsored	03/17/06		n/a	496.08	n/a
	Scottish Pwr Plc ADR Spons Final	03/28/06		n/a	60.44	n/a
	Columbia Cash Reserves Daily	03/30/06		n/a	15.88	n/a
	Ust Inc	03/31/06		n/a	57.57	n/a
	Ameren Corp	03/31/06		n/a	66.68	n/a
	Pepco Hldgs Inc Com	03/31/06		n/a	60.32	n/a
	First Horizon National Corporation	04/01/06		n/a	70.20	n/a
	Amsouth Bancorporation	04/03/06		n/a	67.86	n/a
	Reynolds American Inc	04/03/06		n/a	100.00	n/a
	Heinz H J Co	04/10/06		n/a	50.70	n/a
	Upm-Kymmene Corp ADR Sponsored	04/11/06		n/a	208.89	n/a

Transactions
January 1, 2006 - December 31, 2006

LAMAR SMITH

Federated Strategic Value
Mid-Cap Value

Quantity	Description	Trade Date	Unit Price	Total Cost	Total Proceeds	Gain/ Loss
	Peoples Energy Corp Com	04/13/06		n/a	56.14	n/a
	Packaging Corp Amer Com	04/14/06		n/a	63.50	n/a
	Bce Inc	04/15/06		n/a	92.48	n/a
	Liberty Ppty Tr Sh Ben Int	04/15/06		n/a	57.20	n/a
	First Indl Rlty Tr Inc	04/17/06		n/a	65.10	n/a
	Brandywine Rlty Tr	04/17/06		n/a	36.12	n/a
	Mack Cali Rlty Corp Com	04/17/06		n/a	70.56	n/a
	Pnc Financial Corp	04/24/06		n/a	34.65	n/a
	Columbia Cash Reserves Daily	04/27/06		n/a	6.15	n/a
	Oge Energy Corp	04/28/06		n/a	59.19	n/a
	National City Corp	05/01/06		n/a	75.85	n/a
	Nicor Inc	05/01/06		n/a	34.88	n/a
	Progress Energy	05/01/06		n/a	59.29	n/a
	Hospitality Pptys Tr Com Sh Ben Int	05/11/06		n/a	64.97	n/a
	Akzo Nobel N V	05/12/06		n/a	150.37	n/a
	Colonial Pptys Tr Sh Ben Int	05/15/06		n/a	31.96	n/a
	Senior Hsg Pptys Tr Sh Ben Int	05/18/06		n/a	48.64	n/a
	Health Care Ppty Invs Inc Com	05/19/06		n/a	77.78	n/a
	Health Care Reit Inc Com	05/19/06		n/a	37.76	n/a
	Nisource Inc Com	05/19/06		n/a	34.96	n/a
	Hrpt Pptys Tr Com Sh Ben Int	05/24/06		n/a	95.55	n/a

Transactions
January 1, 2006 - December 31, 2006

LAMAR SMITH

Federated Strategic Value
Mid-Cap Value

Quantity	Description	Trade Date	Unit Price	Total Cost	Total Proceeds	Gain/ Loss
	Columbia Cash Reserves Daily	05/30/06		n/a	6.60	n/a
	Duke Rlty Invts Inc	05/31/06		n/a	58.75	n/a
	Scottish Pwr Plc Sp ADR Fnl New	06/01/06		n/a	31.57	n/a
	Pinnacle West Cap Corp	06/01/06		n/a	65.50	n/a
	Healthcare Rlty Tr	06/01/06		n/a	60.72	n/a
	Nationwide Health	06/02/06		n/a	51.30	n/a
	Southern Co Com	06/06/06		n/a	39.53	n/a
	Unilever Plc ADR Spon New	06/06/06		n/a	18.02	n/a
	Scottish Pwr Plc ADR Spons Final	06/12/06		n/a	4,482.24	n/a
	Unilever Plc ADR Spon New	06/12/06		n/a	146.73	n/a
	Loews Corp Carolina Group	06/12/06		n/a	79.17	n/a
	Kingfisher Plc Spon ADR Par	06/12/06		n/a	169.77	n/a
	Keycorp New	06/15/06		n/a	68.31	n/a
	Consolidated Edison Inc	06/15/06		n/a	35.08	n/a
	Telecom Corp New Zealand Ltd ADR Sponsored	06/16/06		n/a	128.09	n/a
	Scottish Pwr Plc Sp ADR Fnl New	06/28/06		n/a	92.75	n/a
	Columbia Cash Reserves Daily	06/29/06		n/a	11.34	n/a
	Ust Inc	06/30/06		n/a	57.57	n/a
	Ameren Corp	06/30/06		n/a	66.68	n/a
	Pepco Hldgs Inc Com	06/30/06		n/a	60.32	n/a
	Comerica Inc	07/01/06		n/a	31.27	n/a

Transactions
January 1, 2006 - December 31, 2006

LAMAR SMITH

Federated Strategic Value
Mid-Cap Value

Quantity	Description	Trade Date	Unit Price	Total Cost	Total Proceeds	Gain/ Loss
	First Horizon National Corporation	07/01/06		n/a	70.20	n/a
	Amsouth Bancorporation	07/03/06		n/a	67.86	n/a
	Reynolds American Inc	07/03/06		n/a	100.00	n/a
	Kimberly Clark Corp	07/05/06		n/a	40.67	n/a
	Heinz H J Co	07/10/06		n/a	24.85	n/a
	Peoples Energy Corp Com	07/14/06		n/a	56.14	n/a
	Packaging Corp Amer Com	07/14/06		n/a	63.50	n/a
	Bce Inc	07/15/06		n/a	280.86	n/a
	Liberty Ppty Tr Sh Ben Int	07/15/06		n/a	57.20	n/a
	First Indl Rlty Tr Inc	07/17/06		n/a	65.10	n/a
	Brandywine Rlty Tr	07/17/06		n/a	37.84	n/a
	Mack Cali Rlty Corp Com	07/17/06		n/a	70.56	n/a
	Pnc Financial Corp	07/24/06		n/a	34.65	n/a
	Columbia Cash Reserves Daily	07/28/06		n/a	15.60	n/a
	Oge Energy Corp	07/28/06		n/a	59.19	n/a
	National City Corp	08/01/06		n/a	79.95	n/a
	Nicor Inc	08/01/06		n/a	34.88	n/a
	Progress Energy	08/01/06		n/a	59.29	n/a
	Colonial Pptys Tr Sh Ben Int	08/14/06		n/a	31.96	n/a
	Boots Group Plc Unspon ADR	08/14/06		n/a	101.62	n/a
	Akzo Nobel N V	08/17/06		n/a	8.38	n/a
	Hospitality Pptys Tr Com Sh Ben Int	08/17/06		n/a	65.86	n/a

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LAMAR SMITH

Federated Strategic Value
Mid-Cap Value

Quantity	Description	Trade Date	Unit Price	Total Cost	Total Proceeds	Gain/ Loss
	Health Care Ppty Invs Inc Com	08/18/06		n/a	77.78	n/a
	Akzo Nobel N V	08/18/06		n/a	11.67	n/a
	Nisource Inc Com	08/18/06		n/a	34.96	n/a
	Senior Hsg Pptys Tr Sh Ben Int	08/18/06		n/a	50.16	n/a
	Health Care Reit Inc Com	08/21/06		n/a	76.16	n/a
	Hrpt Pptys Tr Com Sh Ben Int	08/25/06		n/a	95.55	n/a
	Weyerhaeuser Co	08/28/06		n/a	48.00	n/a
	Columbia Cash Reserves Daily	08/30/06		n/a	13.63	n/a
	Duke Rlty Invts Inc	08/31/06		n/a	59.38	n/a
	Pinnacle West Cap Corp	09/01/06		n/a	65.50	n/a
	Nationwide Health	09/01/06		n/a	52.65	n/a
	Healthcare Rlty Tr	09/01/06		n/a	60.72	n/a
	United Utils Plc ADR Sponsored	09/01/06		n/a	223.06	n/a
	Southern Co Com	09/06/06		n/a	39.53	n/a
	Loews Corp Carolina Group	09/11/06		n/a	79.17	n/a
	Telecom Corp New Zealand Ltd ADR Sponsored	09/15/06		n/a	164.03	n/a
	Keycorp New	09/15/06		n/a	68.31	n/a
	Consolidated Edison Inc	09/15/06		n/a	35.08	n/a
	Bce Inc New	09/21/06		n/a	5.43	n/a
	Columbia Cash Reserves Daily	09/28/06		n/a	19.50	n/a

Transactions
January 1, 2006 - December 31, 2006

LAMAR SMITH

Federated Strategic Value
Mid-Cap Value

Quantity	Description	Trade Date	Unit Price	Total Cost	Total Proceeds	Gain/ Loss
	Ust Inc	09/29/06		n/a	57.57	n/a
	Ameren Corp	09/29/06		n/a	66.68	n/a
	Pepco Hldgs Inc Com	09/29/06		n/a	60.32	n/a
	Comerica Inc	10/01/06		n/a	31.27	n/a
	First Horizon National Corporation	10/01/06		n/a	70.20	n/a
	Amsouth Bancorporation	10/02/06		n/a	67.86	n/a
	Reynolds American Inc	10/02/06		n/a	120.00	n/a
	Kimberly Clark Corp	10/03/06		n/a	40.67	n/a
	Peoples Energy Corp Com	10/13/06		n/a	56.14	n/a
	Gallagher Arthur J & Co Com	10/13/06		n/a	60.90	n/a
	Packaging Corp Amer Com	10/13/06		n/a	63.50	n/a
	Boots Group Plc Unspon ADR	10/13/06		n/a	48.13	n/a
	Bce Inc New	10/15/06		n/a	85.30	n/a
	Liberty Ppty Tr Sh Ben Int	10/15/06		n/a	57.66	n/a
	Brandywine Rlty Tr	10/16/06		n/a	37.84	n/a
	Mack Cali Rlty Corp Com	10/16/06		n/a	71.68	n/a
	Lexington Corporate Pptys Trcom	10/16/06		n/a	70.45	n/a
	First Indl Rlty Tr Inc	10/17/06		n/a	65.10	n/a
	Columbia Cash Reserves Daily	10/30/06		n/a	20.49	n/a
	National City Corp	11/01/06		n/a	79.95	n/a
	Nicor Inc	11/01/06		n/a	34.88	n/a
	Progress Energy	11/01/06		n/a	59.29	n/a
	Colonial Pptys Tr Sh Ben Int	11/13/06		n/a	31.96	n/a

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Transactions
January 1, 2006 - December 31, 2006

LAMAR SMITH

Federated Strategic Value
Mid-Cap Value

Quantity	Description	Trade Date	Unit Price	Total Cost	Total Proceeds	Gain/ Loss
	Washington Mut Inc	11/15/06		n/a	39.22	n/a
	Hospitality Pptys Tr Com Sh Ben Int	11/16/06		n/a	65.86	n/a
	Senior Hsg Pptys Tr Sh Ben Int	11/17/06		n/a	50.16	n/a
	Health Care Reit Inc Com	11/20/06		n/a	76.16	n/a
	Nisource Inc Com	11/20/06		n/a	34.96	n/a
	Kingfisher Plc Spon ADR Par	11/20/06		n/a	98.23	n/a
	Health Care Ppty Invs Inc Com	11/21/06		n/a	77.78	n/a
	Hrpt Pptys Tr Com Sh Ben Int	11/22/06		n/a	95.55	n/a
	Columbia Cash Reserves Daily	11/29/06		n/a	16.28	n/a
	Pinnacle West Cap Corp	12/01/06		n/a	68.78	n/a
	Nationwide Health	12/01/06		n/a	52.65	n/a
	Healthcare Rlty Tr	12/01/06		n/a	60.72	n/a
	Enel Societa Per Azioni ADR	12/01/06		n/a	155.94	n/a
	Weyerhaeuser Co	12/04/06		n/a	48.00	n/a
	Unilever Plc ADR Spon New	12/04/06		n/a	166.50	n/a
	Southern Co Com	12/06/06		n/a	39.53	n/a
	Telecom Corp New Zealand Ltd ADR Sponsored	12/15/06		n/a	134.08	n/a
	Keycorp New	12/15/06		n/a	68.31	n/a
	Consolidated Edison Inc	12/15/06		n/a	35.08	n/a
	Allied Cap Corp New	12/27/06		n/a	68.82	n/a

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Transactions
January 1, 2006 - December 31, 2006

LAMAR SMITH

Federated Strategic Value
Mid-Cap Value

Quantity	Description	Trade Date	Unit Price	Total Cost	Total Proceeds	Gain/ Loss
	Columbia Cash Reserves Daily	12/28/06		n/a	24.55	n/a
	Scottish Pwr Plc Sp ADR Fnl New	12/28/06		n/a	114.02	n/a
	Health Care Reit Inc Com	12/28/06		n/a	40.57	n/a
	Ust Inc	12/29/06		n/a	57.57	n/a
	Ameren Corp	12/29/06		n/a	66.68	n/a
	Pepco Hldgs Inc Com	12/29/06		n/a	60.32	n/a
	TOTAL DIVIDENDS AND INTEREST			**$n/a**	**$17,457.75**	**$n/a**

Transactions
January 1, 2006 - December 31, 2006

LAMAR SMITH

Marsico Capital Mgmt. Large Cap Growth
Large-Cap Growth

Quantity	Description	Trade Date	Unit Price	Total Cost	Total Proceeds	Gain/ Loss
PURCHASES						
13	Motorola Inc	01/06/06	23.89	310.63		
14	Apple Computer Inc	01/06/06	76.18	1,066.51		
5	Toyota Mtr Corp ADR 1 Sh	01/06/06	106.63	533.16		
6	America Movil S A De C V Spons ADR L Shs	01/06/06	31.87	191.21		
8	Lehman Brothers Hldgs	01/11/06	67.55	540.39		
9	America Movil S A De C V Spons ADR L Shs	01/11/06	33.15	298.38		
4	Toyota Mtr Corp ADR 1 Sh	01/12/06	106.44	425.77		
5	Union Pac Corp	01/19/06	83.41	417.07		
25.50	Broadcom Corp	01/19/06	39.73	1,013.21		
5	Toyota Mtr Corp ADR 1 Sh	01/20/06	103.56	517.81		
7	Amylin Pharmaceuticals Inc	01/20/06	44.35	310.46		
14	America Movil S A De C V Spons ADR L Shs	01/20/06	32.26	451.58		
24	Halliburton Co	01/23/06	37.85	908.40		
12	Transocean Sedco Forex Inc	01/23/06	79.90	958.77		
26	Halliburton Co	01/25/06	37.43	973.21		
4	Union Pac Corp	01/25/06	86.55	346.18		
2	Toyota Mtr Corp ADR 1 Sh	01/25/06	101.80	203.59		
21	Burlington Northn Santa	01/25/06	75.59	1,587.48		
34	United Technologies	01/26/06	56.60	1,924.40		
13	Transocean Sedco Forex Inc	01/26/06	75.27	978.50		
2	Kb Home Com	01/26/06	74.03	148.05		

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LAMAR SMITH

Marsico Capital Mgmt. Large Cap Growth
Large-Cap Growth

Quantity	Description	Trade Date	Unit Price	Total Cost	Total Proceeds	Gain/ Loss
12	America Movil S A De C V Spons ADR L Shs	01/26/06	33.95	407.36		
46	Schlumberger	01/31/06	64.16	2,951.47		
6	Kb Home Com	01/31/06	76.46	458.77		
11	Union Pac Corp	02/01/06	87.58	963.35		
38	Companhia Vale Do Rio Doce Sponsored ADR	02/01/06	25.37	964.23		
27	Coach Inc	02/02/06	36.38	982.37		
40	Peabody Energy Corp Com(5) 36.2500	02/02/06	49.52	1,980.83		
42	Companhia Vale Do Rio Doce Sponsored ADR	02/02/06	24.43	1,025.88		
4	Amylin Pharmaceuticals Inc	02/03/06	39.12	156.48		
6	Broadcom Corp	02/03/06	45.50	273.00		
4	Target	02/06/06	55.06	220.25		
14	Coach Inc	02/15/06	35.40	495.60		
6	Apple Computer Inc	02/16/06	70.11	420.64		
13	Deere & Co	03/03/06	76.90	999.73		
24	Home Depot Inc	03/03/06	42.12	1,010.96		
94	Cisco Sys Inc	03/03/06	20.77	1,952.01		
24	Monsanto Co New	03/03/06	42.70	1,024.85		
15	Archer Daniels	03/06/06	32.17	482.55		
12	Home Depot Inc	03/07/06	41.59	499.05		
12	Deere & Co	03/08/06	75.76	909.15		
6	Union Pac Corp	03/08/06	85.33	511.96		
32	Unitedhealth Group Inc	03/14/06	56.41	1,805.23		
59	Las Vegas Sands Corp Com	03/15/06	50.36	2,971.51		

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LAMAR SMITH

Marsico Capital Mgmt. Large Cap Growth
Large-Cap Growth

Quantity	Description	Trade Date	Unit Price	Total Cost	Total Proceeds	Gain/ Loss
4	Union Pac Corp	03/27/06	93.07	372.29		
3	Burlington Northn Santa	03/27/06	81.99	245.96		
5	Union Pac Corp	03/29/06	93.01	465.03		
5	Burlington Northn Santa	03/29/06	82.01	410.03		
5	Union Pac Corp	03/30/06	92.65	463.25		
11	Burlington Northn Santa	03/31/06	83.36	917.00		
6	Union Pac Corp	04/03/06	94.46	566.76		
5	Burlington Northn Santa	04/03/06	85.81	429.06		
26	Halliburton Co	04/10/06	39.44	1,025.44		
30	Texas Instrs Inc	04/10/06	32.62	978.50		
4	Goldman Sachs Group Inc Com	04/10/06	162.50	650.00		
4	Coach Inc	04/10/06	35.35	141.40		
31	Texas Instrs Inc	04/11/06	32.92	1,020.51		
24	Texas Instrs Inc	04/19/06	34.77	834.56		
7	United Technologies	04/19/06	62.15	435.05		
10	Goldman Sachs Group Inc Com	04/20/06	166.22	1,662.24		
9	Peabody Energy Corp Com(5) 36.2500	04/20/06	61.27	551.41		
6	United Technologies	04/21/06	64.95	389.70		
15	Texas Instrs Inc	04/25/06	35.44	531.54		
48	Comcast Corp New Cl A	04/27/06	20.33	975.66		
12	Texas Instrs Inc	04/28/06	35.24	422.89		
48	Comcast Corp New Cl A	04/28/06	20.48	983.20		
25.50	Comcast Corp New Cl A	05/02/06	20.46	521.79		
21	Unitedhealth Group Inc	05/03/06	46.85	983.75		
31	Archer Daniels	05/09/06	44.49	1,379.33		
21	Comcast Corp New Cl A	05/10/06	21.36	448.56		

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Marsico Capital Mgmt. Large Cap Growth
Large-Cap Growth

Quantity	Description	Trade Date	Unit Price	Total Cost	Total Proceeds	Gain/ Loss
12	Comcast Corp New Cl A	05/26/06	21.36	256.31		
38	Wal Mart Stores Inc	05/30/06	48.59	1,846.31		
19.50	Comcast Corp New Cl A	05/31/06	21.37	416.80		
14	Wells Fargo New	06/08/06	34.12	477.66		
26	Wells Fargo New	06/09/06	34.67	901.46		
14	Wells Fargo New	06/14/06	33.24	465.37		
79.50	Comcast Corp New Cl A	06/19/06	21.95	1,745.41		
4	Genzyme Corp	06/20/06	62.60	250.40		
9	Target	06/23/06	49.39	444.51		
16	Peabody Energy Corp Com(5) 36.2500	07/10/06	57.40	918.37		
8	Wells Fargo New	07/12/06	34.46	275.67		
14	Wells Fargo New	07/14/06	34.00	475.93		
7	Genzyme Corp	07/17/06	62.98	440.89		
14	Wells Fargo New	07/19/06	35.26	493.59		
8	General Dynamics Corp	07/20/06	68.47	547.75		
12	Wells Fargo New	07/21/06	35.71	428.53		
11	Peabody Energy Corp Com(5) 36.2500	07/26/06	45.72	502.93		
5	Genzyme Corp	07/27/06	66.27	331.34		
22	Merck & Co Inc	07/28/06	41.18	906.02		
14	Merck & Co Inc	07/31/06	41.01	574.09		
4.50	Comcast Corp New Cl A	08/01/06	22.71	102.18		
19.50	Comcast Corp New Cl A	08/02/06	23.20	452.38		
19	Toyota Mtr Corp ADR 1 Sh	08/03/06	106.21	2,018.06		
26	Genworth Finl Inc Com Cl A	08/03/06	35.14	913.64		

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LAMAR SMITH

Marsico Capital Mgmt. Large Cap Growth
Large-Cap Growth

Quantity	Description	Trade Date	Unit Price	Total Cost	Total Proceeds	Gain/ Loss
20	America Movil S A De C V Spons ADR L Shs	08/03/06	35.57	711.35		
6	Monsanto Co New	08/04/06	46.08	276.50		
14	Genworth Finl Inc Com Cl A	08/07/06	34.18	478.45		
10	Monsanto Co New	08/07/06	45.64	456.37		
2	Lockheed Martin Corp	08/10/06	81.97	163.93		
16	Wells Fargo New	08/17/06	35.27	564.30		
25.50	Comcast Corp New Cl A	08/17/06	22.98	586.01		
19	Abbott Labs	08/22/06	48.84	927.99		
29	Pepsico Inc	08/22/06	63.51	1,841.73		
9	Goldman Sachs Group Inc Com	08/22/06	153.87	1,384.80		
9	Monsanto Co New	08/22/06	46.60	419.39		
18	Abbott Labs	08/23/06	49.02	882.30		
9	Monsanto Co New	08/24/06	47.44	426.99		
9	Abbott Labs	08/28/06	49.26	443.34		
11	Abbott Labs	08/31/06	48.68	535.48		
125	Cisco Sys Inc	09/01/06	22.08	2,760.53		
11	Lockheed Martin Corp	09/01/06	84.12	925.34		
6	Burlington Northn Santa	09/01/06	66.91	401.43		
14	Wells Fargo New	09/01/06	34.67	485.42		
9	Monsanto Co New	09/01/06	48.00	432.00		
13	Wells Fargo New	09/06/06	34.90	453.69		
10	Monsanto Co New	09/06/06	47.75	477.49		
11	Lockheed Martin Corp	09/07/06	83.27	915.94		
12	Wells Fargo New	09/08/06	34.62	415.47		
19	Texas Instrs Inc	09/28/06	33.44	635.40		
16	Cisco Sys Inc	09/28/06	23.24	371.78		

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Marsico Capital Mgmt. Large Cap Growth
Large-Cap Growth

Quantity	Description	Trade Date	Unit Price	Total Cost	Total Proceeds	Gain/ Loss
20	Cisco Sys Inc	10/06/06	23.98	479.69		
11	United Technologies	10/13/06	66.37	730.04		
17	Genzyme Corp	10/13/06	66.65	1,132.97		
43	Lehman Brothers Hldgs	10/13/06	77.39	3,327.98		
15	Target	10/13/06	59.42	891.32		
21	Monsanto Co New	10/13/06	45.68	959.36		
8	Genworth Finl Inc Com Cl A	10/19/06	35.82	286.56		
18	Unitedhealth Group Inc	10/19/06	50.65	911.72		
16	Las Vegas Sands Corp Com	10/20/06	76.49	1,223.86		
27	Wells Fargo New	10/20/06	36.89	995.93		
16	Toyota Mtr Corp ADR 1 Sh	10/23/06	117.08	1,873.20		
15	Cisco Sys Inc	10/23/06	24.48	367.26		
19	Genworth Finl Inc Com Cl A	10/25/06	35.75	679.29		
	TOTAL PURCHASES			**$103,795.10**		
SALES						
5	Amgen Inc	01/11/06	77.92	407.76	389.58	(18.18)
23	Countrywide Cr Inds Inc	01/12/06	36.84	880.90	847.30	(33.60)
12	Countrywide Cr Inds Inc	01/13/06	36.40	459.60	436.79	(22.81)
6	M D C Hldgs Inc Com	01/18/06	64.56	484.44	387.33	(97.11)
14	Google Inc Cl A	01/18/06	450.09	4,066.86	6,301.23	2,234.37
8	M D C Hldgs Inc Com	01/19/06	65.18	645.92	521.42	(124.50)
7	Amgen Inc	01/19/06	77.85	570.87	544.92	(25.95)
7	M D C Hldgs Inc Com	01/20/06	65.10	565.18	455.68	(109.50)
6	Amgen Inc	01/23/06	74.16	489.31	444.97	(44.34)

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Marsico Capital Mgmt. Large Cap Growth
Large-Cap Growth

Quantity	Description	Trade Date	Unit Price	Total Cost	Total Proceeds	Gain/ Loss
10	Countrywide Cr Inds Inc	01/25/06	34.30	383.00	342.98	(40.02)
33	General Elec Co	01/25/06	32.75	1,146.06	1,080.83	(65.23)
8	M D C Hldgs Inc Com	01/26/06	63.42	645.92	507.36	(138.56)
51	Amgen Inc	01/27/06	71.16	4,105.30	3,629.19	(476.11)
27	Countrywide Cr Inds Inc	01/30/06	34.55	1,034.10	932.94	(101.16)
58	General Elec Co	01/30/06	32.88	2,014.29	1,906.89	(107.40)
43	Countrywide Cr Inds Inc	01/31/06	33.40	1,646.90	1,436.29	(210.61)
89	General Elec Co	02/01/06	32.70	3,090.89	2,909.90	(180.99)
14	Countrywide Cr Inds Inc	02/02/06	32.74	536.20	458.42	(77.78)
15	Countrywide Cr Inds Inc	02/03/06	32.50	574.50	487.55	(86.95)
25	Transocean Sedco Forex Inc	02/17/06	71.43	1,937.27	1,785.69	(151.58)
0.50	Broadcom Corp	02/22/06		19.87		(19.87)
14	Target	03/06/06	53.21	765.91	744.87	(21.04)
10	Target	03/08/06	53.39	545.66	533.86	(11.80)
31	Broadcom Corp	03/14/06	44.70	1,266.34	1,385.82	119.48
9	Genentech Inc Com New(5) 108	03/14/06	83.09	725.82	747.84	22.02
7	Caterpillar Inc	03/15/06	73.09	346.94	511.64	164.70
12	Proctor & Gamble Com.	03/15/06	59.85	699.28	718.17	18.89
16	Apple Computer Inc	03/15/06	65.98	1,206.72	1,055.62	(151.10)
6	Genentech Inc Com New(5) 108	03/15/06	83.68	483.88	502.05	18.17
10	Target	03/15/06	53.50	545.66	535.00	(10.66)
2	Zimmer Hldgs Inc	03/15/06	68.78	n/a	137.56	n/a
5	Slm Corporation Com	03/15/06	55.39	252.25	276.95	24.70
6	Genentech Inc Com New(5) 108	03/16/06	83.05	483.88	498.31	14.43

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Marsico Capital Mgmt. Large Cap Growth
Large-Cap Growth

Quantity	Description	Trade Date	Unit Price	Total Cost	Total Proceeds	Gain/ Loss
10	Caterpillar Inc	03/17/06	75.37	481.56	753.70	272.14
6	Proctor & Gamble Com.	03/17/06	59.22	349.64	355.34	5.70
18	Apple Computer Inc	03/17/06	64.72	1,121.29	1,164.87	43.58
3	Zimmer Hldgs Inc	03/17/06	68.99	n/a	206.97	n/a
3	Zimmer Hldgs Inc	03/20/06	69.64	n/a	208.91	n/a
6	Apple Computer Inc	03/22/06	62.30	360.36	373.78	13.42
7	Slm Corporation Com	03/22/06	54.53	353.15	381.68	28.53
38	Apple Computer Inc	03/24/06	59.91	2,214.96	2,276.60	61.64
9	Apple Computer Inc	03/27/06	60.52	471.35	544.69	73.34
24	Apple Computer Inc	03/28/06	59.68	1,256.94	1,432.41	175.47
7	Target	04/03/06	51.65	381.96	361.56	(20.40)
6	Slm Corporation Com	04/05/06	53.56	302.70	321.35	18.65
16	Unitedhealth Group Inc	04/19/06	48.04	902.61	768.62	(133.99)
5	Zimmer Hldgs Inc	04/21/06	66.31	n/a	331.53	n/a
25	Pepsico Inc	04/24/06	56.81	1,333.75	1,420.26	86.51
26	Cvs Corp	04/24/06	29.47	753.37	766.23	12.86
17	Cvs Corp	04/25/06	29.02	492.59	493.34	0.75
7	Zimmer Hldgs Inc	04/26/06	65.64	n/a	459.49	n/a
34	Cvs Corp	04/27/06	29.64	985.18	1,007.80	22.62
17	Lowes Cos Inc	04/28/06	62.92	1,049.20	1,069.72	20.52
14	Zimmer Hldgs Inc	05/01/06	63.35	n/a	886.95	n/a
9	Lowes Cos Inc	05/02/06	62.67	518.67	564.01	45.34
7	Zimmer Hldgs Inc	05/03/06	61.09	n/a	427.60	n/a
18	Medtronic Inc	05/08/06	48.09	982.65	865.64	(117.01)
8	Zimmer Hldgs Inc	05/08/06	61.95	n/a	495.60	n/a
8	Zimmer Hldgs Inc	05/10/06	61.55	n/a	492.42	n/a
8	Zimmer Hldgs Inc	05/11/06	62.43	n/a	499.46	n/a

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Marsico Capital Mgmt. Large Cap Growth
Large-Cap Growth

Quantity	Description	Trade Date	Unit Price	Total Cost	Total Proceeds	Gain/ Loss
2	Caterpillar Inc	05/31/06	72.63	96.00	145.26	49.26
2	Slm Corporation Com	05/31/06	53.49	100.90	106.98	6.08
3	Genentech Inc Com New(5) 108	06/08/06	76.36	241.94	229.07	(12.87)
14	Qualcomm Inc	06/09/06	43.18	510.38	604.58	94.20
4	Genentech Inc Com New(5) 108	06/12/06	79.60	322.58	318.39	(4.19)
5	Genentech Inc Com New(5) 108	06/14/06	77.92	403.23	389.60	(13.63)
18	Motorola Inc	06/16/06	19.96	428.21	359.26	(68.95)
8	Goldman Sachs Group Inc Com	06/16/06	143.97	1,329.79	1,151.72	(178.07)
3	Genentech Inc Com New(5) 108	06/16/06	78.10	241.94	234.29	(7.65)
4	Genentech Inc Com New(5) 108	06/20/06	78.55	322.58	314.20	(8.38)
19	Motorola Inc	06/22/06	19.67	446.82	373.76	(73.06)
12	Texas Instrs Inc	06/22/06	29.56	425.23	354.77	(70.46)
6	Qualcomm Inc	06/22/06	41.74	n/a	250.46	n/a
1	Chicago Mercantile Exchange Cl A	06/22/06	472.62	n/a	472.62	n/a
3	Genentech Inc Com New(5) 108	06/23/06	77.04	241.94	231.12	(10.82)
45	Coach Inc	06/23/06	28.45	1,619.37	1,280.19	(339.18)
19	Motorola Inc	06/28/06	19.15	437.61	363.83	(73.78)
3	Genentech Inc Com New(5) 108	06/28/06	77.13	241.94	231.38	(10.56)
23	Medtronic Inc	06/29/06	47.00	1,195.08	1,081.08	(114.00)

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Marsico Capital Mgmt. Large Cap Growth
Large-Cap Growth

Quantity	Description	Trade Date	Unit Price	Total Cost	Total Proceeds	Gain/ Loss
8	Qualcomm Inc	06/29/06	39.50	n/a	315.97	n/a
1	Chicago Mercantile Exchange Cl A	06/29/06	477.30	n/a	477.30	n/a
6	Texas Instrs Inc	06/30/06	30.17	212.03	181.04	(30.99)
15	Home Depot Inc	06/30/06	35.78	631.85	536.71	(95.14)
7	Caterpillar Inc	07/03/06	74.85	336.00	523.94	187.94
39	Medtronic Inc	07/05/06	46.62	2,026.44	1,818.17	(208.27)
5	Genentech Inc Com New(5) 108	07/05/06	84.05	403.23	420.25	17.02
28	Motorola Inc	07/12/06	19.45	641.27	544.58	(96.69)
2	Fedex Corp	07/12/06	114.37	164.02	228.73	64.71
38	Wal Mart Stores Inc	07/17/06	43.46	1,846.31	1,651.62	(194.69)
37	Home Depot Inc	07/17/06	34.12	1,529.03	1,262.55	(266.48)
17	Target	07/17/06	48.28	927.63	820.79	(106.84)
43	Motorola Inc	07/18/06	18.75	951.35	806.20	(145.15)
7	Target	07/18/06	45.26	345.73	316.83	(28.90)
53	Home Depot Inc	07/19/06	33.73	2,150.69	1,787.49	(363.20)
2	Fedex Corp	07/19/06	108.78	164.02	217.55	53.53
94	Cisco Sys Inc	07/20/06	17.78	1,952.01	1,671.52	(280.49)
12	Qualcomm Inc	07/26/06	34.39	n/a	412.62	n/a
1	Sim Corporation Com	07/27/06	50.65	50.45	50.65	0.20
3	Sim Corporation Com	07/31/06	49.83	151.35	149.49	(1.86)
4	Sim Corporation Com	08/04/06	51.32	201.80	205.27	3.47
2	Chicago Mercantile Exchange Cl A	08/10/06	478.76	n/a	957.52	n/a
3	Chicago Mercantile Exchange Cl A	08/11/06	469.79	n/a	1,409.38	n/a

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Marsico Capital Mgmt. Large Cap Growth
Large-Cap Growth

Quantity	Description	Trade Date	Unit Price	Total Cost	Total Proceeds	Gain/ Loss
3	Slm Corporation Com	08/14/06	48.44	151.35	145.33	(6.02)
5	Deere & Co	08/15/06	68.79	384.51	343.94	(40.57)
19	Companhia Vale Do Rio Doce Sponsored ADR	08/15/06	21.81	482.11	414.45	(67.66)
20	Deere & Co	08/16/06	71.84	1,524.37	1,436.89	(87.48)
3	Fedex Corp	08/16/06	100.96	246.03	302.88	56.85
61	Companhia Vale Do Rio Doce Sponsored ADR	08/17/06	22.75	1,508.00	1,387.54	(120.46)
36	Merck & Co Inc	08/21/06	39.90	1,480.11	1,436.35	(43.76)
62	Slm Corporation Com	08/23/06	45.94	3,127.90	2,848.19	(279.71)
3	Chicago Mercantile Exchange Cl A	08/23/06	445.98	n/a	1,337.94	n/a
19	Halliburton Co	08/24/06	33.17	749.36	630.21	(119.15)
16	Lowes Cos Inc	08/24/06	27.00	461.04	431.98	(29.06)
9	Caterpillar Inc	09/08/06	67.96	432.00	611.66	179.66
38	Qualcomm Inc	09/08/06	36.72	n/a	1,395.22	n/a
3	Fedex Corp	09/12/06	103.03	246.03	309.08	63.05
26	Peabody Energy Corp Com(5) 36.2500	09/12/06	36.40	1,519.30	946.35	(572.95)
24	Qualcomm Inc	09/14/06	37.43	n/a	898.38	n/a
37	Qualcomm Inc	09/18/06	36.98	n/a	1,368.20	n/a
26	Halliburton Co	09/26/06	28.76	995.23	747.84	(247.39)
83	Halliburton Co	09/28/06	29.09	2,903.68	2,414.32	(489.36)
17	Walgreen Company	09/28/06	45.02	n/a	765.26	n/a
21	Walgreen Company	09/29/06	44.74	n/a	939.60	n/a
26	Abbott Labs	10/06/06	46.33	1,276.62	1,204.56	(72.06)
11	Caterpillar Inc	10/06/06	66.98	528.00	736.81	208.81

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Marsico Capital Mgmt. Large Cap Growth
Large-Cap Growth

Quantity	Description	Trade Date	Unit Price	Total Cost	Total Proceeds	Gain/ Loss
10	Abbott Labs	10/11/06	46.49	488.59	464.92	(23.67)
21	Abbott Labs	10/12/06	46.65	1,023.90	979.61	(44.29)
8	Progressive Corp Ohio	10/17/06	24.63	210.90	197.03	(13.87)
56	Caterpillar Inc	10/20/06	59.80	2,688.00	3,348.53	660.53
27	Peabody Energy Corp Com(5) 36.2500	10/24/06	41.91	1,337.06	1,131.44	(205.62)
20	Progressive Corp Ohio	10/25/06	24.06	527.24	481.19	(46.05)
23	Peabody Energy Corp Com(5) 36.2500	10/25/06	43.51	1,097.18	1,000.66	(96.52)
	TOTAL SALES			**$96,018.67**	**$108,300.57**	**($2,865.06)**
CASH CONTRIBUTIONS AND WITHDRAWALS						
	SELECTS QTRLY FEE	01/20/06		n/a	(914.89)	n/a
	SELECTS QTRLY FEE	04/26/06		n/a	(937.85)	n/a
	SELECTS QTRLY FEE	07/21/06		n/a	(893.35)	n/a
	SELECTS QTRLY FEE	10/18/06		n/a	(891.01)	n/a
	TOTAL CASH CONTRIBUTIONS AND WITHDRAWALS			**$n/a**	**($3,637.10)**	**$n/a**
DIVIDENDS AND INTEREST						
	Union Pac Corp	01/02/06		n/a	3.90	n/a
	Pepsico Inc	01/03/06		n/a	6.50	n/a
	Burlington Northn Santa	01/03/06		n/a	6.20	n/a
	Fedex Corp	01/03/06		n/a	5.60	n/a

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Marsico Capital Mgmt. Large Cap Growth
Large-Cap Growth

Quantity	Description	Trade Date	Unit Price	Total Cost	Total Proceeds	Gain/ Loss
	Qualcomm Inc	01/04/06		n/a	12.51	n/a
	Schlumberger	01/06/06		n/a	4.62	n/a
	Motorola Inc	01/13/06		n/a	8.16	n/a
	General Elec Co	01/25/06		n/a	45.00	n/a
	Medtronic Inc	01/27/06		n/a	7.70	n/a
	Columbia Cash Reserves Daily	01/30/06		n/a	42.47	n/a
	Lowes Cos Inc	02/03/06		n/a	7.08	n/a
	Cvs Corp	02/03/06		n/a	2.98	n/a
	Yum Brands Inc Com	02/03/06		n/a	10.12	n/a
	General Dynamics Corp	02/10/06		n/a	14.40	n/a
	Proctor & Gamble Com.	02/15/06		n/a	44.52	n/a
	Lennar Corp	02/17/06		n/a	9.28	n/a
	Caterpillar Inc	02/18/06		n/a	25.50	n/a
	Lehman Brothers Hldgs	02/21/06		n/a	10.08	n/a
	Peabody Energy Corp Com(5) 36.2500	02/22/06		n/a	2.40	n/a
	Goldman Sachs Group Inc Com	02/23/06		n/a	11.25	n/a
	Kb Home Com	02/23/06		n/a	9.50	n/a
	Columbia Cash Reserves Daily	02/27/06		n/a	38.53	n/a
	Broadcom Corp	02/28/06		n/a	23.00	n/a
	United Technologies	03/10/06		n/a	7.48	n/a
	Target	03/10/06		n/a	10.60	n/a
	Walgreen Company	03/11/06		n/a	2.47	n/a
	Slm Corporation Com	03/17/06		n/a	20.46	n/a
	Halliburton Co	03/23/06		n/a	7.65	n/a

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Marsico Capital Mgmt. Large Cap Growth
Large-Cap Growth

Quantity	Description	Trade Date	Unit Price	Total Cost	Total Proceeds	Gain/ Loss
	Home Depot Inc	03/23/06		n/a	13.95	n/a
	Qualcomm Inc	03/24/06		n/a	12.51	n/a
	Chicago Mercantile Exchange Cl A	03/27/06		n/a	6.30	n/a
	Columbia Cash Reserves Daily	03/30/06		n/a	35.50	n/a
	Pepsico Inc	03/31/06		n/a	6.50	n/a
	Progressive Corp Ohio	03/31/06		n/a	0.51	n/a
	Lockheed Martin Corp	03/31/06		n/a	12.60	n/a
	America Movil S A De C V Spons ADR L Shs	03/31/06		n/a	1.83	n/a
	Union Pac Corp	04/03/06		n/a	9.90	n/a
	Burlington Northn Santa	04/03/06		n/a	10.40	n/a
	Fedex Corp	04/03/06		n/a	5.60	n/a
	Schlumberger	04/07/06		n/a	11.25	n/a
	Motorola Inc	04/14/06		n/a	9.80	n/a
	Unitedhealth Group Inc	04/17/06		n/a	7.38	n/a
	Columbia Cash Reserves Daily	04/27/06		n/a	38.14	n/a
	Medtronic Inc	04/28/06		n/a	7.70	n/a
	Monsanto Co New	04/28/06		n/a	2.40	n/a
	Deere & Co	05/01/06		n/a	9.75	n/a
	Cvs Corp	05/03/06		n/a	2.98	n/a
	General Dynamics Corp	05/05/06		n/a	16.56	n/a
	Lowes Cos Inc	05/05/06		n/a	7.08	n/a
	Companhia Vale Do Rio Doce Sponsored ADR	05/05/06		n/a	23.55	n/a

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Marsico Capital Mgmt. Large Cap Growth
Large-Cap Growth

Quantity	Description	Trade Date	Unit Price	Total Cost	Total Proceeds	Gain/ Loss
	Yum Brands Inc Com	05/05/06		n/a	10.12	n/a
	Lennar Corp	05/15/06		n/a	9.28	n/a
	Proctor & Gamble Com.	05/15/06		n/a	43.71	n/a
	Caterpillar Inc	05/20/06		n/a	21.25	n/a
	Texas Instrs Inc	05/22/06		n/a	3.00	n/a
	Lehman Brothers Hldgs	05/23/06		n/a	10.08	n/a
	Goldman Sachs Group Inc Com	05/25/06		n/a	20.65	n/a
	Kb Home Com	05/25/06		n/a	9.50	n/a
	Peabody Energy Corp Com(5)	05/25/06		n/a	2.94	n/a
	36.2500					
	Columbia Cash Reserves	05/30/06		n/a	35.50	n/a
	Daily					
	Archer Daniels	06/09/06		n/a	4.60	n/a
	United Technologies	06/10/06		n/a	12.46	n/a
	Target	06/10/06		n/a	6.50	n/a
	Walgreen Company	06/12/06		n/a	2.47	n/a
	Slm Corporation Com	06/16/06		n/a	18.75	n/a
	Halliburton Co	06/22/06		n/a	9.60	n/a
	Home Depot Inc	06/22/06		n/a	15.75	n/a
	Qualcomm Inc	06/23/06		n/a	16.68	n/a
	Chicago Mercantile Exchange	06/26/06		n/a	6.30	n/a
	Cl A					
	Columbia Cash Reserves	06/29/06		n/a	27.68	n/a
	Daily					
	Progressive Corp Ohio	06/30/06		n/a	0.51	n/a
	Lockheed Martin Corp	06/30/06		n/a	12.60	n/a
	Union Pac Corp	07/03/06		n/a	17.70	n/a

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Marsico Capital Mgmt. Large Cap Growth
Large-Cap Growth

Quantity	Description	Trade Date	Unit Price	Total Cost	Total Proceeds	Gain/ Loss
	Burlington Northn Santa	07/03/06		n/a	15.20	n/a
	Fedex Corp	07/03/06		n/a	6.30	n/a
	Toyota Mtr Corp ADR 1 Sh	07/06/06		n/a	16.15	n/a
	Schlumberger	07/07/06		n/a	11.25	n/a
	Motorola Inc	07/15/06		n/a	12.25	n/a
	Columbia Cash Reserves Daily	07/28/06		n/a	52.98	n/a
	Medtronic Inc	07/28/06		n/a	4.29	n/a
	Monsanto Co New	07/28/06		n/a	2.40	n/a
	Deere & Co	08/01/06		n/a	9.75	n/a
	America Movil S A De C V Spons ADR L Shs	08/03/06		n/a	10.42	n/a
	Lowes Cos Inc	08/04/06		n/a	9.20	n/a
	Yum Brands Inc Com	08/04/06		n/a	13.20	n/a
	General Dynamics Corp	08/11/06		n/a	16.56	n/a
	Lennar Corp	08/15/06		n/a	9.28	n/a
	Proctor & Gamble Com.	08/15/06		n/a	43.71	n/a
	Caterpillar Inc	08/19/06		n/a	22.80	n/a
	Texas Instrs Inc	08/21/06		n/a	2.82	n/a
	Lehman Brothers Hldgs	08/24/06		n/a	10.08	n/a
	Goldman Sachs Group Inc Com	08/24/06		n/a	17.85	n/a
	Kb Home Com	08/24/06		n/a	9.50	n/a
	Columbia Cash Reserves Daily	08/30/06		n/a	73.28	n/a
	Peabody Energy Corp Com(5) 36.2500	08/30/06		n/a	4.56	n/a
	Wells Fargo New	09/01/06		n/a	28.56	n/a

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Marsico Capital Mgmt. Large Cap Growth
Large-Cap Growth

Quantity	Description	Trade Date	Unit Price	Total Cost	Total Proceeds	Gain/ Loss
	Archer Daniels	09/08/06		n/a	4.60	n/a
	United Technologies	09/10/06		n/a	12.46	n/a
	Target	09/10/06		n/a	6.00	n/a
	Walgreen Company	09/12/06		n/a	2.95	n/a
	Halliburton Co	09/22/06		n/a	8.18	n/a
	Qualcomm Inc	09/22/06		n/a	11.88	n/a
	Columbia Cash Reserves Daily	09/28/06		n/a	59.43	n/a
	Pepsico Inc	09/29/06		n/a	8.70	n/a
	Lockheed Martin Corp	09/29/06		n/a	13.20	n/a
	Progressive Corp Ohio	09/30/06		n/a	0.60	n/a
	Union Pac Corp	10/02/06		n/a	17.70	n/a
	Burlington Northn Santa	10/02/06		n/a	20.50	n/a
	Fedex Corp	10/02/06		n/a	5.67	n/a
	Schlumberger	10/06/06		n/a	11.25	n/a
	Motorola Inc	10/13/06		n/a	5.90	n/a
	Genworth Finl Inc Com Cl A	10/27/06		n/a	3.60	n/a
	Monsanto Co New	10/27/06		n/a	7.70	n/a
	Columbia Cash Reserves Daily	10/30/06		n/a	74.08	n/a
	TOTAL DIVIDENDS AND INTEREST			**$n/a**	**$1,646.57**	**$n/a**

Transactions
January 1, 2006 - December 31, 2006

LAMAR SMITH

Schafer Cullen Large Cap Value
Large-Cap Value

Quantity	Description	Trade Date	Unit Price	Total Cost	Total Proceeds	Gain/ Loss
PURCHASES						
180	Verizon Communications	01/09/06	31.48	5,666.54		
90	Genuine Parts Co	07/24/06	41.87	3,768.63		
50	Genuine Parts Co	07/25/06	42.21	2,110.57		
	TOTAL PURCHASES			**$11,545.74**		
SALES						
30	Cemex S A ADR Sprd New	02/13/06	57.97	1,336.44	1,739.19	402.75
140	Equity Residential	03/03/06	43.99	5,454.40	6,157.90	703.50
	TOTAL SALES			**$6,790.84**	**$7,897.09**	**$1,106.25**
CAPITAL ADJUSTMENTS						
9	Idearc Inc Com	11/20/06		236.25		
	Verizon Communications	11/20/06		6,250.95	(6,487.20)	
CASH CONTRIBUTIONS AND WITHDRAWALS						
	SELECTS QTRLY FEE	01/20/06		n/a	(751.74)	n/a
	SELECTS QTRLY FEE	04/26/06		n/a	(800.67)	n/a

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Transactions
January 1, 2006 - December 31, 2006

LAMAR SMITH

Schafer Cullen Large Cap Value
Large-Cap Value

Quantity	Description	Trade Date	Unit Price	Total Cost	Total Proceeds	Gain/ Loss
	SELECTS QTRLY FEE	07/21/06		n/a	(800.44)	n/a
	SELECTS QTRLY FEE	10/18/06		n/a	(864.92)	n/a
	TOTAL CASH CONTRIBUTIONS AND WITHDRAWALS			**$n/a**	**($3,217.77)**	**$n/a**
DIVIDENDS AND INTEREST						
	Compass Bancshares Inc	01/02/06		n/a	42.00	n/a
	Heinz H J Co	01/10/06		n/a	48.00	n/a
	Altria Group Inc	01/10/06		n/a	80.00	n/a
	Equity Residential	01/13/06		n/a	61.95	n/a
	Sempra Energy Com	01/15/06		n/a	31.90	n/a
	Ford Mtr Co Cap Tr II Tr Origin Pfd	01/17/06		n/a	211.25	n/a
	Allied Cap Corp New	01/27/06		n/a	6.30	n/a
	Columbia Cash Reserves Daily	01/30/06		n/a	17.78	n/a
	Dow Chemical Corp	01/30/06		n/a	43.55	n/a
	J.P. Morgan Chase & Co	01/31/06		n/a	57.80	n/a
	Raytheon Co New Com	01/31/06		n/a	33.00	n/a
	A T & T Inc (New)	02/01/06		n/a	83.13	n/a
	Bristol Myers Squibb	02/01/06		n/a	67.20	n/a
	Regions Financial Corp (New)	02/15/06		n/a	59.50	n/a
	Health Care Ppty Invs Inc Com	02/23/06		n/a	85.00	n/a

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Transactions
January 1, 2006 - December 31, 2006

LAMAR SMITH

Schafer Cullen Large Cap Value
Large-Cap Value

Quantity	Description	Trade Date	Unit Price	Total Cost	Total Proceeds	Gain/ Loss
	Columbia Cash Reserves Daily	02/27/06		n/a	11.81	n/a
	Healthcare Rlty Tr	03/02/06		n/a	92.40	n/a
	Pfizer Inc	03/07/06		n/a	52.80	n/a
	Chevrontexaco Corporation	03/10/06		n/a	49.50	n/a
	Bp Amoco Ads	03/13/06		n/a	56.25	n/a
	Wachovia Group	03/15/06		n/a	61.20	n/a
	Bank Of Amer Crp	03/24/06		n/a	65.00	n/a
	Columbia Cash Reserves Daily	03/30/06		n/a	32.61	n/a
	Allied Cap Corp New	03/31/06		n/a	123.90	n/a
	Compass Bancshares Inc	04/03/06		n/a	46.80	n/a
	Heinz H J Co	04/10/06		n/a	48.00	n/a
	Altria Group Inc	04/10/06		n/a	80.00	n/a
	Kimberly Clark De Mexico S A ADR Spons Ord A	04/12/06		n/a	86.55	n/a
	Diageo Plc	04/12/06		n/a	92.10	n/a
	Sempra Energy Com	04/15/06		n/a	33.00	n/a
	Ford Mtr Co Cap Tr II Tr Origin Pfd	04/17/06		n/a	178.75	n/a
	Columbia Cash Reserves Daily	04/27/06		n/a	38.03	n/a
	Dow Chemical Corp	04/28/06		n/a	48.75	n/a
	J.P. Morgan Chase & Co	04/30/06		n/a	57.80	n/a
	A T & T Inc (New)	05/01/06		n/a	83.13	n/a
	Bristol Myers Squibb	05/01/06		n/a	67.20	n/a
	Verizon Communications	05/01/06		n/a	72.90	n/a

Transactions
January 1, 2006 - December 31, 2006

LAMAR SMITH

Schafer Cullen Large Cap Value
Large-Cap Value

Quantity	Description	Trade Date	Unit Price	Total Cost	Total Proceeds	Gain/ Loss
	Raytheon Co New Com	05/09/06		n/a	36.00	n/a
	Regions Financial Corp (New)	05/15/06		n/a	59.50	n/a
	Health Care Ppty Invs Inc Com	05/19/06		n/a	85.00	n/a
	Columbia Cash Reserves Daily	05/30/06		n/a	46.07	n/a
	Healthcare Rlty Tr	06/01/06		n/a	92.40	n/a
	Bp Amoco Ads	06/05/06		n/a	56.25	n/a
	Pfizer Inc	06/06/06		n/a	52.80	n/a
	Cemex S A ADR Sprd New	06/07/06		n/a	148.40	n/a
	Unilever N V Wi	06/12/06		n/a	189.43	n/a
	Chevrontexaco Corporation	06/12/06		n/a	57.20	n/a
	Wachovia Group	06/15/06		n/a	61.20	n/a
	Petrochina Co Ltd Sponsored ADR	06/19/06		n/a	157.21	n/a
	Bank Of Amer Crp	06/23/06		n/a	65.00	n/a
	Columbia Cash Reserves Daily	06/29/06		n/a	45.00	n/a
	Allied Cap Corp New	06/30/06		n/a	126.00	n/a
	Unilever N V Wi	06/30/06		n/a	14.84	n/a
	Compass Bancshares Inc	07/03/06		n/a	46.80	n/a
	Heinz H J Co	07/10/06		n/a	56.00	n/a
	Altria Group Inc	07/10/06		n/a	80.00	n/a
	Kimberly Clark De Mexico S A ADR Spons Ord A	07/12/06		n/a	84.89	n/a
	Sempra Energy Com	07/15/06		n/a	33.00	n/a

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Transactions
January 1, 2006 - December 31, 2006

LAMAR SMITH

Schafer Cullen Large Cap Value
Large-Cap Value

Quantity	Description	Trade Date	Unit Price	Total Cost	Total Proceeds	Gain/ Loss
	Ford Mtr Co Cap Tr II Tr Origin Pfd	07/17/06		n/a	178.75	n/a
	Columbia Cash Reserves Daily	07/28/06		n/a	46.97	n/a
	Dow Chemical Corp	07/28/06		n/a	48.75	n/a
	J.P. Morgan Chase & Co	07/31/06		n/a	57.80	n/a
	A T & T Inc (New)	08/01/06		n/a	83.13	n/a
	Bristol Myers Squibb	08/01/06		n/a	67.20	n/a
	Verizon Communications	08/01/06		n/a	72.90	n/a
	Raytheon Co New Com	08/14/06		n/a	36.00	n/a
	Regions Financial Corp (New)	08/15/06		n/a	59.50	n/a
	Health Care Ppty Invs Inc Com	08/18/06		n/a	85.00	n/a
	Columbia Cash Reserves Daily	08/30/06		n/a	31.56	n/a
	Healthcare Rlty Tr	09/01/06		n/a	92.40	n/a
	Pfizer Inc	09/05/06		n/a	52.80	n/a
	Bp Amoco Ads	09/05/06		n/a	58.95	n/a
	Chevrontexaco Corporation	09/11/06		n/a	57.20	n/a
	Wachovia Group	09/15/06		n/a	67.20	n/a
	Bank Of Amer Crp	09/22/06		n/a	72.80	n/a
	Columbia Cash Reserves Daily	09/28/06		n/a	28.76	n/a
	Allied Cap Corp New	09/29/06		n/a	128.10	n/a
	Genuine Parts Co	10/02/06		n/a	47.25	n/a
	Compass Bancshares Inc	10/02/06		n/a	46.80	n/a

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Transactions
January 1, 2006 - December 31, 2006

LAMAR SMITH

Schafer Cullen Large Cap Value
Large-Cap Value

Quantity	Description	Trade Date	Unit Price	Total Cost	Total Proceeds	Gain/ Loss
	Petrochina Co Ltd Sponsored ADR	10/06/06		n/a	177.63	n/a
	Heinz H J Co	10/10/06		n/a	56.00	n/a
	Altria Group Inc	10/10/06		n/a	86.00	n/a
	Kimberly Clark De Mexico S A ADR Spons Ord A	10/12/06		n/a	86.00	n/a
	Sempra Energy Com	10/15/06		n/a	33.00	n/a
	Ford Mtr Co Cap Tr II Tr Origin Pfd	10/16/06		n/a	178.75	n/a
	Columbia Cash Reserves Daily	10/30/06		n/a	32.68	n/a
	Dow Chemical Corp	10/30/06		n/a	48.75	n/a
	Diageo Plc	10/30/06		n/a	157.73	n/a
	J.P. Morgan Chase & Co	10/31/06		n/a	57.80	n/a
	A T & T Inc (New)	11/01/06		n/a	83.13	n/a
	Bristol Myers Squibb	11/01/06		n/a	67.20	n/a
	Verizon Communications	11/01/06		n/a	72.90	n/a
	Raytheon Co New Com	11/01/06		n/a	36.00	n/a
	Regions Financial Corp (New)	11/15/06		n/a	59.50	n/a
	Health Care Ppty Invs Inc Com	11/21/06		n/a	85.00	n/a
	Columbia Cash Reserves Daily	11/29/06		n/a	32.41	n/a
	Healthcare Rlty Tr	12/01/06		n/a	92.40	n/a
	Bp Amoco Ads	12/04/06		n/a	58.95	n/a
	Unilever N V Wi	12/04/06		n/a	210.93	n/a

Transactions
January 1, 2006 - December 31, 2006

LAMAR SMITH

Schafer Cullen Large Cap Value
Large-Cap Value

Quantity	Description	Trade Date	Unit Price	Total Cost	Total Proceeds	Gain/ Loss
	Pfizer Inc	12/05/06		n/a	52.80	n/a
	Kimberly Clark De Mexico S A ADR Spons Ord A	12/08/06		n/a	385.94	n/a
	Chevrontexaco Corporation	12/11/06		n/a	57.20	n/a
	Kimberly Clark De Mexico S A ADR Spons Ord A	12/13/06		n/a	87.49	n/a
	Wachovia Group	12/15/06		n/a	67.20	n/a
	Bank Of Amer Crp	12/22/06		n/a	72.80	n/a
	Allied Cap Corp New	12/27/06		n/a	130.20	n/a
	Columbia Cash Reserves Daily	12/28/06		n/a	34.26	n/a
	TOTAL DIVIDENDS AND INTEREST			**$n/a**	**$8,020.30**	**$n/a**

Transactions
January 1, 2006 - December 31, 2006

LAMAR SMITH

Portfolio Edge

Quantity	Description	Trade Date	Unit Price	Total Cost	Total Proceeds	Gain/ Loss
PURCHASES						
118.69	Franklin Income A	01/06/06	2.42	287.24		
113.13	Franklin Income A	02/03/06	2.45	277.17		
113.22	Franklin Income A	03/03/06	2.46	278.53		
2.62	Dodge & Cox Stock Fund	03/31/06	143.82	377.10		
113.78	Franklin Income A	04/05/06	2.46	279.89		
113.87	Franklin Income A	05/03/06	2.47	281.25		
114.42	Franklin Income A	06/05/06	2.47	282.62		
37.83	Columbia Acorn A	06/07/06	28.58	1,081.05		
47.60	Mutual Beacon A	06/20/06	15.61	743.08		
12.62	PIMCO Comm Real Return Strat Cl A	06/22/06	13.96	176.13		
1.90	Dodge & Cox Stock Fund	06/29/06	144.42	273.82		
114.98	Franklin Income A	07/06/06	2.47	283.99		
7.45	PIMCO Comm Real Return Strat Cl A	09/21/06	13.66	101.72		
2.49	Dodge & Cox Stock Fund	09/28/06	150.75	375.68		
253.07	Columbia Acorn A	12/11/06	29.13	7,371.80		
87.12	Oppenheimer Developing Mkts A	12/11/06	40.09	3,492.71		
128.11	Nuveen NWQ Multi-Cap Value A	12/18/06	24.60	3,151.50		
76.65	American Funds Grth Fund Of Amer A	12/19/06	33.04	2,532.38		
70.09	PIMCO Comm Real Return Strat Cl A	12/27/06	13.82	968.62		

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Transactions
January 1, 2006 - December 31, 2006

LAMAR SMITH

Portfolio Edge

Quantity	Description	Trade Date	Unit Price	Total Cost	Total Proceeds	Gain/ Loss
26.29	Dodge & Cox Stock Fund	12/28/06	154.07	4,050.61		
15.29	Nuveen NWQ Multi-Cap Value A	12/29/06	24.60	376.15		
	TOTAL PURCHASES			**$27,043.04**		
SALES						
23781.17	Franklin Income A	07/26/06	2.52	3,427.57	59,928.54	56,500.97
797.91	Oppenheimer Global Fund A	07/26/06	67.58	50,882.10	53,922.62	3,040.52
1737.17	Mutual Beacon A	07/26/06	15.91	3,302.91	27,638.44	24,335.53
	TOTAL SALES			**$57,612.58**	**$141,489.60**	**$83,877.02**
CASH CONTRIBUTIONS AND WITHDRAWALS						
	PE QTRLY FEE	01/20/06		n/a	(929.18)	n/a
	CHECK RECEIVED	03/17/06		n/a	2,524.78	n/a
	RVS CHK REC 03/17/0	03/17/06		n/a	(2,524.78)	n/a
	PE QTRLY FEE	04/27/06		n/a	(978.63)	n/a
	PE QTRLY FEE	07/14/06		n/a	(993.52)	n/a
	PE QTRLY FEE	07/17/06		n/a	993.52	n/a
	PE QTRLY FEE	07/17/06		n/a	(993.52)	n/a
	VS W12-082694-1	08/03/06		n/a	(125,000.00)	n/a

Transactions
January 1, 2006 - December 31, 2006

LAMAR SMITH

Portfolio Edge

Quantity	Description	Trade Date	Unit Price	Total Cost	Total Proceeds	Gain/ Loss
	FEE REVERSAL	10/16/06		n/a	826.31	n/a
	PE QTRLY FEE	10/20/06		n/a	(784.92)	n/a
	TOTAL CASH CONTRIBUTIONS AND WITHDRAWALS			**$n/a**	**($127,859.94)**	**$n/a**
DIVIDENDS AND INTEREST						
	Franklin Income A	01/06/06		n/a	287.24	n/a
	Columbia Cash Reserves Daily	01/30/06		n/a	45.94	n/a
	Franklin Income A	02/03/06		n/a	277.17	n/a
	Columbia Cash Reserves Daily	02/27/06		n/a	40.18	n/a
	Franklin Income A	03/03/06		n/a	278.53	n/a
	Columbia Cash Reserves Daily	03/30/06		n/a	52.09	n/a
	Dodge & Cox Stock Fund	03/31/06		n/a	377.10	n/a
	Franklin Income A	04/05/06		n/a	279.89	n/a
	Columbia Cash Reserves Daily	04/27/06		n/a	43.68	n/a
	Franklin Income A	05/03/06		n/a	281.25	n/a
	Columbia Cash Reserves Daily	05/30/06		n/a	49.72	n/a
	Franklin Income A	06/05/06		n/a	282.62	n/a
	Columbia Acorn A	06/07/06		n/a	1,081.05	n/a
	Mutual Beacon A	06/20/06		n/a	743.08	n/a

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Transactions
January 1, 2006 - December 31, 2006

LAMAR SMITH

Portfolio Edge

Quantity	Description	Trade Date	Unit Price	Total Cost	Total Proceeds	Gain/ Loss
	PIMCO Comm Real Return Strat Cl A	06/22/06		n/a	176.13	n/a
	Columbia Cash Reserves Daily	06/29/06		n/a	46.44	n/a
	Dodge & Cox Stock Fund	06/29/06		n/a	273.82	n/a
	Franklin Income A	07/06/06		n/a	283.99	n/a
	Columbia Cash Reserves Daily	07/28/06		n/a	45.19	n/a
	Columbia Cash Reserves Daily	08/30/06		n/a	158.84	n/a
	PIMCO Comm Real Return Strat Cl A	09/21/06		n/a	101.72	n/a
	Columbia Cash Reserves Daily	09/28/06		n/a	104.61	n/a
	Dodge & Cox Stock Fund	09/28/06		n/a	375.68	n/a
	Columbia Cash Reserves Daily	10/30/06		n/a	113.89	n/a
	Columbia Cash Reserves Daily	11/29/06		n/a	108.60	n/a
	Columbia Acorn A	12/11/06		n/a	7,371.80	n/a
	Oppenheimer Developing Mkts A	12/11/06		n/a	3,492.71	n/a
	Nuveen NWQ Multi-Cap Value A	12/18/06		n/a	3,151.50	n/a
	American Funds Grth Fund Of Amer A	12/19/06		n/a	2,532.38	n/a

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Transactions
January 1, 2006 - December 31, 2006

LAMAR SMITH

Portfolio Edge

Quantity	Description	Trade Date	Unit Price	Total Cost	Total Proceeds	Gain/ Loss
	PIMCO Comm Real Return Strat Cl A	12/27/06		n/a	968.62	n/a
	Columbia Cash Reserves Daily	12/28/06		n/a	105.78	n/a
	Dodge & Cox Stock Fund	12/28/06		n/a	4,050.61	n/a
	Nuveen NWQ Multi-Cap Value A	12/29/06		n/a	376.15	n/a
	TOTAL DIVIDENDS AND INTEREST			**$n/a**	**$27,958.00**	**$n/a**

Transactions
January 1, 2006 - December 31, 2006

LAMAR SMITH

Alliance Capital Intl Adr'S
International Equity

Quantity	Description	Trade Date	Unit Price	Total Cost	Total Proceeds	Gain/ Loss
PURCHASES						
41	Honda Mtr Ltd(5) Kyo 92.550000 Kyoc	08/15/06	33.58	1,376.78		
15	Kyocera Corp ADR	08/15/06	84.14	1,262.10		
33	Canon Inc ADR	08/15/06	48.18	1,589.94		
37	Toyota Mtr Corp ADR 1 Sh	08/15/06	109.58	4,054.33		
166	Vodafone Group Plc New Sponsored ADR	08/15/06	21.00	3,486.00		
75	Barclays Plc ADR	08/15/06	50.08	3,756.00		
95	J Sainsbury Plc Sponsored ADR N	08/15/06	27.70	2,631.50		
111	Repsol S A	08/15/06	28.47	3,160.17		
52	Total Fina Elf S A ADR Sponsored	08/15/06	68.37	3,555.24		
20	Ipsco Inc Com	08/15/06	93.11	1,862.20		
58	Nissan Mtrs	08/15/06	21.48	1,245.84		
47	Amro Hldg N V ADR Sponsored	08/15/06	26.74	1,256.78		
45	Continental Ag ADR Sponsored	08/15/06	102.05	4,592.25		
102	Sharp Corp	08/15/06	17.30	1,764.60		
51	China Netcom Group Corp Hk Lsponsored ADR	08/15/06	36.85	1,879.35		
136	Societe Generale France ADR Sponsored	08/15/06	32.10	4,365.60		
36	Mitsubishi Corp ADR Sponsrd	08/15/06	41.85	1,506.60		

Transactions
January 1, 2006 - December 31, 2006

LAMAR SMITH

Alliance Capital Intl Adr'S
International Equity

Quantity	Description	Trade Date	Unit Price	Total Cost	Total Proceeds	Gain/ Loss
135	Mitsubishi Ufj Financial Group Inc	08/15/06	13.91	1,878.31		
99	Nippon Teleg & Tel Corp ADR Sponsored	08/15/06	25.65	2,539.35		
45	S P A ADR Sponsored	08/15/06	62.11	2,794.95		
149	Ing Groep N V ADR Sponsored	08/15/06	42.02	6,260.98		
35	Petrobras Brasileiro ADR Sponsored	08/15/06	82.94	2,902.90		
72	Credit Suisse Group ADR Sponsored	08/15/06	54.65	3,934.80		
9	Rio Tinto Ltd ADR Sponsored	08/15/06	204.52	1,840.68		
279	Taiwan Semiconductor Mfg Co ADR Sponsored	08/15/06	9.03	2,519.37		
69	British Amern Tob Plc ADR Sponsored	08/15/06	54.68	3,772.92		
18	Bp Amoco Ads	08/15/06	69.82	1,256.76		
44	Astrazeneca Plc ADR Sponsored	08/15/06	61.91	2,724.04		
150	Royal & Sun Alliance Ins Gro ADR Spon New	08/15/06	12.58	1,887.00		
86	Corus Group Plc ADR Sponsored	08/15/06	14.54	1,250.44		
14	Petrochina Co Ltd Sponsored ADR	08/15/06	116.18	1,626.52		
143	Bae Sys Plc Sponsored ADR	08/15/06	26.75	3,825.25		
118	E.On Ag	08/15/06	42.52	5,017.36		
23	Canadian Nat Res Ltd	08/15/06	53.66	1,234.18		

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Transactions
January 1, 2006 - December 31, 2006

LAMAR SMITH

Alliance Capital Intl Adr'S
International Equity

Quantity	Description	Trade Date	Unit Price	Total Cost	Total Proceeds	Gain/ Loss
80	Bnp Paribas Spon ADR 1/4 Sh	08/15/06	53.00	4,240.00		
641	United Microelectronics Corp ADR Sponsored	08/15/06	3.14	2,012.74		
182	Allianz Aktiengesellschaft ADR Sponsored	08/15/06	16.76	3,050.32		
222	Hbos Plc Sponsored ADR	08/15/06	19.03	4,225.40		
45	Suez Sponsored ADR	08/15/06	42.16	1,897.20		
31	Kookmin Bk New Sponsored ADR	08/15/06	81.35	2,521.85		
96	Fortis Nl Spon ADR New	08/15/06	38.60	3,705.60		
42	Posco ADR Sponsored	08/15/06	59.01	2,478.42		
86	Sanofi-Synthelabo Sponsored ADR	08/15/06	44.79	3,851.94		
445	Sumitomo Mitsui Finl Group ADR	08/15/06	11.15	4,961.75		
55	Sharp Corp	09/14/06	17.76	976.93		
15	Bnp Paribas Spon ADR 1/4 Sh	09/14/06	52.72	790.80		
100	Au Optronics Corp Sponsored ADR	09/14/06	14.57	1,457.01		
13	Sharp Corp	10/10/06	17.38	225.94		
89	Mittal Steel Co Cl A	10/10/06	37.43	3,330.99		
19	Mitsubishi Corp ADR Sponsrd	10/10/06	35.47	673.95		
16	Air France Klm Sponsored ADR	11/15/06	40.43	646.88		
18	Nippon Teleg & Tel Corp ADR Sponsored	11/15/06	24.15	434.70		

Transactions
January 1, 2006 - December 31, 2006

LAMAR SMITH

Alliance Capital Intl Adr'S
International Equity

Quantity	Description	Trade Date	Unit Price	Total Cost	Total Proceeds	Gain/ Loss
8	Petrobras Brasileiro ADR Sponsored	11/15/06	83.14	665.14		
14	Basf Ag ADR Sponsored	11/15/06	90.71	1,269.95		
94	Siliconware Precision Inds Lsponsored ADR -	11/15/06	7.19	675.67		
207	United Microelectronics Corp ADR Sponsored	11/15/06	3.25	672.46		
14	Basf Ag ADR Sponsored	12/15/06	95.44	1,336.13		
190	United Microelectronics Corp ADR Sponsored	12/15/06	3.33	631.92		
	TOTAL PURCHASES			**$137,344.78**		
SALES						
33	Canon Inc ADR	09/14/06	50.60	1,589.94	1,669.72	79.78
23	Canadian Nat Res Ltd	09/14/06	44.35	1,234.18	1,020.10	(214.08)
150	Royal & Sun Alliance Ins Gro ADR Spon New	10/10/06	13.74	1,887.00	2,060.60	173.60
45	Suez Sponsored ADR	10/10/06	43.27	1,897.20	1,947.31	50.11
4	Ing Groep N V ADR Sponsored	11/01/06	44.25	168.08	177.01	8.93
3	Barclays Plc ADR	11/06/06	53.81	150.24	161.42	11.18
5	Continental Ag ADR Sponsored	11/06/06	110.85	510.25	554.23	43.98
13	Mittal Steel Co Cl A	11/06/06	41.92	486.55	544.94	58.39
218	United Microelectronics Corp ADR Sponsored	11/06/06	3.02	684.52	658.33	(26.19)

Transactions
January 1, 2006 - December 31, 2006

LAMAR SMITH

Alliance Capital Intl Adr'S
International Equity

Quantity	Description	Trade Date	Unit Price	Total Cost	Total Proceeds	Gain/ Loss
29	Allianz Aktiengesellschaft ADR Sponsored	11/06/06	18.81	486.04	545.47	59.43
7	Fortis Nl Spon ADR New	11/06/06	41.95	270.20	293.64	23.44
3	Posco ADR Sponsored	11/06/06	73.25	177.03	219.74	42.71
11	Continental Ag ADR Sponsored	11/15/06	111.99	1,122.55	1,231.87	109.32
86	Corus Group Plc ADR Sponsored	11/15/06	17.71	1,250.44	1,523.10	272.66
56	Repsol S A	12/15/06	36.25	1,594.32	2,029.89	435.57
116	Taiwan Semiconductor Mfg Co ADR Sponsored	12/15/06	10.48	1,047.48	1,215.99	168.51
	TOTAL SALES			**$14,556.02**	**$15,853.36**	**$1,297.34**
CASH CONTRIBUTIONS AND WITHDRAWALS						
	RCV FROM ACT INFO	08/10/06		n/a	125,000.00	n/a
	SELECT INITIAL FEE	09/22/06		n/a	(529.89)	n/a
	SELECTS QTRLY FEE	10/18/06		n/a	(943.27)	n/a
	TOTAL CASH CONTRIBUTIONS AND WITHDRAWALS			**$n/a**	**$123,526.84**	**$n/a**
DIVIDENDS AND INTEREST						
	Columbia Cash Reserves Daily	08/30/06		n/a	266.95	n/a

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Transactions
January 1, 2006 - December 31, 2006

LAMAR SMITH

Alliance Capital Intl Adr'S
International Equity

Quantity	Description	Trade Date	Unit Price	Total Cost	Total Proceeds	Gain/ Loss
	Columbia Cash Reserves Daily	09/28/06		n/a	5.32	n/a
	Ipsco Inc Com	09/29/06		n/a	4.11	n/a
	Canadian Nat Res Ltd	10/01/06		n/a	1.77	n/a
	Barclays Plc ADR	10/02/06		n/a	59.71	n/a
	Petrochina Co Ltd Sponsored ADR	10/06/06		n/a	35.53	n/a
	Corus Group Plc ADR Sponsored	10/16/06		n/a	8.83	n/a
	Societe Generale France ADR Sponsored	10/23/06		n/a	30.65	n/a
	Columbia Cash Reserves Daily	10/30/06		n/a	1.66	n/a
	S P A ADR Sponsored	11/02/06		n/a	86.77	n/a
	Columbia Cash Reserves Daily	11/29/06		n/a	5.23	n/a
	Honda Mtr Ltd(5) Kyo 92.550000 Kyoc	12/04/06		n/a	11.31	n/a
	Bp Amoco Ads	12/04/06		n/a	10.61	n/a
	Nissan Mtrs	12/05/06		n/a	18.14	n/a
	Toyota Mtr Corp ADR 1 Sh	12/07/06		n/a	34.04	n/a
	Royal & Sun Alliance Ins Gro ADR Spon New	12/07/06		n/a	25.88	n/a
	Bae Sys Plc Sponsored ADR	12/07/06		n/a	49.25	n/a
	Total Fina Elf S A ADR Sponsored	12/11/06		n/a	66.57	n/a
	Mitsubishi Corp ADR Sponsrd	12/11/06		n/a	11.94	n/a

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Transactions
January 1, 2006 - December 31, 2006

LAMAR SMITH

Alliance Capital Intl Adr'S
International Equity

Quantity	Description	Trade Date	Unit Price	Total Cost	Total Proceeds	Gain/ Loss
	Sharp Corp	12/15/06		n/a	17.41	n/a
	Mittal Steel Co Cl A	12/15/06		n/a	11.88	n/a
	Kyocera Corp ADR	12/18/06		n/a	6.84	n/a
	Mitsubishi Ufj Financial Group Inc	12/18/06		n/a	6.16	n/a
	Nippon Teleg & Tel Corp ADR Sponsored	12/19/06		n/a	18.08	n/a
	Columbia Cash Reserves Daily	12/28/06		n/a	6.27	n/a
	Ipsco Inc Com	12/29/06		n/a	3.96	n/a
	TOTAL DIVIDENDS AND INTEREST			**$n/a**	**$804.87**	**$n/a**

Transactions
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LAMAR SMITH

Putnam Small Cap Value
Small-Cap Value

Quantity	Description	Trade Date	Unit Price	Total Cost	Total Proceeds	Gain/ Loss
PURCHASES						
125	Central Vt Pub Svc	11/08/06	21.54	2,692.37		
42	Diodes Inc	11/08/06	40.40	1,696.80		
137	Kimball Intl Inc Cl B	11/08/06	23.82	3,263.33		
27	Mts Sys Corp Com	11/08/06	33.87	914.49		
32	Texas Inds Inc Com	11/08/06	61.51	1,968.32		
14	New Jersey Res Corp Com	11/08/06	51.57	721.98		
210	Rpc Inc Com	11/08/06	14.86	3,120.60		
181	Diamond Mgmt & Technology Com	11/08/06	11.14	2,016.32		
191	Spectrum Brands Inc	11/08/06	8.98	1,715.18		
41	Sierra Health Svcs Inc Com	11/08/06	33.73	1,382.93		
183	Highland Hospitality Corp Reits	11/08/06	13.48	2,466.22		
188	Franklin Bk Corp Del Com	11/08/06	19.23	3,614.94		
16	Selective Ins Group Inc Com	11/08/06	54.84	877.44		
97	Independent Bk Corp Mass	11/08/06	34.64	3,360.07		
43	Lone Star Technologies Inc	11/08/06	50.00	2,150.00		
85	Amcore Finl Inc Com	11/08/06	31.41	2,669.85		
159	Luminent Mtg Cap Inc Com	11/08/06	10.74	1,707.66		
217	Mosys Inc Com	11/08/06	7.91	1,716.45		
109	Cato Corp New Cl A	11/08/06	22.59	2,462.31		
109	Alfa Corp	11/08/06	18.89	2,059.01		
195	Timberland Co	11/08/06	30.31	5,910.45		
77	City Hldg Co Com	11/08/06	39.32	3,027.63		
41	Firstfed Finl Corp	11/08/06	61.49	2,521.09		

Transactions
January 1, 2006 - December 31, 2006

LAMAR SMITH

Putnam Small Cap Value
Small-Cap Value

Quantity	Description	Trade Date	Unit Price	Total Cost	Total Proceeds	Gain/ Loss
73	Sciele Pharma Inc Com	11/08/06	21.80	1,591.40		
68	Building Matls Hldg Corp Com	11/08/06	25.09	1,706.12		
56	Hornbeck Offshore Svcs Inc Ncom	11/08/06	36.93	2,068.08		
111	Cabot Oil & Gas Corp	11/08/06	32.71	3,630.66		
53	Urs Corp New	11/08/06	41.58	2,203.74		
183	Mortons Restaurant Grp Inc Ncom	11/08/06	16.22	2,968.26		
290	Opteum Inc Cl A	11/08/06	8.00	2,318.56		
283	Par Pharmaceutical Companies Inc	11/08/06	18.66	5,281.88		
96	Xyratex Ltd Com Bermuda	11/08/06	21.85	2,097.59		
36	Helix Energy Solutions Grp Inc	11/08/06	31.77	1,143.72		
44	Arkansas Best Corp Del	11/08/06	40.07	1,763.08		
106	Bc Aerospace Inc	11/08/06	25.30	2,681.79		
101	Encore Wire Corp	11/08/06	24.70	2,494.40		
193.50	Sterling Bancshares Inc Com	11/08/06	12.07	2,336.19		
39	Insituform Technologies Inc Cl A	11/08/06	23.33	909.87		
92	Aptargroup Inc	11/08/06	28.52	2,623.84		
103	St Mary Ld & Expl Co	11/08/06	39.57	4,075.71		
80	Labor Ready Inc Com New	11/08/06	17.95	1,436.00		
146	Northstar Rlty Fin Corp Com	11/08/06	14.78	2,158.48		
84	Deckers Outdoor Corp	11/08/06	53.37	4,483.07		
56	Schnitzer Stl Inds Inc Cl A	11/08/06	40.79	2,284.23		

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January 1, 2006 - December 31, 2006

LAMAR SMITH

Putnam Small Cap Value
Small-Cap Value

Quantity	Description	Trade Date	Unit Price	Total Cost	Total Proceeds	Gain/ Loss
151	Emulex Corp New	11/08/06	19.53	2,949.03		
56	Blyth Inc	11/08/06	24.06	1,347.36		
144	Schawk Inc Cl A	11/08/06	19.99	2,878.56		
154	Insight Enterprises	11/08/06	22.07	3,398.78		
36	Commerce Group Inc Mass	11/08/06	30.01	1,080.36		
41	Pediatrix Med Group	11/08/06	47.78	1,958.98		
61	Alpharma Inc Cl A	11/08/06	22.15	1,351.15		
158	Bankatlantic Bancorp Inc Cl A Non Vtg	11/08/06	13.52	2,136.16		
81	Payless Shoesource Inc	11/08/06	27.73	2,246.13		
34	Imation Corp Com	11/08/06	44.99	1,529.66		
57	Rofin Sinar Technologies Inccom	11/08/06	63.55	3,622.34		
184	Radyne Comstream Inc Com Par $.002	11/08/06	9.99	1,837.25		
52	Silgan Hldgs Inc Com	11/08/06	41.43	2,154.35		
121	General Cable Corp	11/08/06	41.41	5,010.61		
127	Horizon Health Corp Com	11/08/06	15.36	1,950.71		
96	Getty Rlty Corp New Com	11/08/06	29.31	2,813.76		
69	Midwest Banc Hldgs Inc Com	11/08/06	23.00	1,587.00		
111	Pss World Med Inc	11/08/06	19.95	2,214.45		
47	Lasalle Hotel Pptys	11/08/06	42.44	1,994.68		
74	Prosperity Bancshares Inc Com	11/08/06	34.69	2,567.05		
54	American Axle & Mfg Hldgs In	11/08/06	18.41	994.14		
75	Zale Corp New	11/08/06	28.46	2,134.50		

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LAMAR SMITH

Putnam Small Cap Value
Small-Cap Value

Quantity	Description	Trade Date	Unit Price	Total Cost	Total Proceeds	Gain/ Loss
111	Tenneco Automotive Inc Com	11/08/06	22.21	2,465.31		
403	Earthlink Inc	11/08/06	6.66	2,683.98		
178	Sensient Technologies Corp Com	11/08/06	23.28	4,142.97		
172	Elizabeth Arden Inc	11/08/06	18.37	3,158.83		
177	Phoenix Cos Inc New	11/08/06	16.09	2,847.93		
404	Arris Group Inc	11/08/06	11.78	4,759.12		
177	Sws Group Inc	11/08/06	19.91	3,524.08		
74	Aeropostale	11/08/06	28.76	2,128.24		
252	Overland Storage Inc Com	11/08/06	4.48	1,128.96		
521	Cincinnati Bell	11/08/06	4.45	2,318.45		
57	Insituform Technologies Inc Cl A	11/14/06	25.07	1,428.98		
103	Sterling Construction Co Inccom	11/20/06	23.72	2,443.15		
281	Possis Med Inc Com	12/01/06	12.11	3,404.09		
156	A C Moore Arts & Crafts Inc Com	12/06/06	22.26	3,472.54		
	TOTAL PURCHASES			**$195,955.75**		
SALES						
46	Archer Daniels	11/08/06	35.47	n/a	1,631.56	n/a
80	General Dynamics Corp	11/08/06	70.32	n/a	5,625.42	n/a
58	Lennar Corp	11/08/06	44.29	n/a	2,568.74	n/a
168	Lowes Cos Inc	11/08/06	29.32	n/a	4,925.60	n/a

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Transactions
January 1, 2006 - December 31, 2006

LAMAR SMITH

Putnam Small Cap Value
Small-Cap Value

Quantity	Description	Trade Date	Unit Price	Total Cost	Total Proceeds	Gain/ Loss
118	Motorola Inc	11/08/06	21.53	n/a	2,540.46	n/a
29	Pepsico Inc	11/08/06	63.49	n/a	1,841.15	n/a
141	Proctor & Gamble Com.	11/08/06	64.07	n/a	9,033.59	n/a
90	Schlumberger	11/08/06	64.82	n/a	5,833.62	n/a
113	Texas Instrs Inc	11/08/06	29.22	n/a	3,301.75	n/a
59	Union Pac Corp	11/08/06	91.52	n/a	5,399.51	n/a
58	United Technologies	11/08/06	65.01	n/a	3,770.46	n/a
51	Toyota Mtr Corp ADR 1 Sh	11/08/06	123.12	n/a	6,278.92	n/a
58	Genzyme Corp	11/08/06	66.81	n/a	3,874.87	n/a
40	Progressive Corp Ohio	11/08/06	22.99	n/a	919.57	n/a
74	Mgm Grand Inc Com	11/08/06	44.97	n/a	3,327.67	n/a
176	Cisco Sys Inc	11/08/06	25.02	n/a	4,403.40	n/a
67	Genworth Finl Inc Com Cl A	11/08/06	32.23	n/a	2,159.34	n/a
49	Amylin Pharmaceuticals Inc	11/08/06	44.07	n/a	2,159.36	n/a
88	Starbucks Corp	11/08/06	37.15	n/a	3,269.10	n/a
75	Las Vegas Sands Corp Com	11/08/06	77.75	n/a	5,831.07	n/a
127	Lehman Brothers Hldgs	11/08/06	74.50	n/a	9,461.20	n/a
66	Lockheed Martin Corp	11/08/06	85.94	n/a	5,671.86	n/a
82	Burlington Northn Santa	11/08/06	78.43	n/a	6,431.49	n/a
184	Wells Fargo New	11/08/06	36.76	n/a	6,763.63	n/a
60	Goldman Sachs Group Inc Com	11/08/06	187.69	n/a	11,261.65	n/a
129	Genentech Inc Com New(5)	11/08/06	83.54	n/a	10,776.32	n/a
	108					
60	Fedex Corp	11/08/06	114.40	n/a	6,863.78	n/a
65	Target	11/08/06	57.53	n/a	3,739.33	n/a
269	Unitedhealth Group Inc	11/08/06	47.56	n/a	12,793.24	n/a
98	Monsanto Co New	11/08/06	44.22	n/a	4,333.42	n/a

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LAMAR SMITH

Putnam Small Cap Value
Small-Cap Value

Quantity	Description	Trade Date	Unit Price	Total Cost	Total Proceeds	Gain/ Loss
38	Kb Home Com	11/08/06	42.09	n/a	1,599.37	n/a
77	America Movil S A De C V Spons ADR L Shs	11/08/06	43.15	n/a	3,322.44	n/a
88	Yum Brands Inc Com	11/08/06	59.35	n/a	5,222.63	n/a
202	Comcast Corp New Cl A	11/08/06	40.83	n/a	8,247.42	n/a
68	Kimball Intl Inc Cl B	11/14/06	23.99	1,619.76	1,631.26	11.50
27	Mts Sys Corp Com	11/14/06	34.33	914.49	926.88	12.39
57	Be Aerospace Inc	11/14/06	26.25	1,442.09	1,496.00	53.91
36	Helix Energy Solutions Grp Inc	12/06/06	35.33	1,143.72	1,271.84	128.12
49	Be Aerospace Inc	12/06/06	26.39	1,239.70	1,293.07	53.37
41	Pediatrix Med Group	12/06/06	48.96	1,958.98	2,007.16	48.18
0.50	Sterling Bancshares Inc Com	12/20/06		6.04		(6.04)
	TOTAL SALES			$8,324.78	$183,809.15	$301.43
CONTRIBUTIONS AND WITHDRAWALS						
46	Archer Daniels	01/01/70		n/a		n/a
80	General Dynamics Corp	01/01/70		n/a		n/a
58	Lennar Corp	01/01/70		n/a		n/a
168	Lowes Cos Inc	01/01/70		n/a		n/a
118	Motorola Inc	01/01/70		n/a		n/a
29	Pepsico Inc	01/01/70		n/a		n/a
141	Proctor & Gamble Com.	01/01/70		n/a		n/a
90	Schlumberger	01/01/70		n/a		n/a
113	Texas Instrs Inc	01/01/70		n/a		n/a

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LAMAR SMITH

Putnam Small Cap Value
Small-Cap Value

Quantity	Description	Trade Date	Unit Price	Total Cost	Total Proceeds	Gain/ Loss
59	Union Pac Corp	01/01/70		n/a		n/a
58	United Technologies	01/01/70		n/a		n/a
51	Toyota Mtr Corp ADR 1 Sh	01/01/70		n/a		n/a
58	Genzyme Corp	01/01/70		n/a		n/a
40	Progressive Corp Ohio	01/01/70		n/a		n/a
74	Mgm Grand Inc Com	01/01/70		n/a		n/a
176	Cisco Sys Inc	01/01/70		n/a		n/a
67	Genworth Finl Inc Com Cl A	01/01/70		n/a		n/a
49	Amylin Pharmaceuticals Inc	01/01/70		n/a		n/a
88	Starbucks Corp	01/01/70		n/a		n/a
75	Las Vegas Sands Corp Com	01/01/70		n/a		n/a
127	Lehman Brothers Hldgs	01/01/70		n/a		n/a
66	Lockheed Martin Corp	01/01/70		n/a		n/a
82	Burlington Northn Santa	01/01/70		n/a		n/a
184	Wells Fargo New	01/01/70		n/a		n/a
60	Goldman Sachs Group Inc Com	01/01/70		n/a		n/a
129	Genentech Inc Com New(5) 108	01/01/70		n/a		n/a
60	Fedex Corp	01/01/70		n/a		n/a
65	Target	01/01/70		n/a		n/a
269	Unitedhealth Group Inc	01/01/70		n/a		n/a
98	Monsanto Co New	01/01/70		n/a		n/a
38	Kb Home Com	01/01/70		n/a		n/a
77	America Movil S A De C V Spons ADR L Shs	01/01/70		n/a		n/a

Transactions
January 1, 2006 - December 31, 2006

LAMAR SMITH

Putnam Small Cap Value
Small-Cap Value

Quantity	Description	Trade Date	Unit Price	Total Cost	Total Proceeds	Gain/ Loss
88	Yum Brands Inc Com	01/01/70		n/a		n/a
202	Comcast Corp New Cl A	01/01/70		n/a		n/a
	TOTAL CONTRIBUTIONS AND WITHDRAWALS			**$n/a**		**$n/a**
CASH CONTRIBUTIONS AND WITHDRAWALS						
	RCV FROM ACT INFO	11/06/06		n/a	16,216.13	n/a
	SELECTS INITIAL FEE	12/08/06		n/a	(873.79)	n/a
	TOTAL CASH CONTRIBUTIONS AND WITHDRAWALS			**$n/a**	**$15,342.34**	**$n/a**
DIVIDENDS AND INTEREST						
	Lennar Corp	11/15/06		n/a	9.28	n/a
	Kb Home Com	11/22/06		n/a	9.50	n/a
	Cabot Oil & Gas Corp	11/27/06		n/a	2.64	n/a
	Schnitzer Stl Inds Inc Cl A	11/27/06		n/a	0.95	n/a
	Columbia Cash Reserves Daily	11/29/06		n/a	30.77	n/a
	Selective Ins Group Inc Com	12/01/06		n/a	3.52	n/a
	Alfa Corp	12/01/06		n/a	11.99	n/a
	Wells Fargo New	12/01/06		n/a	51.52	n/a
	Diamond Mgmt & Technology Com	12/08/06		n/a	54.30	n/a
	Commerce Group Inc Mass	12/08/06		n/a	9.00	n/a
	Amcore Finl Inc Com	12/11/06		n/a	15.73	n/a

Transactions
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LAMAR SMITH

Putnam Small Cap Value
Small-Cap Value

Quantity	Description	Trade Date	Unit Price	Total Cost	Total Proceeds	Gain/ Loss
	Silgan Hldgs Inc Com	12/15/06		n/a	6.24	n/a
	Lasalle Hotel Pptys	12/15/06		n/a	6.58	n/a
	Sterling Bancshares Inc Com	12/22/06		n/a	6.35	n/a
	Columbia Cash Reserves Daily	12/28/06		n/a	14.66	n/a
	American Axle & Mfg Hldgs In	12/28/06		n/a	8.10	n/a
	Schawk Inc Cl A	12/29/06		n/a	4.68	n/a
	Imation Corp Com	12/29/06		n/a	4.76	n/a
	TOTAL DIVIDENDS AND INTEREST			**$n/a**	**$250.57**	**$n/a**

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2006

FORM A
For use by Members, officers, and employees

HAND DELIVERED

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U.S. HOUSE OF REPRESENTATIVES

HC

Lamar Smith
(Full Name)

2409 Raybum HOB Washington, DC 20515
(Mailing Address)

202-225-4236
Daytime Telephone:

Filer Status ☑ Member of the U.S. House of Representatives State: TX District: 21 ☐ Officer Or Employee Employing Office:

Termination Date:

Report Type ☐ Annual (May 15) ☑ Amendment ☐ Termination

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? Yes ☑ No ☐
If yes, complete and attach Schedule I.

II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? Yes ☐ No ☑
If yes, complete and attach Schedule II.

III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? Yes ☑ No ☐
If yes, complete and attach Schedule III.

IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? Yes ☑ No ☐
If yes, complete and attach Schedule IV.

V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? Yes ☐ No ☑
If yes, complete and attach Schedule V.

VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? Yes ☐ No ☑
If yes, complete and attach Schedule VI.

VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? Yes ☐ No ☑
If yes, complete and attach Schedule VII.

VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? Yes ☐ No ☑
If yes, complete and attach Schedule VIII.

IX. Did you have any reportable agreement or arrangement with an outside entity? Yes ☐ No ☑
If yes, complete and attach Schedule IX.

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-- Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? Yes ☐ No ☑

Exemptions-- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Yes ☐ No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.

Signature of Reporting Individual: Lamar Smith

Date (Month, Day, Year): 6-11-07

6693

SCHEDULE I - EARNED INCOME

Name Lamar Smith

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Christian Science Practitioner and lecturer	earnings	N/A

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Lamar Smith

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
19 Warren Street Barnstable, MA	$250,001 - $500,000	RENT	$5,001 - $15,000	Other
425 Soledad San Antonio, TX	$100,001 - $250,000	CAPITAL GAINS/Capital loss	NONE	S
Banc of America	$1,001 - $15,000	INTEREST	$201 - $1,000	Other
Banc of America	$250,001 - $500,000	DIVIDENDS	$15,001 - $50,000	Other
Frost Bank	$15,001 - $50,000	INTEREST	$201 - $1,000	Other
Lago Vista Joint Venture	$15,001 - $50,000	INTEREST	$5,001 - $15,000	Other

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Lamar Smith

Life Tenant, undivided (25%) surface and mineralInterest of Lamar Seeligson Ranch, Premont TX	$1,000,001-$5,000.000	RENT/Royalties/surface lease	$50,001 - $100,000	Other
Wachovia	$15,001 - $50,000	INTEREST	$1,001 - $2,500	Other
Wright Patman Federal Credit Union	$1,001 - $15,000	INTEREST	$1 - $200	Other

SCHEDULE IV - TRANSACTIONS

Name Lamar Smith

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Bank of America (see attached document)	S	dividens	$100,001 - $250,000
	19 Warren Street Barnstable, MA	Other	rent	$1,001 - $15,000
	Banc of America	Other	interest	$1,001 - $15,000
	Life Tenant, undivided (25%) surface and mineralInterest of Lamar Seeligson Ranch, Premont TX	Other	rent/royalties/surface lease	$50,001 - $100,000
	Wachovia	Other	interest	$1,001 - $15,000
JT	Lago Vista Joint Venture	Other	real estate	$1,001 - $15,000
JT	Buena Vista Joint Venture	P	vacant land 12-15-2006	$100,001 - $250,000
	425 Soledad San Antonio, TX	Other	real estate - capital loss	$100,001 - $250,000

UNITED STATES HOUSE OF REPRESENTATIVES

2007 FINANCIAL DISCLOSURE STATEMENT

FORM A
For use by Members, officers, and employees

LEGISLATIVE RESOURCE CENTER
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MC
(Office Use Only)

Victor F. Snyder
(Full Name)
1330 Longworth Bldg.
Washington D.C.
(Mailing Address)
202-225-2506
Daytime Telephone:

Filer Status	[X] Member of the U.S. House of Representatives — State: AR District: 2	[ ] Officer or Employee	Employing Office:
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination — Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes [ ]	No [X]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ]	No [X]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X]	No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ]	No [X]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, §104 and 18 U.S.C. §1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.		May 15, 2007

6698

Name Vic Snyder	Page 2 of 9

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	Quapaw Quarter United Methodist Church	Spouse Salary	N.A.

For payments to charity in lieu of honoraria, use Schedule II.

6699

SCHEDULE II — PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
Examples: Association of American Associations, Washington, DC	Speech	Feb. 2, 2006	$2,000
XYZ Magazine	Article	Aug. 13, 2006	$500
None			

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Name Vic Snyder

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the name of the business, the nature of the business, and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

Check all columns that apply.
Check "None" if asset did not generate any income during the calendar year.

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as Income. Check "None" if no income was received.

BLOCK E — Transaction

Indicate if the asset had purchases (P), sales (S), or exchanges (E) exceeding $1000 in reporting year.

SP, DC, JT	Asset	A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP	Mega Corp. Stock					X								X										X								P
DC	*Examples:* Simon & Schuster			Indefinite																Royalties									X			
JT	1st Bank of Paducah, KY accounts						X									X										X						
	US Treasury Notes					$ 0											X					X										S
	DWS Scudder Latin Amer Fund				$ 13,825										error		X			error				X								P
	Vang. Treas. Money MKT.				$ 527												X					X										
	Vanguard Total Stock Mkt Index				$ 99,530										X									X								P
	Vang. Treas. Money Mkt (SEP-IRA)				$ 36,998																	NA	retirement									
	Vang. Tot. Stock (SEP-IRA)				$ 9,933																	NA	retirement									

For additional assets and unearned income, use next page.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Vic Snyder

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset	BLOCK C Type of Income	BLOCK D Amount of Income	BLOCK E Transaction
		A None; B $1 – $1,000; C $1,001 – $15,000; D $15,001 – $50,000; E $50,001 – $100,000; F $100,001 – $250,000; G $250,001 – $500,000; H $500,001 – $1,000,000; I $1,000,001 – $5,000,000; J $5,000,001 – $25,000,000; K $25,000,001 – $50,000,000; L Over $50,000,000	NONE; DIVIDENDS; RENT; INTEREST; CAPITAL GAINS; EXCEPTED/BLIND TRUST; Other Type of Income (Specify)	I None; II $1 – $200; III $201 – $1,000; IV $1,001 – $2,500; V $2,501 – $5,000; VI $5,001 – $15,000; VII $15,001 – $50,000; VIII $50,001 – $100,000; IX $100,001 – $1,000,000; X $1,000,001 – $5,000,000; XI Over $5,000,000	P, S, E
	Ark. Planned Deferred Comp	D $44,407		NA - retirement	
	AIM - European Growth (IRA)	D $21,457		NA - Retirement	P
	Wells Fargo Emerg Mkt (IRA)	D $15,416		NA Retirement	
	Dreyfus Money Mkt - Liquid Assets (IRA)	D $5,915		NA Retirement	
	Dreyfus Founders Discovery (IRA)	D $8,474		NA Retirement	
	Janus Venture	D $19,512	X	X	P
SP	Paddington Partners (IRA)	D $85,184	(held By Bank of McGehee)	NA Retirement	P
SP	Monsanto Pension Plan	D $134,482	(Gen'l. Bd. of Pensions & Health of U.M.C)	N.A	P
SP	"Personal Investment Plan"	D $58,197	(Gen'l. Bd. of Pensions & Health of U.M.C)	N.A	P
	~~"[illegible]"~~				~~P~~

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SCHEDULE IV — TRANSACTIONS

Name Vic Snyder

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10–12–06		X								
	US Treasury Note		X		6/30/06	X									
	Jaws Venture	X			monthly	Auto. Invest $100/month; Div Reinvest									
	Vanguard Total Stock Mkt. Fund	X			automatic	X	Dividend Re-investment total								
SP	"Ministerial Pension Plan"-UMC	X			monthly	X	(total for year)								
SP	"Personal Investment Plan"-UMC	X			monthly	X	(total for year)								
SP	Paddington Partners-IRA	X			automatic	X	(Dividend/cap gains Reinvestment)								
	AIM European Growth Fund-IRA	X			~~Automatic~~	X	(cap. gains + dividend Reinvestment)								
	DWS Scudder Latin Amer fund	X			Automatic	X	(cap gains + Dividend Reinvestment)								

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Name Vic Snyder | Page 7 of 9

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
	None											

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $305 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $122 or less need not be added towards the $305 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$325
None		

Use additional sheets if more space is required.

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Name Vic Snyder | Page 8 of 9

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were paid directly by the sponsor or were paid by you and reimbursed by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	City of Departure—Destination—City of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Number of days not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	DC—Chicago—DC	N	N	N	None
	Roycroft Corporation	Aug. 6–11	DC—Los Angeles—Cleveland	Y	Y	Y	2 Days
	None						

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SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
None	

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
	None	

GPO: 2007 33-427 (mac)

Use additional sheets if more space is required.

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2006

FORM A For use by Members, officers, and employees

HAND DELIVERED

2007 MAY -9 PM 1:23

(Office Use Only)

MC (4)

Hilda Lucia Solis
(Full Name)

11930 Cherrylee Drive El Monte, CA 91732
(Mailing Address)

(202) 225-5464
Daytime Telephone:

Filer Status ☑ Member of the U.S. House of Representatives State: CA District: 32 ☐ Officer Or Employee Employing Office:

Report Type ☑ Annual (May 15) ☐ Amendment ☐ Termination Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☑ No ☐	
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐ No ☑	
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☑ No ☐	
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☐ No ☑	
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☐ No ☑	
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐ No ☑	
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☑ No ☐	
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☐ No ☑	
IX.	Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐ No ☑	

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and wilfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Hilda L Solis	5/9/07

6707

SCHEDULE I - EARNED INCOME

Name Hilda Lucia Solis

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Sam's Foreign and Domestic Auto Center	Spouse Salary	N/A

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Hilda Lucia Solis

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
	LSW Retirement Account	$15,001 - $50,000	N/A	NONE	
JT	Palm Springs Tennis Club Time Share	$1,001 - $15,000	N/A	NONE	
SP	Sam's Foreign/Domestic Auto Repair	$50,001 - $100,000	N/A	NONE	
JT	State of CA Savings Plan Program	$15,001 - $50,000	N/A	NONE	
JT	Wells Fargo Bank Money Market Account	$50,001 - $100,000	INTEREST	$201 - $1,000	

6709

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name Hilda Lucia Solis

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's expense
Natl Assoc. Of Latino Elected Officials	June 23-24	Los Angeles-Dallas-Los Angeles	Y	N	N	N/A
Walter Kaitz Foundation	Sept. 13-14	DC-New York, New York-DC	Y	N	N	N/A
New Hampshire College and University Council	Sept. 15-16	DC-Manchester, NH-Milwaukee, WI	Y	N	N	N/A

UNITED STATES HOUSE OF REPRESENTATIVES

2007 FINANCIAL DISCLOSURE STATEMENT

FORM A
For use by Members, officers, and employees

LEGISLATIVE RESOURCE CENTER
2007 MAY 15 PM 4:42
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

MC HAND DELIVERED
(Office Use Only)

Mark E. Souder
(Full Name)

2427 Windsong Ct.
Fort Wayne, IN 46804
(Mailing Address)

Daytime Telephone: 202-225-4436

Filer Status	[X] Member of the U.S. House of Representatives — State IN District 03	[ ] Officer or Employee — Employing Office:	**A *$200 penalty* shall be assessed against anyone who files more than 30 days late.**
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination — Termination Date:

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [X]	No [ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [ ]	No [X]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ]	No [X]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Mark E Souder	May 15, 2007

6711

Name	Page
Mark E. Souder	2 of 6

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	Diane K. Souder - Consultant / Discovery Toys	Spouse Proprietorship	$ 707
	Historic Souders of Grabill	Partner / Payment	-0-
	H. Souder & Sons, Grabill STORE	RENT	$ 1800

For payments to charity in lieu of honoraria, use Schedule II.

6712

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Name: Mark E. Souder

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the name of the business, the nature of the business, and its geographic location. For additional information, see the Instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.

If you use a valuation method other than fair market value, please specify the method used.

If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

Check all columns that apply.

Check "None" if asset did not generate any income during the calendar year.

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was received.

BLOCK E — Transaction

Indicate if the asset had purchases (P), sales (S), or exchanges (E) exceeding $1000 in reporting year.

	Block A	Block B: None	A: $1 – $1,000	B: $1,001 – $15,000	C: $15,001 – $50,000	D: $50,001 – $100,000	E: $100,001 – $250,000	F: $250,001 – $500,000	G: $500,001 – $1,000,000	H: $1,000,001 – $5,000,000	I: $5,000,001 – $25,000,000	J: $25,000,001 – $50,000,000	K: Over $50,000,000	Block C: NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	Block D: None	I: $1 – $200	II: $201 – $1,000	III: $1,001 – $2,500	IV: $2,501 – $5,000	V: $5,001 – $15,000	VI: $15,001 – $50,000	VII: $50,001 – $100,000	VIII: $100,001 – $1,000,000	IX: $1,000,001 – $5,000,000	X: Over $5,000,000	Block E: P, S, E
SP	SP Mega Corp. Stock					X								X										X							P
DC	Examples: Simon & Schuster		Indefinite																	Royalties									X		
JT	1st Bank of Paducah, KY accounts						X									X										X					
	1. Souder's General Store (H. Souder & Sons)				X										X									X							

For additional assets and unearned income, use next page.

6713

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Mark E. Souder

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
	2. Historic Souders of Grabill / Partnership Interest					X										X				(partnership income)	X											
	3. Raymond James Investment				X	(total of all the following)									X								X									
	Applied Materials			X																												
	Biomet			X																												
	Boeing			X																												
	Bristol Myers Squibb			X																												
	Calloway Golf			X																												
	EMC Corporation			X																												
	Int'l Flavors & Fragrance			X																												
	Lockheed Martin			X																												
	Steel Dynamics			X																												
	Tower Financial			X																												
	Washington Post			X																												
	4. IMMA - Grabill Bank (Indiv. Money Market Acct)			X	(9,274.89)												X							X								
	5. CD - Grabill Bank			X	(11,549.4)												X						X									
	6. CD - Grabill Bank					X	(92,261.93)										X							X								

This page may be copied if more space is required.

Name Mark E. Souder | Page 5 of 6

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were paid directly by the sponsor or were paid by you and reimbursed by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	City of Departure—Destination—City of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Number of days not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	DC—Chicago—DC	N	N	N	None
	Roycroft Corporation	Aug. 6–11	DC—Los Angeles—Cleveland	Y	Y	Y	2 Days
	Int'l Management & IMDI - Development Institute	May 27 - June 3	Washington, DC - Madrid Madrid - Fort Wayne	Y	Y	Y	4

This page may be copied if more space is required.

6715

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Board of Directors	Indiana Historic Landmarks Foundation
Board of Directors	Our Country Home
Managing Partner	Historic Sonders of Grabill

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement

GPO: 2007 33-427 (mac)

Use additional sheets if more space is required.

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2006

FORM A — For use by Members, officers, and employees

HAND DELIVERED
LEGISLATIVE RESOURCE CENTER
2007 MAY 15 PM 3:08
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
(Office Use Only)

MC

Zachary T Space
(Full Name)

315 Cannon HOB Washington, DC 20515
(Mailing Address)

202-225-6265
Daytime Telephone

Filer Status: ☑ Member of the U.S. House of Representatives — State: OH District: 18 ☐ Officer Or Employee — Employing Office:

Report Type: ☑ Annual (May 15) ☐ Amendment ☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? — Yes ☑ No ☐
If yes, complete and attach Schedule I.

II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? — Yes ☐ No ☑
If yes, complete and attach Schedule II.

III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? — Yes ☑ No ☐
If yes, complete and attach Schedule III.

IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? — Yes ☐ No ☑
If yes, complete and attach Schedule IV.

V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? — Yes ☑ No ☐
If yes, complete and attach Schedule V.

VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? — Yes ☐ No ☑
If yes, complete and attach Schedule VI.

VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? — Yes ☐ No ☑
If yes, complete and attach Schedule VII.

VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? — Yes ☑ No ☐
If yes, complete and attach Schedule VIII.

IX. Did you have any reportable agreement or arrangement with an outside entity? — Yes ☐ No ☑
If yes, complete and attach Schedule IX.

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-- Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? — Yes ☐ No ☑

Exemptions-- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? — Yes ☐ No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See *5 U.S.C. app. 4, § 104 and U.S.C. § 1001*).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.		5/15/07

6717

SCHEDULE I - EARNED INCOME

Name Zachary T Space

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Space and Space Co, LPA (Dover, Ohio)	Salary (Prior to taking office)	$500
City of Dover (Dover, Ohio)	Salary (Prior to taking office)	$58,376
City of New Philadelphia (Ohio)	Spouse Salary	NA
Tuscarawas County (Ohio)	Spouse Salary	NA
State of Ohio	Spouse Salary	NA

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Zachary T. Spaco

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
JT	125-127 West High Ave New Philadelphia, OH	$100,001 - $250,000	RENT	$15,001 - $50,000	
JT	714 N. Wooster Ave. Dover, OH	$100,001 - $250,000	RENT	$5,001 - $15,000	
JT	AIM Real Estate Fund	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
JT	First Eagle Global Fund	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
	FNB, Inc (held in Spangel Company, Ltd.)	$50,001 - $100,000	DIVIDENDS	$201 - $1,000	
DC	Gartmore Nationwide Fund Class D Gartmore Mutual Funds	$1,001 - $15,000	DIVIDENDS	$1,001 - $2,500	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Zachary T. Space

JT	Heritage Cas Transfer	$1,001 - $15,000	DIVIDENDS	$201 - $1,000
JT	Huntington Bank Accounts Dover, OH	$1,001 - $15,000	INTEREST	$1 - $200
	Huntington National Bank, Savings Account (held in Spangel Company, Ltd.)	$1,001 - $15,000	INTEREST	$201 - $1,000
JT	Mortgage Receivable Eric and Tammy Dietz	$15,001 - $50,000	INTEREST	NONE
JT	Netbank Inc	$1 - $1,000	DIVIDENDS	$201 - $1,000
SP	Ohio Public Employee Retirement System	$100,001 - $250,000	Retirement Fund	NA
	Ohio Public Employee Retirement System	$15,001 - $50,000	Retirement Fund	NA
	Partial Ownership of Spangel Company, Ltd. Investment Co. Dover, OH	$15,001 - $50,000	DIVIDENDS	$201 - $1,000
JT	Putnam Intl New Opportunities Fund	$1,001 - $15,000	DIVIDENDS	$201 - $1,000
JT	Putnam LTD Duration Govt Inc. Fund	$1,001 - $15,000	DIVIDENDS	$201 - $1,000
JT	Putnam Tax Free High Yield Fund	$1,001 - $15,000	DIVIDENDS	$201 - $1,000
	Quaker Inn, LLC Motel New Philadelphia, OH	$15,001 - $50,000	Partnership	$1,001 - $2,500
JT	Seligman Communications and Information Fund	$1,001 - $15,000	DIVIDENDS	$201 - $1,000

	Slumber, Ltd. Motel New Philadelphia, OH	$100,001 - $250,000	Partnership	NONE	
	Snoozer Limited Motel Strasburg, OH	$250,001 - $500,000	INTEREST Partnership	$5,001 - $15,000	
	Space and Space Co, LPA Law Firm Dover Ohio	$15,001 - $50,000	Scorp	$201 - $1,000	
	State of Ohio Deferred Compensation	$100,001 - $250,000	Retirement Fund	NA	
JT	Time Warner Inc	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	

SCHED .E V - LIABILITIES

Name Zachary T. Spac

Report liab es of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report "revolving charge accounts" (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
JT	Huntington Bank, Dover, Ohio	Mortgage on 123-127 W. High Ave, New Philadelphia, OH	$100,001 - $250,000
JT	Huntington Bank, Dover, Ohio	Mortgage on 714 N. Wooster Ave, Dover, OH	$100,001 - $250,000

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SCHEDULE VIII - POSITIONS

Name Zachary T. Space

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Member	Snoozer Limited
Member	Slumber Limited
Member	Quaker Inn, LLC
Member	Spangel Company, LTD

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UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2006

FORM A
For use by Members, officers, and employees

FORTNEY PETE STARK
(Full Name)

239 CANNON HOB WASHINGTON, DC 20515
(Mailing Address)

2022255065
Daytime Telephone:

Filer Status	☑ Member of the U.S. House of Representative	State: CA District 13	☐ Officer Or Employee — Employing Office
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes ☑ No ☐	
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes ☑ No ☐	
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes ☑ No ☐	
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes ☑ No ☐	
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes ☑ No ☐	
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes ☐ No ☑	
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? If yes, complete and attach Schedule VII.	Yes ☐ No ☑	
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes ☑ No ☐	
IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes ☐ No ☑	

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions–	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and wilfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Pete Stark	5-15-07

SCHEDULE I - EARNED INCOME

Name FORTNEY PETE STARK

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Pete Stark Re-Election Committee	Spouse Consulting Fee	N/A

SCHEDULE II - PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

Name FORTNEY PETE STARK

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. A green envelope for transmitting the list is included in each Member's filing package. Employees may request a green envelope from the Clerk or use a plain envelope that is appropriately labeled.

Source	Activity	Date	Amount
American Clinical Laboratory Association	Speech	Sep. 28, 2006	$1,000
Institute of Management & Administration, Inc.	Speech	Sep. 28, 2006	$1,000
American Hospital Association - The Fund for Political Education	Speech	Jul. 12, 2006	$2,000
WC Research, Inc.	Speech	Apr. 19, 2006	$2,000

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name FORTNEY PETE STARK

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
BED	$250,001 - $500,000	DIVIDENDS	$15,001 - $50,000	S
CHAS. SCHWAB CMA VARIOUS	$500,001 - $1,000,000	INTEREST	$5,001 - $15,000	
DCTH	$100,001 - $250,000	None	NONE	
DODFX	$100,001 - $250,000	DIVIDENDS	$5,001 - $15,000	P
DODGX	$250,001 - $500,000	DIVIDENDS	$5,001 - $15,000	P
FRT	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	

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SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name FORTNEY PETE STARK

	GDW	$50,001 - $100,000	DIVIDENDS	$201 - $1,000	S/E
	GLB/A	$250,001 - $500,000	DIVIDENDS	$15,001 - $50,000	S
	Multi-tenant Warehouse-Littlefield Dr. So. San Francisco, CA	$5,000,001 - $25,000,000	RENT	$1,000,001 - $5,000,000	
	Pappini Stark LLP	$100,001 - $250,000	CAPITAL GAINS	$5,001 - $15,000	
	PGH	$500,001 - $1,000,000	DIVIDENDS	$100,001 - $1,000,000	P
	RENTAL HOUSE 2ND ST. SE,WASH.,DC	$1,000,001 - $5,000,000	RENT	$50,001 - $100,000	
	Richey Oil Co. Texas	$250,001 - $500,000	Other: Royalty	$50,001 - $100,000	
	RNP	$100,001 - $250,000	DIVIDENDS	$15,001 - $50,000	
	SBUX	$15,001 - $50,000	NONE	NONE	
	SRQ	$250,001 - $500,000	DIVIDENDS	$5,001 - $15,000	
	TWGTX	$1,001 - $15,000	None	NONE	
	US SAVINGS BONDS	$1,001 - $15,000	INTEREST	$201 - $1,000	
	UTF	$250,001 - $500,000	DIVIDENDS	$5,001 - $15,000	
	VGSIX	$250,001 - $500,000	DIVIDENDS	$5,001 - $15,000	P

	WB	$50,001 - $100,000	DIVIDENDS	$201 - $1,000	PE

SCHEDULE IV - TRANSACTIONS

Name FORTNEY PETE STARK

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	WB	PE	10-04-06	$50,001 - $100,000
	DODFX	P	02-07-06	$15,001 - $50,000
	DODFX (Omitted in error in 2005)	P	10-05-05	$100,001 - $250,000
	DODFX	P	Various - Dividend reinvestments	$1,001 - $15,000
	GBL/A	S	11-29-06	$250,001 - $500,000
	VGSIX	P	Various - Dividend reinvestments	$1,001 - $15,000
	DODGX	P	Various - Dividend reinvest.	$1,001 - $15,000
	PGH	P	11-02-06	$250,001 - $500,000
	GDW	SE	10-04-06	$50,001 - $100,000
	BED	S	05-05-06	$250,001 - $500,000

SCHEDULE V - LIABILITIES

Name FORTNEY PETE STARK | PAGE 8 of 9

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Raport "revolving charge accounts" (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
	America's Servicing Co.	Loan on rental house - 2nd St. SE Washington D.C.	$500,001 - $1,000,000
	Wells Fargo Mortgage Co.	Loan on rental house - 2nd St. SE Washington D.C.	$250,001 - $500,000
	Reliaster Life Insurance Co.	Mortgage on So. San Francisco Warehouse	$5,000,001 - $25,000,000

SCHEDULE VII - POSITIONS

Name FORTNEY PETE STARK

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
President & Director	Stark Investment Co., Inc. - Fremont, CA
President & Director	Pete Stark Foundation - Fremont, CA

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2006

FORM A — For use by Members, officers, and employees

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Clifford B. Stearns
(Full Name)

2370 Rayburn House Office Building Washington, DC 20515 — (202)-225-5744
(Mailing Address) — Daytime Telephone:

Filer Status	☑ Member of the U.S. House of Representatives — State: FL, District: 06	☐ Officer Or Employee	Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes ☑ No ☐	VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes ☐ No ☑
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes ☐ No ☑	VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? If yes, complete and attach Schedule VII.	Yes ☑ No ☐
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes ☑ No ☐	VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes ☑ No ☐
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes ☑ No ☐	IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes ☐ No ☑
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes ☑ No ☐		**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts--	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Clifford B. Stearns	May 14, 2007

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SCHEDULE I - EARNED INCOME

Name Clifford B. Stearns

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Central Florida Community College	Spouse Salary	N/A

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Clifford B. Stearns

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
	Alarion Bank Stock	$1,001 - $15,000	None	NONE	N/A
	American Express Company	$1,001 - $15,000	DIVIDENDS	$1 - $200	N/A
	AmSouth - Joint Checking Account	$1,001 - $15,000	INTEREST	$1 - $200	N/A
	AmSouth - Matanza Cut Subdivsion Account	$1,001 - $15,000	None	NONE	N/A
	Bank of America	$1,001 - $15,000	None	NONE	N/A
	Campus Credit Union	$1,001 - $15,000	INTEREST	$1 - $200	N/A

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SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Clifford B. Stearns

	CD Alarion Bank	$1,001 - $15,000	INTEREST	$201 - $1,000	N/A
	Congress Federal Credit Union	$1,001 - $15,000	None	NONE	N/A
	First Silver Springs, Inc. (a 100% owned C-Corporation in Silver Springs, FL: Cash, other receivables, investments and debt)	$250,001 - $500,000	DIVIDENDS	$50,001 - $100,000	N/A
SP	Florida Retirement System (Vested in 2006)	$100,001 - $250,000	N/A	N/A	N/A
	Grantham University Common Stock	$50,001 - $100,000	None	NONE	N/A
	Harris Corporation	$1,001 - $15,000	DIVIDENDS	$1 - $200	N/A
	KeyCorp	$1 - $1,000	DIVIDENDS	$1 - $200	N/A
	Mobil-Exxon Corportation	$1,001 - $15,000	DIVIDENDS	$1 - $200	N/A
	Morgan Stanley IRA Dividend Growth Securities A Mutual Fund (Converted From Class B to A in 2006)	$1,001 - $15,000	DIVIDENDS & CAPITAL GAINS	$2,501 - $5,000	N/A
	Morgan Stanley IRA Focus *Growth Fund A (Formerly* American Opportunities Fund B)	$1,001 - $15,000	None	NONE	N/A
	Morgan Stanley IRA Global Dividend Growth Sec A Mutual Fund (Converted From Class B to A in 2006)	$1,001 - $15,000	DIVIDENDS & CAPITAL GAINS	$201 - $1,000	N/A

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Clifford B. Stearns

	Morgan Stanley IRA Liquid Asset Fund	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500	N/A
	Morgan Stanley IRA US Government Securities Tr A Mutual Fund (Converted From Class B to A in 2006)	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	N/A
	Morgan Stanley IRA Utilities Fund A (Converted from Class B to A in 2006)	$1,001 - $15,000	DIVIDENDS & CAPITAL GAINS	$1,001 - $2,500	N/A
	MSDW Liquid Asset Fund	$50,001 - $100,000	DIVIDENDS	$2,501 - $5,000	N/A
	Rental Building (Marina Towers) Alexandria, VA	$250,001 - $500,000	RENT	$15,001 - $50,000	N/A
	Rental Building (Marina Towers) Alexandria, VA (continued)	None	Memo: Rent (net of expenses)	NONE	N/A
	Rental Building (Matanza Cut Subdivision) St. Augustine, FL	$1,000,001 - $5,000,000	RENT	$201 - $1,000	N/A
	Rental Building (Matanza Cut Subdivision) St. Augustine, FL (continued)	None	Memo: Rent (net of expenses)	NONE	N/A
	Rental Building (Old Town Gateway Townhouse) Alexandria, VA	$500,001 - $1,000,000	RENT	$5,001 - $15,000	N/A
	Rental Building (Old Town Gateway Townhouse) Alexandria, VA (continued)	None	Memo: Rent (net of expenses)	NONE	N/A
	Rental Office; 2950 NE 55th Ave, Silver Springs, FL	$100,001 - $250,000	RENT	$5,001 - $15,000	N/A
	Rental Office; 2950 NE 55th Ave, Silver Springs, FL (continued)	None	Memo: Rent (net of expenses)	$5,001 - $15,000	N/A

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Clifford B. Stearns

	Rental Restaurant; 5545 E. Silver Springs Blvd, Silver Springs, Fl	$500,001 - $1,000,000	RENT	$15,001 - $50,000	N/A
	Rental Restaurant; 5545 E. Silver Springs Blvd, Silver Springs, FL (continued)	None	Memo: Rent (net of expenses)	$15,001 - $50,000	N/A
	Stearns House, Inc. (an S-Corp locatd in Silver Springs, FL) Interest in Cash and Debt, 100%	$15,001 - $50,000	Ordinary Income from an S-Corp	$1,001 - $2,500	N/A
	Stearns House, Inc. (an S-Corp located in Silver Springs, FL) (continued)	None	DIVIDENDS	$1,001 - $2,500	N/A
	T Rowe Price New Horizons	$1,001 - $15,000	DIVIDENDS & CAPITAL GAINS	$201 - $1,000	N/A
	T Rowe Price Spectrum Income	$1,001 - $15,000	DIVIDENDS & CAPITAL GAINS	$201 - $1,000	N/A
	T. Rowe Price Emerging Markets	$1,001 - $15,000	DIVIDENDS & CAPITAL GAINS	$201 - $1,000	N/A
	T. Rowe Price Equity Index	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	N/A
	T. Rowe Price High Yield	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500	N/A
	T. Rowe Price Media & Telecom	$1,001 - $15,000	None	NONE	N/A
	T. Rowe Price Science & Technology	$1,001 - $15,000	None	NONE	N/A
	T. Rowe Price SEP IRA Summit Cash Reserves	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500	N/A
	T. Rowe Price Summit Cash Reserves	$1,001 - $15,000	DIVIDENDS	$1 - $200	N/A

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Clifford B. Stearns

	USSA Subscriber's Savings Account	$1,001 - $15,000	DIVIDENDS	$1,001 - $2,500	N/A

SCHEDULE IV - TRANSACTIONS

Name Clifford B. Stearns

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Morgan Stanley IRA Dividend Growth Securities Mutual Fund A (Dividend Reinvestment)	P	Various	$1,001 - $15,000
	Morgan Stanley IRA Utilities Fund A (Dividend Reinvestment)	P	Various	$1,001 - $15,000
	T. Rowe Price High Yield (Dividend Reinvestment)	P	Various	$1,001 - $15,000

SCHEDULE V - LIABILITIES

Name Clifford B. Stearns

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report "revolving charge accounts" (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
	Washington Mutual, Northridge, CA	Mortgage on Marina Towers Rental, Alexandria, VA	$50,001 - $100,000
	Bank of America Mortgage, Charlotte, NC	Mortgage on Old Town Gateway Rental Apartment, Alexandria, VA	$100,001 - $250,000
	Dovenmuehle Mortgage, Inc. Servicer for AmSouthBank, Schaumburg, IL	Mortgage on Matanza Cut Subdivision Rental Home, St. Augustine, FL	$250,001 - $500,000

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name Clifford B. Stearns

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's expense
Government of Canada (MECEA Trip)	7/30/06 - 8/3/06	DC-Ottawa-Calagary-Orlando	Y	Y	N	None

SCHEDULE VIII - POSITIONS

Name Clifford B. Stearns

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
President	First Silver Springs, Inc Ocala, FL
President	Stearns House, Inc Ocala, FL
Boardmember	Air Force Association Alexandria, VA

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UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2006

FORM A For use by Members, officers, and employees

Bartholomew (Bart) T. Stupak
(Full Name)

W-5499 48th Ave Menominee, MI 49858
(Mailing Address)

202/225-4735
Daytime Telephone:

Filer Status	☑ Member of the U.S. House of Representatives — State: MI District: 01	☐ Officer Or Employee	Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes ☑ No ☐	VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes ☐ No ☑
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes ☐ No ☑	VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? If yes, complete and attach Schedule VII.	Yes ☑ No ☐
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes ☑ No ☐	VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes ☑ No ☐
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes ☐ No ☑	IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes ☐ No ☑
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes ☑ No ☐		**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts--	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and wilfully falsifies, or who knowingly and wilfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Bart Stupak	5-15-07

SCHEDULE I - EARNED INCOME

Name Bartholomew (Bart) T. Stupak

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
State of Michigan	Disability Retirement Pension	$18,504
Stupak for Congress	Spouse Salary	N/A

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Bartholomew (Bart) T. Stupak

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.		BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
JT	Bart T. Stupak, PC (stock in inactive law practice, 817 Ninth Ave, Menominee, MI)	$1,001 - $15,000	NA	NONE	
JT	Office - 817 Ninth Ave, Menominee MI	$50,001 - $100,000	Rent	$5,001 - $15,000	
DC	Savings Account - Northern Michigan National Bank	$1,001 - $15,000	Interest	$201 - $1,000	
SP	Accessor Growth Fund (formerly Stepehnson National Bank IRA)	$1,001 - $15,000	Investment Fund (not self directed)	NONE	
SP	Upper Peninsula State Bank	$15,001 - $50,000	Certificate of Deposit (from in-laws)	$1,001 - $2,500	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Bartholomew (Bart) T. Stupak

SP	Bay Bank	$50,001 - $100,000	Certificate of Deposit (from in-laws)	$201 - $1,000	
SP	Nicolet Bank	$15,001 - $50,000	Certificate of Deposit(from in-laws)	$201 - $1,000	
SP	Northern Michigan National Bank	$50,001 - $100,000	Certificate of Deposit (from in-laws)	$1,001 - $2,500	
SP	Stephenson National Bank	$15,001 - $50,000	Certificate of Deposit (from in-laws)	$201 - $1,000	

SCHEDULE V - LIABILITIES

Name Bartholomew (Bart) T. Stupak

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report "revolving charge accounts" (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
JT	Stephenson National Bank	Mortgage - 817 9th Avenue, Menominee, MI	$15,001 - $50,000
JT	AAA Financial Services	Revolving Charge Account	$10,001 - $15,000
DC	T.H.E. Loan	Student Loan	$100,001 - $250,000
JT	Stephen National bank	Mortgage on W5499 48th Ave, Menominee, MI	$500,001 - $1,000,000

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name Bartholomew (Bart) T. Stupak

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's expense
The Commonwealth Fund to the Alliance for Health Reform	Jan 12 - 16	Green Bay, WI - Turnberry Isle - Green Bay, WI	Y	Y	Y	None
Detroit Regional Chamber of Commerce	June 1 - 3	Mackinac Island, MI (In Congressman's District)	Y	Y	Y	None

SCHEDULE VIII - POSITIONS

Name Bartholomew (Bart) T. Stupak

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Owner/Director	Bart Stupak, PC

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2006

FORM A For use by Members, officers, and employees

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(2)

JOHN A. SULLIVAN
(Full Name)

114 Cannon HOB Washington, DC 20515
(Mailing Address)

202-225-2211
Daytime Telephone:

Filer Status ✓ Member of the U.S. House of Representatives — State: OK District: 01 — Officer Or Employee — Employing Office:

Report Type ✓ Annual (May 15) — Amendment — Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

		Yes	No
I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.		✓
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.		✓
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	✓	
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.		✓
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.		✓
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? If yes, complete and attach Schedule VI.		✓
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? If yes, complete and attach Schedule VII.	✓	
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.		✓
IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.		✓

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

		Yes	No
Trusts--	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?		✓
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?		✓

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This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and wilfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	John Sull	5-15-2007

6751

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name JOHN A. SULLIVAN

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
JT American Bank of Oklahoma	$250,001 - $500,000	INTEREST	$5,001 - $15,000	
Bank of Oklahoma stock	$1,001 - $15,000	None	NONE	

6752

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name JOHN A. SULLIVAN

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's expense
American Academy of Opthalmology	Nov 10 - 12	Tulsa - Las Vegas - Tulsa	Y	N	Y	None

FOOTNOTES

Name JOHN A. SULLIVAN

Number	Section / Schedule	Footnote	This note refers to the following item
1	Schedule III	American Bank of Oklahoma - shown as two accounts in 2005 filing, combined into one account in 2006	American Bank of Oklahoma

UNITED STATES HOUSE OF REPRESENTATIVES

2007 FINANCIAL DISCLOSURE STATEMENT

FORM A
For use by Members, officers, and employees

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Betty Sue Sutton
(Full Name)
3825 Royal Rock Road, Copley, Ohio 44321
(Mailing Address)
Daytime Telephone: 330-666-6292

Filer Status	☑ Member of the U.S. House of Representatives — State: Ohio District: 13th	☐ Officer or Employee	Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☑	No ☐	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐	No ☑
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐	No ☑	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☐	No ☑
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☑	No ☐	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☐	No ☑
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☐	No ☑	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐	No ☑
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☐	No ☑	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐	No ☑
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐	No ☑

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, §104 and 18 U.S.C. §1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Betty Sutton	5/13/07

6755

Name	Page
Betty Sutton	1 of 4

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	FAULKNER, MUSKOVITZ AND PHILLIPS; Cleveland, Ohio	Salary	2487.96
	Federal Mediation and Conciliation Service; Cleveland, Ohio	Spouse Salary	N/A

For payments to charity in lieu of honoraria, use Schedule II.

6756

Name Betty Sutton

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the name of the business, the nature of the business, and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

Check all columns that apply.
Check "None" if asset did not generate any income during the calendar year.

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was received.

BLOCK E — Transaction

Indicate if the asset had purchases (P), sales (S), or exchanges (E) exceeding $1000 in reporting year.

	Block A		Block B: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	Block C: NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	Block D: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	Block E: P, S, E
SP		SP	Mega Corp. Stock				X								X										X								P
DC	*Examples:*		Simon & Schuster			Indefinite															Royalties									X			
JT			1st Bank of Paducah, KY accounts					X									X										X						
JT	FIREFIGHTERS COMMUNITY CREDIT UNION ACCOUNTS					X												X						X									
JT	Third Federal Savings				X													X					X										
JT	US Bank Accounts				X													X					X										
JT	Diebold Stock				X											X							X										
JT	Tidewater Stock				X											X							X										
JT	MFS Utilities MF				X											X			X						X	(Reinvested)							

For additional assets and unearned income, use next page.

6757

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Betty Sutton

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset	BLOCK C Type of Income	BLOCK D Amount of Income	BLOCK E Transaction
		A None; B $1 – $1,000; C $1,001 – $15,000; D $15,001 – $50,000; E $50,001 – $100,000; F $100,001 – $250,000; G $250,001 – $500,000; H $500,001 – $1,000,000; I $1,000,001 – $5,000,000; J $5,000,001 – $25,000,000; K $25,000,001 – $50,000,000; L Over $50,000,000	NONE; DIVIDENDS; RENT; INTEREST; CAPITAL GAINS; EXCEPTED/BLIND TRUST; Other Type of Income (Specify)	I None; II $1 – $200; III $201 – $1,000; IV $1,001 – $2,500; V $2,501 – $5,000; VI $5,001 – $15,000; VII $15,001 – $50,000; VIII $50,001 – $100,000; IX $100,001 – $1,000,000; X $1,000,001 – $5,000,000; XI Over $5,000,000	P, S, E
JT	Franklin Templeton MF	C	DIVIDENDS; CAPITAL GAINS	III (Reinvested)	
JT	Vanguard 500 Index Fund	C	DIVIDENDS; CAPITAL GAINS	III (Reinvested)	
JT	Fire Fighters Community CU				
"	CD #33	C	DIVIDENDS	II (Reinvested)	
"	CD #34	C	DIVIDENDS	II (Reinvested)	
"	CD #35	C	DIVIDENDS	II (Reinvested)	
JT	Alliance-Bernstein ROTH IRA *	C	DIVIDENDS; CAPITAL GAINS	III (Reinvested)	
JT	OH Deferred Compensation				
"	Dodge & Cox Balanced	C	DIVIDENDS; CAPITAL GAINS	III (Reinvested)	
"	Dodge & Cox Stock	C	DIVIDENDS; CAPITAL GAINS	III (Reinvested)	
"	Fidelity Magellan	D	DIVIDENDS; CAPITAL GAINS	IV (Reinvested)	
"	Fidelity Contra Fund	D	DIVIDENDS; CAPITAL GAINS	IV (Reinvested)	
"	Fidelity Growth Company	D	DIVIDENDS; CAPITAL GAINS	IV (Reinvested)	
"	FPA Capital	C	DIVIDENDS; CAPITAL GAINS	III (Reinvested)	
"	Hartford Small Company Fund	C	DIVIDENDS; CAPITAL GAINS	II (Reinvested)	
"	Janus Twenty	D	DIVIDENDS; CAPITAL GAINS	IV (Reinvested)	
"	PIMCO Total Return	C	DIVIDENDS; CAPITAL GAINS	II (Reinvested)	
"	Vanguard Capital Opportunity	C	DIVIDENDS; CAPITAL GAINS	II (Reinvested)	
"	Vanguard International Gro	C	DIVIDENDS; CAPITAL GAINS	III (Reinvested)	
JT	308 Oberlin St. home Youngstown, Ohio	E		I	
JT	1848 Walnut St. home Canton, Ohio	F		I	
SP	Ohio Police and Fire Pension Fund *	E		I	

* Not self-directed

This page may be copied if more space is required.

6758

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name: Betty Sutton

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset												BLOCK C Type of Income							BLOCK D Amount of Income											BLOCK E Transaction
		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP	Ohio Public Employee Retirement System *				X																X											
	Ohio Public Employee Retirement System *					X															X											
SP	NATIONAL FINANCE CENTER TSP																															
"	G Fund (Securities)				X										X			X			X											
"	F Fund (Income Index)			X											X			X			X											
"	C Fund (Stock)			X											X			X			X											
"	S Fund (Small Cap Stock Index)			X											X			X			X											
"	I Fund (International Stock Index)			X											X			X			X											
SP	*FERS Retirement System				X																X											

* Not Self-directed

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6759

UNITED STATES HOUSE OF REPRESENTATIVES

2007 FINANCIAL DISCLOSURE STATEMENT

FORM A
For use by Members, officers, and employees

HAND DELIVERED

2007 MAY -8 PM 2:20

MC

(Office Use Only)

Thomas Gerard Tancredo
(Full Name)

5471 S Estes St Littleton CO 80123
(Mailing Address)

Daytime Telephone
720-283-9772

Filer Status	[X] Member of the U.S. House of Representatives	State: CO District: 6	[ ] Officer or Employee	Employing Office:
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination	Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ]	No [X]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [ ]	No [X]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ]	No [X]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, §104 and 18 U.S.C. §1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Tom Tancredo	05-08-07

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Name TANCREDO	Page 2 of 4

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	Jefferson County School Employees Retirement	Retirement	$2,000

For payments to charity in lieu of honoraria, use Schedule II.

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Name TANCREDO

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the name of the business, the nature of the business, and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

Check all columns that apply.
Check "None" if asset did not generate any income during the calendar year.

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was received.

BLOCK E — Transaction

Indicate if the asset had purchases (P), sales (S), or exchanges (E) exceeding $1000 in reporting year.

	Asset and/or Income Source	A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	Transaction P, S, E
SP	Mega Corp. Stock					X								X										X									P
Examples: DC	Simon & Schuster			Indefinite																Royalties									X				
JT	1st Bank of Paducah, KY accounts							X								X										X							
SP	Aegis Safety Fund								X										X								X						
	Aegis Safety Fund							X											X								X						
JT	Nationwide Market Flex				X														X				X										
	Charles Schwab			X											X								X										
	Cumberland base		Indefinite																	Royalties							X						

For additional assets and unearned income, use next page.

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SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were paid directly by the sponsor or were paid by you and reimbursed by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	City of Departure—Destination—City of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Number of days not at sponsor's expense
Examples: Chicago Chamber of Commerce	Mar. 2	DC—Chicago—DC	N	N	N	None
Examples: Roycroft Corporation	Aug. 6–11	DC—Los Angeles—Cleveland	Y	Y	Y	2 Days
Team America	Jan 18-22	Denver - Phoenix - Denver	Y	Y	N	NONE
Ctr. for Study of Popular Culture	Feb 23-25	Denver - Phoenix - Denver	Y	Y	Y	NONE
"	Aug 21-23	Denver - LA - Denver	Y	Y	Y	NONE
Americans Have Had Enough	Sept 7-12	D.C. - Greenville S.C. - D.C.	Y	Y	N	NONE
Potomac Mgt. Resources	Nov 17-19	D.C. - Ft Lauderdale - D.C.	Y	Y	Y	NONE

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UNITED STATES HOUSE OF REPRESENTATIVES

2007 FINANCIAL DISCLOSURE STATEMENT

FORM A
For use by Members, officers, and employees

HAND DELIVERED
LEGISLATIVE RESOURCE CENTER
2007 MAY 14 AM 10: 29
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
(Office Use Only)

JOHN S. TANNER
(Full Name)
1226 LONGWORTH HOUSE OFFICE BUILDING
(Mailing Address)
WASHINGTON, D.C. 20515
Daytime Telephone: 202-225-4714

Filer Status	☒ Member of the U.S. House of Representatives — State: TENNESSEE District: 8	☐ Officer or Employee	Employing Office:
Report Type	☒ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☒	No ☐	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐	No ☒
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐	No ☒	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☐	No ☒
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☒	No ☐	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☒	No ☐
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☒	No ☐	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐	No ☒
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☐	No ☒	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐	No ☒
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐	No ☒

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, §104 and 18 U.S.C. §1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	John S. Tanner	5/11/07

6764

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	UNION CITY INSURANCE AGENCY (FAMILY OWNED BUSINESS)	FEE	8100.
	STATE OF TENNESSEE RETIREMENT	PENSION	11636
	NATIONAL GUARD RETIREMENT	PENSION	23010
	UNION CITY INSURANCE	SPOUSE INCOME	
	SHENNANDOAH SHUTTERS	SPOUSE SALARY	
	BETTY ANN TANNER ACCESSORIES	SPOUSE SALARY	

For payments to charity in lieu of honoraria, use Schedule II.

6765

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Name JOHN S. TANNER

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the name of the business, the nature of the business, and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

Check all columns that apply.
Check "None" if asset did not generate any income during the calendar year.

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was received.

BLOCK E — Transaction

Indicate if the asset had purchases (P), sales (S), or exchanges (E) exceeding $1000 in reporting year.

SP, DC, JT	Asset	A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP	Mega Corp. Stock					X								X										X								P
DC, JT	Simon & Schuster		Indefinite																	Royalties									X			
	1st Bank of Paducah, KY accounts						X									X										X						
	WESTAN INS. STOCK 515 S. SECOND ST. UNION CITY, TN.									X											X											
	TANNER FARMS L.P. STOCK 1735 WALKER ~~TANNER RD~~ UNION CITY, TN 38261									X										PARTNERSHIP							X					
	COMMERCIAL BANK UNION CITY, TN.				X									X									X									

For additional assets and unearned income, use next page.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name JOHN S. TANNER

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset												BLOCK C Type of Income						BLOCK D Amount of Income											BLOCK E Transaction
		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
	CORRECTIONS CORP.				X										X								X								
	FREESCALE SEMICONDUCTOR			X																	X										
	MOTOROLA				X										X								X								
SP	AGERE SYSTEMS - A		X																		X										
SP	AGERE SYSTEMS - B		X																		X										
SP	AVAYA INC.		X																		X										
SP	CISCO			X											X						X										
SP	COCA COLA			X											X							X									
SP	CORRECTIONS CORP		X												X							X									
SP	GENERAL ELECTRIC		X																		X										
SP	LUCENT TECHNOLOGIES			X																	X										
SP	MEDTRONICS			X											X							X									
SP	PEPSICO			X											X							X									
SP	MUNDER NET CLASSIC		X																												
SP	MEAGER MGMT INT. CLUB			X											X							X									
SP	PORTIS FAMILY ESTATE				X																										
	FAMILY FARM - CARROLL																														
	COUNTY TENN.																														
	PROMISSORY NOTE FROM				X												X									X					PAID
	WESTAN INC - UNION CITY, TN																														
	GE STOCK			X																											
	HEALTHSTREAM STOCK			X																											

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name

SP. DC. JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
	TN. HOUSING REV BOND			X																		X										P
	TN. ENERGY ACQ CRP BOND				X											X							X									P
	MEMPHIS TN BOND				X											X							X									P
	MARION CTY TN SCHOOL BOND			X												X							X									P
	FED. HOME LOAN BOND				X										X		X															P
	FED. HOME LOAN BOND				X										X		X															P
	CA BDY MUT. FUND					X									X								X									P
	AMCAP MUT. FUND			X											X			X					X									P
	CIBCX MUT. FUND			X											X			X					X									P
	CWGCX MUT. FUND				X										X			X						X								P
	NYVCX MUT. FUND			X											X																	P
	MITTX MUT. FUND				X										X								X									P
	NPFCX MUT. FUND				X										X			X						X								P
	NEWCX MUT. FUND			X											X			X					X									P
	PCRCX MUT. FUND			X											X							X										P
	SCWCX MUT. FUND				X										X			X						X								P
	SYNAGRO STOCK	X													X							X										S
	BLACKROCK MONEY MKT.			X													X						X									P

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JOHN S. TANNER 6 OF 20

HILLIARD LYONS

P.O. Box 32760
Louisville, KY 40232

Priority Account Statement

December 1 - December 31, 2006 [redacted]

ASSET SUMMARY

	Prior Period	Current Period	% of Account Value*
Cash			
Money Market Funds	$14.74	$1,591.37	0.4%
Margin Balance			
Cash Equivalents	**$14.74**	**$1,591.37**	**0.4%**
Stocks	$7,853.25	$8,046.25	2.1%
Options			
Preferred Stocks			
Tax Frde Fixed Income	$75,026.50	$74,927.00	20.2%
Taxable Fixed Income	$67,539.95	$66,323.82	17.9%
Mutual Funds	$217,589.30	$218,689.08	59.4%
Unit Investment Trusts			
Other Investments			
Assets held at Hilliard Lyons	**$368,011.00**	**$367,986.15**	**99.6%**
Total Account Value	**$368,025.74**	**$369,577.52**	**100.0%**

GAIN/(LOSS)

	Unrealized	Realized Current Period	Realized Year-to-Date
Stocks	($4,961.49)		($319.88)
Tax Frde Fixed Income	($353.05)		
Taxable Fixed Income	($148.00)	($0.24)	($0.96)
Mutual Funds	$33,834.89		
Assets held at Hilliard Lyons	**$28,372.35**	**($0.24)**	**($320.84)**
Total Account Value	**$28,372.35**	**($0.24)**	**($320.84)**

* Please note "% of Account Value" figures are shown gross of any amounts owed to Hilliard Lyons and/or net short positions.

INCOME & DISTRIBUTIONS

		Current Period	Year-to-Date
Dividends	Tax-Exempt		
	Taxable	$1,588.78	$3,310.15
Interest	Tax-Exempt	$233.33	$1,644.50
	Taxable	$340.00	$2,400.00
Capital Gain Distributions		$2,916.34	$3,306.78
Return of Principal			
Other Income & Distributions			
Total Income & Distributions		**$5,076.45**	**$10,660.43**

TAX INFORMATION SUMMARY*

		Current Period	Year-to-Date
Accrued Interest Paid	Tax-Exempt		($484.52)
	Taxable		($278.17)
Accrued Interest Received	Tax-Exempt		
	Taxable		
Gross Proceeds		$1,000.00	$165,473.07
Federal Withholding			
Foreign Taxes Paid			
Margin Interest Charged			

* For information only. Please refer to your Form 1099 for tax filing purposes.

J.J.B. Hilliard, W.L. Lyons, Inc.
Member New York, American, and Chicago Stock Exchanges; CBOE; NASD; and SIPC

Account Owner
JOHN TANNER AND
BETTY ANN TANNER

Account Number
[redacted]

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JOHN S. TANNER 7 OF 20

HILLIARD LYONS

P.O. Box 32760
Louisville , KY 40232

Priority Account Statement

December 1 - December 31, 2006 [redacted]

ASSET DETAILS

This section shows the cash equivalents and/or securities in your account. It reflects market values as of the close of business, December 31, 2006.

CASH EQUIVALENTS

	Approximate Current Value	Anticipated Annualized Income	Current Yield Percent
BLACKROCK MONEY MARKET HILLIARD LYONS CLASS	$1,591.37	$74.32	4.670%
Total Cash Equivalents	**$1,591.37**	**$74.32**	

ASSETS HELD AT HILLIARD LYONS

STOCKS	Symbol/CUSIP	Quantity	Average Unit Cost	Cost	Approximate Current Price	Approximate Current Value	Unrealized Gain/(Loss)	Anticipated Annualized Income	Current Yield Percent
GENERAL ELECTRIC COMPANY	GE	100	$31.5000	$3,150.00	$37.2100	$3,721.00	$571.00	$112.00	3.009%
HEALTHSTREAM INC	HSTM	1,095	$9.0025	$9,857.74	$3.9500	$4,325.25	($5,532.49)	N/A	
Total Stocks				**$13,007.74**		**$8,046.25**	**($4,961.49)**	**$112.00**	

TAX FREE FIXED INCOME	Symbol/CUSIP Rating	Quantity	Average Unit Cost	Cost	Approximate Current Price	Approximate Current Value	Unrealized Gain/(Loss)	Anticipated Annualized Income	Current Yield Percent
TENNESSEE HOUSING REV DEVELOPMT AGENCY AMT B/E HOMEOWNERSHIP PG ISSUE 1 CPN 3.700% DUE 07/01/08 DTD 04/27/06 FC 07/01/06	880584N9 S&P: AA Moody: AA2	15,000	$100.0466	$15,007.00	$99.7100	$14,956.50	($50.50)	$555.00	3.710%
TENNESSEE ENERGY ACQ CRP GAS SUPPLY REV B AMBAC B/E OID @ 98.794 4.65% CPN 4.500% DUE 09/01/08 DTD 07/01/98 FC 03/01/99 AMBAC INSURED	880443AV8 S&P: AAA Moody: AAA	25,000	$102.8120	$25,703.00	$101.4400	$25,360.00	($343.00)	$1,125.00	4.436%
MEMPHIS TN GENERAL IMPROVEMENT B/E CPN 3.000% DUE 05/01/09 DTD 05/07/03 FC 11/01/03	586145LP7 S&P: A Moody: A1	25,000	$98.5750	$24,643.75	$97.7700	$24,442.50	($201.25)	$750.00	3.068%
MARION COUNTY TN SCHOOL MBIA B/E CPN 4.000% DUE 06/01/16 DTD 05/01/06 FC 12/01/06 MBIA INSURED	569356PU6 S&P: NR Moody: AAA	10,000	$99.2630	$9,926.30	$101.6800	$10,168.00	$241.70	$400.00	3.933%
Total Tax Free Fixed Income				**$75,280.05**		**$74,927.00**	**($353.05)**	**$2,830.00**	

J.J.B. Hilliard, W.L. Lyons, Inc.
Member New York, American, and Chicago Stock Exchanges; CBOE; NASD; and SIPC

Account Owner
JOHN TANNER AND
BETTY ANN TANNER

Account Number
[redacted]

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JOHN S. TANNER

HILLIARD LYONS

P.O. Box 32760
Louisville, KY 40232

Priority Account Statement

December 1 - December 31, 2006 [redacted]

ASSET DETAILS Continued

ASSETS HELD AT HILLIARD LYONS Continued

TAXABLE FIXED INCOME	Symbol/CUSIP Rating	Quantity	Average Unit Cost	Cost	Approximate Current Price	Approximate Current Value	Unrealized Gain/(Loss)	Anticipated Annualized Income	Current Yield Percent
FEDL HOME LOAN MTG CORP MULTICL REMIC 3133 CL CC MONTHLY 14 DAY DELAY CPN 6.000% DUE 08/15/35 DTD 03/01/06 FACTOR: 1.00000000000	31396J6V2	26,000	$100.0232	$26,006.04	$99.6501	$25,909.02	($97.01)	$1,560.00	6.021%
FEDL HOME LOAN MTG CORP MULTICL REMIC 3178 CL BB MONTHLHY 14 DAY DELAY CPN 6.000% DUE 12/15/35 DTD 06/01/06 FACTOR: 1.00000000000	31396RQD2	41,000	$98.6970	$40,465.80	$98.5727	$40,414.86	($50.99)	$2,460.00	6.086%
Total Taxable Fixed Income				$66,471.84		$66,323.82	($148.00)	$4,020.00	

MUTUAL FUNDS	Symbol/CUSIP	Quantity	Average Unit Cost	Cost	Approximate Current Price	Approximate Current Value	Unrealized Gain/(Loss)	Anticipated Annualized Income
ALLIANCEBERNSTEIN GROWTH & INCOME FUND CLASS A	CABDX	12,249.331	$3.5098	$42,993.58	$4.4400	$54,397.02	$11,393.44	$812.00
AMCAP FUND INC CL C	AMPCX	406.640	$19.0108	$7,730.58	$19.2300	$7,819.68	$89.10	N/A
CAPITAL INCOME BLDR FD CLASS C	CIBCX	237.901	$57.2368	$13,616.70	$61.1100	$14,538.13	$921.43	$405.00
CAPITAL WORLD GROWTH & INCOME FD CLASS C	CWGCX	592.575	$35.3571	$20,951.78	$41.6100	$24,657.04	$3,705.26	$324.00
DAVIS NEW YORK VENTURE FUND INC CL C	NYVCX	366.474	$34.4675	$12,631.47	$37.1700	$13,621.83	$990.36	$1.00
MASSACHUSETTS INVS TR CL A	MITTX	2,380.587	$15.8671	$37,773.13	$20.7100	$49,301.95	$11,528.82	$161.00
NEW PERSPECTIVE FD INC CL C	NPFCX	643.707	$27.1925	$17,504.05	$31.0700	$19,999.97	$2,495.92	$117.00
NEW WORLD FD INC NEW CL C	NEWCX	119.039	$44.6730	$5,317.83	$47.2300	$5,622.21	$304.38	$64.00
PIMCO FDS PAC INVT MGMT SER COMMODITYREAL RETURN STRATEGY FD CL C	PCRCX	360.823	$16.2642	$5,868.51	$13.7300	$4,954.09	($914.42)	$985.00

HILLIARD LYONS

P.O. Box 32760
Louisville, KY 40232

Priority Account Statement

December 1 - December 31, 2006 [redacted]

ASSET DETAILS Continued

ASSETS HELD AT HILLIARD LYONS Continued

MUTUAL FUNDS	Symbol/CUSIP	Quantity	Average Unit Cost	Cost	Approximate Current Price	Approximate Current Value	Unrealized Gain/(Loss)	Anticipated Annualized Income
SMALLCAP WORLD FD INC CL C	SCWCX	635.341	$32.2135	$20,466.56	$37.4400	$23,787.16	$3,320.60	$167.00
Total Mutual Funds				**$164,854.19**		**$218,689.08**	**$33,834.89**	**$2,856.00**
Total Assets held at Hilliard Lyons				**$339,613.82**		**$387,988.15**	**$28,372.33**	**$9,798.00**

* Please note "Unrealized Gain/(Loss)" does not equal the total current value minus the total cost if any value or cost amounts are missing

	Cost	Approximate Current Value	Unrealized Gain/(Loss)	Anticipated Annualized Income
Total Account Value	**$339,613.82**	**$389,577.52**	**$28,372.33**	**$9,872.32**

ACTIVITY SUMMARY

	Activity	Year-to-Date	Current Period
Opening Balance - Cash Equivalents		**$2,572.88**	**$14.74**
Buy and Sell Transactions	Assets Bought	($200,119.42)	($4,499.82)
	Assets Sold/Redeemed	$165,473.07	$1,000.00
Cash Deposits and Withdrawals	Deposits Made to Your Account	$5,110.14	
	Withdrawals From Your Account		
Income and Distributions	Dividends	$3,310.15	$1,586.78
	Interest	$4,044.50	$573.33
	Capital Gains Distributions	$3,305.78	$2,916.34
	Return of Principal		
	Other Income and Distributions		
Margin Interest	Margin Interest Charged		
Other	Other Transactions	$17,894.27	
Closing Balance - Cash Equivalents		**$1,591.37**	**$1,591.37**

CASH EQUIVALENTS

	Cash	Money Market	Margin
Opening Balance - Cash Equivalents	**$0.00**	**$14.74**	**$0.00**
Buy and Sell Transactions	($8,076.45)	$1,576.63	
	$1,000.00		
Cash Deposits and Withdrawals			
Income and Distributions	$1,586.78		
	$573.33		
	$2,916.34		
Margin Interest			
Other			
Closing Balance - Cash Equivalents	**$0.00**	**$1,591.37**	**$0.00**

SCHEDULE IV — TRANSACTIONS

Name JOHN S. TANNER

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type: PURCHASE	Type: SALE	Type: EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example* Mega Corporation Common Stock	X			10–12–05		X								
JT	American Express stock		X		3/20/06	X									
JT	Analog Devices Inc stock		X		3/20/06	X									
JT	Bank of America stock		X		3/20/06	X									
JT	Baxter Intl Inc stock		X		3/20/06	X									
JT	CBS Corp New Class B stock		X		3/20/06	X									
JT	CVS Corp stock		X		3/20/06	X									
JT	Cisco stock		X		3/20/06	X									
JT	Cisco stock		X		10/6/06	X									
JT	Citigroup stock		X		3/20/06	X									
JT	Coca Cola stock		X		3/20/06	X									
JT	EMC Corp Mass stock		X		3/20/06	X									
JT	FHLMC bond	redemption			11/15/06	X									
JT	FHLMC bond	redemption			9/15/06	X									
JT	FHLMC bond	redemption			10/16/06	X									
JT	FHLMC bond	redemption			12/15/06	X									
JT	Fedl Home Loan		X		3/20/06	X									

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SCHEDULE IV — TRANSACTIONS

Name JOHN S. TANNER

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type: PURCHASE	Type: SALE	Type: EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10-12-05		X								
JT	Fannie Mae		X		3/20/06	X									
JT	General Electric stock		X		3/20/06	X									
JT	HCA Inc stock		X		3/20/06	X									
JT	Johnson + Johnson stock		X		3/20/06	X									
JT	Kimberly Clark Corp stock		X		3/20/06	X									
JT	McDonald's Corp stock		X		3/20/06	X									
JT	ML + Company Inc stock		X		3/20/06	X									
JT	Microsoft Stock		X		3/20/06	X									
JT	Newell Rubbermaid stock		X		3/20/06	X									
JT	Nokia ADR		X		3/20/06	X									
JT	Omnicom Group stock		X		3/20/06	X									
JT	Oracle stock		X		3/20/06	X									
JT	Pfizer stock		X		3/20/06	X									
JT	Powershares Exch Wilder		X		7/26/06	X									
JT	Sprint Nextel stock		X		3/20/06	X									
JT	Synaspe Tech Inc		X		6/26/06	X									

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SCHEDULE IV — TRANSACTIONS

Name JOHN S. TANNER

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type: PURCHASE	Type: SALE	Type: EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10-12-05		X								
JT	Time Warner		X		3/20/06	X									
JT	Tyco stock		X		3/20/06	X									
JT	United Technologies stock		X		3/21/06	X									
JT	Viacom stock		X		3/20/06	X									
JT	Wachovia stock		X		3/20/06	X									
JT	WalMart stock		X		3/20/06	X									
JT	Waste Management stock		X		3/20/06	X									
JT	Wyeth stock		X		3/20/06	X									

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JOHN S. TANNER

HILLIARD LYONS

P.O. Box 32760
Louisville, KY 40232

Priority Account Statement

December 1 - December 31, 2006 [redacted]

ACTIVITY DETAIL BY TYPE

CASH EQUIVALENTS

ASSETS BOUGHT

Date	Activity	Description	Quantity	Price	Total	Cash	Money Market	Margin
12/04/2006	PURCHASE	BLACKROCK MONEY MARKET HILLIARD LYONS CLASS	233.330	$1.0000		($233.33)	$233.33	
12/05/2006	REINVEST DIV	DAVIS NEW YORK VENTURE FUND INC CL C REINVEST AT 36.360	0.040	$36.3600	($1.47)	($1.47)		
12/18/2006	PURCHASE	BLACKROCK MONEY MARKET HILLIARD LYONS CLASS	1,340.000	$1.0000		($1,340.00)	$1,340.00	
12/19/2006	REINVEST DIV	ALLIANCEBERNSTEIN GROWTH & INCOME FUND CLASS A REINVEST AT 4.430	136.711	$4.4300	($605.63)	($605.63)		
12/22/2006	REINV LT CAP GAIN	CAPITAL INCOME BLDR FD CLASS C REINVEST AT 60.760	4.450		($270.41)	($270.41)		
12/22/2006	REINVEST DIV	CAPITAL INCOME BLDR FD CLASS C REINVEST AT 60.760	2.332	$60.7600	($141.72)	($141.72)		
12/22/2006	REINV LT CAP GAIN	CAPITAL WORLD GROWTH & INCOME FD CLASS C REINVEST AT 41.320	24.630		($1,017.71)	($1,017.71)		
12/22/2006	REINVEST DIV	CAPITAL WORLD GROWTH & INCOME FD CLASS C REINVEST AT 41.320	2.234	$41.3200	($92.32)	($92.32)		
12/26/2006	REINV LT CAP GAIN	AMCAP FUND INC CL C REINVEST AT 19.290	8.968		($172.99)	($172.99)		
12/26/2006	REINVEST DIV	MASSACHUSETTS INVS TR CL A REINVEST AT 20.760	19.137	$20.7600	($397.29)	($397.29)		
12/28/2006	REINV LT CAP GAIN	NEW PERSPECTIVE FD INC CL C REINVEST AT 30.810	39.970		($1,231.49)	($1,231.49)		
12/28/2006	REINVEST DIV	NEW PERSPECTIVE FD INC CL C REINVEST AT 30.810	5.056	$30.8100	($155.78)	($155.78)		
12/28/2006	REINV LT CAP GAIN	NEW WORLD FD INC NEW CL C REINVEST AT 46.530	4.809		($223.74)	($223.74)		
12/28/2006	REINVEST DIV	NEW WORLD FD INC NEW CL C REINVEST AT 46.530	1.446	$46.5300	($67.27)	($67.27)		

HILLIARD LYONS

P.O. Box 32760
Louisville , KY 40232

Priority Account Statement

December 1 - December 31, 2006 [redacted]

ACTIVITY DETAIL BY TYPE Continued

CASH EQUIVALENTS

ASSETS BOUGHT Continued

Date	Activity	Description	Quantity	Price	Total	Cash	Money Market	Margin
12/28/2006	REINV ST CAP GAIN	NEW WORLD FD INC NEW CL C REINVEST AT 46.530	0.576		($26.82)	($26.82)		
12/28/2006	REINVEST DIV	PIMCO FDS PAC INVT MGMT SER COMMODITYREAL RETURN STRATEGY FD CL C REINVEST AT 13.690	6.953	$13.6900	($95.18)	($95.18)		
12/29/2006	REINVEST DIV	BLACKROCK MONEY MARKET HILLIARD LYONS CLASS	3.300	$1.0000		($3.30)	$3.30	
Total Assets Bought					($4,499.82)	($6,076.45)	$1,576.63	$0.00

ASSETS SOLD/REDEEMED

Date	Activity	Description	Quantity	Price	Total	Cash	Money Market	Margin
12/15/2006	REDEMPTION	FEDL HOME LOAN MTG COXXX PARTIAL CALL 31396J-6V-2 MONTHLY 14 DAY DELAY CPN 6.000% DUE 12/15/06 DTD 03/01/06	(1,000.000)		$1,000.00	$1,000.00		
Total Assets Sold/Redeemed					$1,000.00	$1,000.00	$0.00	$0.00

DIVIDENDS

Date	Activity	Description	Quantity	Price	Total	Cash	Money Market	Margin
12/05/2006	DIVIDEND	DAVIS NEW YORK VENTURE FUND INC CL C 120406 366.47400			$1.47	$1.47		
12/19/2006	DIVIDEND	ALLIANCEBERNSTEIN GROWTH & INCOME FUND CLASS A 121906 12,249.33100			$605.63	$605.63		
12/22/2006	DIVIDEND	CAPITAL INCOME BLDR FD CLASS C 122106 237.90100			$141.72	$141.72		
12/22/2006	DIVIDEND	CAPITAL WORLD GROWTH & INCOME FD CLASS C 122106 592.57500			$92.32	$92.32		
12/26/2006	DIVIDEND	MASSACHUSETTS INVS TR CL A 122106 2,380.58700			$397.29	$397.29		

HILLIARD LYONS

P.O. Box 32760
Louisville , KY 40232

Priority Account Statement

December 1 - December 31, 2006 [redacted]

ACTIVITY DETAIL BY TYPE Continued

DIVIDENDS Continued

Date	Activity	Description	Quantity	Price	Total	Cash	Money Market	Margin
12/28/2006	DIVIDEND	NEW PERSPECTIVE FD INC CL C 122706 843.70700			$155.78	$155.78		
12/28/2006	ST CAPITAL GAIN	NEW WORLD FD INC NEW CL C 122706 119.03900			$26.82	$26.82		
12/28/2006	DIVIDEND	NEW WORLD FD INC NEW CL C 122706 119.03900			$67.27	$67.27		
12/28/2006	DIVIDEND	PIMCO FDS PAC INVT MGMT SER COMMODITYREAL RETURN STRATEGY FD CL C 122706 360.82300			$95.18	$95.18		
12/29/2006	DIVIDEND	BLACKROCK MONEY MARKET HILLIARD LYONS CLASS 122906 1,588	3.300	$1.0000	$3.30	$3.30		
Total Dividends					**$1,586.78**	**$1,586.78**	**$0.00**	**$0.00**

INTEREST

Date	Activity	Description	Quantity	Price	Total	Cash	Money Market	Margin
12/01/2006	INTEREST	MARION COUNTY TN SCHOOL MBIA BJE CPN 4.000% DUE 06/01/16 DTD 05/01/06 FC 12/01/06 120106 10,000			$233.33	$233.33		
12/15/2006	INTEREST	FEDL HOME LOAN MTG CORP MULTICL REMIC 3133 CL CC MONTHLY 14 DAY DELAY CPN 6.000% DUE 08/15/35 DTD 03/01/06 121506 27,000			$135.00	$135.00		
12/15/2006	INTEREST	FEDL HOME LOAN MTG CORP MULTICL REMIC 3178 CL BB MONTHLHY 14 DAY DELAY CPN 6.000% DUE 12/15/35 DTD 06/01/06 121506 41,000			$205.00	$205.00		
Total Interest					**$573.33**	**$573.33**	**$0.00**	**$0.00**

CASH EQUIVALENTS

HILLIARD LYONS
P.O. Box 32760
Louisville, KY 40232

Priority Account Statement

December 1 - December 31, 2006 [redacted]

ACTIVITY DETAIL BY TYPE Continued

CAPITAL GAINS DISTRIBUTIONS

Date	Activity	Description	Quantity	Price	Total	Cash	Money Market	Margin
2006/12/22	LT CAPITAL GAIN	CAPITAL INCOME BLDR FD CLASS C 122106 237.90100			$270.41	$270.41		
2006/12/22	LT CAPITAL GAIN	CAPITAL WORLD GROWTH & INCOME FD CLASS C 122106 592.57500			$1,017.71	$1,017.71		
2006/12/26	LT CAPITAL GAIN	AMCAP FUND INC CL C 122206 406.64000			$172.99	$172.99		
2006/12/28	LT CAPITAL GAIN	NEW PERSPECTIVE FD INC CL C 122706 643.70700			$1,231.49	$1,231.49		
2006/12/28	LT CAPITAL GAIN	NEW WORLD FD INC NEW CL C 122706 119.03900			$223.74	$223.74		
Total Capital Gains Distributions					$2,916.34	$2,916.34	$0.00	$0.00

OTHER TRANSACTIONS

Date	Activity	Description	Quantity	Price	Total	Cash	Money Market	Margin
12/12/2006	EXCHANGE	FEDL HOME LOAN MTG CORP MULTICL REMIC 3133 CL CC MONTHLY 14 DAY DELAY CPN 6.000% DUE 08/15/35 DTD 03/01/06	(1,000.000)					
12/12/2006	EXCHANGE	FEDL HOME LOAN MTG CO/XXX PARTIAL CALL 31396J-6V-2 MONTHLY 14 DAY DELAY CPN 6.000% DUE 12/15/06 DTD 03/01/06	1,000.000					
Total Other Transactions					$0.00	$0.00	$0.00	$0.00

ACTIVITY DETAIL BY DATE

CASH EQUIVALENTS

Date	Activity	Description	Quantity	Price	Total	Cash	Money Market	Margin
		Opening Balance			$14.74	$0.00	$14.74	$0.00
12/01/2006	INTEREST	MARION COUNTY TN SCHOOL MBIA B/E			$233.33	$233.33		

JOHN S. TANNER

HILLIARD LYONS

P.O. Box 32760
Louisville, KY 40232

Priority Account Statement

December 1 - December 31, 2006 [redacted]

ACTIVITY DETAIL BY DATE CONTINUED

CASH EQUIVALENTS

Date	Activity	Description	Quantity	Price	Total	Cash	Money Market	Margin
12/04/2006	PURCHASE	BLACKROCK MONEY MARKET HILLIARD LYONS CLASS	233.330	$1.0000		($233.33)	$233.33	
12/05/2006	DIVIDEND	DAVIS NEW YORK VENTURE FUND INC CL C			$1.47	$1.47		
12/05/2006	REINVEST DIV	DAVIS NEW YORK VENTURE FUND INC CL C	0.040	$36.3600	($1.47)	($1.47)		
12/12/2006	EXCHANGE	FEDL HOME LOAN MTG CORP MULTICL REMIC 3133 CL CC	(1,000)					
12/12/2006	EXCHANGE	FEDL HOME LOAN MTG COXXX PARTIAL CALL 3129BJ-6V-2	1,000					
12/15/2006	INTEREST	FEDL HOME LOAN MTG CORP MULTICL REMIC 3133 CL CC			$135.00	$135.00		
12/15/2006	INTEREST	FEDL HOME LOAN MTG CORP MULTICL REMIC 3176 CL BB			$205.00	$205.00		
12/15/2006	REDEMPTION	FEDL HOME LOAN MTG COXXX PARTIAL CALL 31396J-6V-2	(1,000)		$1,000.00	$1,000.00		
12/18/2006	PURCHASE	BLACKROCK MONEY MARKET HILLIARD LYONS CLASS	1,340	$1.0000		($1,340.00)	$1,340.00	
12/19/2006	DIVIDEND	ALLIANCEBERNSTEIN GROWTH & INCOME FUND			$605.63	$605.63		
12/19/2006	REINVEST DIV	ALLIANCEBERNSTEIN GROWTH & INCOME FUND	136.711	$4.4300	($605.63)	($605.63)		
12/22/2006	LT CAPITAL GAIN	CAPITAL INCOME BLDR FD CLASS C			$270.41	$270.41		
12/22/2006	DIVIDEND	CAPITAL INCOME BLDR FD CLASS C			$141.72	$141.72		
12/22/2006	REINV LT CAP GAIN	CAPITAL INCOME BLDR FD CLASS C	4.450		($270.41)	($270.41)		
12/22/2006	REINVEST DIV	CAPITAL INCOME BLDR FD CLASS C	2.332	$60.7600	($141.72)	($141.72)		
12/22/2006	LT CAPITAL GAIN	CAPITAL WORLD GROWTH & INCOME FD CLASS C			$1,017.71	$1,017.71		
12/22/2006	DIVIDEND	CAPITAL WORLD GROWTH & INCOME FD CLASS C			$92.32	$92.32		
12/22/2006	REINV LT CAP GAIN	CAPITAL WORLD GROWTH & INCOME FD CLASS C	24.630		($1,017.71)	($1,017.71)		
12/22/2006	REINVEST DIV	CAPITAL WORLD GROWTH & INCOME FD CLASS C	2.234	$41.3200	($92.32)	($92.32)		
12/26/2006	LT CAPITAL GAIN	AMCAP FUND INC CL C 122206 406.64000			$172.99	$172.99		
12/26/2006	REINV LT CAP GAIN	AMCAP FUND INC CL C REINVEST AT 19.290	8.968		($172.99)	($172.99)		

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HILLIARD LYONS

P.O. Box 32760
Louisville, KY 40232

Priority Account Statement

December 1 - December 31, 2006 [redacted]

ACTIVITY DETAIL BY DATE CONTINUED

CASH EQUIVALENTS

Date	Activity	Description	Quantity	Price	Total	Cash	Money Market	Margin
12/26/2006	DIVIDEND	MASSACHUSETTS INVS TR CL A			$397.29	$397.29		
12/26/2006	REINVEST DIV	MASSACHUSETTS INVS TR CL A	19.137	$20.7600	($397.29)	($397.29)		
12/28/2006	LT CAPITAL GAIN	NEW PERSPECTIVE FD INC CL C			$1,231.49	$1,231.49		
12/28/2006	DIVIDEND	NEW PERSPECTIVE FD INC CL C			$155.78	$155.78		
12/28/2006	REINV LT CAP GAIN	NEW PERSPECTIVE FD INC CL C	39.970		($1,231.49)	($1,231.49)		
12/28/2006	REINVEST DIV	NEW PERSPECTIVE FD INC CL C	5.056	$30.8100	($155.78)	($155.78)		
12/28/2006	ST CAPITAL GAIN	NEW WORLD FD INC NEW CL C			$26.82	$26.82		
12/28/2006	LT CAPITAL GAIN	NEW WORLD FD INC NEW CL C			$223.74	$223.74		
12/28/2006	DIVIDEND	NEW WORLD FD INC NEW CL C			$67.27	$67.27		
12/28/2006	REINV LT CAP GAIN	NEW WORLD FD INC NEW CL C	4.809		($223.74)	($223.74)		
12/28/2006	REINVEST DIV	NEW WORLD FD INC NEW CL C	1.446	$46.5300	($67.27)	($67.27)		
12/28/2006	REINV ST CAP GAIN	NEW WORLD FD INC NEW CL C	0.578		($26.82)	($26.82)		
12/28/2006	DIVIDEND	PIMCO FDS PAC INVT MGMT SER COMMODITYREAL			$95.18	$95.18		
12/28/2006	REINVEST DIV	PIMCO FDS PAC INVT MGMT SER COMMODITYREAL	6.953	$13.6900	($95.18)	($95.18)		
12/29/2006	DIVIDEND	BLACKROCK MONEY MARKET HILLIARD LYONS CLASS	3.300	$1.0000	$3.30	$3.30		
12/29/2006	REINVEST DIV	BLACKROCK MONEY MARKET HILLIARD LYONS CLASS	3.300	$1.0000		($3.30)	$3.30	
		Closing Balance			**$1,591.37**	**$0.00**	**$1,591.37**	**$0.00**

HILLIARD LYONS

P.O. Box 32760
Louisville , KY 40232

Priority Account Statement

December 1 - December 31, 2006 [redacted]

REALIZED GAIN/(LOSS)

TAXABLE FIXED INCOME	Date Acquired	Date Sold	Quantity	Purchase Price	Cost Basis	Sale Price	Sale Proceeds	Realized Gain/(Loss)
FEDL HOME LOAN MTG COXXX PARTIAL CALL 31396J-6V-2 MONTHLY 14 DAY DELAY CPN 6.000% DUE 12/15/06 DTD 03/01/06	04/10/2006	12/15/2006	1,000.000	$100.00	$1,000.24	$100.00	$1,000.00	($0.24) (ST)
Total Taxable Fixed Income					$1,000.24		$1,000.00	($0.24)

Please note "Realized Gain/(Loss)" does not equal total sale proceeds minus total cost basis if cost basis amounts are missing.
Please contact your tax advisor for additional information.

Total Realized Gain/(Loss)	$1,000.24	$1,000.00	($0.24)
Total Net Short Term (ST)	$1,000.24	$1,000.00	($0.24)
Total Net Long-Term Subject to Capital Gain Tax Rate (LT)	$0.00	$0.00	$0.00

Thank you for allowing Hilliard Lyons to serve you. If you have any questions regarding your account or this statement, please contact your Financial Consultant.
Terms and conditions are provided with your account statement on a periodic basis or may be obtained upon request.

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule 1.

Position	Name of Organization
PRESIDENT	WESTAN INSURANCE AGENCY (FAMILY OWNED BUSINESS)
PARTNER	TANNER FARMS LP

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement

GPO: 2007 33-427 (mac)

Use additional sheets if more space is required.

LEGISLATIVE RESOURCE CENTER
2007 MAY 15 PM 4:09
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

MC ~~AND DELIVERED~~ (1)
(Office Use Only)

UNITED STATES HOUSE OF REPRESENTATIVES

2007 FINANCIAL DISCLOSURE STATEMENT

FORM A
For use by Members, officers, and employees

Rep. Ellen O Tauscher
(Full Name)
2121 North California Blvd., Suite 555
(Mailing Address)
Daytime Telephone: 202-225-1880

Filer Status	[X] Member of the U.S. House of Representatives — State: CA District: 10	[ ] Officer or Employee	Employing Office
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination — Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X]	No [ ]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [X]	No [ ]
VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ]	No [X]
IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, §104 and 18 U.S.C. §1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	E O Tauscher	5/15/07

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the name of the business, the nature of the business, and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

Check all columns that apply.
Check "None" if asset did not generate any income during the calendar year.

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was received.

BLOCK E — Transaction

Indicate if the asset had purchases (P), sales (S), or exchanges (E) exceeding $1000 in reporting year.

	BLOCK A Asset and/or Income Source		BLOCK B Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction: P, S, E
SP	Mega Corp Stock	SP					X								X										X							P
DC, Examples	Simon & Schuster			Indefinite																	Royalties									X		
JT	1st Bank of Paducah, KY accounts							X									X									X						
	Wachovia Money Mkt						X											X								X						
	Fountain Apartments Ltd.						X									X										X						
	1777 Bothelo Dr.																															
	Suite 300																															
	Walnut Creek, CA																															

For additional assets and unearned income, use next page.

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Rep. Ellen O. Tauscher

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
	Sequoia Equities -				X									X											X							
	Cypress Point																															
	1777 Bothelo Dr., #300																															
	Walnut Creek, CA																															
	Alpine Global Dynamic						X							X											X							
	Energplus Resources FD TR					X								X										X								
	Dryden Index Stock FD B					X								X									X									
	Blackrock California						X							X										X								
	Nuveen CA Div Advantage Mun							X						X											X							
	Citizens Funding 9/15 Tele					X											X						X									
	Comcast Corp 7% NT					X											X							X								
	USB Capital Trust XI					X											X						X									
	National City Cap					X																										
	EV Div Tx Managed Eq FD					X																										
	Nuveen Ind CA Prem FD 2					X																										

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SCHEDULE IV — TRANSACTIONS

Name Rep. Ellen O. Tauscher

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10–12–06		X								
	Dryden Index Stock FD-B		X		02-24-06	X									
	Dryden Index Stock FD-B		X		06-28-06		X								
	Alpine Global Dynamic	X			08-24-06			X							
	Alpine Global Dynamic	X			08-24-06		X								
	Blackrock California	X			08-24-06			X							
	Blackrock California	X			08-24-06	X									
	Citizens Funding	X			09-26-06			X							
	Comcast Corp. 7% NT	X			09-14-06			X							
	EV Div Tx Managed Eq FD	X			11-27-06			X							
	Enerplus Resources FD	X			09-24-06			X							
	Enerplus Resources FD	X			08-24-06			X							
	National City Cap	X			10-27-06			X							
	Nuveen CA Div Advntg Mun.	X			08-24-06			X							
	Nuveen CA Div Advntg Mun.	X			08-24-06	X									
	Nuveen Insd CA Prem FD2	X			08-24-06			X							
	Nuveen Insd CA Prem FD 2	X			08-24-06	X									

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SCHEDULE IV — TRANSACTIONS

Name Rep. Ellen O. Tauscher

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10-12-06		X								
	HSB Capital Trust XI	X			08-24-06		X								

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SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
	Citibank Credit Card	Credit card		X								
	American Express	credit card	X									

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $305 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $122 or less need not be added towards the $305 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$325
None		

Use additional sheets if more space is required.

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SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were paid directly by the sponsor or were paid by you and reimbursed by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	City of Departure—Destination—City of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Number of days not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	DC—Chicago—DC	N	N	N	None
	Roycroft Corporation	Aug. 6–11	DC—Los Angeles—Cleveland	Y	Y	Y	2 Days
	The European Democratic Party	Nov. 29-Dec. 2, 2006	DC- Rome- DC	Y	Y	N	none

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UNITED STATES HOUSE OF REPRESENTATIVES

2007 FINANCIAL DISCLOSURE STATEMENT

FORM A
For use by Members, officers, and employees

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER
2007 MAY 15 AM 10:54
MC OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
(Office Use Only)

GARY EUGENE "GENE" TAYLOR
(Full Name)

814 N. BEACH BLVD.
BAY ST. LOUIS, MS 39520
(Mailing Address)

Daytime Telephone: 202-225-5772
228-342-1012

Filer Status: [x] Member of the U.S. House of Representatives — State: MS District: 04 — [ ] Officer or Employee — Employing Office:

Report Type: [x] Annual (May 15) — [ ] Amendment — [ ] Termination — Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [x]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [x]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [x]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes [x]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [x]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ]	No [x]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [ ]	No [x]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [x]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ]	No [x]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [x]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [x]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, §104 and 18 U.S.C. §1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Gene Taylor	5/14/07

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SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	GARLAND E. TAYLOR (SOUTHERN GRAPHICS)	SPOUSE SALES COMMISSION	NA

For payments to charity in lieu of honoraria, use Schedule II.

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Name GARY EUGENE "GENE" TAYLOR | Page 3 of 4

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the name of the business, the nature of the business, and its geographic location. For additional information, see the Instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

Check all columns that apply.
Check "None" if asset did not generate any income during the calendar year.

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was received.

BLOCK E — Transaction

Indicate if the asset had purchases (P), sales (S), or exchanges (E) exceeding $1000 in reporting year.

	Block A		Block B: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	Block C: NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	Block D: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	Block E: P, S, E
SP, DC, JT	Examples: SP	Mega Corp. Stock					X								X										X								P
		Simon & Schuster			Indefinite																Royalties									X			
		1st Bank of Paducah, KY accounts						X									X										X						
JT	HANCOCK BANK CD					X												X							X								
JT	REGIONS BANK ACCT					X												X						X									

For additional assets and unearned income, use next page.

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SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were paid directly by the sponsor or were paid by you and reimbursed by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	City of Departure—Destination—City of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Number of days not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	DC—Chicago—DC	N	N	N	None
	Roycroft Corporation	Aug. 6–11	DC—Los Angeles—Cleveland	Y	Y	Y	2 Days
	American Shipbuilding Assn.	NOV 27-29	NOLA - FT. MYERS - NOLA	Y	Y	N	None

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UNITED STATES HOUSE OF REPRESENTATIVES

2007 FINANCIAL DISCLOSURE STATEMENT

FORM A
For use by Members, officers, and employees

LEGISLATIVE RESOURCE CENTER
2007 MAY 15 PM 5:08
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
HAND DELIVERED
MC
(Office Use Only)

Lee R Terry
(Full Name)

1524 Longworth HOB
(Mailing Address)

(202) 225-4155
Daytime Telephone:

Filer Status	[X] Member of the U.S. House of Representatives	State: NE District: 02	[ ] Officer or Employee	Employing Office:
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination	Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes [ ]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ]	No [X]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X]	No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [X]	No [ ]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, §104 and 18 U.S.C. §1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Lee Terry M.C.	5/15/07

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SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	Law Offices of Terry Salerno	Residual Legal Fees	$2,877.00

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Name: Lee Terry

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the name of the business, the nature of the business, and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

Check all columns that apply.
Check "None" if asset did not generate any income during the calendar year.

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was received.

BLOCK E — Transaction

Indicate if the asset had purchases (P), sales (S), or exchanges (E) exceeding $1000 in reporting year.

	Asset	Block B: None	A: $1 – $1,000	B: $1,001 – $15,000	C: $15,001 – $50,000	D: $50,001 – $100,000	E: $100,001 – $250,000	F: $250,001 – $500,000	G: $500,001 – $1,000,000	H: $1,000,001 – $5,000,000	I: $5,000,001 – $25,000,000	J: $25,000,001 – $50,000,000	K: Over $50,000,000	L	Block C: NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify. For Example, Partnership Income or Farm Income)	Block D: None	I: $1 – $200	II: $201 – $1,000	III: $1,001 – $2,500	IV: $2,501 – $5,000	V: $5,001 – $15,000	VI: $15,001 – $50,000	VII: $50,001 – $100,000	VIII: $100,001 – $1,000,000	IX: $1,000,001 – $5,000,000	X: Over $5,000,000	XI	Block E: P, S, E
SP.	Mega Corp. Stock						X								X										X									P
DC.	Simon & Schuster			Indefinite																	Royalties										X			
JT	1st Bank of Paducah, KY accounts							X									X										X							
	Fidelity Wsst Cap C P				X											X							X											
	American Balanced Fund Class A				X											X								X										
	American Mutual Fund Class A				X											X								X										
	American New World Fund Class A					X										X								X										
	American Small Cap World Class A				X											X								X										
	Fidelity Advisor New Insight CL			X												X							X											

For additional assets and unearned income, use next page.

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name LEE TERRY

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Types of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
	FIDELITY ADVISOR EMERGING G-C			X											X								X									
	AMERICAN CAPITAL INCOME BLDG				X										X								X									
	AMERICAN EUROPACIFIC GROWTH CLASS A			X											X								X									
	AMERICAN GROWTH FUND OF AMERICA CLASS A			X										X	X						P		X									
	AMERICAN INCOME FUND OF AMERICA CLASS A				X									X	X						X		X									
	FIDELITY ADVISOR LEVERAGED COMP			X											X								X									
	CITY OF OMAHA 414A PENSION			X																												
	AMERICAN HIGH INCOME TRUST WORLD			X																												
~~DC~~	~~G GROWTH / JACK~~			~~X~~																												
~~DC~~	~~GROWTH SMALL / RYAN~~			~~X~~																												
~~DC~~	~~GROWTH SMALL / NORMAN~~			~~X~~																												
DC	CAPITAL WORLD GROWTH MF / RYAN			X																												
DC	EUROPACIFIC MF / RYAN			X																												
DC	GROWTH FUND OF AMERICA / RYAN			X																												
DC	INCOME FUND OF AMERICA / RYAN			X																												
DC	CAPITAL WORLD GROWTH / JACK			X																												
DC	EUROPACIFIC / JACK			X																												
DC	GROWTH FUND OF AMERICA / JACK			X																												
DC	INCOME FUND OF AMERICA / JACK			X																												
DC	AMERICAN MUTUAL / NORMAN			X																												
DC	CAPITAL WORLD GROWTH / NORMAN			X																												
DC	INCOME FUND OF AMERICA / NORMAN			X																												

~~DC~~

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SCHEDULE IV — TRANSACTIONS

Name

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10-12-06		X								
	Berkshire Hathaway (B)		X		3/27/06		X								
	Free scale Semiconductor Inc		X		3/27/06	X									
	Miramar MFG Corp		X		3/27/06	X									
	marshlizdge Group		X		3/27/06	N/A									
	merrill		X		3/27/06	X									
	ORACLE		X		3/27/06	X									
	ORBit Int'l		X		3/27/06	450 ss									
	Pinnacle West		X		3/27/06	X									
	Piper Jaffray		X		3/27/06	N/A									
	U.S. Banc Corp		X		3/27/06	X									
DC	GOLDMAN SACHS / Jack		X		5/1/06	X									
DC	Goldman SACHS / RYAN		X		5/1/06	X									
DC	GOLDMAN SACHS / NOLAN		X		5/1/06	X									
	AMERICAN EXPRESS		X		3/30/07	X									
	Ameriprise Financial		X		3/30/07	X									
	Citigroup		X		3/30/07		X								

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SCHEDULE IV — TRANSACTIONS

Name Joe Trell

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10-12-06		X								
	Coca Cola		X		3/30/06	X									
	Level 3		X		3/30/06	X									
	Procter Gamble		X		3/30/06	X									
	Progressive Corp		X		3/30/06		X								
	U.S. Global Nanospace		X		3/30/06	$177.00									
DC	Capitol World Growth American Funds / Ryan	X			5/15/06	X									
DC	Europacific American Funds / Ryan	X			5/15/06	X									
DC	Growth Fund of America / American Funds / Ryan	X			5/15/06	X									
DC	Income Fund of America, American Funds / Ryan	X			5/15/06	X									
DC	Capitol World Growth, Am. Funds / Jack	X			5/15/06	X									
DC	Europacific, Am. Funds / Jack	X			5/15/06	X									
DC	Growth Fund of America, Am. Funds / Jack	X			5/15/06	X									
DC	~~Growth~~ Income Fund of America, Am. Funds / Jack	X			5/15/06	X									
DC	American Mutual, American Funds / Noah	X			5/15/06	X									
DC	Capitol World Growth, Am. Funds / Noah	X			5/15/06	X									
DC	Income Fund America, Am. Funds / Noah	X			5/15/06	X									

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SCHEDULE IV — TRANSACTIONS

N. LEE TERRY

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

DC, JT, SP	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
	Example: Mega Corporation Common Stock	X			10-12-06		X								
	American Capital Income Bldg m.f	X			3/27/06	X									
	American Capital World Growth m.f	X			3/27/06	X									
	Europacific m.f	X			3/27/06	X									
	Fidelity Adv. New Insight m.f	X			3/27/06	X									
	Growth Fund of America m.f	X			3/27/06	X									
	Income Fund of America m.f	X			3/27/06	X									
	High Income Trust m.f	X			3/30/06	X									
	American Capital Income Bldg m.f	X			3/30/06		X								
	Europacific m.f	X			3/30/06	X									
	Fidelity Adv. Leveraged Co m.f	X			3/30/06	X									
	Growth Fund of America m.f	X			3/30/06	X									
	Income Fund of America m.f	X			3/30/06		X								
	Woodman of World Life Ins		X		7/3/06	X									

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SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
JT	First National Bank Credit Card		X									

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $305 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $122 or less need not be added towards the $305 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example. Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$325
N/A		

Use additional sheets if more space is required.

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were paid directly by the sponsor or were paid by you and reimbursed by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	City of Departure—Destination—City of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Number of days not at sponsor's expense
Examples: Chicago Chamber of Commerce	Mar. 2	DC—Chicago—DC	N	N	N	None
Examples: Roycroft Corporation	Aug. 6–11	DC—Los Angeles—Cleveland	Y	Y	Y	2 Days
WESTSIDE CHURCH mission trip	Jan 2-6	Omaha → Dom. Rep → Omaha	Y	Y	N	NONE
MONTANA Telecommunications Ass	Aug. 7-10	Omaha → Big Sky, MT → Omaha	Y	N	Y	NONE
NAT'L Foundation for Women Leg. Leaders	NOV 17-20	DC → Aspen, Co → Omaha	Y	Y	Y	NONE

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UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2006

FORM A — For use by Members, officers, and employees

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HC

(5)

Bennie G. Thompson
(Full Name)

103 L. C. Turner Circle Bolton, MS 39041
(Mailing Address)

202-225-5876
Daytime Telephone:

Filer Status	☑ Member of the U.S. House of Representatives — State: MS, District: 2nd	☐ Officer Or Employee	Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes ☑ No ☐	VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes ☐ No ☑
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes ☐ No ☑	VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? If yes, complete and attach Schedule VII.	Yes ☑ No ☐
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes ☑ No ☐	VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes ☑ No ☐
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes ☐ No ☑	IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes ☐ No ☑
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes ☑ No ☐		**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and wilfully falsifies, or who knowingly and wilfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Bennie G. Thompson	MAY 14, 2007

6804

SCHEDULE I - EARNED INCOME

Name Bennie G. Thompson

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
The Pension Boards - United Church of Christ	Spouse Pension	N/A
Town of Bolton Development Corporation	Spouse Salary	N/A
State of Mississippi	Spouse Retirement Plan	N/A
State of Mississippi	Spouse Deferred Compensation Plan	N/A

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Bennie G. Thompson

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
	1 acre unimproved property, Mount Olive Road Bolton, MS	$1,001 - $15,000	CAPITAL GAINS	NONE	N/A
	1 acre unimproved property, Old Fairground Road Edwards, MS	$1,001 - $15,000	CAPITAL GAINS	NONE	N/A
	2 acres unimproved property, Northside Drive Bolton, MS	$1,001 - $15,000	CAPITAL GAINS	NONE	N/A
JT	3231 & 3233 West Capitol Street Jackson, MS	$100,001 - $250,000	CAPITAL GAINS	NONE	N/A
SP	AIG VALIC Annuity Insurance Houston, TX	$50,001 - $100,000	INTEREST	$1,001 - $2,500	N/A

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Bennie G. Thompson

SP	AXA EQUITABLE Annuity Syracuse, NY	$15,001 - $50,000	INTEREST	$1,001 - $2,500	N/A
	Congressional Federal Credit Union	$50,001 - $100,000	INTEREST	$5,001 - $15,000	N/A
	Dwelling, 212 Sharon Street, Bolton, MS	$15,001 - $50,000	CAPITAL GAINS	NONE	N/A
SP	Jackson Federal Credit Union Jackson, MS	$1,001 - $15,000	INTEREST	$1 - $200	N/A
	Jackson Federal Credit Union Jackson, MS	$1,001 - $15,000	INTEREST	$1 - $200	N/A
SP	Liberty National Bank Jackson, MS	$15,001 - $50,000	INTEREST	$201 - $1,000	N/A
JT	Lot 1, L. C. Turner Circle, Bolton, MS	$1,001 - $15,000	CAPITAL GAINS	NONE	N/A
JT	Lot 3, L. C. Turner Circle, Bolton, MS	$1,001 - $15,000	CAPITAL GAINS	NONE	N/A
SP	Lot 31 Less Highwy Block 7 Mound Bayou, MS	$1,001 - $15,000	CAPITAL GAINS	NONE	N/A
JT	Lot 540, Cottage Grove Subdivision Jackson, MS	$1,001 - $15,000	CAPITAL GAINS	NONE	N/A
SP	Lot 8, Block 2 Southeast Annex, Mound Bayou, MS (Inheritance - 2006)	$1,001 - $15,000	CAPITAL GAINS	NONE	N/A
SP	Mississippi Deferred Compensation Plan	$1,001 - $15,000	N/A	N/A	N/A
SP	Mississippi State Retirement Plan	$50,001 - $100,000	N/A	N/A	N/A
	Mississippi State Retirement Plan	$50,001 - $100,000	N/A	N/A	N/A

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Bennie G. Thompson

SP	Regions Bank Clinton, MS	$50,001 - $100,000	INTEREST	$1,001 - $2,500	N/A
JT	Regions Financial Corporation - Common Stock Providence, RI	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500	N/A
SP	The Pension Boards, United Church of Christ, 475 Riverside Drive, New York, New York 10115	$15,001 - $50,000	N/A	N/A	N/A
JT	Trustmark Bank accounts Jackson, MS	$15,001 - $50,000	INTEREST	$1 - $200	N/A

SCHEDULE V - LIABILITIES

Name Bennie G. Thompson

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report "revolving charge accounts" (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
	Trustmark National Bank Jackson, MS	Mortgage on 3231 and 3233 Capitol Street, Jackson, MS	$15,001 - $50,000

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name Bennie G. Thompson

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's expense
Association of American Railroad	Jan. 5-6	Jackson, MS-Fort Myers, FL-Jackson, MS	Y	Y	N	None
American Association of Airport Executives	Jan. 8-12	Jackson, MS-Kona, HI-Jackson, MS	Y	Y	Y	None
Charles Hamilton Houston Institute for Race & Justice at Harvard Law School	Mar. 10-11	DC-Boston-Jackson, MS	Y	N	N	None
Carib News Foundation	Nov. 9-12	Jackson, MS-Panama City, Republic of Panama-Jackson, MS	Y	N	Y	None
American Shipbuilding Association	Nov. 27-29	Jackson, MS-Naples, FL-Jackson, MS	Y	Y	Y	None
Christopher Reynolds Foundation	Dec. 17-21	Jackson, MS-Cancun-Havana, Cuba-Cancun-Jackson, MS	Y	Y	N	None

SCHEDULE VIII - POSITIONS

Name Bennie G. Thompson

Report all positions, compensat d r unc mp nsated, h ld during the curr nt calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, mployee, or consultant of any corporati n, firm, partn rship, or any business enterprise, any nonprofit organization, any labor organization, or any educational or oth r instituti n ther than th United Stat s. Exclude: Positions h ld in any religious, social, fraternal, or political ntiti s; positions s l ly of an hon rary nature; and positions listed on Schedule I.

Position	Name of Organization
President	BLB Properties
Trustee Emeritus	Tougaloo College
Board Member	Housing Assistance Council

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UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2006

FORM A
For use by Members, officers, and employees

Mike Thompson
(Full Name)

231 Cannon HOB Washington, DC 20515
(Mailing Address)

202-225-3311
Daytime Telephone

Filer Status	☑ Member of the U.S. House of Representatives — State: CA District: 01	☐ Officer Or Employee	Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION – ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes ☑ No ☐	VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes ☐ No ☑
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes ☐ No ☑	VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? If yes, complete and attach Schedule VII.	Yes ☐ No ☑
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes ☑ No ☐	VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes ☑ No ☐
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes ☑ No ☐	IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes ☐ No ☑
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes ☑ No ☐		**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION – ANSWER EACH OF THESE QUESTIONS

Trusts–	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions–	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

CERTIFICATION – THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Mike Thompson	5-15-07

6812

SCHEDULE I - EARNED INCOME

Name Mike Thompson

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
St. Helena Hospital	Spouse Salary	n/a

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Mike Thompson

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.		BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
JT	20% Interest Travis Webb (General Partnership) 1590 Webster, Fairfield, CA	$100,001 - $250,000	RENT/INTEREST	$5,001 - $15,000	
JT	Adventist Health Care Retirement Plan	$15,001 - $50,000	None	NONE	
JT	American Capital World Growth	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	
JT	Artisan MidCap Value Fund	None	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	S
JT	Bank of America	$50,001 - $100,000	INTEREST	$201 - $1,000	
	CalPers S&P 500 (formerly known as S&P 500 Equity Fund)	$15,001 - $50,000	None	NONE	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Mike Thompson

JT	Caterpillar Inc.	$1,001 - $15,000	DIVIDENDS	$1 - $200	
JT	Citibank	$50,001 - $100,000	INTEREST	$201 - $1,000	
JT	Coca Cola	$1,001 - $15,000	DIVIDENDS	$1 - $200	
JT	Edward Jones Money Market	$1,001 - $15,000	INTEREST	$1 - $200	
JT	Federated American Leaders	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
JT	Fidelity Tax Free Money Market	$1,001 - $15,000	INTEREST	$201 - $1,000	
JT	Garmore Inv. Des. Moderate Fund (see footnote)	$1,001 - $15,000	None	NONE	
JT	General Electric	$1,001 - $15,000	DIVIDENDS	$1 - $200	
JT	GlaxoSmithKline PLC Spons. Adr.	$1,001 - $15,000	None	NONE	
JT	Half Ownership 1435/1439 Kearney St., St. Helena, CA	$500,001 - $1,000,000	RENT	$5,001 - $15,000	
JT	Hussman Strategic Growth	None	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	S
JT	Investment Company of America	$15,001 - $50,000	DIVIDENDS	$1 - $200	P
JT	Johnson & Johnson	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	Matthews China Fund	$1,001 - $15,000	DIVIDENDS	$1 - $200	P

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Mike Thompson

JT	Oracle Corp.	$1,001 - $15,000	None	NONE	
JT	Ownership of 2140 Finley Road East, Finley, CA	$500,001 - $1,000,000	Other: Farm Income	$100,001 - $1,000,000	P
JT	Pfizer Ins.	None	DIVIDENDS	$1 - $200	S
JT	Proctor & Gamble	$1,001 - $15,000	DIVIDENDS	$1 - $200	
JT	Putnam Health Science Trust	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
JT	Schwab Hedged Equity Select Shares	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	
JT	Silverado Credit Union	$50,001 - $100,000	INTEREST	$201 - $1,000	
	Vanguard Wellington	$15,001 - $50,000	None	NONE	
JT	Walgreen	$1 - $1,000	None	NONE	
JT	Washington Mutual Inv. Fund	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	
JT	Wells Fargo Bank	$15,001 - $50,000	INTEREST	$201 - $1,000	
JT	Wells Fargo Bank	$1,001 - $15,000	DIVIDENDS	$1 - $200	

SCHEDULE IV - TRANSACTIONS

Name Mike Thompson

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
JT	Hussman Strategic Growth	S	10-06-06	$1,001 - $15,000
JT	Matthews China Fund	P	12-06-06	$1,001 - $15,000
JT	Pfizer Ins.	S	10-06-06	$1,001 - $15,000
JT	Artisan MidCap Value Fund	S	3-29-06	$1,001 - $15,000
JT	Federated American Leaders	P	12-06-06	$1,001 - $15,000
JT	Investment Company of America	P	12-06-06	$15,001 - $50,000
JT	Putnam Health Science Trust	P	12-06-06	$1,001 - $15,000
JT	Additional land purchase at 2140 Finley Road East, Finley, CA	P	06-15-06	$100,001 - $250,000

SCHEDULE V - LIABILITIES

Name Mike Thompson

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report "revolving charge accounts" (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
JT	American Ag. Credit	Farm Development Loan, 2140 Finley Road, Finley, CA	$250,001 - $500,000

SCHEDULE VIII - POSITIONS

Name Mike Thompson

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
General Partner	Travis Webb General Partnership, 5184 Garden Valley Rd., Suisun, CA

FOOTNOTES

Name Mike Thompson

Number	Section / Schedule	Footnote	This note refers to the following Item
1	Schedule III	Insurance Investment Fund Plus is now known as Garmore Inv. Des. Moderate Fund.	Garmore Inv. Des. Moderate Fund

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2006

FORM A
For use by Members, officers, and employees

HAND DELIVERED

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2007 MAY 10 PM 1:40
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

(Office Use Only)

William McClellan "Mac" Thornberry
(Full Name)

2457 Rayburn House Office Building Washington, DC 20515-4313
(Mailing Address)

202-225-3706
Daytime Telephone

Filer Status	☑ Member of the U.S. House of Representatives — State: TX District: 13	☐ Officer Or Employee	Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☑ No ☐	
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐ No ☑	
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☑ No ☐	
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☐ No ☑	
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☑ No ☐	
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**		Yes ☐ No ☑
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**		Yes ☐ No ☑
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**		Yes ☑ No ☐
IX.	Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**		Yes ☐ No ☑

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts--	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and wilfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.		May 10, 2007

6821

SCHEDULE I - EARNED INCOME

Name William McClellan "Mac" Thornberry

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Embassy of Switzerland	Spouse Salary	N/A

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name William McClellan "Mac" Thornberry

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
	1/3 ownership -- Thornberry Brothers Cattle Partnership -- Clarendon, Texas	$100,001 - $250,000	Partnership Income	$2,501 - $5,000	
	Congressional Federal Credit Union	$1,001 - $15,000	INTEREST	$1 - $200	
DC	Merrill Lynch Fundamental Growth C Mutual Fund	$1,001 - $15,000	DIVIDENDS	$1 - $200	

6823

SCHEDULE V - LIABILITIES

Name William McClellan "Mac" Thornberry

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report "revolving charge accounts" (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
	Amarillo National Bank -- Thornberry Brothers	Cattle Loan	$100,001 - $250,000
	Hunsaker Trust of Albuquerque, New Mexico -- Thornberry Brothers	Real Estate Mortgage -- Property in Donley County, Texas	$15,001 - $50,000

SCHEDULE VIII - POSITIONS

Name William McClellan "Mac" Thornberry

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Partner	Thornberry Brothers Cattle – Clarendon, Texas

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6826

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2006

FORM A
For use by Members, officers, and employees

Willam Todd Tiahrt
(Full Name)

2441 Rayburn House Office Building Washington, DC 20515
(Mailing Address)

(202) 225-6216
Daytime Telephone

Filer Status	☑ Member of the U.S. House of Representatives	State: KS District: 4	☐ Officer Or Employee — Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes ☐ No ☑	VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes ☐ No ☑
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes ☐ No ☑	VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? If yes, complete and attach Schedule VII.	Yes ☑ No ☐
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes ☑ No ☐	VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes ☐ No ☑
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes ☑ No ☐	IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes ☐ No ☑
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes ☐ No ☑		**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and wilfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Todd Tiahrt	5/15/07

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name William Todd Tiahrt

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution *holding the account and provide its value at the end of the* reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.		BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
JT	MSDW: Active Assets Money Trust	None	INTEREST	$1 - $200	S
JT	Boeing Co	None	DIVIDENDS	$1 - $200	S
JT	Wal Mart Stores Inc	None	DIVIDENDS	$1 - $200	S
JT	Cisco Sys Inc	None	None	NONE	S
	MSDW-IRA: Liquid Assets Fund -Money Market	$1 - $1,000	INTEREST	$1,001 - $2,500	S
JT	Intrust Bank: Checking	$1 - $1,000	INTEREST	$1 - $200	

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SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name William Todd Tiahrt

JT	Intrust Bank: Maximizer Money Market	$1,001 - $15,000	INTEREST	$1 - $200	
	MSDW-IRA: Focus Growth FD D	$1,001 - $15,000	DIVIDENDS	NONE	P
	MSDW-IRA: Equally Weighted S&P 500 D	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	P
	MSDW-IRA: Capital Opportunities Tr D	$1,001 - $15,000	DIVIDENDS	NONE	P
	MSDW-IRA: Internatl Value Equity FD D	$1,001 - $15,000	DIVIDENDS	$1,001 - $2,500	P
	MSDW-IRA: Mid-Cap Value Fund D	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	P
	MSDW-IRA: MSIF Active Intl Allocatn A	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	P
	MSDW-IRA: MSIF Emerging Markets A	$1,001 - $15,000	DIVIDENDS	$1,001 - $2,500	P
	MSDW-IRA: MSIF Internatl Magnum A	$1,001 - $15,000	DIVIDENDS	$1,001 - $2,500	P
	MSDW-IRA: MSIF Invest Grade Fix Inc Inst	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	P
	MSDW-IRA: Van Kampen Comstock I	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	P
	MSDW-IRA: Van Kampen Growth & Income I	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	P
	MSDW-IRA: Van Kampen Small Cap Growth I	$1,001 - $15,000	DIVIDENDS	NONE	P
	MSDW-IRA: MSIF US Large Cap Gr Port A	$1,001 - $15,000	DIVIDENDS	$1 - $200	P

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name William Todd Tiahrt

	MSDW-IRA: MSIF TR US Small Cap Val Inst	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	P

SCHEDULE IV - TRANSACTIONS

Name William Todd Tiahrt

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	MSDW-IRA: Focus Growth FD D	P	6-19-06	$1,001 - $15,000
	MSDW-IRA: Equally Weighted S&P 500 D	P	6-19-06	$1,001 - $15,000
	MSDW-IRA: Capital Opportunities Tr D	P	6-19-06	$1,001 - $15,000
	MSDW-IRA: Internatl Value Equity FD D	P	6-19-06	$1,001 - $15,000
	MSDW-IRA: Mid-Cap Value Fund D	P	6-19-06	$1,001 - $15,000
	MSDW-IRA: MSIF Active Intl Allocatn A	P	6-19-06	$1,001 - $15,000
	MSDW-IRA: MSIF Emerging Markets A	P	6-19-06	$1,001 - $15,000
	MSDW-IRA: MSIF Internatl Magnum A	P	6-19-06	$1,001 - $15,000
	MSDW-IRA: MSIF Invest Grade Fix Inc Inst	P	6-19-06	$1,001 - $15,000
	MSDW-IRA: Van Kampen Comstock I	P	6-19-06	$1,001 - $15,000
	MSDW-IRA: Van Kampen Growth & Income I	P	6-19-06	$1,001 - $15,000
	MSDW-IRA: Van Kampen Small Cap Growth I	P	6-19-06	$1,001 - $15,000

SCHEDULE IV - TRANSACTIONS

Name William Todd Tiahrt

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	MSDW-IRA: MSIF US Large Cap Gr Port A	P	6-19-06	$1,001 - $15,000
	MSDW-IRA: MSIF TR US Small Cap Val Inst	P	6-19-06	$1,001 - $15,000
	MSDW-IRA: Internatl Value Equity FD D	P	12-21-06	$1,001 - $15,000
	MSDW-IRA: MSIF Emerging Markets A	P	12-21-06	$1,001 - $15,000
	MSDW-IRA: MSIF Internatl Magnum A	P	12-21-06	$1,001 - $15,000
JT	MSDW: Active Asset Money Trust	S	3-31-06	$1,001 - $15,000
JT	Boeing Co	S	3-31-06	$1,001 - $15,000
JT	Wal Mart Stores Inc	S	3-31-06	$1,001 - $15,000
JT	Cisco Sys Inc	S	3-31-06	$1,001 - $15,000
	MSDW-IRA: Liquid Assets Fund - Money Market	S	6-19-06	$100,001 - $250,000

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name William Todd Tiahrt

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's expense
The Heritage Foundation	Jan. 29-31	DC-Baltimore-DC	Y	Y	Y	N/A

FOOTNOTES

Name William Todd Tiahrt

Number	Section / Schedule	Footnote	This note refers to the following item
1	Schedule III	MSDW:	Morgan Stanley DW Inc brokerage account
2	Schedule III	MSDW-IRA:	Morgan Stanley DW Inc-IRA rollover account
3	Schedule IV	MSDW:	Morgan Stanley DW Inc brokerage account
4	Schedule IV	MSDW-IRA:	Morgan Stanley DW Inc-IRA rollover account

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2006

FORM A
For use by Members, officers, and employees

LEGISLATIVE RESOURCE CENTER
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OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
MC
(Office Use Only)

Patrick Joseph Tiberi
(Full Name)

3000 Corporate Exchange Drive Suite 310 Columbus, OH 43231
(Mailing Address)

614-523-2555
Daytime Telephone

Filer Status: ✓ Member of the U.S. House of Representative — State: OH — Distnct 12 — Officer Or Employee — Employing Office

Report Type: ✓ Annual (May 15) — Amendment — Termination — Termination Date

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTION

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? — Yes ___ No ✓
If yes, complete and attach Schedule I.

II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? — Yes ___ No ✓
If yes, complete and attach Schedule II.

III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? — Yes ✓ No ___
If yes, complete and attach Schedule III.

IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? — Yes ___ No ✓
If yes, complete and attach Schedule IV.

V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? — Yes ✓ No ___
If yes, complete and attach Schedule V.

VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? — Yes ___ No ✓
If yes, complete and attach Schedule VI.

VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? — Yes ___ No ✓
If yes, complete and attach Schedule VII.

VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? — Yes ✓ No ___
If yes, complete and attach Schedule VIII.

IX. Did you have any reportable agreement or arrangement with an outside entity? — Yes ___ No ✓
If yes, complete and attach Schedule IX.

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTION

Trusts- Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? — Yes ___ No ✓

Exemptions-- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? — Yes ___ No ✓

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and wilfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.

Signature of Reporting Individual: Patrick J. Tiberi

Date (Month, Day, Year): 5-15-07

6834

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Patrick Joseph Tiberi

BLOCK A **Asset and/or Incom Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.		BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
JT	2210 & 2212 Tupsfield Rd. (with spouse)	$100,001 - $250,000	Rent	$5,001 - $15,000	
JT	5596 & 5598 Parkville Rd. (with father)	$100,001 - $250,000	Rent	$5,001 - $15,000	
JT	5604 & 5606 Parkville Rd. (with father)	$100,001 - $250,000	Rent	$5,001 - $15,000	
JT	Amcap Fund	$100,001 - $250,000	DIVIDENDS/ CAPITAL GAINS	$2,501 - $5,000	
JT	Fifth Third Bank	$1,001 - $15,000	INTEREST	$201 - $1,000	
JT	Fifth Third Bank	$1,001 - $15,000	INTEREST	$201 - $1,000	

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SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Patrick Joseph Tiberi

JT	Income Fund	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500
	Investment Co./America	$15,001 - $50,000	DIVIDENDS/ IRA	NA
SP	Limited Brands	$1,001 - $15,000	DIVIDENDS	NA
SP	New Economy Fund	$15,001 - $50,000	DIVIDENDS/ IRA	NA
	Northwestern Mutual Life Insurance	$50,001 - $100,000	DIVIDENDS	NA
SP	Northwestern Mutual Life Insurance	$50,001 - $100,000	DIVIDENDS	NA
SP	New Economy Fund	$1,001 - $15,000	DIVIDENDS/ IRA	NA
	Ohio Deferred Comp--(See Below)			
	Ohio Deferred Comp Retirement Fund--AIM Constellation Fund (closed, funds rolled into Fidelty Growth Company)	None	None	NA
	Ohio Deferred Comp Retirement Fund--Fidelty Contrfund	$15,001 - $50,000	DIVIDENDS	NA
	Ohio Deferred Comp Retirement Fund-- Fidelty Growth Company	$15,001 - $50,000	DIVIDENDS	NA
	Ohio Deferred Comp Retirement Fund--Pimco Total Return	$1,001 - $15,000	DIVIDENDS	NA

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SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Patrick Joseph Tiberi

	Ohio Deferred Comp Retirement Fund-- Vanguard Institutional Index	$1,001 - $15,000	DIVIDENDS	NA
JT	Ohio Tuition Trust--529	$1,001 - $15,000	DIVIDENDS	NA
	P.E.R.S Ohio--Retirement	$15,001 - $50,000	DIVIDENDS	NA
	Mason Street Mutual Fund (formerly Robert W. Baird Co.)	$1,001 - $15,000	INTEREST SEP/IRA	NA
SP	Spouse Ohio Deferred Comp Retirement Fund-- (See Below)			
SP	Spouse Ohio Deferred Comp Retirement Fund-- American Century Income Growth (closed, funds rolled into Dodge & Cox Stock)	None	None	NA
SP	Spouse Ohio Deferred Comp Retirement Fund--F.P.A. Capital	$1,001 - $15,000	DIVIDENDS	NA
SP	Spouse Ohio Deferred Comp Retirement Fund--Fidelty Magellan	$1,001 - $15,000	DIVIDENDS	NA
JT	Washington Mutual Coverdell Education IRA	$1,001 - $15,000	DIVIDENDS	NA
SP	Spouse Ohio Deferred Comp Retirement Fund--Dodge & Cox Stock	$1,001 - $15,000	DIVIDENDS	NA

SCHEDULE V - LIABILITIES

Name Patrick Joseph Tiberi

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report "revolving charge accounts" (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liabilit
JT	Fifth Third Bank (with spouse)	Mortgage-2210/12 Tupsfield Rd.	$50,001 - $100,000
JT	Fifth Third Bank (with father)	Mortgage-5604/06 Parkville Rd.	$50,001 - $100,000

SCHEDULE VIII - POSITIONS

Name Patrick Joseph Tiberi

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organizatio
Board Member	Prevent Blindness America (Uncompensated)
Trustee	Hinson Family Trust (Uncompensated)

UNITED STATES HOUSE OF REPRESENTATIVES

2007 FINANCIAL DISCLOSURE STATEMENT

FORM A
For use by Members, officers, and employees

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER

2007 MAY 15 AM 11:09

OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

Congressman John F. Tierney
(Full Name)

2238 Rayburn Office Building
Washington, DC 20515
(Mailing Address)

202-225-8020
Daytime Telephone

Filer Status	[X] Member of the U.S. House of Representatives — State: MA District: 06	[ ] Officer or Employee	Employing Office:
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ]	No [X]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [ ]	No [X]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ]	No [X]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person *upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee.* Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	John F. Tierney	5.15.07

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SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	Tierney Designs	Spouse's business	$4,000

For payments to charity in lieu of honoraria, use Schedule II.

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SCHEDULE II — PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

	Source	Activity	Date	Amount
Examples:	Association of American Associations, Washington, DC	Speech	Feb. 2, 2006	$2,000
	XYZ Magazine	Article	Aug. 13, 2006	$500

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the *name of the* business, the nature of the business, and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: *Your personal residence(s)* (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

Check all columns that apply.
Check "None" if asset did not generate any income during the calendar year.

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was received.

BLOCK E — Transaction

Indicate if the asset had purchases (P), sales (S), or exchanges (E) exceeding $1000 in reporting year.

Block A		Block B: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	Block C: NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	Block D: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	Block E: P, S, E
SP, DC, JT	*Examples* SP Mega Corp Stock					X								X										X								P
	Simon & Schuster		Indefinite																	Royalties									X			
	1st Bank of Paducah, KY accounts						X									X									X							
JT	Prime Fund Daily Money						X										X									X						
JT	Cisco Sys Inc				X									X							X											
JT	Disney Walt Co.			X											X							X										
JT	Freeport McMoran	X													X			X							X							S
JT	Hewlett Packard			X											X							X										
JT	Nike Inc Class B				X										X								X									

For additional assets and unearned income, use next page.

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name: Congressman John F. Tierney

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset	BLOCK C Type of Income	BLOCK D Amount of Income	BLOCK E Transaction
		A None; B $1 – $1,000; C $1,001 – $15,000; D $15,001 – $50,000; E $50,001 – $100,000; F $100,001 – $250,000; G $250,001 – $500,000; H $500,001 – $1,000,000; I $1,000,001 – $5,000,000; J $5,000,001 – $25,000,000; K $25,000,001 – $50,000,000; L Over $50,000,000	NONE; DIVIDENDS; RENT; INTEREST; CAPITAL GAINS; EXCEPTED/BLIND TRUST; Other Type of Income (Specify)	I None; II $1 – $200; III $201 – $1,000; IV $1,001 – $2,500; V $2,501 – $5,000; VI $5,001 – $15,000; VII $15,001 – $50,000; VIII $50,001 – $100,000; IX $100,001 – $1,000,000; X $1,000,001 – $5,000,000; XI Over $5,000,000	P, S, E
JT	Parametric Tech Corp	C	NONE	I	
JT	Time Warner Inc.	C	DIVIDENDS	II	
JT	Verizon Communications	D	DIVIDENDS	III	
JT	Mass St Water Pollutn	D	INTEREST	IV	
JT	Mass St Cons Lns	D	INTEREST	IV	
JT	Mass St G.O. Bonds	D	INTEREST	IV	
JT	T&K Realty Trust (1/4 interest) 38 Washington St. Salem, MA	F	RENT	VI	
SP	Resolution Trust	A	NONE	I	
JT	Wright-Patman Fed. Cr. U.	F	INTEREST	II	
JT	SB/Money Funds Gov't-Port Class A	D	INTEREST	III	
JT	Citi Bank NA-Bank Dep. Prog.	D	INTEREST	III	
JT	SB/Growth & Income Fund (Now Legg Mason Pat Spes Growth and Income Fund) Smith-Barney Class A	D	Other Type of Income: NA	I	
JT	Strips-Tint-US-Treas.	C	CAPITAL GAINS	I	

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SCHEDULE IV — TRANSACTIONS

Name: Congressman John F. Tierney

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10–12–06		X								
JT	Freeport McMoran Common Stock		X		6-29-06		X								
	Personal Promisory Note			X	12-4-06			X							

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Name Congressman John F. Tierney | Page 7 of 9

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $305 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $122 or less need not be added towards the $305 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$325

Use additional sheets if more space is required.

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were paid directly by the sponsor or were paid by you and reimbursed by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	City of Departure—Destination—City of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Number of days not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	DC—Chicago—DC	N	N	N	None
	Roycroft Corporation	Aug. 6–11	DC—Los Angeles—Cleveland	Y	Y	Y	2 Days
	National Association of Letter Carriers	Aug. 17-18	Boston - Las Vegas - Boston	Y	Y	N	None

This page may be copied if more space is required.

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement

GPO: 2007 33-427 (mac)

Use additional sheets if more space is required.

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2006

FORM A — For use by Members, officers, and employees

HAND DELIVERED

2007 MAY 11 PM 1:17

(Office Use Only)

Edolphus Towns
(Full Name)

286 Highland Blvd Brooklyn, NY 11207
(Mailing Address)

(202) 225-5936
Daytime Telephone:

Filer Status	☑ Member of the U.S. House of Representative	State: NY District: 10	☐ Officer Or Employee — Employing Office.
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☑ No ☐	
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐ No ☑	
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☐ No ☑	
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☐ No ☑	
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☐ No ☑	
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐ No ☑	
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☐ No ☑	
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☐ No ☑	
IX.	Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐ No ☑	

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and wilfully falsifies, or who knowingly and wilfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.		5/10/07

6849

SCHEDULE I - EARNED INCOME

Name Edolphus Towns

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Interfaith Medical Center	Spouse Salary	N/A N/A
New York City Board of Education	Spouse Salary	N/A

UNITED STATES HOUSE OF REPRESENTATIVES

2007 FINANCIAL DISCLOSURE STATEMENT

FORM A
For use by Members, officers, and employees

2007 MAY 15 PM 5:35
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
HAND DELIVERED
MC
(Office Use Only)

Michael R. Turner
(Full Name)
5815 Stone Lake Dr.
(Mailing Address)
202-225-6465
Daytime Telephone
Centerville Ohio 45429

Filer Status: [x] Member of the U.S. House of Representatives — State: Ohio District: 3rd; [ ] Officer or Employee — Employing Office:

Report Type: [x] Annual (May 15); [ ] Amendment; [ ] Termination — Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you, or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [x]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [x]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [x]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes [x]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [x]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ]	No [x]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [x]	No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [x]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ]	No [x]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [x]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [x]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Michael Turner	May 15, 2007

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SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Orifano County Board of Education	Spouse Salary	NA
	Turner Marketing, inc. dba Turner Effect	Sponse Salary	N/A
	Turner Marketing, inc. dba Turner Effect	Sponse Business Income	N/A

For payments to charity in lieu of honoraria, use Schedule II.

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SCHEDULE II — PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
Examples Association of American Associations, Washington, DC	Speech	Feb. 2, 2006	$2,000
XYZ Magazine	Article	Aug. 13, 2006	$500
Not Applicable			

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the name of the business, the nature of the business, and its geographic location. For additional information, see the Instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income Check all columns that apply. Check "None" if asset did not generate any income during the calendar year.

BLOCK D — Amount of Income For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was received.

BLOCK E — Transaction Indicate if the asset had purchases (P), sales (S), or exchanges (E) exceeding $1000 in reporting year.

Block A		Block B												Block C							Block D											Block E
		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP	Examples: Mega Corp. Stock					X								X										X								P
DC	Simon & Schuster		Indefinite																	Royalties									X			
JT	1st Bank of Paducah, KY accounts						X									X										X						
SP	Legg Mason Value Trust			X										X							X											S
SP	Legg Mason Am Leading				X													X						X								P
SP	Legg Mason Int'l Equity				X													X					X									P
SP	Legg Mason Special Inv.				X													X						X								P
SP	Aflac stock			X											X							X										
SP	Apache stock			X											X							X										

For additional assets and unearned income, use next page.

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Michael R. Turner

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction: P, S, E
SP	Alltel stock			X											X							X									
SP	Bank of America stock			X											X								X								
SP	Biomet stock			X											X							X									
SP	Chubb stock			X											X							X									P
SP	Cisco stock			X										X							X										
SP	CVS stock			X											X							X									S
SP	First Data stock			X											X							X									
SP	Forest Labs stock			X										X							X										
SP	General Electric stock			X											X							X									
SP	Int'l Business Machines stk			X											X							X									
SP	Intel stock			X											X							X									
SP	Johnson & Johnson stock			X											X							X									
SP	Lockheed Martin stock			X											X							X									P
SP	Masco stock			X											X							X									
SP	Oracle stock			X										X							X										
SP	Pepsico stock			X											X							X									
SP	Pfizer stock			X											X							X									
SP	Procter & Gamble stock			X											X							X									
SP	Royal Dutch stock			X											X							X									
SP	Staples stock			X											X							X									
SP	U.S. Bancorp stock			X											X								X								
SP	Viacom stock			X										X							X										

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Michael R. Turner

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset	BLOCK C Type of Income	BLOCK D Amount of Income	BLOCK E Transaction (P, S, E)
SP	Windstream stock	B: $1 – $1,000	DIVIDENDS	II: $201 – $1,000	E
SP	Western Union stock	C: $1,001 – $15,000	DIVIDENDS	II: $201 – $1,000	E
SP	Schwab Cash Reserves MMF	C: $1,001 – $15,000	INTEREST	II: $201 – $1,000	
SP	Turner Marketing Dayton, OH	D: $15,001 – $50,000 (net book value)	NONE	I: None	
DC	Putnam Mod Agg Bx cd Portfolio (College Advantage 529 Plan)	D: $15,001 – $50,000	CAPITAL GAINS	IV: $1,001 – $2,500	
DC	AXP New Dimensions Fund	A: None	DIVIDENDS	III: $1,001 – $2,500	S
DC	Ameriprise Large Cap Equity Fund	C: $1,001 – $15,000	DIVIDENDS; CAPITAL GAINS	III: $1,001 – $2,500	S
JT	Schwab Cash Reserves MMF	C: $1,001 – $15,000	INTEREST	III: $1,001 – $2,500	P
JT	Hamilton City 3/12-1-09 Bond	C: $1,001 – $15,000	INTEREST	II: $201 – $1,000	P
JT	Abbott Labs stock	C: $1,001 – $15,000	DIVIDENDS	II: $201 – $1,000	P
JT	Bed Bath & Beyond stock	C: $1,001 – $15,000	NONE	I: None	P
JT	Best Buy stock	C: $1,001 – $15,000	DIVIDENDS	II: $201 – $1,000	P
JT	Citigroup stock	C: $1,001 – $15,000	DIVIDENDS	II: $201 – $1,000	P
JT	Caterpillar stock	C: $1,001 – $15,000	DIVIDENDS	II: $201 – $1,000	P
JT	Cerner stock	C: $1,001 – $15,000	NONE	I: None	P
JT	Colgate-Palmolive stock	C: $1,001 – $15,000	DIVIDENDS	II: $201 – $1,000	P
JT	Coach stock	C: $1,001 – $15,000	NONE	I: None	P
JT	Cisco stock	C: $1,001 – $15,000	NONE	I: None	P
JT	Disney stock	C: $1,001 – $15,000	DIVIDENDS	II: $201 – $1,000	P
JT	Goldman Sachs stock	C: $1,001 – $15,000	DIVIDENDS	II: $201 – $1,000	P
JT	Ingersoll Rand stock	C: $1,001 – $15,000	DIVIDENDS	II: $201 – $1,000	P

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Michael R Turner

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset	BLOCK C Type of Income	BLOCK D Amount of Income	BLOCK E Transaction
		A None; B $1 – $1,000; C $1,001 – $15,000; D $15,001 – $50,000; E $50,001 – $100,000; F $100,001 – $250,000; G $250,001 – $500,000; H $500,001 – $1,000,000; I $1,000,001 – $5,000,000; J $5,000,001 – $25,000,000; K $25,000,001 – $50,000,000; L Over $50,000,000	NONE; DIVIDENDS; RENT; INTEREST; CAPITAL GAINS; EXCEPTED/BLIND TRUST; Other Type of Income (Specify)	I None; II $1 – $200; III $201 – $1,000; IV $1,001 – $2,500; V $2,501 – $5,000; VI $5,001 – $15,000; VII $15,001 – $50,000; VIII $50,001 – $100,000; IX $100,001 – $1,000,000; X $1,000,001 – $5,000,000; XI Over $5,000,000	P, S, E
JT	3 M stock	C	DIVIDENDS	II	P
JT	Motorola stock	C	DIVIDENDS	II	P
JT	Occidental Petroleum stock	C	DIVIDENDS	II	P
JT	Paychex stock	C	DIVIDENDS	II	P
JT	Schlumberger stock	C	DIVIDENDS	II	P
JT	Stryker stock	C	DIVIDENDS	II	P
JT	U S Bancorp stock	C	DIVIDENDS	II	P
	Ohio State PERS	D	NONE	I	
	Schwab Cash Reserves MMF	C	INTEREST	II	
	Ambac stock	C	DIVIDENDS	II	
	American Int'l Group stock	C	DIVIDENDS	II	
	Allstate stock	C	DIVIDENDS	II	
	Amgen stock	C	NONE	I	
	Anheuser Busch stock	C	DIVIDENDS	II	
	Chevron stock	C	DIVIDENDS	II	P
	Electronic Arts stock	C	NONE	I	
	First Data stock	C	DIVIDENDS	II	
	General Electric stock	C	DIVIDENDS	II	
	Home Depot stock	C	DIVIDENDS	II	
	Hewlett-Packard stock	C	DIVIDENDS	II	P
	H&R Block stock	C	DIVIDENDS	II	
	Eli Lilly stock	C	DIVIDENDS	II	

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Michael R Turner

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B [redacted]	C $1,001 – $15,000	D [redacted]	E $50,001 – $100,000	F [redacted]	G $250,001 – $500,000	H [redacted]	I $1,000,001 – $5,000,000	J [redacted]	K $25,000,001 – $50,000,000	L [redacted]	BLOCK C Type of Income: NONE	DIVIDENDS	RENT	[redacted]	CAPITAL GAINS	[redacted]	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II [redacted]	III $201 – $1,000	IV [redacted]	V $2,501 – $5,000	VI [redacted]	VII $15,001 – $50,000	VIII [redacted]	IX $100,001 – $1,000,000	X [redacted]	XI Over $5,000,000	BLOCK E Transaction P, S, E
	McGraw Hill stock			X											X							X										
	3M stock			X											X							X										
	Merck stock			X											X							X										
	Microsoft stock			X											X							X										
	Nike stock			X											X							X										
	Nokia stock			X											X							X										
	Pitney Bowes stock			X											X							X										
	Procter & Gamble stock			X											X							X										
	United Technology stock			X											X							X										
	Verizon stock			X											X							X										
	Wells Fargo stock			X											X							X										
	Washington Mutual stock			X											X							X										
	Western Union stock			X											X							X										E
	Exxon Mobil stock			X											X							X										
	Zimmer Holdings stock			X										X							X											
SP	MBNA stock	X													X								X									E
SP	Sysco stock	X													X							X										S
	Dell	X												X							X											S
	Medtronic	X													X							X										S
JT-DC	Chase Bank accounts (Dayton, Ohio)			X													X					X										

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SCHEDULE IV — TRANSACTIONS

Name Michael R Turner

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10-12-06		X								
SP	Legg Mason Value Trust		X		11-17-06		X								
SP	Legg Mason American Leading	X			11-17-06		X								
SP	Legg Mason International Equity	X			11-17-06		X								
SP	Legg Mason Special Investment	X			11-17-06		X								
SP	Chubb stock	X			9-12-06	X									
SP	Lockheed Martin stock	X			9-12-06	X									
SP	MBNA stk (merger with Bank of America)			X	1-3-06	X									
SP	Sysco stock		X		9-12-06	X									
SP	CVS stock (partial sale)		X		9-12-06	X									
SP	Winstream stk (spinoff from Alltel)			X	7-17-06	less than $1,000									
SP	Western Union (spinoff from First Data)			X	9-26-06	X									
DC	AXP New Dimensions Fund		X		3-10-06	X									
DC	Ameriprise RVS Large Cap Equity	X			3-10-06	X									
DC	Ameriprise RVS Large Cap Equity	X			7-14-06	X									

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SCHEDULE IV — TRANSACTIONS

Name Michael R. Turner

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10-12-06		X								
JT	Hamilton Cnty 3% 12-1-09 Bond	X			9-13-06	X									
JT	Abbott stock	X			4-7-06	X									
JT	Bed Bath & Beyond Stock	X			5-11-06	X									
JT	Best Buy stock	X			6-20-06	X									
JT	Citigroup stock	X			6-5-06	X									
JT	Caterpillar stock	X			9-12-06	X									
JT	Cerner stock	X			5-11-06	X									
JT	Colgate-Palmolive stock	X			6-20-06	X									
JT	Coach stock	X			5-11-06	X									
JT	Cisco Stock	X			4-7-06	X									
JT	Disney Stock	X			5-11-06	X									
JT	Goldman Sachs stock	X			6-20-06	X									
JT	Ingersoll Rand stock	X			6-5-06	X									
JT	3M stock	X			4-7-06	X									
JT	Motorola stock	X			9-12-06	X									
JT	Occidental Petroleum stock	X			4-7-06	X									

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SCHEDULE IV — TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10–12–06		X								
JT	Paychex stock	X			5-11-06	X									
JT	Schlumberger stock	X			9-12-06	X									
JT	Stryker stock	X			6-5-06	X									
JT	U.S. Bancorp Stock	X			4-7-06	X									
	Dell stock		X		9-11-06	X									
	Chevron stock	X			9-11-06	X									
	Medtronic stock		X		9-11-06	X									
	Hewlett-Packard stock	X			9-11-06	X									
	Western Union stock (spin-off from First Data)			X	9-26-06	X									

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SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
	Not Applicable											

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $305 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $122 or less need not be added towards the $305 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$325
Not Applicable		

Use additional sheets if more space is required.

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were paid directly by the sponsor or were paid by you and reimbursed by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	City of Departure—Destination—City of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Number of days not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	DC—Chicago—DC	N	N	N	None
	Roycroft Corporation	Aug. 6–11	DC—Los Angeles—Cleveland	Y	Y	Y	2 Days
	Republican Study Committee	1/29 - 1/30	DC - Baltimore - DC	Y	Y	Y	None
	German Marshall Fund	2/23 - 2/26	Dayton - San Diego - Dayton	Y	Y	N	None
	Federal Reserve Bank	4/5 - 4/6	DC - Philadelphia - DC	Y	N	N	None
	Living Cities: The National Community Bar	4/21 - 4/22	Dayton - Chicago - Dayton	N	N	Y	none

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SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
None	

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
	None	

Use additional sheets if more space is required.

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2006

FORM A For use by Members, officers, and employees

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Mark Emery Udall
(Full Name)

4346 Prado Drive Boulder, CO 80303
(Mailing Address)

303-650-7820
Daytime Telephone:

Filer Status ☑ Member of the U.S. House of Representatives State: CO District: 02 ☐ Officer Or Employee Employing Office:

Report Type ☑ Annual (May 15) ☐ Amendment ☐ Termination Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes ☑ No ☐	
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes ☐ No ☑	
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes ☑ No ☐	
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes ☑ No ☐	
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes ☐ No ☑	
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes ☐ No ☑	
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? If yes, complete and attach Schedule VII.	Yes ☑ No ☐	
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes ☐ No ☑	
IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes ☐ No ☑	

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts--	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☑ No ☐

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and wilfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001)

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Mark Emery Udall	6/14/07

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SCHEDULE I - EARNED INCOME

Name *Mark Emery Udall*

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Sierra Club	Spouse Salary	N/A
America Votes	Spouse Salary	N/A

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Mark Emery Udall

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. *Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year.* Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is *that of your spouse (SP) or dependent child (DC) or is jointly held (JT)*, in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
Schwab S/T Bond Market Fund	$50,001 - $100,000	DIVIDENDS	$2,501 - $5,000	S
Dell Computer	$15,001 - $50,000	DIVIDENDS	$1 - $200	
Capital One Financial	$1,001 - $15,000	DIVIDENDS	$1 - $200	
FPL Group Incorporated (IRA)	$1,001 - $15,000	DIVIDENDS	$1 - $200	
Vanguard GNMA (IRA)	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
Dentsply Int. Inc. (IRA)	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	

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SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Mark Emery Udall

	Anheuser Busch Co. Inc. (IRA)	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	S
	Teva Pharm LTD ADRF (IRA)	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
	Kellog Company (IRA)	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
	Vanguard High Yield (IRA)	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
	Endo Pharm Holdings Inc. (IRA)	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
	Schwab S/T Bond Market Fund (IRA)	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	Texas Instruments Inc. (IRA)	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	Illinois Tool Works (IRA)	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
	Schwab Government Money Fund (IRA)	$1 - $1,000	DIVIDENDS	$1 - $200	PS
	VALIC Retirement Fund	$100,001 - $250,000	INTEREST/DIVIDENDS/CAPITAL GAINS	NONE	
	Alexandrite Sands Limited Partnership	$1,001 - $15,000	Other: Father's estate	$1 - $200	
	Kingman Station Limited Partnership	$1,001 - $15,000	Other: Father's estate	$1 - $200	
SP	Pimco High Yield Fund Institutional Class	$15,001 - $50,000	DIVIDENDS	$1 - $200	
SP	Schwab ST Bond MKT Fund	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	

SP	Vanguard GNMA Fund Investor Share	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500	P
SP	Vanguard High Yield Corp Fund Investor Share	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
SP	Vanguard Interim Term	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	P
SP	Vanguard Short Term TRSY Fund Investor Share	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
SP	Associated Banc Corp Wis	$1,001 - $15,000	DIVIDENDS	$1 - $200	
SP	Bank of America Corp.	$1,001 - $15,000	DIVIDENDS	$1 - $200	
SP	Bank of Nova Scotia F	$1,001 - $15,000	DIVIDENDS	$1 - $200	
SP	Chubb Corporation	$1,001 - $15,000	DIVIDENDS	$1 - $200	
SP	Emerson Electric	$1,001 - $15,000	DIVIDENDS	$1 - $200	
SP	FPL Group Incorporated	$1,001 - $15,000	DIVIDENDS	$1 - $200	
SP	Heinz HJ Co	$1,001 - $15,000	DIVIDENDS	$1 - $200	S
SP	Hormel Foods Corp.	$1,001 - $15,000	DIVIDENDS	$1 - $200	
SP	IngersollRand CO CL A F (M) Bermuda	$1,001 - $15,000	DIVIDENDS	$1 - $200	
SP	JM Smucker CO NEW	$1,001 - $15,000	DIVIDENDS	$1 - $200	S

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Mark Emery Udall

SP	Johnson & Johnson	$1,001 - $15,000	DIVIDENDS	$1 - $200	
SP	Linear Technology Corp (M) Delaware	$1,001 - $15,000	DIVIDENDS	$1 - $200	
SP	Novartis A G SPON ADR F (M) Sponsored ADR	$1,001 - $15,000	DIVIDENDS	$1 - $200	
SP	NOVO-NORDISK A-S ADR F (M)	$1,001 - $15,000	DIVIDENDS	$1 - $200	
SP	Pepsico Incorporated	$1,001 - $15,000	DIVIDENDS	$1 - $200	
SP	Procter & Gamble	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
SP	Royal Bank Canada	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP	Sysco Corporation	$1,001 - $15,000	DIVIDENDS	$1 - $200	
SP	Toronto Dominion Bank F	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP	Washington Mutual Inc	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	S
SP	3M Company	$1,001 - $15,000	DIVIDENDS	$1 - $200	
SP	Archstone Smith Trust REIT SBI	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	S
SP	Healthcare Realty Trust (M) REIT	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
SP	Nationwide Health PPTYS (M) REIT	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	S

SP	Thornburg Mortgage Inc (M) REIT	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
SP	Schwab Money Market Fund	$50,001 - $100,000	INTEREST	$201 - $1,000	P
SP	Schwab Money Market Fund (IRA)	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	P
SP	Vanguard GNMA Fund (IRA)	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	P
SP	Vanguard High-Yield Corporate Fund (IRA)	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
SP	Adobe Systems Inc. (IRA)	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
SP	Applied Materials Inc. (IRA)	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
SP	Becton Dickenson & Co. (IRA)	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
SP	Mentor Corporation Minn (IRA)	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	S
SP	Motorola (IRA)	$1,001 - $15,000	DIVIDENDS	$1 - $200	
SP	National City Corp. (IRA)	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
SP	Johnson & Johnson (IRA)	$1,001 - $15,000	DIVIDENDS	$1 - $200	
SP	Respironics (IRA)	$1,001 - $15,000	DIVIDENDS	$1 - $200	
SP	Teva Pharm Inds LTD ADRF (IRA)	$1,001 - $15,000	DIVIDENDS	$1 - $200	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Mark Emery Udall

SP	Medtronic (IRA)	$1,001 - $15,000	DIVIDENDS	$1 - $200	
SP	Patterson Companies (IRA)	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
SP	Sun Microsystems Inc. (IRA)	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
SP	Stryker (IRA)	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP	Wyeth (IRA)	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
SP	Schwab STT BD Market Fund (SEP IRA)	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
DC 1	Schwab Government Money Market Fund	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
DC 1	Vanguard GNMA Fund	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	
DC 1	Schwab S/T Bond Market Fund	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
DC 1	Vanguard Short Term Treasury	$1,001 - $15,000	DIVIDENDS	$1,001 - $2,500	
DC 1	Vanguard High-Yield Corporate Fund	$1,001 - $15,000	DIVIDENDS	$1,001 - $2,500	
DC 1	Kimberly-Clark Corp.	$1 - $1,000	DIVIDENDS	$201 - $1,000	
DC 1	Washington Mutual Inc.	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	S
DC 1	Equity RESIDL PPTY Trust	$1,001 - $15,000	CAPITAL GAINS/DIVIDENDS	$1 - $200	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Mark Emery Udall

DC 1	Health Care PPTY Inc.	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	S
DC 1	Health Care REIT Inc.	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
DC 1	Health Care Realty Trust REIT	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
DC 1	Vornado Realty Trust REIT	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	S
DC 2	Schwab S/T BD Market Fund	$1,001 - $15,000	DIVIDENDS	$1 - $200	S
DC 2	Adobe Systems Inc.	$1,001 - $15,000	DIVIDENDS	$1 - $200	
DC 2	Schwab Government Money Fund	$1,001 - $15,000	DIVIDENDS	$1 - $200	
DC 2	Vanguard GNMA Fund	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	P
DC 2	Vanguard Short Term Treasury	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
DC 2	Vanguard High-Yield Corporate Fund	$1,001 - $15,000	DIVIDENDS	$1,001 - $2,500	
DC 2	Vanguard Interm Term	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	P
DC 2	Bank of Nova Scotia	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	P
DC 2	Bank of America Corp.	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
DC 2	General Mills	$1,001 - $15,000	DIVIDENDS	$1 - $200	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Mark Emery Udall

DC 2	McGraw-Hill	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	S
DC 2	Kimberly-Clark Corp.	$1 - $1,000	DIVIDENDS	$1 - $200	
DC 2	Novartis	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
DC 2	The Southern Company	$1,001 - $15,000	DIVIDENDS	$1 - $200	
DC 2	Patterson Companies	$1,001 - $15,000	DIVIDENDS	$1 - $200	
DC 2	Johnson & Johnson	$1,001 - $15,000	DIVIDENDS	$1 - $200	
DC 2	Health Care PPTY INV INC REIT	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	S
DC 2	Health Care REIT Inc.	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	S
DC 2	Healthcare Realty Trust	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	S
DC 2	National City Corp.	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
DC 2	National Fuel Gas Co.	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
DC 2	Proctor & Gamble	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
DC 2	Pepsico	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
DC 2	Sysco Corporation	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Mark Emery Udall

DC 2	Thornburg Mortgage Inc.	$1,001 - $15,000	DIVIDENDS	$201 - $1,000
SP	Progress Energy	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500
SP	Fox Family Limited Partnership	$250,001 - $500,000	INTEREST	$201 - $1,000

SCHEDULE IV - TRANSACTIONS

Name Mark Emery Udall

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. *Provide a brief*

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Schwab S/T Bond Market Fund	S	02-10-06	$1,001 - $15,000
	Anheuser Busch Co. Inc. (IRA)	S	11-8-06	$1,001 - $15,000
	Kellog Company (IRA)	P	11-8-06	$1,001 - $15,000
	Endo Pharm Holdings Inc. (IRA)	P	11-8-06	$1,001 - $15,000
	Schwab Government Money Fund (IRA)	PS	MONTHLY	$1-$200
SP	Schwab ST Bond MKT Fund	S	11-6-06	$15,001 - $50,000
SP	Vanguard GNMA Fund Investor Share	P	11-6-06	$15,001 - $50,000
SP	Vanguard Interim Term	P	11-6-06	$15,001 - $50,000
	Illinois Tool Works (IRA)	P	11-8-06	$1,001 - $15,000
SP	Heinz HJ Co	S	11-8-06	$1,001 - $15,000
SP	JM Smucker CO NEW	S	5-10-06	$1,001 - $15,000
SP	Royal Bank Canada	P	11-8-06	$1,001 - $15,000

SCHEDULE IV - TRANSACTIONS

Name Mark Emery Udall

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	Toronto Dominion Bank F	P	11-8-06	$1,001 - $15,000
SP	Washington Mutual Inc	S	8-24-06	$1,001 - $15,000
SP	Archstone Smith Trust REIT SBI	S	11-8-06	$1,001 - $15,000
SP	Nationwide Health PPTYS (M) REIT	S	11-8-06	$1,001 - $15,000
SP	Schwab Money Market Fund	P	MONTHLY	$50,001 - $100,000
SP	Vanguard GNMA Fund (IRA)	P	11-6-06	$1,001 - $15,000
SP	Mentor Corporation Minn (IRA)	S	11-8-06	$1,001 - $15,000
SP	Stryker (IRA)	P	11-8-06	$1,001 - $15,000
SP	Wyeth (IRA)	P	11-08-06	$1,001 - $15,000
DC 1	Washington Mutual Inc.	S	8-24-06	$1,001 - $15,000
DC 1	Health Care PPTY Inc.	S	10-24-06	$1,001 - $15,000
DC 1	Vornado Realty Trust REIT	S	8-21-06	$1,001 - $15,000

SCHEDULE IV - TRANSACTIONS

Name Mark Emery Udall

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
DC 2	Schwab S/T BD Market Fund	S	11-6-06	$1,001 - $15,000
DC 2	Vanguard GNMA Fund	P	11-6-06	$1,001 - $15,000
DC 2	Vanguard Interm Term	P	11-6-06	$1,001 - $15,000
DC 2	Bank of Nova Scotia	P	11-8-06	$1,001 - $15,000
DC 2	McGraw-Hill	S	11-8-06	$1,001 - $15,000
DC 2	Novartis	P	11-8-06	$1,001 - $15,000
DC 2	Health Care PPTY INV INC REIT	S	11-8-06	$1,001 - $15,000
DC 2	Health Care REIT Inc.	S	11-8-06	$1,001 - $15,000
DC 2	Healthcare Realty Trust	S	11-8-06	$1,001 - $15,000

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name Mark Emery Udall

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure–Destination–Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's expense
Williams College in New York Program	Dec. 4, 2006	Denver-New York-DC	N	Y	N	N/A

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U.S. HOUSE OF REPRESENTATIVES

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2006

FORM A — For use by Members, officers, and employees

Tom Udall
(Full Name)

308 East Capitol NE Washington, DC 20003
(Mailing Address)

202 225 6190
Daytime Telephone:

(Office Use Only)

Filer Status	☑ Member of the U.S. House of Representatives — State: NM District: 3	☐ Officer Or Employee	Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION – ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes ☑ No ☐	VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes ☐ No ☑
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes ☐ No ☑	VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? If yes, complete and attach Schedule VII.	Yes ☑ No ☐
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes ☑ No ☐	VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes ☐ No ☑
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes ☑ No ☐	IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes ☐ No ☑
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes ☐ No ☑		**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION – ANSWER EACH OF THESE QUESTIONS

Trusts–	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions–	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

CERTIFICATION – THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Tom Udall	05/15/2007

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SCHEDULE I - EARNED INCOME

Name Tom Udall

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Smithsonian Institution	Spouse Salary	N/A

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Tom Udall

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
	Albuquerque NM Gross Receipts (bond)	$15,001 - $50,000	INTEREST	$1,001 - $2,500	
	Alliance Bernstein International Growth	$1,001 - $15,000	DIVIDENDS/CAPITAL GAINS/	$1 - $200	
	Boston Capital	$1,001 - $15,000	tax credit	$201 - $1,000	
	California State (bond)	$15,001 - $50,000	INTEREST	$1,001 - $2,500	
	Capital Income Builder	$50,001 - $100,000	DIVIDENDS/CAPITAL GAINS	$1,001 - $2,500	
	CBS (exchanged for Viacom)	$1,001 - $15,000	None/DIVIDENDS	$1 - $200	E

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SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Tom Udall

Davis New York Venture	$100,001 - $250,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	
Europacific Growth	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS	$1,001 - $2,500	
Evergreen International Bond	$50,001 - $100,000	DIVIDENDS/CAPITAL GAINS	$1,001 - $2,500	
Evergreen International Equity	$100,001 - $250,000	DIVIDENDS/CAPITAL GAINS	$2,501 - $5,000	
Evergreen Precious Metals	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	
Evergreen Strategic Municipal Bond	$50,001 - $100,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	
Growth Fund of America	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	
Home Depot	None	None	NONE	S
Inland Western Retail Real Estate	$50,001 - $100,000	DIVIDENDS	$2,501 - $5,000	
Investment Company of America	$50,001 - $100,000	DIVIDENDS/CAPITAL GAINS	$2,501 - $5,000	
Kensington Strategic Realty	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS	$1,001 - $2,500	
Liberty Composite Fund	$50,001 - $100,000	None	NONE	
MedCo Health	$1,001 - $15,000	DIVIDENDS	NONE	
Merck	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Tom Udall

	New Mexico Financial Authority Court Facs (bond)	$15,001 - $50,000	INTEREST	$201 - $1,000	
	New Mexico Financial Authority Trans (bond)	$15,001 - $50,000	INTEREST	$1,001 - $2,500	
	New Perspective	$50,001 - $100,000	DIVIDENDS/CAPITAL GAINS	$2,501 - $5,000	
	NM Public Employees Retirement	$50,001 - $100,000	None	NONE	
	Northwest Mutual	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500	
	Pacific Select Variable Annuity	$100,001 - $250,000	None	NONE	
	Pacific Value Variable Annuity	$500,001 - $1,000,000	None	NONE	
	Pershing Money Market	$15,001 - $50,000	INTEREST	$1,001 - $2,500	
	Prologis	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500	
	Puerto Rico Commonwealth Bond	$15,001 - $50,000	INTEREST	$1,001 - $2,500	
	SmallCap World Fund	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	
	Sun Microsystems	None	None	NONE	S
	Transamerica Landmark VA	$100,001 - $250,000	None	NONE	
	Trustreet Property	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Tom Udall

	Washington Mutual Investors	$50,001 - $100,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	
	Wells Real Estate Fund VIII (Wells Limited Partnership)	$1,001 - $15,000	distributions	$2,501 - $5,000	

SCHEDULE IV - TRANSACTIONS

Name Tom Udall

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Home Depot	S	7/10/2006	$1,001 - $15,000
	Sun Microsystems	S	6/27/2006	$1,001 - $15,000
	Viacom (exchange for CBS)	E	1/4/2006	$1,001 - $15,000

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name Tom Udall

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's expense
Aspen Institute	January 8 - 13	Albuquerque, NM - Punta Mita, Mexico - Albuquerque,NM	Y	Y	Y	N/A

UNITED STATES HOUSE OF REPRESENTATIVES

2007 FINANCIAL DISCLOSURE STATEMENT

FORM A
For use by Members, officers, and employees

LEGISLATIVE RESOURCE CENTER
2007 MAY 15 PM 3:51
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
MC HAND DELIVERED
(Office Use Only)

Frederick Stephen Upton
(Full Name)
2183 Rayburn H.O.B.
(Mailing Address)
Washington, D.C. 20515
Daytime Telephone: 202-225-3761

Filer Status	[X] Member of the U.S. House of Representatives	State: Michigan District: 6	[ ] Officer or Employee	Employing Office:
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination	Termination Date

A *$200 penalty* shall be assessed against anyone who fil s more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [ ]	No [X]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [X]	No [ ]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [X]	N [ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X]	No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ]	No [X]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Fred Upton	5-15-07

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SCHEDULE II — PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
Examples: Association of American Associations, Washington, DC	Speech	Feb. 2, 2006	$2,000
XYZ Magazine	Article	Aug. 13, 2006	$500
Niles, Michigan Chamber of Commerce donated to Fernwood Botanical Gardens	Speech	March 21, 2006	$100—

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the name of the ~~business, the nature of the business,~~ and its geographic location. For additional information, see the Instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

Check all columns that apply.
Check "None" if asset did not generate any income during the calendar year.

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was received.

BLOCK E — Transaction

Indicate if the asset had purchases (P), sales (S), or exchanges (E) exceeding $1000 in reporting year.

	Block A	Block B												Block C							Block D											Block E
		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP, DC, JT	Examples: SP Mega Corp. Stock					X								X										X								P
	Simon & Schuster		Indefinite																	Royalties									X			
	1st Bank of Paducah, KY accounts						X									X										X						
SP	Putnam Investments			X											X		X					X										
JT	Whirlpool									X					X													X				
	Petroleum Revenue Service		X																	Royalties		X										
	US-UPS Family Partnership		X														X					X										
JT	W. Putman Credit Union Acct					X											X						X									
JT	5th 3rd Savings Bank Acct			X											X							X										
	JP Morgan Checking Acct			X													X					X										

For additional assets and unearned income, use next page.

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Upton

SP. DC. JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset	BLOCK C Type of Income	BLOCK D Amount of Income	BLOCK E Transaction
		A None; B $1 – $1,000; C $1,001 – $15,000; D $15,001 – $50,000; E $50,001 – $100,000; F $100,001 – $250,000; G $250,001 – $500,000; H $500,001 – $1,000,000; I $1,000,001 – $5,000,000; J $5,000,001 – $25,000,000; K $25,000,001 – $50,000,000; L Over $50,000,000	NONE; DIVIDENDS; RENT; INTEREST; CAPITAL GAINS; EXCEPTED/BLIND TRUST; Other Type of Income (Specify)	I None; II $1 – $200; III $201 – $1,000; IV $1,001 – $2,500; V $2,501 – $5,000; VI $5,001 – $15,000; VII $15,001 – $50,000; VIII $50,001 – $100,000; IX $100,001 – $1,000,000; X $1,000,001 – $5,000,000; XI Over $5,000,000	P, S, E
JT	Hilliard Lyons Acct's				
	composed of:				
JT	H. Lyons Cash Fund				
SP	AT&T				
SP	Altria				
SP	BP				
SP	Bristol Myers Squibb				
	Chemical Financial				
	Chesapeake Energy				P
SP	Comcast				
SP	Corning				P
SP	Directv				
SP	Dow Chemical				
SP	Exxon Mobil				
SP	Fortune Brands				
SP	Gallatin Group				
SP	GE				
SP	IBM				
	J.P. Morgan Chase				
SP	Monsanto				
SP	Nationwide Financial				
SP	News Corp.				

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Continuation Sheet (if needed)

Name Upton 5/12

SP, DC, JT	Asset and/or Income Source (Block A)	A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000
	Hilliard Lyons (Con't)																													
	Pepsico								X																					
JT	Pfizer						X																							
SP	Raytheon			X																										
	Regeneration Tech			X																										
JT	Schering AG				X																									
JT	Stryker							X																						P
SP	Verizon			X																										
JT	Wrigley, Wm								X																					
JT	Wrigley Class B						X																							
	Yum						X																							
	Mass. Inv. Growth				X																									
	Seligman Fd				X																									
SP	RBB Fund - Senbanc				X																									
SP	Vanguard Bd index				X																									

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Continuation Sheet (if needed)

Name Upton

BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction: P. S. E
SP. DC. JT Family Trusts managed without control by me. Trusts managed by 5th 3rd Bank, JP Morgan & Northern Trust																															
JT Trusts total value composed of:										X				X		X												X			
• JP Morgan Music & mkt			X																												
• Wachovia Corp.			X																												
• Wells Fargo & Co			X																												
JT • General Electric					X																										
JT • Whirlpool									X																						
• Omnicom Group			X																												
• Colgate Palmolive			X																												
• General Mills			X																												
JT • Pepsi					X																										
• Gen. Dynamics			X																												
• Chevron				X																											
• Exxon Mobil					X																										
• American Int'l Grp			X																												
• Citigroup				X																											
• FHLMC			X																												
• Medtronic			X																												
• Pfizer					X																										
• Home Depot			X																												
• Wal Mart			X																												

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Continuation Sheet (if needed)

Name Upton

BLOCK A Asset and/or Income Source (SP. DC. JT)	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction (P. S. E.)
Family Trusts (Cont)																															
• Automatic Data Proc.			X																												
• Cisco			X																												
• Intel			X																												
• IBM			X																												
• Microsoft			X																												
• JP Morgan Mid Bond Fed						X																									
• Bank of America			X																												
• 3M			X																												
• Gannett			X																												
• Altria			X																												
• Coca Cola			X																												
• United Techs			X																												
• Merrill Lynch			X																												
• Baxter Int			X																												
• Eli Lilly			X																												
• McKesson Corp			X																												
• Merck			X																												
• PDL Biopharma			X																												
• Praxair Inc			X																												
• JP Morg. Diversified Mid cap				X																											
• JP Morg. Div. Midcap value				X																											
• JP Morgan Int Equity					X																										

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Continuation Sheet (if needed)

Name Upton

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
	Family Trusts Cont																															
	• JP Morgan small cap gwt			X																												
	• JP Morgan small cap value			X																												
	• Target			X																												
	• Applied Matls			X																												
	• Dell			X																												
	• Verizon				X																											
	• Procter & Gamble			X																												
	• Progressive Corp Ohio			X																												
	• Lowes			X																												
JT	• AT&T				X																											
JT	• Bell South				X																											
JT	• Wrigley									X																						
	• MFB N. Trust PDS mid-cap						X																									
	• MFB N Trust small cap						X																									
	• MFB N. Trust Emerg Mkts			X																												
	• MFB N. Trust Multi Tot Ex						X																									
	• Vodaphone			X																												
	• Pat College & Univ - Emory			X																												
JT	• Cash						X																									
	• Kimberly-Clark				X																											
	• BP				X																											
	• American Express			X																												

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Continuation Sheet (if needed)

Name Upton

SP. DC. JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
	Family Trusts Con't																															
	• Ameriprise Fin'l			X																												
	• Hewlett Packard			X																												
	• JC Penney				X																											
	• Aegon			X																												
	• MFB N. Trust Fixed			X																												
	• MFB N. Trust US Govt			X																												
JT	• 5th 3rd Bank Mmkt						X																									
DC	• Chesapeake Energy			X																												
DC	• Disney						X																									
DC	• Fed Nat'l Mtg				X																											
DC	• Staples						X																									
DC	• Masters Select Bmkt						X																									
	• Chemical Fin'l			X																												
	• 5th 3rd Bank				X																											
	• JP Morgan Manu. Mmkt			X																												
	• Bank One						X																									
	• Fidelity Investment				X																											

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SCHEDULE IV — TRANSACTIONS

Name Upton

Page 10 of 12

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.		Type of Transaction			Date	Amount of Transaction										
SP, DC, JT	Asset	PURCHASE	SALE	EXCHANGE	(MO/DAY/YR)	B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10–12–06		X								
SP	Corning	X			12-11-06	X									
	Chesapeake Energy	X			5-05-06	X									
	Stryker	X			5-05-06	X									

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SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were paid directly by the sponsor or were paid by you and reimbursed by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	City of Departure—Destination—City of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Number of days not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	DC—Chicago—DC	N	N	N	None
	Roycroft Corporation	Aug. 6–11	DC—Los Angeles—Cleveland	Y	Y	Y	2 Days
	Consumer Electronics Assoc.	Jan 4-6	DC → Los Vegas → S. Bend	Y 2 nite	Y	N	N
	Alliance of Automobile Manuf.	Jan 9-9	No travel expense	Y 2 nite	Y	N	N

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SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Steering Cmte Member	Congressional Conversation on Race - Faith & Politics Organization
Co-Partner	US-UPS (Family Partnership)
Ex-officio Bd Member	Alliance for World Class Communities
Steering Cmte Member	GOP Mainstreet Partnership - resigned in January, '07

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
	-none-	

GPO: 2007 33-427 (mac)

Use additional sheets if more space is required.

UNITED STATES HOUSE OF REPRESENTATIVES

2007 FINANCIAL DISCLOSURE STATEMENT

FORM A
For use by Members, officers, and employees

LEGISLATIVE RESOURCE CENTER
2007 MAY 15 AM 10: 37
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

HAND DELIVERED
MC
(Office Use Only)

(Full Name) Chris Van Hollen

(Mailing Address) 9805 Old Spring Rd, Kensington, Md. 20895

(Daytime Telephone) 202-225-5341

Filer Status	☑ Member of the U.S. House of Representatives — State: Md. District: 8	☐ Officer or Employee	Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☑ No ☐	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐ No ☑
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐ No ☑	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☐ No ☑
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☑ No ☐	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☑ No ☐
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☑ No ☐	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐ No ☑
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☐ No ☑	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐	No ☑
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐	No ☑

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Chris Van Hollen	5/14/07

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Name	Page 2 of 6

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	World Affairs Council of Washington, D.C.	spouse salary	NA

For payments to charity in lieu of honoraria, use Schedule II.

6901

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Name Chris Van Hollen | Page 3 of 6

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the name of the business, the nature of the business, and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT). In the optional column on the far left

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

Check all columns that apply.
Check "None" if asset did not generate any income during the calendar year.

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was received.

BLOCK E — Transaction

Indicate if the asset had purchases (P), sales (S), or exchanges (E) exceeding $1000 in reporting year

	Block A		Block B												Block C							Block D											Block E
			A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP, DC, JT	*Examples:*	SP	Mega Corp. Stock				X								X										X								P
			Simon & Schuster		Indefinite																Royalties									X			
			1st Bank of Paducah, KY accounts					X									X									X							
	Congressional Fed Credit Union					✓												✓							✓								
	Johnson & Johnson stock				✓											✓							✓										
	Pepsico Inc stock					✓										✓								✓									
	Pfizer Inc stock				✓											✓								✓									
	Dow Chemical stock				✓											✓							✓										
	General Electric Stock				✓											✓								✓									

For additional assets and unearned income, use next page.

6902

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Chris Van Hollen

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset	BLOCK C Type of Income	BLOCK D Amount of Income	BLOCK E Transaction (P, S, E)
		A None; B $1 – $1,000; C $1,001 – $15,000; D $15,001 – $50,000; E $50,001 – $100,000; F $100,001 – $250,000; G $250,001 – $500,000; H $500,001 – $1,000,000; I $1,000,001 – $5,000,000; J $5,000,001 – $25,000,000; K $25,000,001 – $50,000,000; L Over $50,000,000	NONE; DIVIDENDS; RENT; INTEREST; CAPITAL GAINS; EXCEPTED/BLIND TRUST; Other Type of Income (Specify)	I None; II $1 – $200; III $201 – $1,000; IV $1,001 – $2,500; V $2,501 – $5,000; VI $5,001 – $15,000; VII $15,001 – $50,000; VIII $50,001 – $100,000; IX $100,001 – $1,000,000; X $1,000,001 – $5,000,000; XI Over $5,000,000	
	EIF Equity Income Fund (1st reading series H&T)	B ✓	DIVIDENDS ✓	II ✓	
	EIF Equity Income Fund (2nd reading series H&T)	B ✓	DIVIDENDS ✓	II ✓	
	Merrill Lynch Realty Assets Trust	C ✓	DIVIDENDS ✓	II ✓	
	Plum Brands Inc	C ✓	DIVIDENDS ✓	II ✓	
	Amount For Pension Plan (Citizens Retirement Svcs)	F ✓	Other Type of Income: N/A		
	State of Md. / General Assembly Pension Plan	D ✓	Other Type of Income: N/A		

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6903

SCHEDULE IV — TRANSACTIONS

Name Chris Van Hollen

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10-12-06		X								
	Exxon Mobil stock		✓		11/17/06	✓									

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6904

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Director	Boys & Girls Club of Greater Washington

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement

Use additional sheets if more space is required.

6905

LEGISLATIVE RESOURCE CENTER
2007 MAY 15 PM 5:01
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U.S. HOUSE OF REPRESENTATIVES
MAIL AND DELIVERED
(Office Use Only)

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2006

FORM A
For use by Members, officers, and employees

NYDIA M. VELAZQUEZ
(Full Name)

2466 RAYBURN WASHINGTON, DC 20515
(Mailing Address)

202-225-2361
Daytime Telephone:

Filer Status	☑ Member of the U.S. House of Representatives	State: NY District: 12	☐ Officer Or Employee — Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☑ No ☐	
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐ No ☑	
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☑ No ☐	
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☐ No ☑	
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☑ No ☐	
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐ No ☑	
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☑ No ☐	
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☐ No ☑	
IX.	Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐ No ☑	

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts--	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Nydia M. Velázquez	05-15-07

9069

SCHEDULE I - EARNED INCOME

Name NYDIA M. VELAZQUEZ

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
BUSINESS AID	SPOUSE SELF EMPLOYED	N/A

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name NYDIA M. VELAZQUEZ

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
SP	20TH CENTURY ROTH IRA (GROWTH FUND NOT SELF DIRECTED)	$15,001 - $50,000	N/A	N/A	
JT	84 WOODHULL STREET BROOKLYN, NEW YORK	$1,000,001 - $5,000,000	RENT	$15,001 - $50,000	
SP	HSBC IRA (AMERICAN CENTURY GROWTH FUND NOT SELF DIRECTED)	$1,001 - $15,000	N/A	N/A	

SCHEDULE V - LIABILITIES

Name NYDIA M. VELAZQUEZ

eport liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest mount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household urniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report "revolving charge accounts" (i.e., credit ards) only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
JT	WRIGHT PATMAN CFCU	REVOLVING CHARGE ACCOUNT	$10,001 - $15,000
JT	WASHINGTON MUTUAL	MORTGAGE ON 84 WOODHULL, BROOKLYN NY	$1,000,001 - $5,000,000
JT	CHASE MANHATTAN	EQUITY LINE OF CREDIT	$50,001 - $100,000

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name NYDIA M. VELAZQUEZ

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's expense
NATIONAL ASSOCIATION OF DEVELOPMENT COMPANIES	MAY 4-6	DC-ORLANDO-NEW YORK	Y	N	N	N/A

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2006

FORM A — For use by Members, officers, and employees

HAND DELIVERED

2007 MAY 10 PM 2:45

(Office Use Only)

Peter J. Visclosky
(Full Name)

P.O. Box 10847 Merrillville, IN 46411
(Mailing Address)

202-225-2461
Daytime Telephone:

Filer Status	☑ Member of the U.S. House of Representatives — State: IN, District: 01	☐ Officer Or Employee	Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes ☐ No ☑	
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes ☐ No ☑	
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes ☑ No ☐	
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes ☑ No ☐	
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes ☐ No ☑	
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes ☐ No ☑	
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? If yes, complete and attach Schedule VII.	Yes ☐ No ☑	
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes ☑ No ☐	
IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes ☐ No ☑	

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and wilfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.		5/10/07

6911

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Peter J. Visclosky

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
	Citigroup Incorporated	None	DIVIDENDS	$201 - $1,000	S
	Consolidated Tomoka Land Company	None	DIVIDENDS	$1 - $200	S
	Kulicke and Soffa Industries	None			S
	J.P. Morgan Chase and Company	None	DIVIDENDS	$201 - $1,000	S
	Northwest Indiana Bancorp	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500	P
	Merrill Lynch and Company	None	DIVIDENDS	$1 - $200	S

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SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Peter J. Visclosky

	Merrill Lynch Bank USA	$100,001 - $250,000	INTEREST	$1,001 - $2,500	
DC	Dodge and Cox Stock Fund	$50,001 - $100,000	CAPITAL GAINS/DIVIDENDS	$2,501 - $5,000	P
DC	Mutual Qualified Fund	$15,001 - $50,000	CAPITAL GAINS/DIVIDENDS	$2,501 - $5,000	
DC	Dodge and Cox Stock Fund	$50,001 - $100,000	CAPITAL GAINS/DIVIDENDS	$2,501 - $5,000	
DC	Mutual Qualified Fund	$15,001 - $50,000	CAPITAL GAINS/DIVIDENDS	$2,501 - $5,000	S
DC	People's Bank, Savings Account	$1,001 - $15,000	INTEREST	$1 - $200	
DC	People's Bank, Savings Account	$1 - $1,000	INTEREST	$1 - $200	

SCHEDULE IV - TRANSACTIONS

Name Peter J. Visclosky

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Citigroup Incorporated	S	06-19-06	$15,001 - $50,000
	Northwest Indiana Bancorp	P	02-15-06	$15,001 - $50,000
	Consolidated Tomoka Land Company	S	06-19-06	$15,001 - $50,000
	Kulicke and Soffa Industries	S	06-19-06	$1,001 - $15,000
	J.P. Morgan Chase and Company	S	06-19-06	$15,001 - $50,000
	Merill Lynch and Company	S	06-20-06	$1,001 - $15,000
DC	Mutual Qualified Fund	S	08-23-06	$1,001 - $15,000
DC	Dodge and Cox Stock Fund	P	01-17-06, 02-15-06, 03-15-06, 04-17-06, 05-15-06, 06-15-06, 07-17-06, 08-15-06, 09-15-06, 10-16-06, 11-15-06, 12-15-06	$1,001 - $15,000

SCHEDULE VIII - POSITIONS

Name Peter J. Visclosky

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Trustee	The Visclosky Foundation P.O. Box 10847 Merrillville, IN 46411

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6916

UNITED STATES HOUSE OF REPRESENTATIVES
2007 FINANCIAL DISCLOSURE STATEMENT

FORM A
For use by Members, officers, and employees

TIMOTHY L. WALBERG
(Full Name)

6769 TEACHOUT RD. 517-431-2327
(Mailing Address) Daytime Telephone

TIPTON, MI 49287

Filer Status: [X] Member of the U.S. House of Representatives — State: MI District: 07 — [ ] Officer or Employee — Employing Office:

Report Type: [X] Annual (May 15) — [ ] Amendment — [ ] Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? If yes, complete and attach Schedule VII.	Yes [ ]	No [X]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes [ ]	No [X]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes [ ]	No [X]	IX. Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes [ ]	No [X]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, §104 and 18 U.S.C. §1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Timothy L Walberg	5/15/07

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$8,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	MOODY BIBLE INSTITUTE	SALARY	$ 5,677
	STATE OF MICHIGAN	LEGISLATIVE PENSION	$46,590

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Name TIMOTHY L WALBERG

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the name of the business, the nature of the business, and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT). In the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

Check all columns that apply.
Check "None" if asset did not generate any income during the calendar year.

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was received.

BLOCK E — Transaction

Indicate if the asset had purchases (P), sales (S), or exchanges (E) exceeding $1000 in reporting year.

			A None	B $1 - $1,000	C $1,001 - $15,000	D $15,001 - $50,000	E $50,001 - $100,000	F $100,001 - $250,000	G $250,001 - $500,000	H $500,001 - $1,000,000	I $1,000,001 - $5,000,000	J $5,000,001 - $25,000,000	K $25,000,001 - $50,000,000	L Over $50,000,000	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 - $200	III $201 - $1,000	IV $1,001 - $2,500	V $2,501 - $5,000	VI $5,001 - $15,000	VII $15,001 - $50,000	VIII $50,001 - $100,000	IX $100,001 - $1,000,000	X $1,000,001 - $5,000,000	XI Over $5,000,000	P, S, E
SP, DC, JT	Examples:	SP Mega Corp. Stock						X							X										X								P
		Simon & Schuster			Indefinite																Royalties									X			
		1st Bank of Paducah, KY accounts							X								X										X						
	AMERICAN FUNDS					X										X			X							X							S, E
	HARTFORD							X								X			X								X						
	ICON ADVISORS							X								X			X							X							E

For additional assets and unearned income, use next page.

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UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2006

FORM A
For use by Members, officers, and employees

Gregory Paul Walden
(Full Name)

1504 Sherman Ave. Hood River, OR 97031
(Mailing Address)

202-225-6730
Daytime Telephone

Filer Status: [x] Member of the U.S. House of Representatives — State: OR — District: 02 — [ ] Officer Or Employee — Employing Office:

Report Type: [x] Annual (May 15) — [ ] Amendment — [ ] Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes [x] No [ ]	
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes [ ] No [x]	
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes [x] No [ ]	
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes [ ] No [x]	
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes [ ] No [x]	
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes [ ] No [x]	
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? If yes, complete and attach Schedule VII.	Yes [ ] No [x]	
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes [x] No [ ]	
IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes [ ] No [x]	

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts--	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ] No [x]
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ] No [x]

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

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Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Gregory Paul Walden	5/15/07

SCHEDULE I - EARNED INCOME

Name Gregory Paul Walden

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Columbia Gorge Broadcasters	Spouse Salary	N/A

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Gregory Paul Walden

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
JT	Columbia Gorge Broadcasters	$1,000,001 - $5,000,000	DIVIDENDS	$5,001 - $15,000	
	State of Oregon PERS	$15,001 - $50,000	NONE	NONE	
JT	Columbia Bancorp Stock	$250,001 - $500,000	DIVIDENDS	$5,001 - $15,000	
JT	Tower Property (land), 1190 22nd St., Hood River, OR 97031	$100,001 - $250,000	RENT	$15,001 - $50,000	
	Columbia Bancorp Stock-IRA- (administered by Prime Vest)	$50,001 - $100,000	DIVIDENDS	$201 - $1,000	
JT	American Funds Investment Company of America	$1,001 - $15,000	DIVIDENDS	$1 - $200	

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SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Gregory Paul Walden

	American Funds Investment Company of America-IRA	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
	Growth Fund of America-IRA	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
	Columbia River Bank Premium Money Market	$50,001 - $100,000	INTEREST	$2,501 - $5,000	
SP	Columbia River Bank Money Market	$1,001 - $15,000	INTEREST	$1 - $200	
	Columbia River Bank DDA	$15,001 - $50,000	INTEREST	$1 - $200	
SP	American Funds Investment Company of America-IRA	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
SP	Growth Fund of America-IRA	$50,001 - $100,000	DIVIDENDS	$201 - $1,000	
JT	MSW Communications, LLC Hood River, OR	$15,001 - $50,000	Royalty Payment (Royalty Agreement) & Interest	$15,001 - $50,000	
	Oregon State General Baccalaureate Bond	$15,001 - $50,000	INTEREST	$1,001 - $2,500	
JT	Home lot: 2909 Hidden Valley Dr., Lake Havasu City, AZ	$50,001 - $100,000	NONE	NONE	
JT	Columbia Gorge Community College bond	$15,001 - $50,000	INTEREST	$201 - $1,000	
SP	Columbia Bancorp Stock-IRA (administered by Prime Vest)	$50,001 - $100,000	DIVIDENDS	$201 - $1,000	

SCHEDULE VIII - POSITIONS

Name Gregory Paul Walden

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
President	Columbia Gorge Broadcasters
Member	MSW Communications, LLC

FOOTNOTES

Name Gregory Paul Walden

Number	Section / Schedule	Footnote	This note refers to the following item
	Schedule III	Apartment in house no longer rented	Property (house) at 128 N. Carolina Ave., SE, WDC

UNITED STATES HOUSE OF REPRESENTATIVES

2007 FINANCIAL DISCLOSURE STATEMENT

FORM A
For use by Members, officers, and employees

HAND DELIVERED
LEGISLATIVE RESOURCE CENTER
2007 MAY 10 PM 1:18
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
(Office Use Only)

JAMES T. WALSH
(Full Name)

400 BROADVIEW DR.
(Mailing Address)

SYRACUSE, NEW YORK 13215

Daytime Telephone: (202)225-3701

Filer Status	[X] Member of the U.S. House of Representatives	State: NEW YORK District: 25TH	[ ] Officer or Employee — Employing Office:
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination — Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

Question	Yes	No
I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	X	
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**		X
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	X	
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	X	
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	X	
VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**		X
VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**		X
VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	X	
IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	X	

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

Question	Yes	No
TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?		X
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?		X

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I **CERTIFY** that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	James T. Walsh	May 10, 2007

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Name	JAMES T. WALSH	Page 2 of 7

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	ONONDAGA COMMUNITY COLLEGE	SPOUSE SALARY	N/A
	NEW YORK STATE & LOCAL RETIREMENT SYSTEM	PENSION (PRIO EMPL)	2,938

For payments to charity in lieu of honoraria, use Schedule II.

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Name JAMES T. WALSH

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the name of the business, the nature of the business, and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

Check all columns that apply.
Check "None" if asset did not generate any income during the calendar year.

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as Income. Check "None" if no income was received.

BLOCK E — Transaction

Indicate if the asset had purchases (P), sales (S), or exchanges (E) exceeding $1000 in reporting year.

	Block A	Block B: None	A: $1 – $1,000	B: $1,001 – $15,000	C: $15,001 – $50,000	D: $50,001 – $100,000	E: $100,001 – $250,000	F: $250,001 – $500,000	G: $500,001 – $1,000,000	H: $1,000,001 – $5,000,000	I: $5,000,001 – $25,000,000	J: $25,000,001 – $50,000,000	K: Over $50,000,000	Block C: NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	Block D: None	I: $1 – $200	II: $201 – $1,000	III: $1,001 – $2,500	IV: $2,501 – $5,000	V: $5,001 – $15,000	VI: $15,001 – $50,000	VII: $50,001 – $100,000	VIII: $100,001 – $1,000,000	IX: $1,000,001 – $5,000,000	X: Over $5,000,000	Block E: R, S, E
SP, DC, JT	Examples: SP Mega Corp. Stock					X								X										X							P
	Examples: Simon & Schuster			Indefinite																Royalties									X		
	Examples: 1st Bank of Paducah, KY accounts						X									X										X					
	WACHOVIA SECURITIES IRA ROLLOVER A/C:																														
	GENERAL ELECTRIC CO.				X										X								X								
	DOW JONES SELECT DIVIDEND INDEX FD.					X									X									X							
	JENNISON VALUE FD. CL A					X									X									X							P

For additional assets and unearned income, use next page.

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name JAMES T. WALSH

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset											BLOCK C Type of Income						BLOCK D Amount of Income											BLOCK E Transaction
		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
	WASHINGTON MUTUAL BANK CD			X													X				X										P
	VANKAMPEN GOV'T SELECT FD. CL A		X											X							X										S
SP	CENTENNIAL M.M.			X										X							X										
SP	CENTENNIAL M.M. NY TAX EX.	X												X							X										S
SP	WASHINGTON MUTUAL INVEST. FD. CL A	X												X							X										
	CONGRESS CREDIT UNION		X																		X										
	EMPIRE FED. CREDIT UNION		X																		X										
	U.S. SAVINGS BONDS			X													X				X										
SP	LORD ABBETT INVEST. TRUST US GOV'T SEC.			X										X							X										NOTE (1)
SP	VANKAMPEN HIGH YIELD FUND			X										X								X									NOTE (1)
	NOTE (1): ACQUIRED BY INHERITANCE AND HELD IN TRUST																														

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SCHEDULE IV — TRANSACTIONS

Name JAMES T. WALSH

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10-12-06		X								
	JENNYSON VALUE FD. CL A	X			NOTE (1)	X									
	VANKAMPEN GOV'T. SEC. FD CL A		X		5/1/06	X									
	WASHINGTON MUTUAL BANK CD	X			5/1/06	X									
SP	CENTENNIAL M.M. NY TAX EX.		X		8/1/06		X								
SP	WASHINGTON MUTUAL INVEST. FD. CL A		X		9/21/06	X									
	(1) DIVIDEND REINVESTMENTS THROUGHOUT THE YEAR														

This page may be copied if more space is required.

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Name JAMES T. WALSH

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability										
			B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
	ACS GS ROCKVILLE, MD	PERSONAL (STUDENT LOAN)		X								

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $305 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $122 or less need not be added towards the $305 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$325

Use additional sheets if more space is required

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
DIRECTOR	ERIE CANAL MUSEUM
DIRECTOR	KIDNEY FOUNDATION - CNY CHAPTER
TRUSTEE	VERA HOUSE
DIRECTOR	EVERSON MUSEUM

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
N/A	NEW YORK STATE & LOCAL RETIREMENT SYSTEM	PENSION PLAN INTEREST
N/A	VERIZON COMMUICATIONS	PENSION PLAN INTEREST AVAILABLE AT RETIREMENT AGE

GPO: 2007 33-427 (mac)

Use additional sheets if more space is required.

UNITED STATES HOUSE OF REPRESENTATIVES

2007 FINANCIAL DISCLOSURE STATEMENT

FORM A
For use by Members, officers, and employees

LEGISLATIVE RESOURCE CENTER
2007 MAY 15 PM 5:46
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
HAND DELIVERED
(Office Use Only)

Timothy James Walz
(Full Name)

12 Valley View Place
Mankato, MN 56001
(Mailing Address)

507-345-8139
Daytime Telephone

Filer Status	☑ Member of the U.S. House of Representatives	State: MN District: 01	☐ Officer or Employee — Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☑ No ☐	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐ No ☑
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐ No ☑	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☐ No ☑
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☑ No ☐	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☑ No ☐
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☐ No ☑	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☑ No ☐
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☑ No ☐	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐	No ☑
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐	No ☑

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, §104 and 18 U.S.C. §1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	T. J. Walz	05/13/2007

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SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	Independent School District 77, Mankato, MN	Salary	$19,854.37
	Independent School District 77, Mankato, MN	Spouse Salary	$55,106.00

For payments to charity in lieu of honoraria, use Schedule II.

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Name Timothy Walz

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the name of the business, the nature of the business, and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

Check all columns that apply.
Check "None" if asset did not generate any income during the calendar year.

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was received.

BLOCK E — Transaction

Indicate if the asset had purchases (P), sales (S), or exchanges (E) exceeding $1000 in reporting year.

SP, DC, JT	Asset and/or Income Source	A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify. For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP	Mega Corp Stock					X								X									X									P
DC	Examples: Simon & Schuster		Indefinite																	Royalties									X			
JT	1st Bank of Paducah, KY accounts						X									X										X						
JT	Rental Unit 12 Valley View Place					X										X										X						
	Roth IRA			X													X						X									
SP	Roth IRA			X													X						X									
DC	College Fund			X													X						X									
	ED Minn			X													X					X										
SP	ED Minn			X													X					X										

For additional assets and unearned income, use next page.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Timothy Walz

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset	BLOCK C Type of Income	BLOCK D Amount of Income	BLOCK E Transaction (P, S, E)
	NW Mutual Life Ins.	C $1,001 – $15,000	INTEREST	IV $1,001 – $2,500	
	Teacher Retirement 401 K	C $1,001 – $15,000	INTEREST	III $201 – $1,000	
SP	Teacher Retirement 401 K	D $15,001 – $50,000	INTEREST	IV $1,001 – $2,500	

This page may be copied if more space is required.

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SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** (i e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
JT	ABN AMRO Mortgage	Mortgage on 12 Valley View Pl					X					
JT	Chase Home Finance	Line of Credit on 12 Valley View Pl			X							
JT	Chase	credit card		X								
JT	MBNA Bank of America	credit card		X								
JT	Wells Fargo	credit card	X									

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $305 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $122 or less need not be added towards the $305 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$325

Use additional sheets if more space is required.

6936

SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Fellow	Macau Polytechnic University
President	Educational Travel Adventures

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
3/24/06	Mankato Area Public Schools	Leave Without Pay through 12/31/08

Use additional sheets if more space is required

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2006

FORM A
For use by Members, officers, and employees

MAY 14 2007
LEGISLATIVE RESOURCE CENTER

2007 MAY 21 PM 3:35
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

ZACHARY P. WAMP
(Full Name)

719 HAWKS NEST
(Mailing Address)

Daytime Telephone

CHATTANOOGA, TN 37419

Filer Status	[X] Member of the U.S. House of Representatives — State: TN District: 3	[ ] Officer or Employee	Employing Office
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination — Termination Date

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X]	No [ ]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ]	No [X]
VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X]	No [ ]
VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ]	No [X]
IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Zach Wamp	5/12/07

6938

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	FRIENDS OF ZACH WAMP	SPOUSE SALARY	N/A

For payments to charity in lieu of honoraria, use Schedule II.

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

Check all columns that apply
Leave blank if asset did not generate any income during the calendar year.

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was received.

BLOCK E — Transaction

Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.

	BLOCK A		BLOCK B												BLOCK C							BLOCK D											BLOCK E
			A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED TRUST	QUALIFIED BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP		SP	Mega Corp. Stock				X								X										X								P
DC	*Examples*		Simon & Schuster	Indefinite																	Royalties									X			
JT			1st Bank of Paducah, KY accounts					X									X									X							
JT	TN VALLEY CREDIT UNION				X												X						X										

For additional assets and unearned income, use next page.

6940

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	Point of Departure—Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Number of days not at sponsor's expense
Examples	*Chicago Chamber of Commerce*	*Mar. 2*	*Wash., D.C.—Chicago—Wash., D.C.*	*N*	*N*	*N*	*None*
	Roycroft Corporation	*Aug. 6–11*	*Wash., D.C.—Los Angeles—Cleveland*	*Y*	*Y*	*Y*	*2 Days*
	THE HERITAGE FOUNDATION	JAN 29-30	DCA-BALTIMORE, MD- WASH	Y	Y	N	NONE

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UNITED STATES HOUSE OF REPRESENTATIVES

2007 FINANCIAL DISCLOSURE STATEMENT

FORM A
For use by Members, officers, and employees

HAND DELIVERED

2007 APR 26 PM 1:11

MC

(Office Use Only)

Diane E. Watson
(Full Name)

5331 Harcourt Ave. L.A. Ca. 90043
(Mailing Address)

323 965-1422
Daytime Telephone

Filer Status	☒ Member of the U.S. House of Representatives	State: California District: 38th	☐ Officer or Employee	Employing Office: US Congress
Report Type	☒ Annual (May 15)	☐ Amendment	☐ Termination	Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☒	No ☐	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI**	Yes ☐	No ☒
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐	No ☒	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☒	No ☒
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☐	No ☒	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☐	No ☒
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☐	No ☒	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐	No ☒
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☐	No ☒	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐	No ☒
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐	No ☒

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Diane E. Watson	4-26-07

6942

Name Diane E. Watson	Page 2 of 3

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	State of Calif.	Legislative Pension	9,000

For payments to charity in lieu of honoraria, use Schedule II.

6943

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Name Diane E. Watson

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the name of the business, the nature of the business, and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

Check all columns that apply.
Check "None" if asset did not generate any income during the calendar year.

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was received.

BLOCK E — Transaction

Indicate if the asset had purchases (P), sales (S), or exchanges (E) exceeding $1000 in reporting year

	Block A	Block B: None	$1 – $1,000	$1,001 – $15,000	$15,001 – $50,000	$50,001 – $100,000	$100,001 – $250,000	$250,001 – $500,000	$500,001 – $1,000,000	$1,000,001 – $5,000,000	$5,000,001 – $25,000,000	$25,000,001 – $50,000,000	Over $50,000,000	Block C: NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify. For Example, Partnership Income or Farm Income)	Block D: None	I – $200	II – $201 – $1,000	III – $1,001 – $2,500	IV – $2,501 – $5,000	V – $5,001 – $15,000	VI – $15,001 – $50,000	VII – $50,001 – $100,000	VIII – $100,001 – $1,000,000	IX – $1,000,001 – $5,000,000	X – Over $5,000,000	XI	Block E: P, S, E
SP	SP Mega Corp. Stock					X								X										X									P
DC	Examples: Simon & Schuster			Indefinite																Royalties									X				
JT	1st Bank of Paducah, KY accounts						X									X									X								
	B of A IRA			X																				X									
	B of A Savings				X																				X								
	Thrift Plan				X																					X							
	Univera Life Sciences	X																		X		X											
	Semper Energy	X																		X			X										

For additional assets and unearned income, use next page.

6944

LEGISLATIVE RESOURCE CENTER

2007 MAY 15 AM 11: 24

OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

HAND DELIVERED

(Office Use Only)

15

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2006

FORM A Page 1 of 15

For use by Members, officers, and employees

MELVIN L. WATT
(Full Name)

515 N. POPLAR STREET CHARLOTTE, NC 28202
(Mailing Address)

202.225.1510
Daytime Telephone:

Filer Status	☑ Member of the U.S. House of Representatives	State: NC District: 12	☐ Officer Or Employee — Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☑ No ☐	
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐ No ☑	
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☑ No ☐	
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☑ No ☐	
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☐ No ☑	
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $285 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐ No ☑	
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $285 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☑ No ☐	
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☑ No ☐	
IX.	Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐ No ☑	

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Melvin L. Watt	5/14/2007

6945

SCHEDULE I - EARNED INCOME

Name MELVIN L. WATT

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
UNC CHARLOTTE	SPOUSE'S SALARY	N/A
MINBANC FOUNDATION, INC.	SPOUSE'S COMPENSATION	N/A

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name MELVIN L. WATT

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income.	BLOCK E **Transaction** Indicate if asset was purchased (P), sold (S), or exchanged (E) in reporting year.
	ALABAMA POWER 5.875	$15,001 - $50,000	INTEREST	$1,001 - $2,500	P
	HIGH POINT NC 3.5%	$15,001 - $50,000	INTEREST	$201 - $1,000	P
SP	WACHOVIA SECURITIES	$1,001 - $15,000	INTEREST	$201 - $1,000	
	BANK OF AMERICA CORP	$50,001 - $100,000	DIVIDENDS	$201 - $1,000	
	BB &T CORP	$1,001 - $15,000	DIVIDENDS	$1,001 - $2,500	P
	BROADBAND HOLDERS TRUST	$1,001 - $15,000	DIVIDENDS	$1 - $200	

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SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name MELVIN L. WATT

	CITIZENS COMMUNICATIONS	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	P
	DELL, INC	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
	DUKE ENERGY	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
	ENERGY CONVERSION DEV, INC	$1,001 - $15,000	CAPITAL GAINS	$1 - $200	P
	FACTSET RESEARCH SYSTEMS, INC	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
	FAMILY DOLLAR STORES, INC	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	INTEL CORP	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
	MECHANICS & FARMERS BANK	$15,001 - $50,000	CAPITAL GAINS	$201 - $1,000	
	NUCOR CORP	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
	ORACLE CORPORATION	$1,001 - $15,000	CAPITAL GAINS	$1 - $200	P
	TERAYON COMMUNICATIONS SYS	$1 - $1,000	CAPITAL GAINS	$1 - $200	P
	RYDEX SER TR ENERGY SVCS FD	$1,001 - $15,000	CAPITAL GAINS	$1 - $200	P
	RYDEX SER TR ENERGY FD	$1,001 - $15,000	CAPITAL GAINS	$1 - $200	P
	BLACKROCK MUNIHOLDINGS INSURED FD	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500	P

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name MELVIN L. WATT

	EATON VANCE TAX MANAGED	$15,001 - $50,000	CAPITAL GAINS	$1,001 - $2,500	P
	NICHOLAS-APPLEGATE INT'L & PREMIUM STRATEGY FD	$15,001 - $50,000	CAPITAL GAINS	$2,501 - $5,000	P
	NUVEEN EQUITY PREMIUM INCOME FD	$15,001 - $50,000	CAPITAL GAINS	$1,001 - $2,500	P
	WACHOVIA SECURITIES	$50,001 - $100,000	INTEREST	$1 - $200	
	MICROSOFT CORP	None	CAPITAL GAINS	$1 - $200	S
	POWERWAVE TECHNOLOGIES	None	CAPITAL GAINS	$201 - $1,000	S
	MUNIHOLDINGS INSURED FD II	None		NONE	E
	SCUDDER MUNI INCOME TRUST	None	CAPITAL GAINS	$201 - $1,000	S
	TRANSAMERICA - IRA	$1,001 - $15,000	INTEREST	$201 - $1,000	
	ARIEL APPRECIATION FUND - IRA	$1,001 - $15,000	INTEREST	NONE	
	EVERGREEN INVESTMENTS - IRA	$1,001 - $15,000	INTEREST	NONE	
	STATE EMPLOYEES RETIREMENT SYSTEM	$15,001 - $50,000	INTEREST	NONE	
	WESTSIDE 2000, LTD	$15,001 - $50,000	CAPITAL GAINS	NONE	
	LOTS - BURKE COUNTY	$50,001 - $100,000	CAPITAL GAINS	NONE	P

	LOT 515/517 N. POPLAR ST., CHARLOTTE, NC (½ plus INTEREST)	$50,001 - $100,000	CAPITAL GAINS	NONE	
SP	WACHOVIA SECURITIES - IRA	$1 - $1,000	DIVIDENDS	$1 - $200	
SP	GROWTH FUND AMERICA, INC - IRA	$1,001 - $15,000	CAPITAL GAINS	$1 - $200	
SP	JULIUS BAER INT'L EQUITY FUND - IRA	$1,001 - $15,000	CAPITAL GAINS	$1 - $200	
SP	DAVIS NEW YORK VENTURE FUND - IRA	$1,001 - $15,000	CAPITAL GAINS	$1 - $200	
SP	ROYCE PREMIER FUND - IRA	$1,001 - $15,000	CAPITAL GAINS	$1 - $200	
SP	RYDEX SECTOR ROTATION FUND - IRA	$1,001 - $15,000	CAPITAL GAINS	$1 - $200	
SP	THORNBERG INVESTMENT TRUST - IRA	$1,001 - $15,000	CAPITAL GAINS	$1 - $200	P
SP	BARON ASSET FUND	$1,001 - $15,000	CAPITAL GAINS	$1 - $200	
SP	ISHARES MSCI JAPAN INDEX - IRA	None	CAPITAL GAINS	$1 - $200	S
SP	WACHOVIA SECURITIES	$1,001 - $15,000	DIVIDENDS	$1 - $200	
SP	ARIEL APPRECIATION FUND - IRA	$1,001 - $15,000	CAPITAL GAINS	$201 - $1,000	
SP	EVERGREEN INVESTMENTS - IRA	$1,001 - $15,000	CAPITAL GAINS	$201 - $1,000	
	WACHOVIA SECURITIES-IRA (SELF DIRECTED IRA) DENOTED BY "sel"	None	.	NONE	

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SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name MELVIN L. WATT

	WACHOVIA SECURITIES "e"	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
	ALCATEL-LUCENT ADR "e"	$1 - $1,000	CAPITAL GAINS	$1 - $200	E
	APPLE COMPUTER INC"e"	$15,001 - $50,000	CAPITAL GAINS	$1 - $200	P
	DUKE ENERGY CORP "e"	$1,001 - $15,000	CAPITAL GAINS	$201 - $1,000	
	LUCENT TECHNOLOGIES WTS "e"	$1 - $1,000	CAPITAL GAINS	NONE	
	MOTOROLA INC "e"	$1,001 - $15,000	CAPITAL GAINS	$1 - $200	
	RED HAT INC "e"	$1,001 - $15,000	CAPITAL GAINS	$1 - $200	
	SUN MICROSYSTEMS "e"	$1 - $1,000	CAPITAL GAINS	NONE	
	EATON WORLDWIDE HEALTHSCIENCES "e"	$1,001 - $15,000	CAPITAL GAINS	NONE	
	RYDEX SECTOR ROTATTION FD "e"	$1,001 - $15,000	CAPITAL GAINS	NONE	
	RYDEX SER TR BIOTECHNOLOGY FD "e"	$1,001 - $15,000	CAPITAL GAINS	NONE	
	PARK PLAZA OFFICE LP "e"	$15,001 - $50,000	CAPITAL GAINS	NONE	
	AMAZON.COM "e"	None	CAPITAL GAINS	$201 - $1,000	S
	CHECKPOINT SOFTWARE "e"	None	CAPITAL GAINS	$1 - $200	S

	CISCO SYSTEMS "*"	None	CAPITAL GAINS	$1,001 - $2,500	S
	ELI LILLY & CO "*"	None	CAPITAL GAINS	$1 - $200	S
	FREESCALE SEMICONDUCTOR "8'	None	CAPITAL GAINS	$1 - $200	S
	HOME DEPOT "*"	None	CAPITAL GAINS	$201 - $1,000	S
	INCO LTD "*"	None	CAPITAL GAINS	$1,001 - $2,500	S
	NASDAQ 100 SHS "*"	None	CAPITAL GAINS	$1 - $200	S
	NUCOR CORP "*"	None	CAPITAL GAINS	$201 - $1,000	S
	TYCO INT'L INC "*"	None	CAPITAL GAINS	$1 - $200	S
	FIDELITY ADVISOR VIII LATIN AMERICA FD "*"	None	CAPITAL GAINS	$201 - $1,000	S
	HARBOR SMALL CAP VALUE "*'	None	CAPITAL GAINS	$201 - $1,000	S

SCHEDULE IV - TRANSACTIONS

Name MELVIN L. WATT

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	BB & T	P	03/31/06	$1,001 - $15,000
	CHESAPEAKE ENERGY	P	09/27/06	$1,001 - $15,000
	CITIZENS COMMUNICATIONS	P	04/06/06	$1,001 - $15,000
	DELL, INC	P	12/04/06	$1,001 - $15,000
	EATON VANCE TAX MANAGED	P	09/27/06	$15,001 - $50,000
	ENERGY CONVERSION DEV INC	P	03/31/06	$1,001 - $15,000
	FACTSET RESEARCH	P	03/31/06	$1,001 - $15,000
	FACTSET RESEARCH	P	10/18/06	$1,001 - $15,000
	INTEL CORP	P	12/04/06	$1,001 - $15,000
	MEDCO HEALTH SYSTEMS	P	09/27/06	$1,001 - $15,000
	BLACKROCK MUNIHOLDINGS INSURED FD	P	01/31/06	$1,001 - $15,000
	NICHOLAS-APPLE INTL	P	09/27/06	$15,001 - $50,000

SCHEDULE IV - TRANSACTIONS

Name MELVIN L. WATT

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	NUCOR CORP	P	09/14/06	$1,001 - $15,000
	NUVEEN EQUITY PREMIUM INCOME FD	P	09/27/06	$15,001 - $50,000
	ORACLE CORP	P	12/04/06	$1,001 - $15,000
	RYDEX SER TR ENERGY FD	P	12/07/06	$1,001 - $15,000
	RYDEX SER TR ENERGY SVCS FD	P	02/10/06	$1,001 - $15,000
	WESTERN DIGITAL	P	04/06/06	$1,001 - $15,000
	CHESAPEAKE ENERGY	S	12/29/06	$1,001 - $15,000
	FACTSET RESEARCH	S	09/19/06	$1,001 - $15,000
	MEDCO HEALTH	S	11/01/06	$1,001 - $15,000
	MICROSOFT CORP	S	03/31/06	$1,001 - $15,000
	POWERWAVE TECHNOLOGIES	S	03/30/06	$1,001 - $15,000
	WESTERN DIGITAL	S	08/09/06	$1,001 - $15,000

SCHEDULE IV - TRANSACTIONS

Name MELVIN L. WATT

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	SCUDDER MUNI INCOME TRUST	S	02/09/06	$1,001 - $15,000
	ALABAMA POWER 5.875	P	03/09/06	$15,001 - $50,000
	HIGH POINT NC 3.5%	P	09/14/06	$15,001 - $50,000
	TERAYON COMMUNICATIONS SYS	P	04/19/06	$1,001 - $15,000
	LOTS - BURKE COUNTY, NC	P	06/01/06	$50,001 - $100,000
SP	THORNBERG INVESTMENT TRUST - IRA	P	09/27/06	$1,001 - $15,000
SP	ISHARES MSCI JAPAN INDEX	S	06/08/06	$1,001 - $15,000
	APPLE COMPUTER INC "*"	P	12/04/06	$15,001 - $50,000
	AMAZON.COM "*"	S	09/27/06	$1,001 - $15,000
	CHECKPOINT SOFTWARE "*"	S	04/12/06	$1,001 - $15,000
	CISCO SYSTEMS "*"	S	04/12/06	$1,001 - $15,000
	FIDELITY ADVISOR VIII LATIN AMERICA FD "*"	S	05/22/06	$1,001 - $15,000

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SCHEDULE IV - TRANSACTIONS

Name MELVIN L. WATT

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	FREESCALE SEMICONDUCTOR "*"	S	03/03/06	$1,001 - $15,000
	HARBOR SMALL CAP VALUE "*"	S	05/18/06	$1,001 - $15,000
	HIME DEPOT"*"	S	03/03/06	$1,001 - $15,000
	INCO LTD "*"	S	04/20/06	$1,001 - $15,000
	ELI LILLY & CO "*"	S	04/19/2006	$1,001 - $15,000
	NASDAQ 100 SHS	S	03/03/06	$1,001 - $15,000
	NUCOR CORP "*"	S	03/28/06	$1,001 - $15,000
	TYCO INT'L INC "*"	S	03/03/06	$1,001 - $15,000

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name MELVIN L. WATT

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than $285 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Any time not at sponsor's expense
NATIONAL BAR ASSOCIATION	JAN. 19-23, 2006	CHARLOTTE, NC-BARBADOS-CHARLOTTE, NC	Y	N	Y	N/A
CONGRESSIONAL BLACK CAUCUS INSTITUTE	JAN. 27-29, 2006	CHARLOTTE, NC-SAN FRANCISCO, CA-CHARLOTTE, NC	Y	Y	Y	N/A
TAVIS SMILEY FOUNDATION	FEB. 25, 2006	WASHINGTON, DC-HOUSTON,TX-CHARLOTTE, NC	N	N	N	N/A
CONGRESSIONAL BLACK CAUCUS FOUNDATION	MAY 4-7, 2006	CHARLOTTE, NC-MIAMI, FL	Y	Y	Y	N/A
FISK UNIVERSITY	MAY 7-8, 2006	MIAMI, FL.-NASHVILLE, TN-CHARLOTTE, NC	Y	N	Y	N/A
CONGRESSIONAL STUDY GROUP ON GERMANY/U.S. ASSOCIATION OF FORMER MEMBERS OF CONGRESS	JUNE 2-4, 2006	CHARLOTTE, NC-NANTUCKET, MA-CHARLOTTE, NC	Y	Y	Y	N/A

SCHEDULE VIII - POSITIONS

Name MELVIN L. WATT

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
DIRECTOR	EAST TOWNE MANOR, INC., CHARLOTTE, NC
DIRECTOR	WESTSIDE 2000, LTD., CHARLOTTE, NC

FOOTNOTES

Name MELVIN L. WATT

Number	Section / Schedule	Footnote	This note refers to the following item
1	Schedule III	NAME CHANGE FROM MUNIHOLDINGS INSURED FD II TO BLACKROCK MUNIHOLDINGS INSURED FD	
2	Schedule III	NAME CHANGE LUCENT TECHNOLOGIES TO ALCATEL-LUCENT ADR	

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2006

FORM A

For use by Members, officers, and employees

HAND DELIVERED

LEGISLATIVE RESOURCE CENTER
2007 JUN -6 PM 4:51 MC
(Office Use Only)
HOUSE OF REPRESENTATIVES

-Representative Henry A. Waxman
(Full Name)

2204 Rayburn House Office Building Washington,, DC 20515
(Mailing Address)

202-225-3976
Daytime Telephone

Filer Status: ☑ Member of the U.S. House of Representatives — State: CA — District: 30 — ☐ Officer Or Employee — Employing Office:

Report Type: ☑ Annual (May 15) — ☐ Amendment — ☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☐ No ☑	
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☑ No ☐	
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☑ No ☐	
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☑ No ☐	
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☐ No ☑	
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐ No ☑	
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☑ No ☐	
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☐ No ☑	
IX.	Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐ No ☑	

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions-	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and wilfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Henry A. Waxman	JUNE 6, 2007

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SCHEDULE II - PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

Name -Representative Henry A. Waxman

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. A green envelope for transmitting the list is included in each Member's filing package. Employees may request a green envelope from the Clerk or use a plain envelope that is appropriately labeled.

Source	Activity	Date	Amount
Bank of America Securities New York, New York	speech	Feb. 16,2006	$2,000
Generic Pharmaceutical Association Arlington, VA	speech	Feb. 17, 2006	$2,000
America's Health Insurance Plans Washington, D. C.	speech	March 7, 2006	$2,000
Nat'l Assoc. Psychiatric Health Systems Washington, D. C.	speech	March 20, 2006	$2,000
Institute for International Research New York, New York	speech	April 26, 2006	$2,000
International Strategy and Investment Group, Inc. Washington, D. C.	speech	June 28, 2006	$2,000
Citigroup Washington, D.C.	speech	Sept.18, 2006	$2,000
Generic Pharmaceutical Association Arlington, VA	speech	Sept. 19, 2006	$2,000
Institute for International Research New York, New York 10017	speech	Sept. 28, 2006	$2,000
Friedman Billings Ramsey Arlington, VA	speech	Dec. 5, 2006	$2,000

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name -Representative Henry A. Waxman

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
	Fidelity Low Priced Stock(IRA)	$50,001 - $100,000	Dividends, interest & capital gains	$1,001 - $2,500	S
JT	Fidelity Contrafund	$50,001 - $100,000	dividends, interest & capital gains	$2,501 - $5,000	
JT	Fidelity Fund	$50,001 - $100,000	dividends, interest & capital gains	$2,501 - $5,000	
JT	Fidelity Magellan	$50,001 - $100,000	dividends, interest, & capital gains	$2,501 - $5,000	
JT	1 Bond	$15,001 - $50,000	Interest	$1,001 - $2,500	

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SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name -Representative Henry A. Waxman

SP	CA Health FFA Municipal Bond	$15,001 - $50,000	Interest	$1,001 - $2,500	
SP	CA State Board Municipal Bond	$50,001 - $100,000	interest	$2,501 - $5,000	
SP	US Treasury Note	$50,001 - $100,000	interest	$2,501 - $5,000	S
SP	Israel Bonds	$15,001 - $50,000	interest	$1,001 - $2,500	
JT	Israel Bonds	$15,001 - $50,000	interest	$1,001 - $2,500	
SP	Vanguard Inflation-Protected Security Investment (Roth IRA)	$15,001 - $50,000	interest	$1,001 - $2,500	S
SP	Vanguard Small Cap Index (Roth IRA)	None	DIVIDENDS/INTEREST/CAPITAL GAINS	$1,001 - $2,500	S
SP	Vanguard Small Cap Index (IRA)	$50,001 - $100,000	DIVIDENDS/INTEREST/CAPITAL GAINS	$1,001 - $2,500	P
SP	Vanguard Inflation (IRA)	$15,001 - $50,000	interest	$1,001 - $2,500	P
JT	Vanguard Pacific Stock Index Fund Investor Shares	$50,001 - $100,000	DIVIDENDS/INTEREST/CAPITAL GAINS	$1,001 - $2,500	
JT	Vanguard European Stock Index Fund Investor Shares	$100,001 - $250,000	DIVIDENDS/INTEREST/CAPITAL GAINS/INTEREST	$1,001 - $2,500	
JT	Vanguard Total Stock Market Index Fund Investor Shares	$15,001 - $50,000	DIVIDENDS/INTEREST/CAPITAL GAINS	$1,001 - $2,500	P

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name -Representative Henry A. Waxman

JT	Vanguard Extended Market Index Fund Investor Shares	$100,001 - $250,000	DIVIDENDS/INTEREST/CAPITAL GAINS	$2,501 - $5,000	
JT	Vanguard Tax-Managed International Fund	$15,001 - $50,000	DIVIDENDS/INTEREST/CAPITAL GAINS	$1,001 - $2,500	P
JT	Vanguard Emerging Markets Stock Index Fund Investor Shares	$100,001 - $250,000	DIVIDENDS/INTEREST/CAPITAL GAINS	$2,501 - $5,000	
JT	Vanguard 500 Index Fund	$250,001 - $500,000	DIVIDENDS/INTEREST/CAPITAL GAINS	$2,501 - $5,000	
	Vanguard Short-Term Investment -Grade Fund Investor Shares	$50,001 - $100,000	INTEREST	$1,001 - $2,500	P
	Vanguard Pacific Stock Index Fund Investor Shares(IRA)	$50,001 - $100,000	DIVIDENDS/CAPITAL GAINS	$1,001 - $2,500	
	Vanguard European Stock Index Fund Investor Shares(IRA)	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS	$1,001 - $2,500	
	Vangard Inflation-Protected Securities Fund Investor Shares(IRA)	$50,001 - $100,000	INTEREST	$1,001 - $2,500	P
	Vanguard Mid-Cap Index Fund Investor Share(IRA)	$50,001 - $100,000	DIVIDENDS/CAPITAL GAINS	$5,001 - $15,000	P
	Vanguard REIT Index-Fund (IRA)	$250,001 - $500,000	DIVIDENDS/INTEREST	$15,001 - $50,000	P
	Vanguard Total Bond Market Index Fund Investor Shares(Roth IRA)	$15,001 - $50,000		$201 - $1,000	P

SCHEDULE IV - TRANSACTIONS

Name -Representative Henry A. Waxman

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Fidelity Low Priced (ROTH)	S	5/12/06	$50,001 - $100,000
SP	US Treasury	S	2/15/06	$50,001 - $100,000
SP	Vanguard Inflation (ROTH)	S	4/15/06 7/26/06	$15,001 - $50,000 15,001 - $50,000
SP	Vanguard Small Cap (ROTH)	S	4/15/06	$15,001 - $50,000
SP	Vanguard Small Cap (ROTH)	P	4/15/06	$15,001 - $50,000
SP	Vanguard Inflation (IRA)	P	7/26/06	$15,001 - $50,000
JT	Vanguard Total Stock	P	1/12/06	$15,001 - $50,000
JT	Vanguard Tax Med International	P	3/29/06 3/20/06	$15,001 - $50,000 $50,001 - $100,000
	Vanguard Short Term (IRA)	P	5/15/06 & 7/11/06 & 10/4/06	$15,001 - $50,000& $15,001 - $50,000
	Vanguard Reit Index (IRA)	P	3/20/06 10/04/07	$15,001 - $50,000 15,001 $50,000
	Mid Cap (IRA)	P	3/20/06 3/17/06	$15,001 - $50,000 15,001 - 50,000
	500 Index (IRA)	S	3/20/06	$15,001 - $50,000

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SCHEDULE IV - TRANSACTIONS

Name -Representative Henry A. Waxman

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Vanguard Inflation Protection (IRA)	P	4/5/06 7/26/06	$15,001 - $50,000 15,001 - $50,000
	Vanguard Inflation Protection (ROTH IRA)	S	4/15/06 7/26/06	$15,001 - $50,000 $ 15,001 - $50,000

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name -Representative Henry A. Waxman

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's expense
World Jewish Congress American Section	Jan 3-14, 2006	Washington, D.C.-Tel Aviv-Washington, D.C.	Y	Y	Y	None
The Aspen Institute Congressional Program	Feb. 21-26, 2006	Miami, FL-Montego Bay, Jamica-Wash. D.C.	Y	Y	Y	none
Generic Pharmaceutical Associaiton	Feb 16-20,2006	Washington, D.C. -Boca Raton (one-way	Y	Y	Y	2 Days
The Aspen Institute Congressional Program	May 29-June4, 2006	Washington, D.C.-Istanbul, Turkey-Washington, D.C.	Y	Y	Y	None
The Aspen Institute Congressional Program	August 6-18,2006	Washington, D.C. -Krakow, Poland-Washington, D.C.	Y	Y	Y	6 Days

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2007 MAY 15 PM 7:06

OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
(Office Use Only)

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2006

FORM A — For use by Members, officers, and employees

Anthony Weiner
(Full Name)

80-02 Kew Gardens Road Kew Gardens, NY 11415 — 718-520-9001
(Mailing Address) — Daytime Telephone

Filer Status	☑ Member of the U.S. House of Representatives — State: NY, District: 09	☐ Officer Or Employee	Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes ☐ No ☑	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? If yes, complete and attach Schedule VI. — Yes ☐ No ☑
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes ☐ No ☑	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? If yes, complete and attach Schedule VII. — Yes ☑ No ☐
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes ☑ No ☐	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII. — Yes ☐ No ☑
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes ☑ No ☐	IX. Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX. — Yes ☐ No ☑
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes ☐ No ☑	Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. This Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file the report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001)

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.		5/15/07

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SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Anthony Weiner

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
	3m	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
	AT&T	$1 - $1,000	DIVIDENDS	$1 - $200	
	Bausch & Lomb	$1,001 - $15,000	DIVIDENDS	$1 - $200	P
	Baxter International	$1,001 - $15,000	DIVIDENDS	$1 - $200	S
	Boston Scientific	$1,001 - $15,000	None	NONE	P
	Capline Corporate Bonds	$1,001 - $15,000	None	NONE	

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SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Anthony Weiner

	Chesapeake Energy	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	Cypress Semiconductor	$1,001 - $15,000	None	NONE	
	Earthlink	$1,001 - $15,000	None	NONE	
	Embarq (Split from Sprint)	$1 - $1,000	DIVIDENDS	$1 - $200	
	Freddie Mac	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	General Motors	$1 - $1,000	DIVIDENDS	$1 - $200	
	Maui Land Pineapple	$1,001 - $15,000	None	NONE	
	Pfizer	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	Questar	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	Rowan Companies	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	Sabre Holdings	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	Schering-Plough	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	Sony Corp Adr New	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	Sprint Nextel	$1 - $1,000	DIVIDENDS	$1 - $200	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Anthony Weiner

	Sun Microsystems	$1,001 - $15,000	None	NONE	
	Verizon / MCI	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	Wachovia 2nd New	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	Walt Disney	$1,001 - $15,000	None	NONE	
	Washington Mutual	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	Waste Management	$1,001 - $15,000	DIVIDENDS	$1 - $200	S

SCHEDULE IV - TRANSACTIONS

Name Anthony Weiner

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	3m	P	6-30-06	$1,001 - $15,000
	Bausch & Lomb	P	4-18-06	$1,001 - $15,000
	Boston Scientific	P	10-20-06	$1,001 - $15,000
	Cypress Semiconductor	P	3-14-06	$1,001 - $15,000
	Baxter International	S	10-16-06	$1,001 - $15,000
	Waste Management	S	10-31-06	$1,001 - $15,000
	Delphi Corp.	S	12-05-06	$15,001 - $50,000

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name Anthony Weiner

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's expense
Jewish Community Relations Coucil of New York	August 7-14	NY-Israel-New York	Y	Y	N	None

UNITED STATES HOUSE OF REPRESENTATIVES

2007 FINANCIAL DISCLOSURE STATEMENT

FORM A
For use by Members, officers, and employees

HAND DELIVERED
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OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
(Office Use Only)

(Full Name) PETER F WELCH

(Mailing Address) 346 TOWN FARM HILL RD HARTLAND VT 05046

Daytime Telephone 202-225-4115

Filer Status	[✓] Member of the U.S. House of Representatives	State: VT District: AL	[ ] Officer or Employee — Employing Office:
Report Type	[✓] Annual (May 15)	[ ] Amendment	[ ] Termination — Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [✓]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [✓]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [✓]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes [ ]	No [✓]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [✓]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ]	No [✓]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [✓]	No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [✓]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ]	No [✓]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [✓]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [✓]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001)

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Peter Welch	5/15/07

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SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Examples: Keene State	Approved Teaching Fee	$6,000
State of Maryland	Legislative Pension	$9,000
Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
Ontario County Board of Education	Spouse Salary	NA
WELCH GRAHAM & MANBY	PARTNER INC	145,000
STATE OF VERMONT	LEG. SALARY	21,517
		~~145~~

For payments to charity in lieu of honoraria, use Schedule II.

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SCHEDULE II — PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

	Source	Activity	Date	Amount
Examples:	Association of American Associations, Washington, DC	Speech	Feb. 2, 2006	$2,000
	XYZ Magazine	Article	Aug. 13, 2006	$500

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Name PETER WELCH

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the name of the business, the nature of the business, and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income Check all columns that apply. Check "None" if asset did not generate any income during the calendar year.

BLOCK D — Amount of Income For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as Income. Check "None" if no income was received.

BLOCK E — Transaction Indicate if the asset had purchases (P), sales (S), or exchanges (E) exceeding $1000 in reporting year.

	BLOCK A Asset and/or Income Source		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP	Examples: Mega Corp Stock	SP					X								X										X								P
DC	Simon & Schuster				Indefinite																Royalties									X			
JT	1st Bank of Paducah, KY accounts							X									X										X						
	Fid MUN. MKT							X										X									X						
	Fid Cash Reserves									X								X										X					
	Fid Inp Sm Co						X									X											X						
	Fid Conv. Sec						X									X			X						X								
	Fid Japan				X											X			X					X									
	Fid Utility					X										X			X														

For additional assets and unearned income, use next page.

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name PETER WELCH

SP. DC. JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset	BLOCK C Type of Income	BLOCK D Amount of Income	BLOCK E Transaction
		A None; B $1 – $1,000; C $1,001 – $15,000; D $15,001 – $50,000; E $50,001 – $100,000; F $100,001 – $250,000; G $250,001 – $500,000; H $500,001 – $1,000,000; I $1,000,001 – $5,000,000; J $5,000,001 – $25,000,000; K $25,000,001 – $50,000,000; L Over $50,000,000	NONE; DIVIDENDS; RENT; INTEREST; CAPITAL GAINS; EXCEPTED/BLIND TRUST; Other Type of Income (Specify)	I None; II $1 – $200; III $201 – $1,000; IV $1,001 – $2,500; V $2,501 – $5,000; VI $5,001 – $15,000; VII $15,001 – $50,000; VIII $50,001 – $100,000; IX $100,001 – $1,000,000; X $1,000,001 – $5,000,000; XI Over $5,000,000	P, S, E
	FID. PACIFIC	D	DIVIDENDS; CAPITAL GAINS	III	
	FID EUROPE	E	DIVIDENDS; CAPITAL GAINS	VI	
	AMER CENT 2015	D	INTEREST	IV	
	AMER CENT 2025	D	INTEREST	IV	
	SCHWAB MONEY MKT	D	INTEREST	III	
	AIM DYNAMICS	C	DIVIDENDS	I	
	AIM LEISURE	D	DIVIDENDS; CAPITAL GAINS	II	
	AMER CENT INT'L GROWTH	C	DIVIDENDS; CAPITAL GAINS	II	
	CREDIT SUISSE LARGE CAP	A	DIVIDENDS; CAPITAL GAINS	VI	
	TIAA TRADITIONAL	F	DIVIDENDS	IV	
	CREF STOCK	C	DIVIDENDS; CAPITAL GAINS	IV	
	CREF EQUITY INDEX	F	DIVIDENDS; CAPITAL GAINS	VI	
	CREF GROWTH	D	DIVIDENDS; CAPITAL GAINS	III	
	LSI	F	DIVIDENDS	VII	
	MICROSOFT	C	DIVIDENDS	II	
	BITE AID	F	CAPITAL GAINS	IV	
	SUN MICRO	E		I	
	INTEL	C	DIVIDENDS	II	
	EWJ	D	DIVIDENDS; CAPITAL GAINS	II	
	EWY	D	DIVIDENDS; CAPITAL GAINS	II	
	TX INST.	C	DIVIDENDS	II	
	AM. EXPRESS	C	DIVIDENDS	II	

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name PETER WELCH

Page 5 of 14

SP. DC. JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
	EMC			X											X							X										
	V SEC.U.			X													X					X										
	TMTA			X										X																		
	ST GERMAIN, MON MKT					X											X							X								
	A-G ED W. MON MKT	X															X						X									
	1/2 INT IDA HARTLAND				X									X																		
	131 MAIN, APT 602						X							X																		
	BURL. VT																															
	AMER GEN'L LIFE INS						X							X																		
	FID GROWTH			X											X				X			X										
	FID CONTRA			X											X				X			X										
	FID MID CAP			X											X				X			X										
	AMERITRADE MON MKT			X													X						X									
	U.S. TREA NOTE (MKT)	X															X									X						
	FID LOW PRICE					X									X			X						X								
	FID JAPAN			X											X			X				X										
	FID CASH RES							X									X									X						
	FID CAL RES							X									X									X						
	WALGREEN	X																								X						
																										X						

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name PETER WELCH

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
	COCA COLA	X																X					X									
	FORTUNE BR	X																X				X										
	G.E	X																X				X										
	J+J	X																X					X									
	MEDTRONIC	X																X				X										
	MICROSOFT (50sh)	X																X					X									
	MOODYS	X																X					X									
	NOKIA	X												X																		
	P+G	X																X					X									
	DUKE ENERGY	X																X					X									
	ELI LILLY	X																X								X						

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name PETER WELCH

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
	EXXON MOBIL	X																X						X								
	ECOLAB	X																X					X									
	BAXTER INT'L	X																X					X									
	MICROSOFT	X																X				X										
	ALLE	X												X																		
	CONSTELLATION BR	X																X					X									
	FIRST HORIZON	X												X							X											
	APP	X																														
	INTEL (125 sh)	X												X							X											
	CINTAS	X																X				X										
	U.N.G.	X																X					X									
	UPS	X												X																		
	ORACLE	X																X					X									
	SYSCO	X																X				X										
	BIOMET	X																X					X									
	CITIGROUP	X																X					X									
	LOWES	X												X							X											
	EMC (125 sh)	X												X							X											
	WALT DISNEY	X																X						X								
	AFLAC	X																X					X									
	AMPHENOL	X																X					X									
	B. AMER	X																X					X									

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SCHEDULE IV — TRANSACTIONS

Name PETER WELCH

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10-12-06		X								
	ECO LAB		X		3.10.06	X									
	BAXTER INT'L		X		3.17.06	X									
	MICROSOFT		X		12-29-06 3.17.06	X									
	A I G		X		3.17.06	X									
	CONS. BRANDS		X		3.24.06	X									
	FIRST HORIZON		X		6.15.06	X									
	ADP		X		8.17.06	X									
	AFF COMP	X			3.20.06	X									
	AFF COMP		X		12.6.06	X									
	INTEL		X		12.14.06	X									
	CINTAS		X		12.21.06	X									
	T. WARNER	X	"		2.7.06	X									
	T WARNER		X		12.29.06	X									
	U.H.G.		X		12.29.06	X									
	UPS	X			3.22.06	X									
	UPS		X		12.29.06	X									
	ORACLE	X			3.17.06	X									
	ORACLE		X		12.29.06	X									
	SYSCO		X		12.29.06	X									
	BIOMET		X		12.29.06	X									

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SCHEDULE IV — TRANSACTIONS

Name ROGER WELCH

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10–12–06		X								
	CITI GROUP		X		12-29-06	X									
	~~LOWES~~	X													
	LOWES		X		5.12.06	X									
	~~EMC~~	X			~~3-20-06~~	X									
	EMC		X		12-29-06	X									
	W. DISNEY		X		12-29-06	X									
	AFLAC		X		12-29-06	X									
	AIG		X		12-29-06	X									
	~~AMPHENOL~~	X			~~3-20-06~~	X									
	AMPHENOL CORP		X		12-29-06	X									
	BAC		X		12-29-06	X									
	CISCO		X		12-29-06	X									
	COCO COLA		X		12-29-06	X									
	~~FORTUNE BRANDS~~	X			~~3-20-06~~	X									
	FORTUNE BRANDS		X		12-29-06	X									
	G.E.		X		12-29-06	X									
	J&J		X		12-29-06	X									
	~~MOODYS~~	X			~~5-12-06~~										
	MOODYS		X		12-29-06	X									
	~~NOKIA~~	X			~~3-20-06~~	X									
	NOKIA		X		12-29-06	X									
	P&G		X		12-29-06	X									
	DUKE ENERGY		X		12-29-06	X									

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SCHEDULE IV — TRANSACTIONS

Name PETER WELCH

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10-12-06		X								
	EAFE	X			12-8-06	X									
	Fid NORDIC		X		2-1-06			X							
	Fid-EMERGING MKT		X		2-1-06			X							
	BMY		X		12-29-06	X									
	ZIMMER		X		12-29-06	X									
	CREDIT SUISSE LARGE CAP		X		11-22-06	X									
	BP		X		12-29-06		X								
	ELI LILLY		X		2-10-06	X									
	U.S. TREA. NOTE (MATURED)			X	2/06					X					
	Fid UTILITIES		X		2/06				X						
	CISCO	X	X		1-9-06 1-10-06			X X							
	LSI	X	X		1-18-06 1-23-06				X						
	LSI	X	X		4-25-06 5-15-06		X								
	RAD	X	X		1-10-06 4-17-06		X								
	RAD	X	X		12-13-06 12-15-06			X							
	LSI	X	X		1-25-06 6-9-06		X								

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SCHEDULE IV — TRANSACTIONS

Name PETER WELCH

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example* Mega Corporation Common Stock	X			10-12-06		X								
	WALGREEN	X	X		12-22-06	X									
	RAD	X	X		12-20-06 12-29-06	X									
	LSI	X	X		10-14-06 11-17-06		X								
	RAD	X	X		12-21-06 12-29-06			X							
	WAG	X	X		12-5-06 12-20-06					X					

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Name	PETER WELCH	Page 12 of 14

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
	N/A											

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $305 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $122 or less need not be added towards the $305 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$325
N/A		

Use additional sheets if more space is required.

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SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were paid directly by the sponsor or were paid by you and reimbursed by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	City of Departure—Destination—City of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Number of days not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	DC—Chicago—DC	N	N	N	None
	Roycroft Corporation	Aug. 6–11	DC—Los Angeles—Cleveland	Y	Y	Y	2 Days
	N/a						

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SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
N/A	

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
	N/A	

GPO: 2007 33-427 (mac)

Use additional sheets if more space is required.

HAND DELIVERED
LEGISLATIVE RESOURCE CENTER
2007 MAY 14 PM 6:01
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
(Office Use Only)

MC

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UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2006

FORM A
For use by Members, officers, and employees

David J. Weldon, M.D.
(Full Name)

365 Newport Drive Indialantic, FL 32903-4031
(Mailing Address)

202-225-3671
Daytime Telephone

Filer Status: ☑ Member of the U.S House of Representatives — State: FL — District: 15 ☐ Officer Or Employee — Employing Office:

Report Type: ☑ Annual (May 15) ☐ Amendment ☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? Yes ☑ No ☐
If yes, complete and attach Schedule I.

II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? Yes ☐ No ☑
If yes, complete and attach Schedule II.

III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? Yes ☑ No ☐
If yes, complete and attach Schedule III.

IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? Yes ☑ No ☐
If yes, complete and attach Schedule IV.

V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? Yes ☐ No ☑
If yes, complete and attach Schedule V.

VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? Yes ☐ No ☑
If yes, complete and attach Schedule VI.

VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? Yes ☐ No ☑
If yes, complete and attach Schedule VII.

VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? Yes ☐ No ☑
If yes, complete and attach Schedule VIII.

IX. Did you have any reportable agreement or arrangement with an outside entity? Yes ☐ No ☑
If yes, complete and attach Schedule IX.

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts- Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? Yes ☐ No ☑

Exemptions-- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Yes ☐ No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and wilfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	David Weldon	May 14 2007

SCHEDULE I - EARNED INCOME

Name David J. Weldon, M.D.

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Friends of Dave Weldon Campaign	Spouse Salary	$16,309

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name David J. Weldon, M.D.

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income), any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "N/A" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
	AIM Basic Value C	None	DIVIDENDS/INTEREST/CAPITAL GAINS	$5,001 - $15,000	S
	Allianz NFJ Dividend Value C	$50,001 - $100,000	DIVIDENDS/INTEREST/CAPITAL GAINS	$201 - $1,000	P
	American Growth Fund of America	$50,001 - $100,000	DIVIDENDS/INTEREST/CAPITAL GAINS	$2,501 - $5,000	P
SP	American Growth Fund of America	$1,001 - $15,000	DIVIDENDS/INTEREST/CAPITAL GAINS	$201 - $1,000	
	American Investment Company of America	$50,001 - $100,000	DIVIDENDS/INTEREST/CAPITAL GAINS	$2,501 - $5,000	P

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name David J. Weldon, M.D

	BAC Cap Trust IV 5.875%	$1,001 - $15,000	INTEREST	$201 - $1,000	
	Bank of America Checking	$1,001 - $15,000	None	NONE	
	Cartridge Source of America	$15,001 - $50,000	INTEREST	NONE	
	Chevy Chase Bank	$1 - $1,000	INTEREST	$1 - $200	
	Congressional Federal Credit Union	$1 - $1,000	INTEREST	$1 - $200	
	Federal National Mtg. Assn.	$15,001 - $50,000	INTEREST	$201 - $1,000	S
	Ford Motor Credit Co. 7.25%	$15,001 - $50,000	INTEREST	$1,001 - $2,500	
	Government National Mortgage Assn.	$15,001 - $50,000	INTEREST	$201 - $1,000	S
	Liquid Asset Fund Morgan Stanley IRA Acct.	$1,001 - $15,000	INTEREST	$201 - $1,000	
SP	Liquid Asset Fund Morgan Stanley IRA Acct.	$1 - $1,000	INTEREST	$1 - $200	
	Morgan Stanley Bank	$1,001 - $15,000	INTEREST	$1 - $200	
	MS Spectrum Select	$15,001 - $50,000	DIVIDENDS/INTEREST/CAPITAL GAINS	NONE	
	MS Spectrum Technology	$15,001 - $50,000	DIVIDENDS/INTEREST/CAPITAL GAINS	NONE	
	Nextel Communications Inc. Senior Notes	$15,001 - $50,000	INTEREST	$1,001 - $2,500	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name David J. Weldon, M.D.

Space Coast Credit Union	$1 - $1,000	INTEREST	$1 - $200	
Templeton Foreign Fund C	$50,001 - $100,000	DIVIDENDS/INTEREST/CAPITAL GAINS	$5,001 - $15,000	P
Thomas Nelson Publishers	Indefinite	Royalities	NONE	
Unit Claymore Secs Renewable & Alt Energy Port Ser 2	$15,001 - $50,000	DIVIDENDS/INTEREST/CAPITAL GAINS	$1 - $200	P
Unit VK Select 10 Industrial 2005-5	None	CAPITAL GAINS	$2,501 - $5,000	S
Unit VK Cohen & Steers REIT 52	None	DIVIDENDS/CAPITAL GAINS	$1,001 - $2,500	PS
Unit VK Select 10 Industrial 2006-5	$50,001 - $100,000	DIVIDENDS/CAPITAL GAINS	$1,001 - $2,500	P

SCHEDULE IV - TRANSACTIONS

Name David J. Weldon, M.D.

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	American Growth Fund of America CO	P	12/18/06	$1,001 - $15,000
	American Investment Company of America	P	03/0306	$1,001 - $15,000
	Allianz NFJ Dividend Value C	P	08/09/06 09/21/06	$15,001 - $50,000
	Unit VK Select 10 Industrial 2006-5	P	09/01/06	$50,001 - $100,000
	Unit Claymore Secs Renewable & Alt Energy Port Ser 2	P	05/05/06 07/25/06	$15,001 - $50,000
	Federal National Mortgage Assc.	S	10/01/09	$1,001 - $15,000
	Unit VK Cohen & Steers REIT 52	S	02/25/06 05/05/06	$15,001 - $50,000
	Unit VK Cohen & Steers REIT 52	P	02/25/06	$1,001 - $15,000
	Unit VK Select 10 Industrial 2005-5	S	09/01/06	$50,001 - $100,000
	AIM Basic Value C	S	08/08/06	$15,001 - $50,000

SCHEDULE IV - TRANSACTIONS

Name David J. Weldon, M.D.

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Governmental National Mortgage Assc.	S	01/15/06 2/15/06 3/15/06 4/15/06 5/15/06 6/15/06 7/15/06 8/15/06 9/15/06 10/15/06 11/15/06 12/15/06	$1,001 - $15,000
	Templeton Foreign Fund C	P	10/20/06	$1,001 - $15,000

6995

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UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2006

FORM A
For use by Members officers, and employees

Gerald C Weller
(Full Name)

1250 Andrea Court Morris, IL 60450
(Mailing Address)

202-225-3635
Daytime Telephone

Filer Status ✓ Member of the U.S. House of Representatives — State: IL — District: 11 — Officer Or Employee — Employing Office:

Report Type ✓ Annual (May 15) — Amendment — Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? — Yes ✓ No
If yes, complete and attach Schedule I.

II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? — Yes No ✓
If yes, complete and attach Schedule II.

III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? — Yes ✓ No
If yes, complete and attach Schedule III.

IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? — Yes ✓ No
If yes, complete and attach Schedule IV.

V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? — Yes ✓ No
If yes, complete and attach Schedule V.

VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? — Yes No ✓
If yes, complete and attach Schedule VI.

VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? — Yes No ✓
If yes, complete and attach Schedule VII.

VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? — Yes ✓ No
If yes, complete and attach Schedule VIII.

IX. Did you have any reportable agreement or arrangement with an outside entity? — Yes No ✓
If yes, complete and attach Schedule IX.

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts- Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? — Yes No ✓

Exemptions-- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? — Yes ✓ No

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Gerald C Weller	May 14, 2007

9669

SCHEDULE I - EARNED INCOME

Name Gerald C Weller

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Congress of the Republic of Guatemala	Spouse Salary	N/A

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Gerald C Weller

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
Prudential Jennison Growth Fund	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS	$2,501 - $5,000	
Prudential Small Company Fund IRA	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS	$201 - $1,000	
Prudential Equity Fund	$15,001 - $50,000	DIVIDENDS//CAPITAL GAINS	$1,001 - $2,500	
Morris Community Credit Union	$1,001 - $15,000	INTEREST	$201 - $1,000	
Congressional Federal Credit Union	$1,001 - $15,000	INTEREST	$201 - $1,000	
5000 Shares of First Community Bank of Joliet Stock	$50,001 - $100,000	None	NONE	

1250 Andrea Court - Morris, Illinois * Note Personal Residence	$250,001 - $500,000	None	NONE	
19806 South Wolf Road, Mokena, Illinois Unit 301	$100,001 - $250,000	RENT	$2,501 - $5,000	P

SCHEDULE IV - TRANSACTIONS

Name Gerald C Weller

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	19806 South Wolf Road, Mokena, Illinois Unit 301	P	06-27-06	$100,001 - $250,000

SCHEDULE V - LIABILITIES

Name Gerald C Weller

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report "revolving charge accounts" (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
	Grundy National Bank - Morris, IL	Mortgage on personal residence	$250,001 - $500,000
	Grundy National Bank - Morris, IL	Construction/Personal Loan	$100,001 - $250,000
	First Community Bank of Joliet	Real Estate Loan on Mokena Rental Property	$100,001 - $250,000
	Lafise - Miami, Florida	Line of Credit	$50,001 - $100,000

SCHEDULE VIII - POSITIONS

Name Gerald C Weller

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Congressional Board of Advisors	Congressional Youth Leadership Council

FOOTNOTES

Name Gerald C Weller

Number	Section / Schedule	Footnote	This note refers to the following item
1	Schedule IV	* Note see attached page for description of transactions related to Nicaragua Properties	Nicaragua Properties
2	Schedule III	* Note see attached page for description of assets and unearned income related to Nicaragua Properties	Nicaragua Properties

Schedule III/IV -
Assets and Unearned Income/Transactions

*Note - in 2006, I listed ownership of three lots in San Juan Del Sur, Nicaragua. In 2005 (as shown on my report filed May 2006), they were valued as follows:

Lot 1 - Valued between $100,001 - $250,000. This property did not earn income
Lot 2 - Valued between $50,001 - $100,000. This property did not earn income
Lot 3 - Valued between $50,001 - $100,000. This property did not earn income

* In 2006, the three lots listed above were consolidated into one property and then subdivided into 37 separate properties (no additional land was added, just consolidated and redivided). At the end of 2006, 26 lots remained of the original 37 lots. The remaining 26 lots are valued between $500,001 - $1,000,000.

* Some of the subdivided lots were sold in 2006. Those transactions are as follows:

* On January 15, 2006, Lot A was sold for $15,001 - $50,000
* On March 4, 2006, Lot B was sold for $15,001 - $50,000
* On February 22, 2006 - Lots C and D were sold for $50,001 - $100,000
* On February 22, 2006 - Lot E was sold for $15,001 - $50,000
* On March 15, 2006 - Lot F was sold for $15,001 - $50,000
* On March 31, 2006 - Lot G was sold for $50,001 - $100,000
* On May 3, 2006 - Lot H was sold for $$15,001 - $50,000
* On September 25, 2006 - Lots I, J and K were sold for $100,001 - $250,000

* On March 22, 2006 - I purchased a new lot for $1,001 - $15,000

JERRY WELLER
11TH DISTRICT, ILLINOIS

COMMITTEE ON
WAYS AND MEANS

SUBCOMMITTEE ON
INCOME SECURITY AND
FAMILY SUPPORT
(RANKING MEMBER)

SUBCOMMITTEE ON TRADE

UNITED STATES
HOUSE OF REPRESENTATIVES

DEPUTY REPUBLICAN WHIP

HOUSE POLICY COMMITTEE

LATIN AMERICA AND
CARIBBEAN WORKING GROUP
(CHAIRMAN)

May 14, 2007

Honorable Stephanie Tubbs Jones
Chairwoman,
Committee on Standards of Official Conduct
HT2, The Capitol
Washington DC 20515

Dear Chairwoman Tubbs Jones:

As indicated on my Financial Disclosure Statement for Calendar Year 2006, I certified that I excluded from the report "other assets, 'unearned' income', transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption." Accordingly, I have excluded assets held by my wife that I (1) have no knowledge of; (2) have not contributed toward; and (3) do not, and do not expect to benefit from.

As the Committee is aware, in 2004 I married Zury Rios Sosa and sought the Committee's guidance regarding applicable ethical considerations due to her status of a member of congress in a foreign government. I am aware the my wife may possess assets in her native Guatemala. However, I do not know what those assets are, nor have I inquired. Accordingly, I have not contributed to any of her assets. Lastly, I have not received any financial or economic benefit from her assets, nor do I expect to in the future. I derive my income solely from my salary and those assets I personally control. I do not use assets held by my wife to support or otherwise augment my own income. In addition, I will not inherit any of my wife's assets.

In 2005, I consulted with a private attorney, Jan Baran of Wiley, Rein LLP, who, along with my staff, consulted with Ms. Carol Dixon of the Committee staff. They advised me that, based on this information, the exemption is being properly applied. I believe my situation continues to meet all the requirements for this exemption.

I am happy to provide more details as necessary.

Sincerely,

Jerry Weller
Member of Congress

108 CANNON HOUSE OFFICE BUILDING
WASHINGTON, D.C. 20515-1311
(202) 225-3635
www.house.gov/weller

2701 BLACK ROAD, SUITE 201
JOLIET, ILLINOIS 60435-2926
(815) 740-2026

IN LASALLE COUNTY
(815) 433-1896
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(309) 557-0648

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UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2006

FORM A
For use by Members, officers, and employees

Leon (Lynn) Acton Westmoreland, Jr.
(Full Name)

1213 Longworth HOB Washington, DC 20515
(Mailing Address)

202-225-5901
Daytime Telephone:

Filer Status	☑ Member of the U.S. House of Representative	State: GA District: 03	☐ Officer Or Employee — Employing Office
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes ☑ No ☐	VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $308 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes ☐ No ☑
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes ☐ No ☑	VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $308 from one source)? If yes, complete and attach Schedule VII.	Yes ☐ No ☑
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes ☑ No ☐	VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes ☐ No ☑
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes ☑ No ☐	IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes ☐ No ☑
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes ☑ No ☐		**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.		5/15/07

7006

SCHEDULE I - EARNED INCOME

Name Leon (Lynn) Acton Westmoreland, Jr.

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
Glen Grove Development, Inc.	Spouse Salary	N/A

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Leon (Lynn) Acton Westmoreland, Jr.

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. ~~Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.~~ If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership income or Farm income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
	IRA Fidelity Growth Fund	$1,001 - $15,000	None	N/A	
	IRA Fidelity International	$1,001 - $15,000	None	N/A	
SP	434 Acres - Troup Co., GA (at 50% ownership)	$1,000,001 - $5,000,000	None	NONE	S
SP	44 lots in LaGrange, GA (at 50% ownership)	$250,001 - $500,000	None	NONE	
JT	Rental - Sharpsburg, GA	$100,001 - $250,000	None	NONE	S
SP	498 Acres in Russell Co., AL (at 20% ownership)	$1,000,001 - $5,000,000	None	NONE	P

7008

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Leon (Lynn) Acton Westmoreland, Jr.

	State of Georgia	$15,001 - $50,000	Retirement Account	N/A	
SP	Bank of Coweta Checking Account (at 50% ownership)	$500,001 - $1,000,000	INTEREST	$5,001 - $15,000	

SCHEDULE IV - TRANSACTIONS

Name Leon (Lynn) Acton Westmoreland, Jr

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
SP	434 Acres - Troup Co., GA (at 50% ownership)	S	12/06	$1,000,001 - $5,000,000
JT	Rental - Sharpsburg, GA	S	7/06	$100,001 - $250,000
SP	498 Acres in Russell Co., AL (at 20% ownership)	P	2/06	$1,000,001 - $5,000,000

SCHEDULE V - LIABILITIES

Name Leon (Lynn) Acton Westmoreland, Jr.

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report "revolving charge accounts" (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
JT	BB&T Bank	Mortgage on Sharpsburg, GA Rental	$100,001 - $250,000
SP	Bank of Coweta, GA	Mortgage on 434 Acres in Troup Co., GA	$500,001 - $1,000,000
SP	Bank of Coweta, GA	Mortgage on 44 lots in LaGrange, GA	$100,001 - $250,000
SP	Bank of Coweta, GA	Mortgage on 498 Acres in Russell Co., AL	$1,000,001 - $5,000,000

UNITED STATES HOUSE OF REPRESENTATIVES

2007 FINANCIAL DISCLOSURE STATEMENT

FORM A
For use by Members, officers, and employees

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Robert Wexler
(Full Name)
7370 Viale Caterina
(Mailing Address)
Delray Beach FL 33446

202 225 3001
Daytime Telephone

Filer Status	[X] Member of the U.S. House of Representatives	State: Florida District: 19	[ ] Officer or Employee	Employing Office
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination	Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I**	Yes [X]	No [ ]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [ ]	No [X]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [ ]	No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [X]	No [ ]
VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes [ ]	No [X]
VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ]	No [X]
IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	M H Wexler	5/14/07

7012

Name	Page
Robert Wexler	2 of 8

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	American Jewish Committee	Spouse Salary	NA

For payments to charity in lieu of honoraria, use Schedule II.

7013

SCHEDULE II — PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

	Source	Activity	Date	Amount
Examples	Association of American Associations, Washington, DC	Speech	Feb. 2, 2006	$2,000
	XYZ Magazine	Article	Aug. 13, 2006	$500
	None			

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the name of the business, the nature of the business, and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left

BLOCK B — Value of Asset

at close of reporting year.

If you use a valuation method other than fair market value, please specify the method used.

If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

Check all columns that apply.

Check "None" if asset did not generate any income during the calendar year.

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was received.

BLOCK E — Transaction

Indicate if the asset had purchases (P), sales (S), or exchanges (E) exceeding $1000 in reporting year

	Block A		Block B: None	A $1 – $1,000	B $1,001 – $15,000	C $15,001 – $50,000	D $50,001 – $100,000	E $100,001 – $250,000	F $250,001 – $500,000	G $500,001 – $1,000,000	H $1,000,001 – $5,000,000	I $5,000,001 – $25,000,000	J $25,000,001 – $50,000,000	K Over $50,000,000	Block C: NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify: For Example: Partnership Income or Farm Income)	Block D: None	I $1 – $200	II $201 – $1,000	III $1,001 – $2,500	IV $2,501 – $5,000	V $5,001 – $15,000	VI $15,001 – $50,000	VII $50,001 – $100,000	VIII $100,001 – $1,000,000	IX $1,000,001 – $5,000,000	X Over $5,000,000	Block E: P, S, E
SP		SP	Mega Corp. Stock					X							X										X								P
DC	*Examples*		Simon & Schuster			Indefinite															Royalties										X		
JT			1st Bank of Paducah, KY accounts						X								X										X						
	None																																

For additional assets and unearned income, use next page.

7015

SCHEDULE IV — TRANSACTIONS

Name Robert Wexler

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset		Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001-$15,000	C $15,001-$50,000	D $50,001-$100,000	E $100,001-$250,000	F $250,001-$500,000	G $500,001-$1,000,000	H $1,000,001-$5,000,000	I $5,000,001-$25,000,000	J $25,000,001-$50,000,000	K Over $50,000,000
SP	*Example*	Mega Corporation Common Stock	X			10–12–08		X								
	None															

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7016

Name Robert Wexler

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
	MBNA America Bank, Wilmington De.	Revolving Charge Accounts			X							

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $305 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $122 or less need not be added towards the $305 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$325
None		

Use additional sheets if more space is required.

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were paid directly by the sponsor or were paid by you and reimbursed by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	City of Departure—Destination—City of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Number of days not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	DC—Chicago—DC	N	N	N	None
	Roycroft Corporation	Aug. 6–11	DC—Los Angeles—Cleveland	Y	Y	Y	2 Days
None							

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SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
	None

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
	None	

GPO: 2007 33-427 (mac)

Use additional sheets if more space is required.

UNITED STATES HOUSE OF REPRESENTATIVES

2007 FINANCIAL DISCLOSURE STATEMENT

FORM A
For use by Members, officers, and employees

LEGISLATIVE RESOURCE CENTER
2007 MAY 15 PM 6:12
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
HAND DELIVERED
MC (Office Use Only)

Wayne Edward Whitfield
(Full Name)

2411 Rayburn House Office Building Washington, DC 20515 202-225-3115
(Mailing Address) Daytime Telephone

Filer Status	☑ Member of the U.S. House of Representatives — State: KY District: 01	☐ Officer or Employee	Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☑	No ☐	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐	No ☑
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐	No ☑	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☑	No ☐
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☑	No ☐	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☑	No ☐
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☑	No ☐	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐	No ☑
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☑	No ☐	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐	No ☑
EXEMPTION—*Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they* meet all three tests for exemption?	Yes ☐	No ☑

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, §104 and 18 U.S.C. §1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Edward Whitfield	5-15-2007

7020

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples.	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	CSX Corporation	Retirement Benefit	$ 16,000

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE II — PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

Source	Activity	Date	Amount
Examples: Association of American Associations, Washington, DC	Speech	Feb. 2, 2006	$2,000
Examples: XYZ Magazine	Article	Aug. 13, 2006	$500
N/A			

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7022

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the name of the business, the nature of the business, and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

Check all columns that apply.
Check "None" if asset did not generate any income during the calendar year.

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was received.

BLOCK E — Transaction

Indicate if the asset had purchases (P), sales (S), or exchanges (E) exceeding $1000 in reporting year.

	Block A		Block B: None	A: $1 – $1,000	B: $1,001 – $15,000	C: $15,001 – $50,000	D: $50,001 – $100,000	E: $100,001 – $250,000	F: $250,001 – $500,000	G: $500,001 – $1,000,000	H: $1,000,001 – $5,000,000	I: $5,000,001 – $25,000,000	J: $25,000,001 – $50,000,000	K: Over $50,000,000	L	Block C: NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify. For Example, Partnership Income or Farm Income)	Block D: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	Block E: P, S, E
SP, DC, JT	Examples:	SP	Mega Corp. Stock					X								X										X								P
			Simon & Schuster			Indefinite																Royalties									X			
			1st Bank of Paducah, KY accounts						X									X										X						
	Amgen Stock					X														X							X							S
	AOL Time Warner					X											X							X										
	Chevron Stock							X									X										X							
	Cisco					X											X							X										
	Citi Corp.						X										X								X									
	Coastline Credit Union			X												X							X			X								

For additional assets and unearned income, use next page.

7023

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Wayne Edward Whitfield

SP. DC. JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction: P. S. E
	Coca-Cola				X										X							X										
	Commercial Real Estate *						X									X										X						
	Congressional Credit Union			X													X					X										
	CSX Corp. Bond			X													X						X									
	Duke Energy			X											X							X										
	Exxon						X								X										X							
	Fidelity Blue Chip Fund				X													X				X										
	Fidelity IRA						X										X	X						X								
	Flavor X				X																											
	General Electric					X									X									X								
	Intel					X									X						X											
	Marshall Isley Bank			X											X							X										
	May Department						X								X							X										
	Nokia – stock partially sold in 2002			X											X							X										
	Northwestern Annuity			X											X		X					X										
	Oak Acc. Growth Fund			X											X			X				X										
	Payless Shoes – stock part. sold in 2002				X										X							X										
	Pfizer				X										X								X									
	Rental Property **						X									X										X						
	Riggs Bank	X												X							X											S
	Schering-Plough			X											X							X										
	Schwab Account Cash		X														X					X										

* Huntington Beach, CA Chemicals

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** Vista Del Lido, Newport Beach, CA (Res. Condo.)

7024

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Wayne Edward Whitfield

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
	Schwab IRA (A)							X							X		X						X									
	Schwab IRA (B)						X								X		X						X									
	TEPPCO	X		X														X			X											S
	TIA-CREFF			X											X							X										
	United Bank			X													X					X										
	US Government Thrift						X								X		X						X									
	Vacant Lot - Greenbriar *						X							X							X											
	Vanguard GNMA				X										X			X				X										
	Vanguard Midcap Index				X										X		X					X										
	Vanguard S&P 500 Fund						X								X			X				X										
	Vanguard Tax Managed Bond	X			X									X							X											S
	Williams Energy Stock			X											X								X									
	Xerox		X												X							X										
SP	Manulife Financial				X										X								X									P
SP	Key Span Com			X											X								X									P
SP	Integrys Energy			X											X								X									P
SP	Sprint Nextel			X											X								X									P
	Jefferson Partners S-LLC							X						X							X											P

* Sporting Club Travelers Hill, White Sulfur Springs, WV Lot 26

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SCHEDULE IV — TRANSACTIONS

Name Wayne Edward Whitfield

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10-12-06		X								
	Vanguard Tax Managed Fund		X		10-18-06		X								
	TEPPCO		X		10-17-06	X									
	Coastline Credit Union		X		8-5-06	X									
	Riggs Bank		X		9-5-06	X									
	Manulife Financial	X			9-12-06		X								
	Key Span Corn	X			9-12-06	X									
	Integrys Energy	X			9-12-06	X									
	Sprint Nextel	X			9-12-06	X									
	Jefferson Partners S-LLC	X			9-13-06					X					

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7026

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability										
			B	C	D	E	F	G	H	I	J	K
			$10,001 – $15,000	$15,001 – $50,000	$50,001 – $100,000	$100,001 – $250,000	$250,001 – $500,000	$500,001 – $1,000,000	$1,000,001 – $5,000,000	$5,000,001 – $25,000,000	$25,000,001 – $50,000,000	Over $50,000,000
Example:	First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del				X						
	BB+T-Charleston, WV	Mortgage - Lot 26 Greenbriar White Sulphur Springs, WV				X						

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $305 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $122 or less need not be added towards the $305 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$325

Use additional sheets if more space is required.

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SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were paid directly by the sponsor or were paid by you and reimbursed by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	City of Departure—Destination—City of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Number of days not at sponsor's expense
Examples: Chicago Chamber of Commerce	Mar. 2	DC—Chicago—DC	N	N	N	None
Examples: Roycroft Corporation	Aug. 6–11	DC—Los Angeles—Cleveland	Y	Y	Y	2 Days
U.S. Assoc. of Former Members of Congress	Nov. 17-19	DC - White Sulfur Springs, WV - DC	Y	Y	N	None
British American Parliamentary Group	Feb. 22-28	Dulles - London - Dulles	Y	Y	Y	None
National Protection Horse Coalition	May 8-9	Lexington Ky - Key West Fl - Lexington Ky	N	N	N	2
End Horse Slaughtering.com	Jul 24	DC - Dallas, Tx - DC	N	N	N	1

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SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Board of Governors	Wesley Theological Seminary, Washington DC

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement

GPO: 2007 33-427 (mac)

Use additional sheets if more space is required.

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2006

FORM A For use by Members, officers, and employees

LEGISLATIVE RESOURCE CENTER
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(Office Use Only)

Roger F. Wicker
(Full Name)

2350 Raybum House Office Building Washington, DC 20515
(Mailing Address)

202-225-4306
Daytime Telephone:

Filer Status	☑ Member of the U.S. House of Representatives — State: MS District: 01	☐ Officer Or Employee — Employing Office:	**A $200 penalty shall be assessed against anyone who files more than 30 days late.**
Report Type	☑ Annual (May 15) ☐ Amendment	☐ Termination — Termination Date:	

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes ☑ No ☐	
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes ☐ No ☑	
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes ☑ No ☐	
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes ☑ No ☐	
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes ☑ No ☐	
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes ☐ No ☑	
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? If yes, complete and attach Schedule VII.	Yes ☑ No ☐	
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes ☐ No ☑	
IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes ☐ No ☑	

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Roger F. Wicker	May 15, 2007

7030

SCHEDULE I - EARNED INCOME

Name Roger F. Wicker

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

Source	Type	Amount
University of Mississippi	Spouse Salary	n/a

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Roger F. Wicker

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
SP	1001 Valley Road, Tupelo, MS *	$100,001 - $250,000	None	NONE	
JT	511-1 Bashford Lane, Alexandria, VA	$250,001 - $500,000	RENT	$2,501 - $5,000	
JT	511-3 Bashford Lane, Alexandria, VA	$250,001 - $500,000	RENT	$5,001 - $15,000	P
SP	710 North Gloster, Tupelo, MS *	$100,001 - $250,000	RENT	$5,001 - $15,000	
SP	ABAG Financial Authority for Nonprofit Corps California Certificate of Partner Health Facilities Bond *	$1,001 - $15,000	None	NONE	
SP	AIG Annuity Insurance Claim *	$50,001 - $100,000	Other: Bridge Account	$50,001 - $100,000	

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SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Roger F. Wicker

	Alcatel Lucent Ads Stock (formerly Lucent)	$1 - $1,000	DIVIDENDS	$1 - $200	
SP	Alleghany Corp DE Stock *	$15,001 - $50,000	None	NONE	
	Amazon.Com Inc Stock	$1 - $1,000	None	NONE	
SP	Bancorp South Inc Stock *	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
	Bio Key International Inc	$1 - $1,000	None	NONE	
	Furniture Brands International	$1,001 - $15,000	DIVIDENDS	$1 - $200	
SP	Hilliard Lyons Class Blackrock Money Market *	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500	
SP	Johnson and Johnson Stock *	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
SP	Notes receivable/non-compete agreements from Mike Patel, Tupelo, MS *	$250,001 - $500,000	Other	$15,001 - $50,000	
	Putnam Growth & Income IRA, Class A	$15,001 - $50,000	DIVIDENDS/CAPITAL GAINS	$2,501 - $5,000	
SP	Renasant Corp Stock	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
SP	Renasant Corp Stock *	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
SP	Schering Plough Corp Stock *	$50,001 - $100,000	DIVIDENDS	$201 - $1,000	
JT	Schering-Plough Corp Stock	$1,001 - $15,000	DIVIDENDS	$1 - $200	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Roger F. Wicker

DC	Schering-Plough Corp Stock	$1,001 - $15,000	DIVIDENDS	$1 - $200	
SP	Tenaris Stock *	$1,001 - $15,000	DIVIDENDS	$1 - $200	
SP	The Investment Company of America - Class A, American Funds IRA	$1,001 - $15,000	DIVIDENDS	$1 - $200	
SP	US Treasury Bond *	$1,001 - $15,000	None	NONE	
SP	Wal-Mart Stores Inc. Stock *	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
SP	Walgreen Company Stock *	$15,001 - $50,000	DIVIDENDS	$1 - $200	

SCHEDULE IV - TRANSACTIONS

Name Roger F. Wicker

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
JT	511-3 Bashford Lane, Alexandria, VA	P	05-17-07	$250,001 - $500,000

SCHEDULE V - LIABILITIES

Name Roger F. Wicker

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report "revolving charge accounts" (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
JT	Chevy Chase Bank, MD	Mortgage on 511-1 Bashford Lane, Alexandria, VA	$100,001 - $250,000
JT	Chevy Chase Bank, MD	Mortgage on 511-3 Bashford Lane, Alexandria, VA	$250,001 - $500,000

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name Roger F. Wicker

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's expense
Aspen Institute	Jan 8-13	Memphis - Puerto Vallarta, Mexico - Memphis	Y	Y	Y	None
Heritage Foundation	Jan 29-31	Baltimore, MD - Washington, DC	Y	Y	N	None
Aspen Institute	May 29-June 4	Memphis - Istanbul, Turkey - Washington, DC	Y	Y	Y	None
Aspen Institute	August 12-20	Memphis - Krakow, Poland - Memphis	Y	Y	Y	2 Days

FOOTNOTES

Name Roger F. Wicker

Number	Section / Schedule	Footnote	This note refers to the following item
1	Schedule III	Inherited rental property	710 North Gloster, Tupelo, MS
2	Schedule III	Inherited home	1001 Valley Road, Tupelo, MS
3	Schedule III	Inherited from spouse's deceased father	*
4	Schedule III	Worth less than $1000 in 2005	Furniture Brands International

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UNITED STATES HOUSE OF REPRESENTATIVES

2007 FINANCIAL DISCLOSURE STATEMENT

FORM A
For use by Members, officers, and employees

Charles A. Wilson, Jr.
(Full Name)

125 East Laurel, St. Clairsville, OH 43950
(Mailing Address)

(740) 695-9727
Daytime Telephone

Filer Status	[X] Member of the U.S. House of Representatives — State: OH District: 9	[ ] Officer or Employee — Employing Office:	A *$200 penalty* shall be assessed against anyone who files more than 30 days late.
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination — Termination Date:

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes [X]	No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes [ ]	No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? If yes, complete and attach Schedule VII.	Yes [ ]	No [X]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes [X]	No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes [ ]	No [ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes [X]	No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes [ ]	No [ ]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes [X]	No [ ]	Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.		

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, §104 and 18 U.S.C. §1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Charles A. Wilson	

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SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$8,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	Wilson Funeral & Furniture Co., Inc.	Salary	300,800
	State of Ohio	Salary	56,525

For payments to charity in lieu of honoraria, use Schedule II.

7040

SCHEDULE II — PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

	Source	Activity	Date	Amount
Examples:	Association of American Associations, Washington, DC	Speech	Feb. 2, 2008	$2,000
	XYZ Magazine	Article	Aug. 13, 2008	$500

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Name Charles A. Wilson, Jr. | Page 4 of 11

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the name of the business, the nature of the business, and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

Check all columns that apply.
Check "None" if asset did not generate any income during the calendar year.

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was received.

BLOCK E — Transaction

Indicate if the asset had purchases (P), sales (S), or exchanges (E) exceeding $1000 in reporting year.

SP, DC, JT	Asset and/or Income Source	A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP	Examples: Mega Corp. Stock						X							X										X								P
DC	Examples: Simon & Schuster			Indefinite																Royalties									X			
JT	Examples: 1st Bank of Paducah, KY account						X									X									X							
	Wilson Financial Furniture Co									X					X											X						
	Adolph Group						X									X	X							X								
	Sky Bank, Accounts						X										X						X									
	Sky Financial Group Inc							X							X									X								
	Accenture LTD Bermuda Co				X										X							X										
	Higgins (Ireland) LTD SHSA			X											X							X										S

For additional assets and unearned income, use next page.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME
Continuation Sheet (if needed)

Name Charles D Wilson, Jr

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
	Chevron Corporation (CVX)				X										X								X								
	Citigroup Inc.				X										X								X								S
	First Data Corp			X											X						X										
	General Electric Co				X										X								X								
	Johnson & Johnson			X											X						X										
	Kellogg Company				X										X								X								S
	Met Life Inc.			X											X						X										
	Microsoft Corp				X										X						X										
	Novartis Ag ADR				X										X								X								
	Omnicom Group				X										X						X										S
	Procter & Gamble Co				X										X								X								
	Toyota Motor Corp Spon ADR				X										X								X								S
	Washington Mutual Inc				X										X								X								S
	Western Union Co			X											X						X										
	Fidelity Cash Reserves				X										X									X							
	Eaton Vance Floating Rate H. Inc					X																		X							S
	Harbor International					X									X									X							S
	Julius Baer Intnl Instl					X									X										X						S
	Pimco Total Rtn Instl					X									X						X										P
	Royce Premier Fund Inv Cl				X										X									X							S
	Tamarack Microcap Value Cl				X										X									X							
	McKinley Investment Group				X												X						X								

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME
Continuation Sheet (if needed)

Name Charles A. Wilson, Jr

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 - $1,000	C $1,001 - $15,000	D $15,001 - $50,000	E $50,001 - $100,000	F $100,001 - $250,000	G $250,001 - $500,000	H $500,001 - $1,000,000	I $1,000,001 - $5,000,000	J $5,000,001 - $25,000,000	K $25,000,001 - $50,000,000	L Over $50,000,000	BLOCK C Type of Income: NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 - $200	III $201 - $1,000	IV $1,001 - $2,500	V $2,501 - $5,000	VI $5,001 - $15,000	VII $15,001 - $50,000	VIII $50,001 - $100,000	IX $100,001 - $1,000,000	X $1,000,001 - $5,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
	National Financial Services LLC - IRA								X												N/A										
	Bristol Myers Squibb					X									X									X							
	Exxon Mobile Corp			X											X							X									
	Hillenbrand Industries			X											X							X									
	Microsoft			X											X							X									
	Procter Gamble				X										X								X								
	Wyeth								X						X										X						
	Prudential Financial			X											X							X									
	Home Depot	X													X							X									S
	Medtronic Inc	X													X							X									S
	Dell Inc.	X												X							X										S
	Vanguard Strategic Equity	X												X							X										S

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SCHEDULE IV — TRANSACTIONS

Name Charles A. Wilson, Jr.

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	Example: Mega Corporation Common Stock	X			10-12-06		X								
	Citigroup Inc		X		06/13/06	X									
	Citigroup Inc		X		12/28/06	X									
	Dell Inc		X		04/24/06	X									
	Dell Inc		X		07/28/06			X							
	Eaton Vance Floating Rate High Income		X		06/13/06	X									
	Halliburton International		X		06/13/06	X									
	Home Depot Inc		X		09/14/06	X									
	Julius Baer Intern'l Instl		X		06/13/06		X								
	Kellogg Company		X		06/13/06	X									
	Medtronic Inc		X		04/24/06		X								
	Royce Premier Fund Investment Cl		X		6/13/06	X									
	Toyota Mtrs Corp Spon ADR		X		12/28/06	X									
	Vanguard Strategic Equity		X		06/13/06			X							
	Washington Mutual Inc		X		12/28/06	X									
	Pimco Total Return Instl	X			12/28/06		X								
	Dell	X			3/27/06	X									

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Name Charles A. Wilson Jr | Page 8 of 11

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
Example:	First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
	Sky Bank	Mortgage on Adelphia Real Estate						X				

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $305 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $122 or less need not be added towards the $305 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$325

Use additional sheets if more space is required.

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SCHEDULE IV — TRANSACTIONS

Name Charles A Wilson Jr

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	Example: Mega Corporation Common Stock	X			10-12-08		X								
	Katon Vance Floating - Rate Hig	X			3/27/06		X								
	Kellogs	X			3/27/06	X									
	Johnson & Johnson	X			8/01/06	X									
	Microsoft	X			9/14/06	X									
	Tomasack Micro Cap Value S	X			9/14/06		X								
	Chevron	X			12/28/06	X									
	Johnson & Johnson	X			12/28/06	X									
	Julius Baer International Equity	X			12/28/06	X									

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

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SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were paid directly by the sponsor or were paid by you and reimbursed by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	City of Departure—Destination—City of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Number of days not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	DC—Chicago—DC	N	N	N	None
	Roycroft Corporation	Aug. 6–11	DC—Los Angeles—Cleveland	Y	Y	Y	2 Days

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SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
President	Wilson Funeral & Furniture Co, Inc.
General Partner	Adolph Group LP

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement

Use additional sheets if more space is required.

UNITED STATES HOUSE OF REPRESENTATIVES

2007 FINANCIAL DISCLOSURE STATEMENT

FORM A
For use by Members, officers, and employees

LEGISLATIVE RESOURCE CENTER
2007 MAY 15 AM 10: 31
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

HAND DELIVERED
MC
(Office Use Only)

Heather Wilson
(Full Name)

20 First Plaza Suite 603 — 505-346-6781
(Mailing Address) — Daytime Telephone

Albuquerque NM 87102 — 202 225-6316

Filer Status: [X] Member of the U.S. House of Representatives — State: NM District: 01 — [ ] Officer or Employee — Employing Office:

Report Type: [X] Annual (May 15) — [ ] Amendment — [ ] Termination — Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [X] No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ] No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [X] No [ ]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X] No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X] No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ] No [X]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X] No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [X] No [ ]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [X] No [ ]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Heather Wilson	5·12·07

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Name	Heather Wilson	Page 2 of

SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	Air Force Reserve Salary, Albuquerque NM	Spouse Salary	NA
	Hone Law Firm, Albuquerque, NM	Spouse Salary	NA

For payments to charity in lieu of honoraria, use Schedule II.

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Name	Page 3 of
Heather Wilson	

SCHEDULE II — PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. An envelope for transmitting the list is included in each Member's filing package.

	Source	Activity	Date	Amount
Examples:	Association of American Associations, Washington, DC	Speech	Feb. 2, 2006	$2,000
	XYZ Magazine	Article	Aug. 13, 2006	$500
	HBO Real Time with Bill Maher	TV Appearance	2-24-06	$800

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Name Heather Wilson | Page 4 of _

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the name of the business, the nature of the business, and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

Check all columns that apply.
Check "None" if asset did not generate any income during the calendar year.

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was received.

BLOCK E — Transaction

Indicate if the asset had purchases (P), sales (S), or exchanges (E) exceeding $1000 in reporting year.

	Block A		Block B: None	A $1 – $1,000	B $1,001 – $15,000	C $15,001 – $50,000	D $50,001 – $100,000	E $100,001 – $250,000	F $250,001 – $500,000	G $500,001 – $1,000,000	H $1,000,001 – $5,000,000	I $5,000,001 – $25,000,000	J $25,000,001 – $50,000,000	K Over $50,000,000	L	Block C: NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	Block D: None	I $1 – $200	II $201 – $1,000	III $1,001 – $2,500	IV $2,501 – $5,000	V $5,001 – $15,000	VI $15,001 – $50,000	VII $50,001 – $100,000	VIII $100,001 – $1,000,000	IX $1,000,001 – $5,000,000	X Over $5,000,000	XI	Block E: P, S, E
SP	Examples.	SP	Mega Corp Stock				X									X											X								P
DC			Simon & Schuster			indefinite																Royalties									X				
JT			1st Bank of Paducah, KY accounts						X									X										X							
	Checking Acct, BofA, NM					X													X					X											
	Checking Acct, BofA, TX					X													X					X											
	Schwab SEP IRA					X			See Atch								X		X						X										
	Condo Apt, 4701 Conn Ave NW Wash DC								X								X											X							
DC	Schwab Investment Acts					X			See Atch								X							X											
DC	Schwab Investment Acts					X			See Atch								X							X											

For additional assets and unearned income, use next page.

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Heather Wilson | Page 5 of

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
DC	Roadrunners (First Comm. Bank) Bente Savings Act		X														X					X										
DC	Roadrunners/First Community Savings		X														X					X										
SP	Sandia Area Federal Credit Union			X													X					X										
SP	Wells Fargo Checking				X												X					X										
SP	Kirtland Area Federal (CU) IT Man		X														X					X										
SP	Bank of ABQ Checking Acct			X													X					X										
SP	Bank of ABQ Preferred Plus MMA			X													X							X								
SP	Oppenheimer			X											X		X					X										
SP	USAA Savings			X													X					X										
SP	T Rowe Price Tax Free Int Bond																															
SP	T Rowe Price Prime Reserve				X	See Atch																										
SP	T Rowe Price High Yield																															
SP	Schwab One				See Atch										X		X						X									
SP	Hone Law Firm					X								X							X											
SP	Rodey Law Firm 401(K)					X								X							X											
SP	Schwab SEP IRA					X	See Atch								X								X									
SP	Schwab IRA				X		See Atch								X		X	X					X									
SP	Prudential Financial IRA						X	See Atch							X		X						X									
SP	House, 4016 Lafayette D2, ABQ						X									X										X						
SP	6 unimproved lots, Interstate NM				X									X							X											
SP	1 unimproved lot, Catron Co, NM				X									X							X											
SP	House, 6711 Mesa Verde, ABQ NM						X									X										X						

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Heather Wilson

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SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset	BLOCK C Type of Income	BLOCK D Amount of Income	BLOCK E Transaction
SP	House, 931 Player Loop, Rio Rancho NM	F	RENT	VI	
SP	House, 5433 Hugo NW, ABQ NM	F	RENT	VI	
SP	House, 2700 Vista Grande NE #41 ABQ	F	RENT	VI	
SP	House, 6701 Sunwatch NW ABQ NM	F	RENT	VI	
SP	House, 1304 Blossomwood NW ABQ NM	F	RENT	VI	
SP	House, 9422 Cordova NE ABQ NM	E	NONE	I	P
SP	Mobile Home, 1671 Chamisa Ch, Los Lunas NM	E	RENT	III	P
SP	Acreage, [illegible] NM	D	NONE	I	P
SP	House, 10162 Edith, NE ABQ NM	F	NONE	I	P
SP	Real Estate Contract, 41 Navarro Rd Sandia	A	Other Type of Income (Specify): Real Estate Contract	VIII	
SP	Real Estate Contract, 6737 Pendleton ABQ	E	Other Type of Income (Specify): Real Estate Contract	IV	
	Variable Life Ins., NW Mutual	E			
	CIGNA University Life Insurance	F			
SP	CIGNA Universal Life Insurance	F			
SP	US Northern Federal Credit Union	D	CAPITAL GAINS	III	
	Oxford University Press	A	Other Type of Income (Specify): Royalties	V	
SP	First Midwestern Securities Inc	D	NONE	I	
SP	National Securities Corporation	D	DIVIDENDS	V	

invested asset cash value

Life insurance coverage current amount

Life insurance coverage current amount

See Atch

See Atch

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SCHEDULE IV — TRANSACTIONS

Name: Heather Wilson

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10-12-06		X								
SP	9422 Cordova NE ABQ NM	X			4-27-06			X							
SP	1671 Chamisa Ct, Los Lunas NM	X			7-5-06			X							
SP	Acreage, El Rito, NM	X			8-23-06		X								
SP	10162 Edith NE, ABQ NM	X			12-12-06				X						
	See also Atch														

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SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del				X						
	Washington Mutual, Milwaukee WI	Mortgage on 4701 Conn Ave DC			X							
SP	National City Mortgage, Dayton OH	" " 431 Player Loop Rio Rancho NM				X						
SP	" " "	" " 5433 Hayes ABQ NM			X							
SP	Washington Mutual, Milwaukee WI	" " 1304 Blossomwood ABQ NM			X							
SP	National City Mortgage, Dayton OH	" " 6711 Mesa Mariposa ABQ NM				X						

Continued

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $305 received by you, your spouse, or a dependent child from any source during the year

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $122 or less need not be added towards the $305 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$325
None		

Use additional sheets if more space is required.

Name Heather Wilson | Page 9 of

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability									
			B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
SP	National City Mortgage, Dayton OH	Mortgage on 10701 Sugarbaker			X							
SP	Citimortgage, Gaithersburg, MD	Mortgage on 2100 Vista Grande				X						
SP	Wells Fargo, Albuquerque	Line of credit / House				X						

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $305 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $122 or less need not be added towards the $305 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$325
None		

Use additional sheets if more space is required.

7058

Name Heather Wilson | Page 10 of ___

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were paid directly by the sponsor or were paid by you and reimbursed by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	City of Departure—Destination—City of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Number of days not at sponsor's expense
Examples	Chicago Chamber of Commerce	Mar. 2	DC—Chicago—DC	N	N	N	None
	Roycroft Corporation	Aug. 6–11	DC—Los Angeles—Cleveland	Y	Y	Y	2 Days
	HBO Real Time with Bill Maher	2-24/25-06	ABQ – Los Angeles – ABQ	Y	Y	N	None

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SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
None	

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
Continuing	State of NM, Public Employees Retirement System	4 years earned service in PERA. Vesting at 5 years. No payments currently received.

GPO: 2007 33-427 (mac)

Use additional sheets if more space is required.

7060

SCHWAB

Account Statement
Retain for Your Records

Statement Period: December 1, 2006 to December 31, 2006
Last Statement: November 30, 2006

Simplified Employee Plan
Account Number: [redacted]

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Banking Inquiries: Call 1-800-435-9050

Account Opened in: 1993
Page 1

29/12-CNCF2102-004631-S/ML-87114171800286183 *1
HEATHER ANN WILSON
UTA CHARLES SCHWAB & CO INC
SEP-IRA DTD 04/02/93
9220 GUADALUPE TRAIL NW
ALBUQUERQUE NM 87114-1718

004631

Account Value Summary	
Cash, Money Market, and Deposit Accounts	$ 24,724.32
Investments	$ 13,445.35
Total Account Value	**$ 38,169.67**

Change in Value Summary	
Starting Account Value	$ 38,907.04
Transactions & Income This Period	$ 94.92
Change in Value of Investments This Period	$ (832.29)
Ending Account Value	**$ 38,169.67**
Change in Account Value Since 1/1/06	$ (61.21)

Rate Summary	
Deposit Accounts: Interest rate as of 12/29 *(Z)*	1.01%
Schwab MMF	4.66%

Investment Detail

Description	*Symbol*	*Quantity Long/Short*		*Price*	*Market Value*
Cash, Money Market, and Deposit Accounts					
DEPOSIT ACCOUNTS *(X,Z)*					$ 13,933.66
CASH					62.84
SCHWAB MONEY MARKET FUND	SWMXX	10,727.8200	L	$ 1	10,727.82
Investments					
A T & T INC NEW	T	23	L	$ 35.7500	$ 822.25
CELLSTAR CORP NEW	CLST	200	L	3	600.00
COMCAST CORP NEW CL A	CMCSA	48	L	42.3300	2,031.84
DRDGOLD LTD ADR F SPONSORED ADR 1ADR REP 1 ORD	DROOY	2,000	L	0.9000	1,800.00
HOLLIS EDEN PHARM INC	HEPH	500	L	5.2600	2,630.00
INTEL CORP	INTC	205.3162	L	20.2500	4,157.65
MUSE TECHNOLOGIES INC	MUZE	2,000	L	0.0001	0.20
SOLECTRON CORP DEL	SLR	433	L	3.2200	1,394.26
V P G I CORPORATION NEW	VPGC	5	L	1.8300	9.15
SHAMAN PHARMACEUTCLS XXX REGISTRATION REVOKED BY THE SEC EFF 04/13/05		1	L	N/A	N/A

8000046310102P

Please see "Footnotes for Your Account" section for an explanation of the footnote codes and symbols on this statement

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CNCF2102-004631 86183

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Account Statement
Retain for Your Records

Simplified Employee Plan
Account Number: [redacted]

Statement Period: December 1, 2006 to December 31, 2006
Page 2

Investment Detail (continued)

Description	Symbol	Quantity Long/Short		Price	Market Value
Investments (continued)					
SPIEGEL INC CL A NON-XXX REGISTRATION REVOKED BY THE SEC EFF 07/23/04		2,000	L	N/A	N/A
TAKEOUTMUSIC.COM NEW		120	L	N/A	N/A
	Total Account Value (excludes unpriced securities)				**$ 38,169.67**

Transaction Detail

Settle Date	Trade Date	Transaction	Description	Quantity	Price	Total
Cash, Money Market, and Deposit Accounts Activity						
12/01	12/01	Qualified Dividend	INTEL CORP			$ 20.53
12/18	12/15	Bank Interest *(X,Z)*	BANK INT 111806-121506			11.55
12/29	12/31	Cash Dividend	SCHWAB MONEY MARKET FUND			62.84

Charles Schwab Bank, N.A. Deposit Accounts Activity

Trans Date	Transaction	Description	Withdrawal	Deposit
		Opening Balance *(X,Z)*		**$ 13,901.58**
12/04	Auto Transfer	BANK CREDIT FROM BROKERAGE *(X)*		$ 20.53
12/15	Interest Paid *(X,Z)*	BANK INTEREST		11.55
		Total Activity	**$ 0.00**	**$ 32.08**
		Ending Balance *(X,Z)*		**$ 13,933.66**

Deposit Accounts: Interest rate as of 12/29 was 1.01%. Your interest period was 11/16/06 - 12/15/06. (Z)

Contribution Summary

	2005	2006
Rollover	$ 12,716.78	$ 0.00
Year to Date Total:	**$12,716.78**	**$0.00**

Income Summary

Description	This Period	Year to Date
Deposit Accounts Interest	$ 11.55	$ 135.45
Money Funds Dividends	62.84	454.87

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Account Statement
Retain for Your Records

Simplified Employee Plan
Account Number: [redacted]

Statement Period: December 1, 2006 to December 31, 2006
Page 3

Income Summary (continued)

Description	This Period	Year to Date
Cash Dividends	$ 20.53	$ 112.72
Total Income	**$ 94.92**	**$ 703.04**

Footnotes For Your Account

X Balances held at Charles Schwab Bank, N.A., member FDIC.

Z Please note that the interest is paid for a period that differs from the Statement Period. Bank balances include any interest that Charles Schwab Bank, N.A. paid as indicated on the Deposit Accounts Activity page. Bank balances do not include any interest that may have accrued during the Statement Period after interest is paid. The interest paid may include interest that accrued in the prior Statement Period.

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CNCF2102-004631 88185

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Account Statement
Retain for Your Records

Statement Period: December 1, 2006 to December 31, 2006
Last Statement: November 30, 2006

Custodial Account
Account Number: [redacted]

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Banking Inquiries: Call 1-800-435-9050

Account Opened In: 1993
Page 1

29/12-CNCK3001-018941-SML-871140000009 1492264 *1
JAY R HONE CUST FOR
JOSHUA PAUL HONE UNMUTMA
PO BOX 10565
ALAMEDA NM 87114

018941

800018941010 2Y

Account Value Summary	
Cash, Money Market, and Deposit Accounts	$ 1,422.33
Investments	$ 9,757.88
Total Account Value	**$ 11,180.21**

Change in Value Summary	
Starting Account Value	$ 9,486.67
Transactions & Income This Period	$ 8.28
Change in Value of Investments This Period	$ 1,685.26
Ending Account Value	**$ 11,180.21**
Change in Account Value Since 1/1/06	$ 1,860.97

Rate Summary	
Deposit Accounts: Interest rate as of 12/29 *(2)*	2.60%
Schwab MMF	4.66%

Investment Detail

Description	*Symbol*	*Quantity Long/Short*		*Price*	*Market Value*
Cash, Money Market, and Deposit Accounts					
SCHWAB MONEY MARKET FUND	SWMXX	1,422.3300	L	$ 1	$ 1,422.33
Investments					
DISNEY WALT CO 0	DIS	32.2691	L	$ 34.2700	$ 1,105.86
GOODYEAR TIRE & RUBBER	GT	400	L	20.9900	8,396.00
HOLLIS EDEN PHARM INC	HEPH	45	L	5.2600	236.70
SOLECTRON CORP DEL	SLR	6	L	3.2200	19.32
Total Account Value					**$ 11,180.21**

Transaction Detail

Settle Date	*Trade Date*	*Transaction*	*Description*	*Quantity*	*Price*	*Total*
Cash, Money Market, and Deposit Accounts Activity						
12/31	12/31	Dividend	SCHWAB MONEY MARKET FUND			$ 8.28

Please see "Footnotes for Your Account" section for an explanation of the footnote codes and symbols on this statement.

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CNCK3001-018941 1492264

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SCHWAB

Account Statement
Retain for Your Records

Custodial Account
Account Number [redacted]

Statement Period: December 1, 2006 to December 31, 2006
Page 2

Money Funds Detail

Settle Date	Transaction	Quantity	Price	Purchase/Debit	Sale/Credit
	Money Market Funds (Sweep) Activity				
	SCHWAB MONEY MARKET FUND Activity				
	Opening Shares of SCHWAB MONEY MARKET FUND were 1,414.05				
12/31	Dividend	8.2800	$ 1	$ 8.28	
	Closing Shares of SCHWAB MONEY MARKET FUND were 1,422.33				
	Total Money Market Funds (Sweep) Activity			**$ 8.28**	**$ 0.00**

SCHWAB MMF 4 650%

Charles Schwab Bank, N.A. Deposit Accounts Activity

Trans Date	Transaction	Description	Withdrawal	Deposit
		Opening Balance *(X,Z)*		**$ 0.00**
		Total Activity	**$ 0.00**	**$ 0.00**
		Ending Balance *(X,Z)*		**$ 0.00**

Deposit Accounts: Interest rate as of 12/29 was 2.60%. Your interest period was 11/16/06 - 12/15/06. (Z)

Dividends Pending

Payable Date	Transaction	Description	Quantity	Rate Per Share	Share Distribution	Cash Distribution
01/12	Qualified Dividend	DISNEY WALT CO	32.2691	0.3100		$ 10.00

Pending transactions are not included in account value

Income Summary

Description	This Period	Year to Date
Federally Taxable		
Money Funds Dividends	$ 8.28	$ 60.03
Cash Dividends		8 62
Total Income	**$ 8.28**	**$ 68.85**

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CNCK3001-018941 1492265

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Account Statement
Retain for Your Records

Statement Period: December 1, 2006 to December 31, 2006
Last Statement: November 30, 2006

Custodial Account
Account Number: [redacted]

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Banking Inquiries: Call 1-800-435-9050

Account Opened In: 1997
Page 1

29/12-CNCK3001-019013-SML-87184000002 1492514 *1
JAY R HONE CUST FOR
CAITLIN ANN HONE UNMUTMA
PO BOX 10565
ALBUQUERQUE NM 87184

019013

8000190130102P

Account Value Summary

Cash, Money Market, and Deposit Accounts	$ 42.82
Investments	$ 1,921.62
Total Account Value	**$ 1,964.44**

Change In Value Summary

Starting Account Value	$ 2,037.14
Transactions & Income This Period	$ 2.01
Change in Value of Investments This Period	$ (74.71)
Ending Account Value	**$ 1,964.44**
Change in Account Value Since 1/1/06	$ (293.41)

Rate Summary

Deposit Accounts: Interest rate as of 12/29 *(Z)*	2.60%
Schwab MMF	4.66%

Investment Detail

Description	*Symbol*	*Quantity Long/Short*		*Price*	*Market Value*
Cash, Money Market, and Deposit Accounts					
DEPOSIT ACCOUNTS *(X,Z)*					$ 4.03
SCHWAB MONEY MARKET FUND	SWMXX	38.7900	L	$ 1	38.79
Investments					
INTEL CORP	INTC	20	L	$ 20.2500	$ 405.00
SOLECTRON CORP DEL	SLR	471	L	3.2200	1,516.62
	Total Account Value				**$ 1,964.44**

Transaction Detail

Settle Date	*Trade Date*	*Transaction*	*Description*	*Quantity*	*Price*	*Total*
Cash, Money Market, and Deposit Accounts Activity						
12/01	12/01	Qualified Dividend	INTEL CORP			$ 2.00
12/18	12/15	Bank Interest *(X,Z)*	BANK INT 111606-121506			0.01

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CNCK3001-019013 1492514

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SCHWAB

Account Statement
Retain for Your Records

Custodial Account
Account Number: [redacted]

Statement Period: December 1, 2006 to December 31, 2006
Page 2

Charles Schwab Bank, N.A. Deposit Accounts Activity

Trans Date	Transaction	Description	Withdrawal	Deposit
		Opening Balance *(X,Z)*		$ 2.02
12/04	Auto Transfer	BANK CREDIT FROM BROKERAGE *(X)*		$ 2.00
12/15	Interest Paid *(X,Z)*	BANK INTEREST		0.01
		Total Activity	$ 0.00	$ 2.01
		Ending Balance *(X,Z)*		$ 4.03

Deposit Accounts: Interest rate as of 12/29 was 2.60%. Your interest period was 11/16/06 - 12/15/06. (Z)

Income Summary

Description	This Period	Year to Date
Federally Taxable		
Deposit Accounts Interest	$ 0.01	$ 0.03
Cash Dividends	2.00	8.00
Total Income	**$ 2.01**	**$ 8.03**

Footnotes For Your Account

X Balances held at Charles Schwab Bank, N.A., member FDIC.

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CNCX3001-019013 1492515

SIPC

December 2006

Statement Summary

T.RowePrice
INVEST WITH CONFIDENCE

If you have questions, please visit troweprice.com or call T. Rowe Price Mutual Funds at 1-800-225-5132.

Investor Numbe[redacted]

Mutual Fund Portfolio Value: $33,776.17

[redacted]
Jay R Hone
[redacted]
Albuquerque NM 87184-0565

Are you changing jobs or retiring? Do you need to roll over your retirement savings from a former employer? Visit troweprice.com/rollover or contact a T. Rowe Price Rollover Specialist at 1-800-225-5132 to open an account over the phone.

Activity Summary

	This Month	*Year-to-Date**
Beginning Value	**$33,662.09**	**$32,257.66**
Additions	0.00	2,287.05
Deductions	0.00	2,287.05
Income	143.29	1,468.70
Market Fluctuation	-29.21	49.81
Ending Value	**$33,776.17**	**$33,776.17**
Net Change	$114.08	$1,518.51

Asset Diversification

15.5% Bond Funds	**$5,252.10**
15.5% Domestic Tax-Free	5,252.10
84.5% Money Market	**28,524.07**
84.5% Taxable	28,524.07

Income Summary

	This Month	*Year-to-Date**
Taxable	$123.17	$1,245.84
Tax-Free	20.12	222.86

*Year-to-date income may include closed accounts no longer shown on this statement

Portfolio Overview

Nonretirement	*11/30/06 Value*	*12/31/06 Value*	*Change In Value*	*% of Assets*
T. Rowe Price Mutual Funds				
Summit Municipal Intermediate	$2,299.35	$2,292.54	-$6.81	6.8%
Tax-Free High Yield	2,961.84	2,959.56	-2.28	8.8
Prime Reserve	28,400.90	28,524.07	123.17	84.4
Total Market Value	**$33,662.09**	**$33,776.17**	**$114.08**	**100.0%**

January - December 2006

Mutual Fund Statement

T.RowePrice
INVEST WITH CONFIDENCE

Take advantage of paperless statements now available from T. Rowe Price. Instead of getting your statement by mail, you will receive an e-mail indicating it is available online. Visit troweprice.com/paperless to sign up today.

T. Rowe Price Summit Municipal Intermediate

Account Numb[redacted]

Jay R Hone

Tele*Access Code	Date	Activity This Year	Amount	Shares	Share Price
84	1/1	Beginning Balance	$0.00	0.000	$0.00
Ticker Symbol	11/10	Reorg From Txf Int Bd	2,284.36	+208.617	10.95
PRSMX	11/10	Reinvest From Txf Int Bd	2.69	+0.246	10.95
	11/30	Dividend Reinv	3.95	+0.359	10.99
	11/30	Increase Dividend Payment	0.24	+0.022	10.99
	12/29	Dividend Reinv	7.60	+0.696	10.92
	12/31	Ending Balance	$2,297.54	209.940	$10.92

Average Cost Per Share: $10.79

30-Day Yield: 3.79%

This dividend is for 33 days vs. 30 days last month.

Year to-Date Information	
Tax-Free Dividends	$11.79
Purchases	$2,287.05

January - December 2006

Mutual Fund Statement

T.RowePrice
INVEST WITH CONFIDENCE

1. Rowe Price Tax-Free High Yield

Account Numb[redacted]

Jay R Hone

Tele*Access Code	Date	Activity This Year	Amount	Shares	Share Price
27	1/1	Beginning Balance	$2,769.14	232.116	$11.93
Ticker Symbol	1/31	Dividend Reinv	10.50	+0.881	11.92
PRFHX	2/28	Dividend Reinv	11.15	+0.930	11.99
	3/29	St Cp Gn Reinv 0.01	2.34	+0.196	11.94
	3/31	Dividend Reinv	12.18	+1.021	11.93
	4/28	Dividend Reinv	10.67	+0.898	11.88
	5/31	Dividend Reinv	11.65	+0.978	11.91
	6/30	Dividend Reinv	12.59	+1.062	11.85
	7/31	Dividend Reinv	10.78	+0.904	11.93
	8/31	Dividend Reinv	11.54	+0.958	12.04
	9/29	Dividend Reinv	11.97	+0.990	12.09
	10/31	Dividend Reinv	11.16	+0.920	12.13
	11/30	Dividend Reinv	11.22	+0.920	12.20
	12/29	Dividend Reinv	12.28	+1.012	12.14
	12/31	Ending Balance	$2,959.56	243.786	$12.14

Average Cost Per Share: $11.72

30-Day Yield: 4.72%

This dividend is for 33 days vs. 30 days last month.

Year-to-Date Information

Tax-Free Dividends	$137.69
Taxable Short-Term Gains	$2.34

January - December 2006

Mutual Fund Statement

T.RowePrice
INVEST WITH CONFIDENCE

T. Rowe Price Tax-Free Intermediate Bond

Account Number [redacted]

Jay R Hone

Tele*Access Code	Date	Activity This Year	Amount	Shares	Share Price
57	1/1	Beginning Balance	$2,207.95	198.557	$11.12
Ticker Symbol	1/31	Dividend Reinv	6.37	+0.573	11.11
PTIBX	2/28	Dividend Reinv	6.96	+0.626	11.12
	3/31	Dividend Reinv	7.31	+0.663	11.02
	4/28	Dividend Reinv	6.55	+0.597	10.98
	5/31	Dividend Reinv	7.10	+0.645	11.00
	6/30	Dividend Reinv	7.61	+0.697	10.92
	7/31	Dividend Reinv	6.67	+0.606	11.00
	8/31	Dividend Reinv	7.19	+0.647	11.11
	9/29	Dividend Reinv	7.43	+0.667	11.14
	10/31	Dividend Reinv	7.50	+0.673	11.15
	11/10	Reorg To Sum Interm	2,284.36	-204.951	11.15
	11/13	Reinvest Dividend Exchange	2.69	0.000	0.00
	12/31	Ending Balance	$0.00	0.000	$0.00

Year-to-Date Information	
Tax-Free Dividends	$73.38
Redemptions	$2,284.36

January - December 2006

Mutual Fund Statement

T.RowePrice
INVEST WITH CONFIDENCE

T. Rowe Price Prime Reserve

Account Number [redacted]

Jay R Hone

Tele*Access Code	Date	Activity This Year	Amount	Shares	Share Price
11	1/1	Beginning Balance	$27,280.57	27,280.570	$1.00
Ticker Symbol	1/31	Dividend Reinv	81.91	+81.910	1.00
PRRXX	2/28	Dividend Reinv	82.03	+82.030	1.00
	3/31	Dividend Reinv	100.22	+100.220	1.00
	4/28	Dividend Reinv	88.69	+88.690	1.00
	5/31	Dividend Reinv	102.70	+102.700	1.00
	6/30	Dividend Reinv	109.46	+109.460	1.00
	7/31	Dividend Reinv	104.10	+104.100	1.00
	8/31	Dividend Reinv	114.34	+114.340	1.00
	9/29	Dividend Reinv	114.36	+114.360	1.00
	10/31	Dividend Reinv	111.14	+111.140	1.00
	11/30	Dividend Reinv	111.38	+111.380	1.00
	12/29	Dividend Reinv	123.17	+123.170	1.00
	12/31	Ending Balance	$28,524.07	28,524.070	$1.00

30-Day Yield: 4.79%

This dividend is for 33 days vs. 30 days last month.

Year-to-Date Information

Taxable Dividends	$1,243.50

SCHWAB

Account Statement
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Statement Period: December 1, 2006 to December 31, 2006
Last Statement: November 30, 2006

Schwab One® Account
Account Number: [redacted]

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Account Opened In: 1990
Page 1

29/12-CHCK3001-019016-SMIL-87184056500 6 1492526 *1-2
JAY R HONE
[redacted]
ALBUQUERQUE NM 87184-0565

019016

Account Value Summary	
Cash & Sweep Money Market Funds	$ 9,746.25
Margin Loan Balance	$ 0.00
Investments	$ 47,755.75
Total Account Value	**$ 57,502.00**

Change in Value Summary	
Starting Account Value	$ 55,678.30
Transactions & Income This Period	$ 112.72
Change in Value of Investments This Period	$ 1,710.98
Ending Account Value	**$ 57,502.00**
Change in Account Value Since 1/1/06	$ 10,718.89

Total Funds Available: Cash + Margin	
Available to Withdraw	$ 43,174.25
Securities Buying Power	$ 126,180.00

Rate Summary	
Margin Loan Rates vary by balance	8.25% to 10.75%

Investment Detail

Description	Symbol	Quantity Long/Short		Price	Market Value
Cash and Money Market Funds (Sweep)					
CASH					$ 9,746.25
Investments					
A T & T INC NEW (M)	T	155	L	$ 35.7500	$ 5,541.25
COMCAST CORP NEW CL A (M)	CMCSA	323	L	42.3300	13,672.59
HOME DEPOT INC (M)	HD	300	L	40.1600	12,048.00
INTEL CORP (M)	INTC	250	L	20.2500	5,062.50
MEDCOHEALTH SOLUTIONS (M)	MHS	14	L	53.4400	748.16
MERCK & CO INC (M)	MRK	120	L	43.6000	5,232.00
PRICELINE COM INC NEW (M)	PCLN	125	L	43.6100	5,451.25
SPIEGEL INC CL A NON-XXX REGISTRATION REVOKED BY THE SEC EFF 07/23/04		2,000	L	N/A	N/A
		Total Account Value (excludes unpriced securities)			**$ 57,502.00**

C00019016 0102W

Please see "Footnotes for Your Account" section for an explanation of the footnote codes and symbols on this statement.

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CHCK3001-019016 1492526

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SCHWAB

Account Statement
Retain for Your Records

Schwab One® Account
Account Number [redacted]

Statement Period: December 1, 2006 to December 31, 2006
Page 2

Transaction Detail

Settle Date	Trade Date	Transaction	Description	Quantity	Price	Total
Cash Activity						
12/01	12/01	Qualified Dividend	INTEL CORP			$ 25.00
12/14	12/14	Qualified Dividend	HOME DEPOT INC			67.50
12/28	12/28	Credit Interest	SCHWAB1 INT 11/29-12/27			20.22

11/29 through 12/27: $20.22 based on 2.629% average Schwab One interest rate paid on 29 days in which your account had an average daily balance of $9,698.82.

Dividends Pending

Payable Date	Transaction	Description	Quantity	Rate Per Share	Share Distribution	Cash Distribution
01/02	Qualified Dividend	MERCK & CO INC	120	0.3800		$ 45.60

Pending transactions are not included in account value.

Income Summary

Description	This Period	Year to Date
Federally Taxable		
Schwab One Interest	$ 20.22	$ 212.59
Cash Dividends	92.50	691.06
Total Income	**$ 112.72**	**$ 903.65**

Footnotes For Your Account

(M) Denotes a marginable investment.

For information on how Schwab pays its representatives, go to http://www.aboutschwab.com/schwabcorp/compensation.html.

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CNCK3001-019018 1492527

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Account Statement
Retain for Your Records

Statement Period: October 1, 2006 to December 31, 2006
Last Statement: September 30, 2006

Simplified Employee Plan
Account Number: [redacted]

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Account Opened In: 1999
Page 1

29/12-CNCK3001-019015-SML-871640565006 1492522 *1
JAY R HONE
CHARLES SCHWAB & CO INC CUST
SEP-IRA
[redacted]
ALBUQUERQUE NM 87184-0565

019015

Account Value Summary	
Cash & Sweep Money Market Funds	$ 51,518.87
Investments	$ 18,591.86
Total Account Value	**$ 70,110.73**

Change in Value Summary	
Starting Account Value	$ 64,173.96
Transactions & Income This Period	$ 5,634.33
Change in Value of Investments This Period	$ 302.44
Ending Account Value	**$ 70,110.73**
Change in Account Value Since 1/1/06	$ 9,130.30

Rate Summary	
Schwab US Treas MF	4.43%

Investment Detail

Description	Symbol	Quantity Long/Short		Price	Market Value
Cash and Money Market Funds (Sweep)					
SCHWAB US TREAS MONEY FD	SWUXX	51,518.8700	L	$ 1	$ 51,518.87
Investments					
ENTEGRIS INC	ENTG	750	L	$ 10.8200	$ 8,115.00
HOLLIS EDEN PHARM INC	HEPH	700	L	5.2600	3,682.00
VANGUARD 500 INDEX FUND 0 INVESTOR SHARE	VFINX	52.0320	L	130.5900	6,794.86
TAKEOUTMUSIC.COM NEW		8	L	N/A	N/A
Total Account Value (excludes unpriced securities)					**$ 70,110.73**

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Transaction Detail

Settle Date	Trade Date	Transaction	Description	Quantity	Price	Total
Cash Activity						
10/16	10/16	Dividend	SCHWAB US TREAS MONEY FD			$ 172.21
11/15	11/15	Dividend	SCHWAB US TREAS MONEY FD			167.46
12/26	12/26	Div For Reinvest	VANGUARD 500 INDEX FUND INVESTOR SH			33.65
12/28	12/28	Funds Received	IRA REGULAR CONTRIB			5,000.00

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CNCK3001-019015 1492522

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SCHWAB

Account Statement
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Simplified Employee Plan
Account Number: [redacted]

Statement Period: October 1, 2006 to December 31, 2006
Page 2

Transaction Detail (continued)

Settle Date	Trade Date	Transaction	Description	Quantity	Price	Total
Cash Activity (continued)						
12/31	12/31	Dividend	SCHWAB US TREAS MONEY FD			$ 261.01
Investments Activity						
12/26	12/26	Reinvested Shares	VANGUARD 500 INDEX FUND INVESTOR SHARE	0.2580	$ 130.4300	$ (33.65)

Money Funds Detail

Settle Date	Transaction	Quantity	Price	Purchase/Debit	Sale/Credit
Money Market Funds (Sweep) Activity					
SCHWAB US TREAS MONEY FD Activity					
Opening Shares of SCHWAB US TREAS MONEY FD were 45,918.19					
10/16	Dividend	172.2100	$ 1	$ 172.21	
11/15	Dividend	167.4600	1	167.46	
12/29	Purchased	5,000	1	5,000.00	
12/31	Dividend	261.0100	1	261.01	
Closing Shares of SCHWAB US TREAS MONEY FD were 51,518.87					
	Total Money Market Funds (Sweep) Activity			**$ 5,600.68**	**$ 0.00**

SCHWAB US TREAS MF 4.420%

Contribution Summary

	2005	2006
SEP IRA	$ 44,000.00	$ 5,000.00
Year to Date Total:	**$44,000.00**	**$5,000.00**

Income Summary

Description	This Period	Year to Date
Money Funds Dividends	$ 600.68	$ 1,867.30
Cash Dividends	33.65	110.15
Total Income	**$ 634.33**	**$ 1,977.45**

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Account Statement
Retain for Your Records

Statement Period: December 1, 2006 to December 31, 2006
Last Statement: November 30, 2006

Contributory IRA
Account Number: [redacted]

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Account Opened in: 1983
Page 1

29/12-CNCK3001-019014-SML-87184058500 6 1492517 *1
JAY R HONE
CHARLES SCHWAB & CO INC.CUST
IRA CONTRIBUTORY
[redacted]
ALBUQUERQUE NM 87184-0565

019014

Account Value Summary	
Cash, Money Market, and Deposit Accounts	$ 2,594.20
Investments	$ 43,060.29
Total Account Value	**$ 45,654.49**

Change in Value Summary	
Starting Account Value	$ 44,572.69
Transactions & Income This Period	$ 484.63
Change in Value of Investments This Period	$ 597.17
Ending Account Value	**$ 45,654.49**
Change in Account Value Since 1/1/06	$ 2,370.85

Rate Summary	
Deposit Accounts: Interest rate as of 12/29 *(Z)*	2.60%
Schwab MMF	4.66%

Investment Detail

Description	*Symbol*	*Quantity Long/Short*		*Price*	*Market Value*
Cash, Money Market, and Deposit Accounts					
DEPOSIT ACCOUNTS *(X,Z)*					$ 116.12
SCHWAB MONEY MARKET FUND	SWMXX	2,478.0800	L	$ 1	2,478.08
Investments					
E M C CORP MASS	EMC	450	L	$ 13.2000	$ 5,940.00
FONAR CORP	FONR	1,048	L	0.2816	295.12
FONAR NON VTG CL A PFD NON VOTING CLASS A		200	L	0.0001	0.02
GOODYEAR TIRE & RUBBER	GT	200	L	20.9900	4,198.00
HOLLIS EDEN PHARM INC	HEPH	1,000	L	5.2600	5,260.00
INTEL CORP	INTC	7.3148	L	20.2500	148.12
MESA AIR GROUP INC NEV	MESA	224	L	8.5700	1,919.68
MICROSOFT CORP	MSFT	600	L	29.8600	17,916.00
MUSE TECHNOLOGIES INC	MUZE	3,000	L	0.0001	0.30
SOLECTRON CORP DEL	SLR	542	L	3.2200	1,745.24
SUNGOLD INTL HLDGS CORPF	SGIHF	3,150	L	0.0800	252.00

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Account Statement
Retain for Your Records

Contributory IRA
Account Number: [redacted]

Statement Period: December 1, 2006 to December 31, 2006
Page 2

Investment Detail (continued)

Description	Symbol	Quantity Long/Short		Price	Market Value
Investments (continued)					
V P G I CORPORATION NEW	VPGC	5	L	$ 1.8300	$ 9.15
FIDELITY VALUE FUND ◊	FDVLX	66.7080	L	80.6000	5,376.66
SHAMAN PHARMACEUTCLS XXX REGISTRATION REVOKED BY THE SEC EFF 04/13/05		1	L	N/A	N/A
SPIEGEL INC CL A NON-XXX REGISTRATION REVOKED BY THE SEC EFF 07/23/04		3,000	L	N/A	N/A
	Total Account Value (excludes unpriced securities)				**$ 45,654.49**

Transaction Detail

Settle Date	Trade Date	Transaction	Description	Quantity	Price	Total
Cash, Money Market, and Deposit Accounts Activity						
12/01	12/01	Div For Reinvest	FIDELITY VALUE FUND			$ 34.48
12/01	12/01	LT Cap Gain Rein	FIDELITY VALUE FUND			374.94
12/01	12/01	Qualified Dividend	INTEL CORP			0.73
12/14	12/14	Qualified Dividend	MICROSOFT CORP			60.00
12/18	12/15	Bank Interest *(X,Z)*	BANK INT 111606-121506			0.22
12/31	12/31	Dividend	SCHWAB MONEY MARKET FUND			14.26
Investments Activity						
12/01	12/01	Reinvested Shares	FIDELITY VALUE FUND	4.7090	$ 79.6300	$ (374.94)
12/01	12/01	Reinvested Shares	FIDELITY VALUE FUND	0.4330	79.6300	(34.48)

Money Funds Detail

Settle Date	Transaction	Quantity	Price	Purchase/Debit	Sale/Credit
Money Market Funds (Sweep) Activity					
SCHWAB MONEY MARKET FUND Activity					
Opening Shares of SCHWAB MONEY MARKET FUND were 2,463.82					
12/31	Dividend	14.2600	$ 1	$ 14.26	
Closing Shares of SCHWAB MONEY MARKET FUND were 2,478.08					
	Total Money Market Funds (Sweep) Activity			**$ 14.26**	**$ 0.00**

SCHWAB MMF 4.650%

Please see "Footnotes for Your Account" section for an explanation of the footnote codes and symbols on this statement.

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CNCK3001-019014 1492518

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SCHWAB

Account Statement
Retain for Your Records

Contributory IRA
Account Number: [redacted]

Statement Period: December 1, 2006 to December 31, 2006
Page 3

Charles Schwab Bank, N.A. Deposit Accounts Activity

Trans Date	Transaction	Description	Withdrawal	Deposit
		Opening Balance *(X,Z)*		$ 55.17
12/04	Auto Transfer	BANK CREDIT FROM BROKERAGE *(X)*		$ 0.73
12/15	Auto Transfer	BANK CREDIT FROM BROKERAGE *(X)*		60.00
12/15	Interest Paid *(X,Z)*	BANK INTEREST		0.22
		Total Activity	**$ 0.00**	**$ 60.95**
		Ending Balance *(X,Z)*		**$ 116.12**

Deposit Accounts: Interest rate as of 12/29 was 2.60%. Your interest period was 11/16/06 - 12/15/06. (Z)

Contribution Summary

	2005	2006
Traditional IRA	$ 0.00	$ 0.00
Year to Date Total:	**$0.00**	**$0.00**

Income Summary

Description	This Period	Year to Date
Deposit Accounts Interest	$ 0.22	$ 0.66
Money Funds Dividends	14.26	102.91
Cash Dividends	95.21	259.40
Total Capital Gains	374.94	374.94
Total Income	**$ 484.63**	**$ 737.91**

Footnotes For Your Account

X Balances held at Charles Schwab Bank, N.A., member FDIC.

Z Please note that the interest is paid for a period that differs from the Statement Period. Bank balances include any interest that Charles Schwab Bank, N.A. paid as indicated on the Deposit Accounts Activity page. Bank balances do not include any interest that may have accrued during the Statement Period after interest is paid. The interest paid may include interest that accrued in the prior Statement Period.

Please see "Footnotes for Your Account" section for an explanation of the footnote codes and symbols on this statement.

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CNCK3001-019014 1492519

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G000190140203

Brokerage
Account Statement

* 00131348 01 AB 0.317 TR 00473 X117PD09 000000

JAY R HONE
[redacted]
ALBUQUERQUE NM 87107-3245

Your Representative:
DiGREGORIO,CLINTON,BERNTHAL
(516) 478-9000

Account Number [redacted]
Statement Period: 12/01/2006 - 12/31/2006

Valuation at a Glance

	This Period
Beginning Account Value	**$1,605.10**
Change in Account Value	149.50
Ending Account Value	**$1,754.60**

Asset Allocation

	Value Last Period	Value This Period	Percent Allocation
Cash and Cash Equivalents	53.75	53.75	3%
Equities	1,551.35	1,700.85	97%
Account Total (Pie Chart)	**$1,605.10**	**$1,754.60**	**100%**

Asset Allocation percentages are rounded to the nearest whole percentage.

Pie Chart allocation excludes all asset classes which net to a liability

DALBAR RATED FOR COMMUNICATION

A0016886CSF117DP

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PAR-02-ROLL

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Pershing LLC, member NYSE, FINRA, SIPC. Investment is of Pershing Investments LLC.

7080

[redacted]

Customer Service Information

Your Representative: [redacted]	Contact Information	Customer Service Information
[redacted]	Telephone Number: [redacted]	Service Hours: Weekdays 07.30 a.m. - 04.30 p.m. CST
[redacted]	Fax Number: [redacted]	Customer Service Telephone Number: (800) 662-8452
WESTBURY NY 11590-6666	E-Mail Address: [redacted]	Web Site: www.firstmidwestsecurities.com

Portfolio Holdings

Quantity	Description	Opening Balance	Closing Balance	Accrued Income	Income This Year	30-day Yield
Cash and Cash Equivalents 3.00% of Portfolio						
Cash Balance		53.75	53.75			
Total Cash and Cash Equivalents		$53.75	$53.75	$0.00	$0.00	

Quantity	Description	Market Price	Market Value		Estimated Annual Income	Estimated Yield
Equities 97.00% of Portfolio						
Common Stocks						
115.000	MEDAREX INC Dividend Option: Cash *Security Identifier:* MEDX	14.7900	1,700.85			
Total Common Stocks			$1,700.85		$0.00	
Total Equities			$1,700.85		$0.00	

Description	Market Value	Accrued Interest	Estimated Annual Income
Total Portfolio Holdings	$1,754.60	$0.00	$0.00

Disclosures and Other Information

Pricing - Securities prices may vary from actual liquidation value. Prices shown should only be used as a general guide to portfolio value. Prices are received from various pricing services. However, pricing services are sometimes unable to provide timely information. Where pricing sources are not readily available, particularly on certain debt securities, estimated prices may be generated by a matrix system taking various factors into consideration. The pricing of listed options takes into account the last closing price, as well as the current bid and offer prices. Where securities have not been priced, such securities have not been included in the Asset Allocation information at the beginning of this statement.

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Portfolio Holdings *(continued)*

Reinvestment - The dollar amount of Mutual Fund distributions, Money Market Fund dividend income, Bank Deposit interest income, or dividends for other securities shown on your Statement may have been reinvested. You will not receive confirmation of these reinvestments. However, information pertaining to these transactions which would otherwise appear on confirmations, including the time of execution and the name of the person from whom your security was purchased, will be furnished to you upon written request to your introducing firm. In dividend reinvestment transactions, Pershing acts as your agent and receives payment for order flow, the source and nature of which payment will be furnished to you upon written request to your introducing firm.

Option Disclosure - Information with respect to commissions and other charges incurred in connection with the execution of option transactions has been included in confirmations previously furnished to you. A summary of this information is available to you promptly upon your written request directed to your introducing firm. In order to assist your introducing firm in maintaining current background and financial information concerning your option accounts, please promptly advise them in writing of any material change in your investment objectives or financial situation. Expiring options which are valuable are exercised automatically pursuant to the exercise by exception procedure of the Options Clearing Corporation. Additional information regarding this procedure is available upon written request to your introducing firm.

Foreign Currency Transactions - Pershing may execute foreign currency transactions as principal for your account. Pershing may automatically convert foreign currency to or from U.S. dollars for dividends and similar corporate action transactions unless you instruct your financial organization otherwise. Pershing's currency conversion rate will not exceed the highest interbank conversion rate identified from customary banking sources on the conversion date or the prior business day, increased by up to 1%, unless a particular rate is required by applicable law. Your financial organization may also increase the currency conversion rate. This conversion rate may differ from rates in effect on the date you executed a transaction, incurred a charge, or received a credit. Transactions converted by agents (such as depositories) will be billed at the rates such agents use.

Proxy Vote - Securities held by you on margin (securities not fully paid for by you) may be lent by Pershing to itself or others in accordance with the terms outlined in the Margin Agreement. The right to vote your shares held on margin will be reduced by the amount of shares on loan. The Proxy Voting Instruction Form sent to you may reflect a smaller number of shares entitled to vote than the number of shares in your margin account.

Open Orders

Date Entered	Buy/Sell	Type of Order	Security	Quantity	Limit Price	Current Price	Special Instructions
11/08/06	Sell	Stop	MEDAREX INC	115.000	11.0000	14.7900	

Not all open orders may be reflected above. Please verify the current status of these and other open orders with your financial organization or Your Representative.

Effective February 17, 2006, Pershing will implement a maximum time in force for Good 'Til Cancel (GTC) and Good 'Til Date (GTD) equity orders at no greater than 365 calendar days. On this date, all open GTC and GTD equity orders aged at or greater than 365 days will be purged. Additionally, any open GTC and GTD equity orders entered on or after February 17, 2006 will be automatically purged if not executed or cancelled within 365 days. Please note that your financial organization's policy regarding GTC and GTD equity orders may be more stringent.

Messages

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Bloomington, IL 61701
(309)820-7333

FIRST MIDWEST SECURITIES INC
207 W JEFFERSON ST STE 102
BLOOMINGTON IL 61701
TEL:(309)820-7333
FAX:(309)820-7451

Securities from The Bank of New York
One Pershing Plaza, Jersey City, New Jersey 07399
Pershing LLC member NASD NYSE SIPC Subsidiary of Pershing Investments LLC

CONFIRMATION

MAIL TO:

¶ 034938 XPVLEMT3 000000
JAY R HONE
[redacted]
ALBUQUERQUE NM 87107-3245

FOR THE ACCOUNT OF :
JAY R HONE
[redacted]
ALBUQUERQUE NM 87107-3245

ACCOUNT NUMBER: [redacted]
ACCOUNT TYPE: 1

YOUR ACCOUNT EXECUTIVE:
[redacted]
A.E. NUMBER: W18

YOU BOUGHT:

PACIFIC ETHANOL INC
COM

TRADE DATE: 05-01-06
PROCESS DATE: 05-01-06
SETTLEMENT DATE: 05-04-06
CUSIP NUMBER: 69423U-10-7
SYMBOL: PEIX

WE CONFIRM THE BELOW TRADE(S), SUBJECT TO THE TERMS AND CONDITIONS ON THE REVERSE SIDE.

TRADE NUMBER	QUANTITY	PRICE	PRINCIPAL	INTEREST/ STATE TAX	COMMISSION	SERVICE CHARGE	TRANS. FEE	NET AMOUNT USD	MKT/ CPTY
K47ZY2	25	34.00	850.00		.25	45.00		895.25	9/1
SOLICITED ORDER		ARCHIPELAGO - PCSE							
K47ZY3	75	34.00	2,550.00		.75			2,550.75	9/1
SOLICITED ORDER		ARCHIPELAGO - PCSE							
TOTALS	100		3,400.00		1.00	45.00		3,446.00	

THIS CONFIRMATION IS AN ADVICE NOT AN INVOICE. REMITTANCE OR SECURITIES ARE DUE ON OR BEFORE SETTLEMENT DATE.

SEE TERMS AND CONDITIONS AND EXPLANATION OF CODED SYMBOLS RELATING TO THIS CONFIRMATION.
ON OTHER THAN ROUND LOTS (NORMALLY 100 SHARES) IF DIF APPEARS ABOVE AN ODD-LOT DIFFERENTIAL HAS BEEN CHARGED IN CONNECTION WITH THIS TRANSACTION
THE AMOUNT OF SUCH DIFFERENTIAL WILL BE FURNISHED UPON REQUEST.

[redacted]

Account Number: 7JY-028238

Recipient's Identification Number: [redacted]

2006 YOUR TAX INFORMATION STATEMENT

Recipient's Name and Address

00164115 01 AB 0.317 01 TR 00560 X58Y1D19
JAY R HONE
[redacted]
ALBUQUERQUE NM 87107-3245

Payer Information:

[redacted]
WESTBURY NY 11590-6666
[redacted]
ID: W18

Payer Information:

PERSHING, LLC

Federal Identification Number: [redacted]

Summary of Gross Proceeds and Regulated Futures Contracts

	Amount
ross Proceeds (Less Commissions and Fees)	1,579.95 *
ederal Income Tax Withheld - Gross Proceeds	**0.00**
gulated Futures Contracts:	
ofit or (Loss) Realized in 2006	0.00
nrealized Profit or (Loss) on Open Contracts - 12/31/2005	0.00
nrealized Profit or (Loss) on Open Contracts - 12/31/2006	0.00
ggregate Profit or (Loss)	0.00
ederal Income Tax Withheld - Reg. Futures Contracts	**0.00**

Details of each Gross Proceeds transaction are reported to the IRS.
Refer to the 1099-B section of this statement for these details.

2006 1099-DIV Dividends and Distributions OMB No. 1545-0110

Box		Amount
1a	Total Ordinary Dividends	0.00
1b	Qualified Dividends	0.00
2a	Total Capital Gain Distributions	0.00
2b	Unrecaptured Section 1250 Gain	0.00
2c	Section 1202 Gain	0.00
2d	Collectibles (28%) Gain	0.00
3	Nondividend Distributions	0.00
4	**Federal Income Tax Withheld**	**0.00**
5	Investment expenses	0.00
6	Foreign Tax Paid	0.00
7	Foreign Country or U.S. Possession	n/a
8	Cash Liquidation Distributions	0.00
9	NonCash Liquidation Distributions	0.00

2006 1099-INT Interest Income OMB No. 1545-0112

X		Amount
	Interest Income	0.00
	Early Withdrawal Penalty	0.00
	Interest on U.S. Savings Bonds & Treasury Obligations	0.00
	Federal Income Tax Withheld	**0.00**
	Investment expenses	0.00
	Foreign Tax Paid	0.00
	Foreign Country or U.S. Possession	n/a
	Tax-Exempt Interest	0.00
	Specified Private Activity Bond Interest	0.00

Summary of Original Issue Discount

	Amount
Original Issue Discount (Non-U.S. Treasury Obligations)	0.00
Other Periodic Interest	0.00
Federal Income Tax Withheld	**0.00**
Original Issue Discount on U.S. Treasury Obligations	0.00
Investment expenses	0.00

his is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction ay be imposed on you if this income is taxable and the IRS determines that it has not been reported.

7084

[redacted]

Recipient's Name and Address:

JAY R HONE

[redacted]

Account Number: [redacted]

Recipient's Identification Number [redacted]

2006 YOUR TAX INFORMATION STATEMENT

Summary Of Transactions We Do Not Report To The IRS (See instructions for additional information)

	Amount
Securities Purchased	
Net Cost of Securities Purchased	4,972.20

2006 Form 1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS OMB No. 1545-0715

(For individuals, report details on Form 1040; Schedule D; Line 1 or 8.)

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

Description (Box 7)	CUSIP (Box 1b)	Trade/Process Date (Box 1a)	Quantity	Proceeds (Less Commissions & Fees) (Box 2)	Federal Income Tax Withheld (Box 4)
PACIFIC ETHANOL INC COM	69423U107	11/08/2006	100	1,579.95	
Total				1,579.95	0.00

TRANSACTIONS WE DO NOT REPORT TO THE IRS

SECURITIES PURCHASED

Description	CUSIP	Trade/Process Date	Quantity	Net Cost	Accrued Interest Purchased Amount	Security Type
MEDAREX INC	583916101	11/08/2006	115	1,526.20		
PACIFIC ETHANOL INC COM	69423U107	05/01/2006	25	895.25		
		05/01/2006	75	2,550.75		
				3,446.00		
Total				4,972.20	0.00	

7085

061229 250 001066602 C 1
NATIONAL SECURITIES CORPORATION
775 PARK AVENUE
SUITE 380
HUNTINGTON, NY 11743

Account Number: [redacted]

NATIONAL SECURITIES

MEMBER NASD/SIPC

Financial Services

JAY R HONE
[redacted]
ALBUQUERQUE NM 87107

YOUR REPRESENTATIVE IS
[redacted] 180
RR#: [redacted]

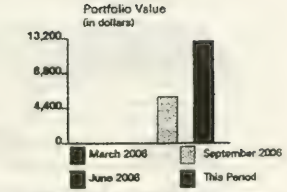
FOR QUESTIONS OR UP-TO-DATE ACCOUNT INFORMATION:
Local [redacted]
In-State [redacted]
National [redacted]

Statement Date: 11/01/06 to 12/31/06

SNAPSHOT

TOTAL PORTFOLIO
$13,046.77

PORTFOLIO VALUE	This Period	Prior Period
Cash and Cash Equivalents	$7.77	$0.00
Securities	$13,039.00	$11,833.00
TOTAL PORTFOLIO VALUE	$13,046.77	$11,833.00



A portfolio value less than $100.00 may not be displayed.

ACCOUNT ACTIVITY	This Period	Year-To-Date
Net Trading	$0.00	($11,041.00)
Net Core Fund Activity	($7.77)	($7.77)
Net Additions and Withdrawals	$0.00	$11,041.00
Net Income and Expenses	$7.77	$7.77

LEGEND
() Numbers in parenthesis are debits or subtractions
NFS = National Financial Services LLC

Account carried with National Financial Services LLC, Member NYSE, SIPC

7086

Account Number: [redacted]
Account Name: HONE

Statement Date: 11/01/2006 to 12/31/2006

NATIONAL
SECURITIES
MEMBER NASD/SIPC

SUMMARY

ALERT: Taxable income is determined based on information available to NFS at the time the statement was prepared, and is subject to change. Final information on taxation of current and dividends is available on Form 1099-Div, which is mailed in January of the subsequent year.

PORTFOLIO VALUE	This Period	Prior Period
Cash and Cash Equivalents		
Money Markets	$7.77	$0.00
Securities		
Equities		
Equity	$13,039.00	$11,833.00
Total Securities	$13,039.00	$11,833.00
TOTAL PORTFOLIO VALUE	$13,046.77	$11,833.00

ACCOUNT ACTIVITY	This Period	Year-To-Date
BEGINNING BALANCE	$0.00	
Trading		
Securities Purchased	$0.00	($11,041.00)
NET TRADING	$0.00	($11,041.00)
Core Fund Activity		
Core Funds Purchased	($7.77)	($7.77)
NET CORE FUND ACTIVITY	($7.77)	($7.77)
Additions and Withdrawals		
Deposits	$0.00	$11,041.00
NET ADDITIONS AND WITHDRAWALS	$0.00	$11,041.00
Income and Expenses		
Taxable Income		
Taxable Dividends	$7.77	$7.77
NET TAXABLE INCOME	$7.77	$7.77
TOTAL INCOME	$7.77	$7.77
NET INCOME AND EXPENSES	$7.77	$7.77
ENDING BALANCE	$0.00	

PORTFOLIO ALLOCATION

Money Markets	0.06%
Equity	99.94%

Allocations for equities, fixed income, and other categories may include mutual funds and may be net of short positions. NFS has made assumptions concerning how certain mutual funds are allocated. Closed-end mutual funds listed on an exchange may be included in the equity allocation. The chart may not reflect your actual portfolio allocation. Consult your broker/dealer prior to making investment decisions.

DETAIL

Account carried with National Financial Services LLC, Member NYSE, SIPC
See last page for important information about your brokerage account and this statement

7087

Account Number: [redacted]
Account Name: HONE

Statement Date: 11/01/2006 to 12/31/2006

NATIONAL SECURITIES
MEMBER NASD/SIPC

PORTFOLIO VALUE

NFS determines the appropriate cost basis method for calculating gain and loss based on positions held at the time of sale. For open-end mutual funds, NFS determines cost basis using the average cost-single category (ACSC) method unless otherwise instructed. For all other securities, NFS determines cost basis using the first-in, first-out (FIFO) method if NFS does not receive appropriate specific share instructions. NFS determines cost basis using the specific share identification method if NFS receives appropriate specific share instructions, however, such cost basis information may not correspond to a customer's cost basis information records if a customer used the specific share identification method prior to the time that such method tracking was made available by NFS. Cost basis, associated gain and loss, and holding period information reported may not reflect all adjustments necessary for tax reporting purposes. Cost basis information for debt securities has not been adjusted for amortization or accretion.

CASH AND CASH EQUIVALENTS 0.06%

Description	Symbol/Cusip Account Type	Quantity	Price on 12/31/06	Current Market Value	Prior Market Value	Estimated Annual Income
Money Markets						
PRIME FUND - CAPITAL RESERVES CLASS	FPRXX CASH	7.77	$1.00	$7.77	unavailable	
7 DAY AVG NET YIELD 4.47%						
Dividend Option Reinvest						
Capital Gain Option Reinvest						
Total Cash and Cash Equivalents				$7.77		

EQUITIES 99.94%

Description	Symbol/Cusip Account Type	Quantity	Price on 12/31/06	Current Market Value	Prior Market Value	Estimated Annual Income	Total Cost Basis	Unrealized Gain (Loss)
Equity								
AK STEEL HLDG CORP	AKS	500	$16.90	$8,450.00	$7,465.00		$8,380.00	$2,070.00
Dividend Option Cash	CASH							
Capital Gain Option Cash								
WALGREEN COMPANY	WAG	100	$45.89	$4,589.00	$4,368.00	$31.00	$4,661.00	($72.00)
Estimated Yield 0.67%	CASH							
Dividend Option Cash								
Capital Gain Option Cash								
Total Equity				$13,039.00		$31.00	$11,041.00	$1,998.00
Total Equities				$13,039.00		$31.00	$11,041.00	$1,998.00
Total Securities				$13,039.00		$31.00	$11,041.00	$1,998.00
TOTAL PORTFOLIO VALUE				$13,046.77		$31.00	$11,041.00	$1,998.00

Account carried with National Financial Services LLC, Member NYSE, SIPC

7088

Account Number [redacted]
Account Name: HONE

Statement Date: 11/01/2006 to 12/31/2006

NATIONAL
SECURITIES
MEMBER NASD/SIPC

ACCOUNT ACTIVITY

NFS determines the appropriate cost basis method for calculating gain and loss based on positions held at the time of sale. For open-end mutual funds, NFS determines cost basis using the average cost-single category (ACSC) method unless otherwise instructed. For all other securities, NFS determines cost basis using the first-in, first-out (FIFO) method if NFS does not receive appropriate specific share instructions. NFS determines cost basis using the specific share identification method if NFS receives appropriate specific share instructions; however, such cost basis information may not correspond to a customer's cost basis information records if a customer used the specific share identification method prior to the time that such method tracking was made available by NFS. Cost basis, associated gain and loss, and holding period information reported may not reflect all adjustments necessary for tax reporting purposes. Cost basis information for debt securities has not been adjusted for amortization or accretion

CORE FUND ACTIVITY

Core Funds Purchased

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
12/12/06	CASH	YOU BOUGHT	PRIME FUND - CAPITAL RESERVES CLASS @ 1	7.75	($7.75)
12/29/06	CASH	REINVESTMENT	PRIME FUND - CAPITAL RESERVES CLASS REINVESTED @ $1.00	0.02	($0.02)
Net Core Funds Purchased					($7.77)
NET CORE FUND ACTIVITY					($7.77)

INCOME AND EXPENSES

Taxable Income

Date	Account Type	Transaction	Description	Quantity	Amount
Taxable Dividends					
12/12/06	CASH	DIVIDEND RECEIVED	WALGREEN COMPANY		$7.75
12/29/06	CASH	DIVIDEND RECEIVED	PRIME FUND - CAPITAL RESERVES CLASS DIVIDEND RECEIVED		$0.02
Net Taxable Income					$7.77
Total Income					$7.77
NET INCOME AND EXPENSES					$7.77

7089

Account carried with National Financial Services LLC, Member NYSE, SIPC

Account Number: [redacted]
Account Name: HONE

Statement Date: 11/01/2006 to 12/31/2006

NATIONAL SECURITIES
MEMBER NASD/SIPC

UNREALIZED GAIN (LOSS) LOT DETAIL

NFS determines the appropriate cost basis method for calculating gain and loss based on positions held at the time of sale. For open-end mutual funds, NFS determines cost basis using the average cost-single category (ACSC) method unless otherwise instructed. For all other securities, NFS determines cost basis using the first-in, first-out (FIFO) method if NFS does not receive appropriate specific share instructions. NFS determines cost basis using the specific share identification method if NFS receives appropriate specific share instructions, however, such cost basis information may not correspond to a customer's cost basis information records if a customer used the specific share identification method prior to the time that such method tracking was made available by NFS. Cost basis, associated gain and loss, and holding period information reported may not reflect all adjustments necessary for tax reporting purposes. Cost basis information for debt securities has not been adjusted for amortization or accretion.

EQUITIES

Description	Account Type	Date Acquired	Symbol/ Cusip	Price on 12/31/06	Quantity	Market Value	Cost Basis/ Proceeds	Unrealized Gain (Loss)	Holding Period
AK STEEL HLDG CORP	CASH	09/13/06	AKS	$16.90	500	$8,450.00	$6,380.00	$2,070.00	ST
WALGREEN COMPANY	CASH	10/12/06	WAG	$45.89	100	$4,589.00	$4,661.00	($72.00)	ST
Total Equities					600	$13,039.00	$11,041.00	$1,998.00	

FOOTNOTES AND COST BASIS INFORMATION

Cost basis and gain/(loss) information is included for your convenience and is based on information you provided or that is otherwise known to NFS at the time the statement is issued. The information may not reflect all adjustments or reportable transactions that are required for tax purposes and may not be accurate for tax reporting purposes. It is not a substitute for your own tax records. If you have any questions about your specific tax situation, please consult your tax advisor. Cost basis information is not adjusted for investments in Master Limited Partnerships (MLPs). In order to calculate basis for MLPs, you will need supplemental information from the partnership related to the income and distributions during the period you hold your investment.

ST - Short-term (Held twelve months or less).

7090

Account carried with National Financial Services LLC, Member NYSE, SIPC

061229 220 001129236 C 1
PRUDENTIAL INVESTMENT
MANAGEMENT SERVICES LLC
[redacted]
HARTFORD, CT 06103

Account Number: [redacted]

Prudential Financial

PRUDENTIAL BANK & TRUST, FSB
IRA ROLLOVER
FBO JAY R HONE
[redacted]
ALBUQUERQUE NM 87114

YOUR REGISTERED REP IS:
BROKERAGE SERVICES
[redacted]

FOR QUESTIONS OR UP-TO-DATE ACCOUNT INFORMATION:
National
[redacted]

www.prudential.com/prs

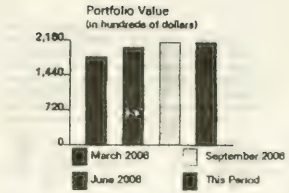
Statement Date: 12/01/06 to 12/31/06

SNAPSHOT

TOTAL PORTFOLIO
$214,180.72

PORTFOLIO VALUE	This Period	Prior Period
Cash and Cash Equivalents	$85,917.32	$85,649.71
Securities	$128,263.40	$131,496.93
TOTAL PORTFOLIO VALUE	$214,180.72	$217,146.64

Your portfolio contains unpriced positions. The securities may be unpriced for various reasons including but not limited to unavailability of pricing or the security may not have value. Please contact your broker/dealer for further information.



A portfolio value less than $100.00 may not be displayed.

ACCOUNT ACTIVITY	This Period	Year-To-Date
Net Trading	($130.41)	($130.41)
Net Core Fund Activity	($267.61)	($72,453.71)
Net Additions and Withdrawals	$0.00	$70,515.72
Net Income and Expenses	$398.02	$2,068.40

RETIREMENT ACCOUNT

Retirement account maintenance fee waived or subsidized for 2006.

GEND

[illegible] in parenthesis
[illegible] or subtractions
[illegible] National Financial
[illegible] LLC

Account carried with National Financial Services LLC, Member NYSE, SIPC

7091

:ount Number: [redacted]
:ount Name: HONE

tement Date: 12/01/2006 to 12/31/2006

Prudential Financial

SUMMARY

:RT:
come is tax deferred
it is distributed from
count.

PORTFOLIO VALUE	This Period	Prior Period
Cash and Cash Equivalents		
Money Markets	$85,917.32	$85,649.71
Securities		
Equities		
Equity	$118,129.10	$121,495.52
Mutual Funds		
Equity	$10,134.30	$10,001.41
Total Securities	$128,263.40	$131,496.93
TOTAL PORTFOLIO VALUE	$214,180.72	$217,146.64

ACCOUNT ACTIVITY	This Period	Year-To-Date
BEGINNING BALANCE	$0.00	
Trading		
Securities Purchased	($130.41)	($130.41)
NET TRADING	($130.41)	($130.41)
Core Fund Activity		
Core Funds Purchased	($267.61)	($72,453.71)
NET CORE FUND ACTIVITY	($267.61)	($72,453.71)
Additions and Withdrawals		
Other Additions and Withdrawals	$0.00	$70,515.72
NET ADDITIONS AND WITHDRAWALS	$0.00	$70,515.72
Income and Expenses		
Taxable Income		
Tax Deferred Dividends	$340.41	$1,799.34
Taxable Interest	$57.61	$269.06
NET TAXABLE INCOME	$398.02	$2,068.40
TOTAL INCOME	$398.02	$2,068.40
NET INCOME AND EXPENSES	$398.02	$2,068.40
ENDING BALANCE	$0.00	

PORTFOLIO ALLOCATION

Money Markets	40.11%
Equity	59.89%

Allocations for equities, fixed income, and other categories may include mutual funds and may be net of short positions. NFS has made assumptions concerning how certain mutual funds are allocated. Closed-end mutual funds listed on an exchange may be included in the equity allocation. The chart may not reflect your actual portfolio allocation. Consult your broker/dealer prior to making investment decisions.

DETAIL

PORTFOLIO VALUE

7092

Account carried with National Financial Services LLC, Member NYSE, SIPC
See last page for Important information about your brokerage account and this statement.

Prudential Financial

EQUITIES 55.16%

Description	Symbol/Cusip Account Type	Quantity	Price on 12/31/06	Current Market Value	Prior Market Value	Estimated Annual Income
MELLON FINL CORP Estimated Yield 2.08% Dividend Option Cash Capital Gain Option Cash	MEL CASH	500	$42.15	$21,075.00	$20,115.00	$440.00
PSC INC NO STKHOLDERS EQUITY 6/19/03 Dividend Option Cash Capital Gain Option Cash	69361E107 CASH	4,000	unavailable	unavailable	unavailable	
TAKEOUTMUSIC COM HLDGS CORP COM NEW	TKMU CASH	120	unavailable	unavailable	unavailable	
TEXAS INSTRUMENTS INC Estimated Yield 0.56% Dividend Option Cash Capital Gain Option Cash	TXN CASH	300	$28.80	$8,640.00	$8,885.00	$48.00
TRONOX INC COM CL B Estimated Yield 1.26% Dividend Option Cash Capital Gain Option Cash Next Dividend Payable: 01/02/07	TRXB CASH	100	$15.79	$1,579.00	$1,487.00	$20.00
Total Equity				$118,129.10		$1,634.84
Total Equities				$118,129.10		$1,634.84

MUTUAL FUNDS 4.73%

Description	Symbol/Cusip Account Type	Quantity	Price on 12/31/06	Current Market Value	Prior Market Value	Estimated Annual Income
Equity						
MAINSTAY S&P 500 INDEX CL A Estimated Yield 1.30% Dividend Option Reinvest Capital Gain Option Reinvest	MSXAX CASH	312.305	$32.45	$10,134.30	$10,001.41	$132.11
Total Mutual Funds				$10,134.30		$132.11
Total Securities				$128,263.40		$1,766.95
TOTAL PORTFOLIO VALUE				$214,180.72		$1,768.95

Account carried with National Financial Services LLC, Member NYSE, SIPC

7093

Prudential Financial

*Customers using an FDIC-insured sweep feature should note that the FDIC-insured deposit at the bank is not a security and is not covered by SIPC. Your FDIC-insured deposit is separate from your brokerage account at NFS and NFS relies on information obtained from the deposit-holding bank to report these balances as a cash equivalent on your statement.

CASH AND CASH EQUIVALENTS 40.11%

Description	Symbol/Cusip Account Type	Quantity	Price on 12/31/06	Current Market Value	Prior Market Value	Estimated Annual Income
Money Markets						
PRUDENTIAL BANK& TRUST MMKT 1 FDIC INSURED DEPOSIT* NOT COVERED BY SIPC 7 DAY AVG NET YIELD .83% Dividend Option Reinvest Capital Gain Option Reinvest	DAESQ CASH	85,917.32	$1.00	$85,917.32	$85,649.71	
Total Cash and Cash Equivalents				$85,917.32		

EQUITIES 55.16%

Description	Symbol/Cusip Account Type	Quantity	Price on 12/31/06	Current Market Value	Prior Market Value	Estimated Annual Income
Equity						
AT&T INC COM Estimated Yield 3.97% Dividend Option Cash Capital Gain Option Cash Next Dividend Payable: 02/01/07	T CASH	202	$35.75	$7,221.50	$6,849.82	$286.84
CELLSTAR CORP COM NEW Dividend Option Cash Capital Gain Option Cash	CLST CASH	200	$3.00	$600.00	$898.00	
COMCAST CORP NEW CL A Dividend Option Cash Capital Gain Option Cash	CMCSA CASH	420	$42.33	$17,778.60	$16,993.20	
CORNING INC Dividend Option Cash Capital Gain Option Cash	GLW CASH	1,000	$18.71	$18,710.00	$21,560.00	
INTEL CORP Estimated Yield 1.97% Dividend Option Cash Capital Gain Option Cash	INTC CASH	2,100	$20.25	$42,525.00	$44,929.50	$840.00

Account carried with National Financial Services LLC, Member NYSE, SIPC

7094

Prudential Financial

ACCOUNT ACTIVITY

TRADING

Securities Purchased

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
12/19/06	CASH	REINVESTMENT	MAINSTAY S&P 500 INDEX CL A REINVESTED @ $32 60	4	($130.41)
Net Securities Purchased					($130.41)
NET TRADING					($130.41)

CORE FUND ACTIVITY

Core Funds Purchased

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
11/30/06	CASH	REINVESTMENT	PRUDENTIAL BANK& TRUST MMKT 1 FDIC NET INT REINVEST	57 61	($57 61)
12/01/06	CASH	YOU BOUGHT	PRUDENTIAL BANK& TRUST MMKT 1 FDIC INSURED DEPOSIT * NOT COVERED BY SIPC @ 1	210	($210 00)
Net Core Funds Purchased					($267.61)
NET CORE FUND ACTIVITY					($267.61)

INCOME AND EXPENSES

Taxable Income

Date	Account Type	Transaction	Description	Quantity	Amount
Tax Deferred Dividends					
12/01/06	CASH	DIVIDEND RECEIVED	INTEL CORP		$210 00
12/19/06	CASH	DIVIDEND RECEIVED	MAINSTAY S&P 500 INDEX CL A		$130.41
Taxable Interest					

Account carried with National Financial Services LLC, Member NYSE, SIPC

7095

Prudential Financial

INCOME AND EXPENSES

Taxable Income

Date	Account Type	Transaction	Description	Quantity	Amount
11/30/06	CASH	PRUDENTIAL BANKB	TRUST MMKT 1 FDIC INTEREST RECEIVED		$57.61
Net Taxable Income					$398.02
Total Income					$398.02
NET INCOME AND EXPENSES					$398.02

7096

Account carried with National Financial Services LLC, Member NYSE, SIPC

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2006

FORM A — For use by Members, officers, and employees

LEGISLATIVE RESOURCE CENTER
2007 MAY 15 PM 4: 30
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
WAS HAND DELIVERED
MC
(Office Use Only)

Addison (Joe) Graves Wilson
(Full Name)

212 Cannon Building Washington, DC 20515
(Mailing Address)

202-225-2452
Daytime Telephone:

Filer Status: ☑ Member of the U.S. House of Representative — State: SC — District: 02 ☐ Officer Or Employee — Employing Office:

Report Type: ☑ Annual (May 15) ☐ Amendment ☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? Yes ☐ No ☑
If yes, complete and attach Schedule I.

II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? Yes ☐ No ☑
If yes, complete and attach Schedule II.

III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? Yes ☑ No ☐
If yes, complete and attach Schedule III.

IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? Yes ☑ No ☐
If yes, complete and attach Schedule IV.

V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? Yes ☑ No ☐
If yes, complete and attach Schedule V.

VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? Yes ☐ No ☑
If yes, complete and attach Schedule VI.

VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? Yes ☑ No ☐
If yes, complete and attach Schedule VII.

VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? Yes ☑ No ☐
If yes, complete and attach Schedule VIII.

IX. Did you have any reportable agreement or arrangement with an outside entity? Yes ☐ No ☑
If yes, complete and attach Schedule IX.

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-- Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? Yes ☐ No ☑

Exemptions-- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Yes ☐ No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Addison (Joe) Graves Wilson	May 15, 2007

7097

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Addison (Joe) Graves Wilson

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
JT	2823 Wilton Road, Springdale, SC	$50,001 - $100,000	Rent	$2,501 - $5,000	
JT	Graves Park Estates (Property located in Springdale, SC)	$50,001 - $100,000	None	NONE	
	Moseley and Wilson Partnership, 101 Shuler Street, West Columbia, SC	$100,001 - $250,000	Rent	$15,001 - $50,000	
	Moseley and Wilson Partnership, 515 E. Main Street, Lexington, SC	$50,001 - $100,000	Rent	$5,001 - $15,000	
	Moseley and Wilson Partnership, 640 Sunset Blvd., West Columbia, SC	$250,001 - $500,000	Rent	$15,001 - $50,000	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Addison (Joe) Graves Wilson

	Moseley and Wilson Partnership (922 Sunset Blvd., West Columbia, SC)	$50,001 - $100,000	Rent	$5,001 - $15,000	
	Moseley and Wilson Partnership (1534 Sunset Blvd., West Columbia, SC)	$100,001 - $250,000	Rent	$5,001 - $15,000	
JT	2827 Wilton Road, Springdale, SC	$50,001 - $100,000	None	NONE	
	S.C. State Retirement System, Columbia, SC	$1,001 - $15,000	Interest	$1 - $200	
	Southern Financial Stock (Dividend/Capital Gain)	$1,001 - $15,000	Dividends	$1 - $200	S
	Exxon Mobil Stock, Irving, TX	$1,001 - $15,000	Dividends	$1 - $200	

SCHEDULE IV - TRANSACTIONS

Name Addison (Joe) Graves Wilson

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Southern Financial Stock (Dividend/Capital Gain)	S	11-01-06	$1,001 - $15,000

SCHEDULE V - LIABILITIES

Name Addison (Joe) Graves Wilson

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report "revolving charge accounts" (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
	Branch Banking and Trust Company of South Carolina	Personal Loan	$15,001 - $50,000
JT	South Carolina Bank and Trust	Personal Loan Secured by 2825 Wilton Road, Springdale, SC	$50,001 - $100,000
	Carolina First Bank	Moseley and Wilson Partnership Mortgage Personal Guarantor	$100,001 - $250,000
	S.C. National Guard Federal Credit Union	Personal Loan	$10,001 - $15,000
	First Reliance Bank	Personal Loan	$10,001 - $15,000
JT	Washington Mutual	Mortgage secured by property located in West Columbia, SC and designated as Graves Park estates and 2823 Wilton Road, Springdale, SC	$100,001 - $250,000
	Bank of America Visa Card	Credit Card Balance	$15,001 - $50,000

SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS

Name Addison (Joe) Graves Wilson

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than $305 received by you, your spouse or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure--Destination--Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's expense
Heritage Foundation	Jan. 29-31	Washington, DC-Baltimore, MD- Washington, DC (See Attachment #1 for costs)	Y	Y	Y	None

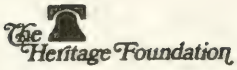
SCHEDULE VIII - POSITIONS

Name Addison (Joe) Graves Wilson

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Partner	Moseley and Wilson Partnership

ATTACHMENT #1



MEMO

TO: RSC Schedulers
FROM: Emily Sankot, Special Events Coordinator
RE: Financial Disclosure for Conservative Members Retreat

In order to assist you in complying with the House rules that require you to file a travel disclosure form for the retreat, below is a list of the itemized expenses for the retreat. The retreat was held January 29-31, 2006, at the Harbor Court Hotel in Baltimore.

We did not take attendance at each meal, so you will have to check with your Member to see which he or she attended.

Lodging:	
For Member:	$203.18/night
Spouse:	no extra cost
Meals:	
Total for Member:	$220.60
Total for Spouse:	$220.60
Individual Meals:	
Reception 1/29:	$11.00
Dinner 1/29:	$60.00
Breakfast 1/30:	$20.00
Break 1/30:	$5.25
Lunch 1/30:	$30.00
Reception 1/30:	$8.00
Dinner 1/30:	$49.35
Breakfast 1/31:	$8.00
Lunch 1/31:	$29.00

Transportation:	
Total Bus Cost for Member:	$75.60
Total Bus Cost for Spouse:	$75.60
Bus to retreat:	$37.80
Bus to DC:	$37.80
Parking:	$29/day
Miscellaneous:	
Materials for Member:	$33.50

The purpose of the trip was "educational" and the links to the forms are below:

Member disclosure form: http://www.house.gov/ethics/Form_Member_Travel_Disclosure_Jan_2005.pdf

Staff disclosure form: http://www.house.gov/ethics/Form_Employee_Travel_Disclosure_Jan_2005.pdf

If you have any questions, I can be reached at 202.608.6021 or at emily.sankot@heritage.org.

LEGISLATIVE RESOURCE CENTER
2007 MAY 15 PM 3:03
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2006

FORM A
For use by Members, officers, and employees

Frank R. Wolf
(Full Name)

241 Cannon House Office Building Washington, DC 20515 — 202-225-5136
(Mailing Address) — Daytime Telephone

HAND DELIVERED
(Office Use Only)

Filer Status	☑ Member of the U.S. House of Representatives — State: VA, District: 10	☐ Officer Or Employee	Employing Office:
Report Type	☑ Annual (May 15)	☐ Amendment	☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes ☐ No ☑	
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes ☐ No ☑	
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes ☑ No ☐	
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes ☑ No ☐	
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes ☐ No ☑	
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes ☐ No ☑	
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes ☐ No ☑	
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes ☑ No ☐	
IX.	Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes ☐ No ☑	

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐ No ☑
Exemptions-	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐ No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001)

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Frank R. Wolf	May 14, 2007

7105

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Frank R. Wolf

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
Franklin VA Tax-Free Income Fund	$15,001 - $50,000	DIVIDENDS	$1,001 - $2,500	S
Hartford Leaders Plus Variable Annuity IRA (holdings detailed in attached 5 pages)	$250,001 - $500,000	DIVIDENDS	$15,001 - $50,000	
John Hancock Life Ins. Co. Venture Annuity (holdings detailed in attached 5 pages)	$50,001 - $100,000	DIVIDENDS	$5,001 - $15,000	
U.S. Savings Bonds	$1,001 - $15,000	INTEREST	$201 - $1,000	

7106

SCHEDULE IV - TRANSACTIONS

Name Frank R. Wolf

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Franklin VA Tax-Free Income Fund	S	5-4-06	$1,001 - $15,000

SCHEDULE VIII - POSITIONS

Name Frank R. Wolf

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Member, International Council of reference (uncompensated)	Stephen's Children
Member, Board of Advisors (uncompensated)	International Justice Mission (non-profit organization)
Member, Congressional Committee (uncompensated)	George C. Marshall International Center (non-profit organization)
Member, Board (uncompensated)	Congressional Hunger Center

HARTFORD LEADERS® PLUS
VARIABLE ANNUITY QUARTERLY STATEMENT
OCTOBER 1, 2006 - DECEMBER 31, 2006

Are you taking full advantage of the tax benefits of your IRA? You have until April 15 to add up to a maximum $5,000 for tax year 2006. And while you're thinking of it, why not make a full contribution ($5,000) for tax year 2007 now also?

FRANK R WOLF

CONTRACT TYPE IRA

OWNER FRANK R WOLF
ANNUITANT FRANK R WOLF

SUMMARY

	QUARTER 10/1/06 - 12/31/06	YEAR-TO-DATE 1/1/06 - 12/31/06	SINCE PURCHASE 3/3/03 - 12/31/06
Beginning Value	380,957.54	360,306.39	
Premium Payment	0.00	0.00	240,341.90
Total Surrenders *	0.00	0.00	0.00
Annuity Performance	22,270.40	42,921.55	162,886.04
Ending Value	**$403,227.94**	**$403,227.94**	**$403,227.94**

YOUR ANNUITY AT A GLANCE

- 26.0% F-T Mutual Shares
- 25.3% AMR Growth-Income
- 24.6% MFS Total Return
- 24.1% AMR Growth

FOR ASSISTANCE, CONTACT EITHER:

Your Investment Professional
Stephen V Obrien
CITIGROUP GLOBAL MARKETS, INC.
1650 Tysons Blvd 10th Fl
Mclean VA 22102

The Hartford
Attn: Investment Products Services
PO Box 5085
Hartford, CT 06102-5085
www.HartfordInvestor.com
1-888-438-2228 Automated Voice Services
1-800-862-6668 Client Services
1-800-862-7155 Producer Services

* Total Surrenders include Contingent Deferred Sales Charges and Annual Maintenance Fees, if applicable.
All information about your variable annuity, including charges and expenses, is described in your prospectus. Please read it carefully and keep it for your records. Our hours of operation are Monday-Thursday 8:00 a.m. to 7:00 p.m. and Friday 9:15 a.m. to 6:00 p.m. (Eastern time).

PURCHASE DATE March 3, 2003
CONTRACT TYPE IRA
PRODUCT VERSION Leaders Plus
ISSUING COMPANY Hartford Life and Annuity - ILA

OWNER FRANK R WOLF

ADDITIONAL INFORMATION

Maximum Anniversary Value (MAV) $370,904.32

The MAV above is for illustration purposes only and is based on the oldest age of the Owners and Annuitants currently on file. The MAV actual values may differ and will be based on the actual decedent, age at death, and the highest anniversary value (adjusted for subsequent surrenders/premium payments) attained prior to the earliest of the date of death or decedent's 81st Birthday.

The MAV is not equivalent to the final Death Benefit; it is only one component of the overall Death Benefit calculation. The Death Benefit will be calculated on all contracts associated with this client the day we receive the certified death certificate. Once the Death Benefit is calculated, the benefit amount remains invested and is subject to market fluctuation until complete settlement instructions are received. Contractual and prospectus provisions will be the sole and final determinant of all Death Benefits.

Premium Enhancement Since Inception $9,613.68

VALUE BY INVESTMENT CHOICE

	Future Contribution Allocation	Quarterly Performance	Units	X	Unit Value	=	Total Value
AMR Growth	25%	5,936.28	6,915.342		14.027181		97,002.75
AMR Growth-Income	25%	5,118.37	7,501.658		13.600920		102,029.45
MFS Total Return	25%	4,281.03	7,074.222		14.029485		99,247.69
F-T Mutual Shares	25%	6,934.72	6,171.685		17.004764		104,948.05
Total	100%	$22,270.40					$403,227.94

PROGRAMS & OPTIONS

	Established Date	Enrollment Status	Amount Or Date	Frequency	Next Occurrence
Dollar Cost Averaging Programs		Not Enrolled			
Asset Allocation		Enrolled		Annually	01/03/07
Automatic Income		Not Enrolled			
InvestEase Contribution		Not Enrolled			
Optional Death Benefit		Elected			

Please review the information on this statement carefully and report any discrepancies within 30 days.

All performance data shown below is past performance. **PAST PERFORMANCE IS NOT INDICATIVE OF FUTURE RESULTS.** The investment return and principal value of a Sub-Account will fluctuate so that units, when redeemed, may be worth more or less than their original cost. Current performance may be lower or higher than the performance data quoted. For performance current to the most recent month ended, please see www.HartfordInvestor.com

NON-STANDARDIZED RETURNS

These returns reflect deductions for separate account annual expenses of 1.65% and total annual fund operating expenses. Performance results shown below do not take into account sales charges or charges for any optional benefits. If the sales charge and charges for optional benefits were reflected, performance results would be lower.

PERIOD ENDING 12/31/06

Sub-Account (Fund Inception Date)	Average Annual Total Return 1 Year	5 Years	10 Years	Since Inception
U.S. Equity Asset Class				
AMR Growth (2/8/84)*	8.41%	6.52%	11.54%	12.58%
AMR Growth-Income (2/8/84)*	13.32%	6.03%	8.46%	11.02%

HARTFORD LEADERS PLUS
VARIABLE ANNUITY QUARTERLY STATEMENT
OCTOBER 1, 2005 - DECEMBER 31, 2005

The calendar may say 2006, but it's not too late to make a full contribution to your traditional IRA for 2005. Investors aged 50 and above have until April 15 to contribute a maximum $4,500. And you can also add as much as another $5,000 for 2006. Annual contributions are a good way to work toward your goal. And contributing the maximums can help you take full advantage of the tax deferred features of your IRA.

FRANK R WOLF

CONTRACT TYPE IRA

OWNER FRANK R WOLF
ANNUITANT FRANK R WOLF

SUMMARY

	QUARTER 10/1/05 - 12/31/05	YEAR-TO-DATE 1/1/05 - 12/31/05	SINCE PURCHASE 3/3/03 - 12/31/05
Beginning Value	350,816.74	337,025.84	
Premium Payment	0.00	0.00	240,341.90
Total Surrenders *	0.00	0.00	0.00
Annuity Performance	9,489.65	23,280.55	119,964.49
Ending Value	**$360,306.39**	**$360,306.39**	**$360,306.39**

YOUR ANNUITY AT A GLANCE

26.7% AMR Growth
25.4% F-T Mutual Shares
24.3% AMR Growth-Income
23.6% MFS Total Return

FOR ASSISTANCE, CONTACT EITHER:

Your Investment Professional
Edward T Britton Iii
CITIGROUP GLOBAL MARKETS, INC.
1850 K St Nw 9th Fl
Washington DC 20006

The Hartford
Attn: Investment Products Services
PO Box 5085
Hartford, CT 06102-5085
www.hartfordinvestor.com
1-888-488-2228 Automated Voice Services
1-800-862-6668 Client Services
1-800-862-7155 Producer Services

* Total Surrenders include Contingent Deferred Sales Charges and Annual Maintenance Fees, if applicable.
All information about your variable annuity, including charges and expenses, is described in your prospectus. Please read it carefully and keep it for your records. Our hours of operation are Monday-Thursday 8:00 a.m. to 7:00 p.m. and Friday 9:15 a.m. to 6:00 p.m. (Eastern time).

PURCHASE DATE March 3, 2003
CONTRACT TYPE IRA
PRODUCT VERSION Leaders Plus
ISSUING COMPANY Hartford Life and Annuity - ILA

ADDITIONAL INFORMATION

Maximum Anniversary Value (MAV) $338,416.38

The MAV above is for illustration purposes only and is based on the oldest age of the Owners and Annuitants currently on file. The MAV actual values may differ and will be based on the actual decedent, age at death, and the highest anniversary value (adjusted for subsequent surrenders/premium payments) attained prior to the earliest of the date of death or decedent's 81st Birthday.

The MAV is not equivalent to the final Death Benefit; it is only one component of the overall Death Benefit calculation. The Death Benefit will be calculated on all contracts associated with this client the day we receive the certified death certificate. Once the Death Benefit is calculated, the benefit amount remains invested and is subject to market fluctuation until complete settlement instructions are received. Contractual and prospectus provisions will be the sole and final determinant of all Death Benefits.

Premium Enhancement Since Inception $9,613.68

VALUE BY INVESTMENT CHOICE

	Future Contribution Allocation	Quarterly Performance	Units	X	Unit Value	=	Total Value
AMR Growth	25%	4,807.95	7,420.375		12.957983		96,153.09
AMR Growth-Income	25%	2,133.44	7,285.905		12.020463		87,579.95
MFS Total Return	25%	232.77	6,665.166		12.765876		85,086.68
F-T Mutual Shares	25%	2,315.49	6,255.333		14.625387		91,486.67
Total	**100%**	**$9,489.65**					**$360,306.39**

SERVICE OPTIONS

	Established Date	Enrollment Status	Amount Or Date	Frequency	Next Occurrence
Dollar Cost Averaging Programs		Not Enrolled			
Asset Allocation		Enrolled		Annually	01/03/06
Automatic Income		Not Enrolled			
InvestEase Contribution		Not Enrolled			
Optional Death Benefit		Elected			

Please review the information on this statement carefully and report any discrepancies within 30 days.

HARTFORD LEADERS® PLUS
VARIABLE ANNUITY QUARTERLY STATEMENT
OCTOBER 1, 2006 - DECEMBER 31, 2006

Are you taking full advantage of the tax benefits of your IRA? You have until April 15 to add up to a maximum $5,000 for tax year 2006. And while you're thinking of it, why not make a full contribution ($5,000) for tax year 2007 now also?

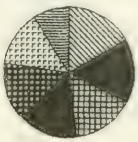
CAROLYN S WOLF

OWNER CAROLYN S WOLF
ANNUITANT CAROLYN S WOLF

SUMMARY

	QUARTER 10/1/06 - 12/31/06	YEAR-TO-DATE 1/1/06 - 12/31/06	SINCE PURCHASE 12/29/03 - 12/31/06
Beginning Value	47,287.87	44,168.35	
Premium Payment	0.00	0.00	36,613.53
Total Surrenders *	0.00	0.00	-60.00
Annuity Performance	3,110.69	6,230.21	13,845.03
Ending Value	**$50,398.56**	**$50,398.56**	**$50,398.56**

YOUR ANNUITY AT A GLANCE



16.7% AMR Global Growth
15.9% MFS Value Series
15.4% AMR Growth
14.8% AMR Blue Chip
14.6% F-T Income Sec
13.5% MFS New Discovery
9.1% AIM Cap App

FOR ASSISTANCE, CONTACT EITHER:

Your Investment Professional
Stephen V Obrien
CITIGROUP GLOBAL MARKETS, INC.
1650 Tysons Blvd 10th Fl
Mclean VA 22102

The Hartford
Attn: Investment Products Services
PO Box 5085
Hartford, CT 06102-5085
www.HartfordInvestor.com
1-888-488-2228 Automated Voice Services
1-800-862-6668 Client Services
1-800-862-7155 Producer Services

* Total Surrenders include Contingent Deferred Sales Charges and Annual Maintenance Fees, if applicable.
All information about your variable annuity, including charges and expenses, is described in your prospectus. Please read it carefully and keep it for your records. Our hours of operation are Monday-Thursday 8:00 a.m. to 7:00 p.m. and Friday 9:15 a.m. to 6:00 p.m. (Eastern time).

PURCHASE DATE December 29, 2003
CONTRACT TYPE IRA
PRODUCT VERSION Leaders Plus 2
ISSUING COMPANY Hartford Life and Annuity - ILA

OWNER CAROLYN S WOLF

ADDITIONAL INFORMATION

Death Benefit as of November 30, 2006 ..... $50,013.26

The Death Benefit above is for illustration purposes only and is not equivalent to the final death benefit. The Death Benefit will be calculated on all contracts associated with this client the day we receive the certified death certificate. Once the Death Benefit is calculated, the benefit amount remains invested and is subject to market fluctuation until complete settlement instructions are received. Contractual and prospectus provisions will be the sole and final determinant of all Death Benefits

Premium Enhancement Since Inception $1,098.40

VALUE BY INVESTMENT CHOICE

	FUTURE CONTRIBUTION ALLOCATION	QUARTERLY PERFORMANCE	UNITS	X	UNIT VALUE	=	TOTAL VALUE
AMR Global Growth	15%	595.08	539.163		15.585393		8,403.07
AIM Cap App	10%	189.96	3,301.659		1.395659		4,607.99
AMR Blue Chip	15%	346.51	6,198.054		1.201039		7,444.10
AMR Growth	15%	477.15	546.620		14.180596		7,751.40
F-T Income Sec	15%	370.17	474.100		15.563987		7,378.89
MFS New Discovery	15%	594.93	493.774		13.777548		6,802.99
MFS Value Series	15%	536.89	464.035		17.261888		8,010.12
Total	100%	$3,110.69					$50,398.56

PROGRAMS & OPTIONS

	ESTABLISHED DATE	ENROLLMENT STATUS	AMOUNT OR DATE	FREQUENCY	NEXT OCCURRENCE
Dollar Cost Averaging Programs		Not Enrolled			
Asset Allocation		Not Enrolled			
Automatic Income		Not Enrolled			
InvestEase Contribution		Not Enrolled			
Asset Protection Death Benefit		Elected			

Please review the information on this statement carefully and report any discrepancies within 30 days

TRANSACTION DETAIL

DATE	TRANSACTION	UNITS	UNIT VALUE	INVESTMENT CHOICE AMOUNT	TOTAL AMOUNT
12/29/06	$30 Annual Maint Fee Waived				0.00

HARTFORD LEADERS PLUS
VARIABLE ANNUITY QUARTERLY STATEMENT
OCTOBER 1, 2005 - DECEMBER 31, 2005

The calendar may say 2006, but it's not too late to make a full contribution to your traditional IRA for 2005. Investors aged 50 and above have until April 15 to contribute a maximum $4,500. And you can also add as much as another $5,000 for 2006. Annual contributions are a good way to work toward your goal. And contributing the maximums can help you take full advantage of the tax deferred features of your IRA

CAROLYN S WOLF

CONTRACT TYPE IRA

OWNER CAROLYN S WOLF
ANNUITANT CAROLYN S WOLF

SUMMARY

	QUARTER 10/1/05 - 12/31/05	YEAR-TO-DATE 1/1/05 - 12/31/05	SINCE PURCHASE 12/29/03 - 12/31/05
Beginning Value	43,066.08	41,510.26	
Premium Payment	0.00	0.00	36,613.53
Total Surrenders *	-30.00	-30.00	-60.00
Annuity Performance	1,132.27	2,688.09	7,614.82
Ending Value	**$44,168.35**	**$44,168.35**	**$44,168.35**

YOUR ANNUITY AT A GLANCE

16.1% AMR Growth
16.1% AMR Global Growth
15.3% MFS Value Series
14.6% AMR Blue Chip
14.4% F-T Income Sec
13.8% MFS New Discovery
9.7% AIM Aggr Growth

FOR ASSISTANCE, CONTACT EITHER:

Your Investment Professional
Edward T Britton Iii
CITIGROUP GLOBAL MARKETS, INC.
1850 K St Nw 9th Fl
Washington DC 20006

The Hartford
Attn: Investment Products Services
PO Box 5085
Hartford, CT 06102-5085
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1-800-862-7155 Producer Services

* Total Surrenders include Contingent Deferred Sales Charges and Annual Maintenance Fees, if applicable.
All information about your variable annuity, including charges and expenses, is described in your prospectus. Please read it carefully and keep it for your records. Our hours of operation are Monday-Thursday 8:00 a.m. to 7:00 p.m. and Friday 9:15 a.m to 6:00 p.m. (Eastern time).

PURCHASE DATE December 29, 2003
CONTRACT TYPE IRA
PRODUCT VERSION Leaders Plus 2
ISSUING COMPANY Hartford Life and Annuity - ILA

OWNER CAROLYN S WOLF

ADDITIONAL INFORMATION

Death Benefit as of November 30, 2005 ..... $43,708.92

The Death Benefit above is for illustration purposes only and is not equivalent to the final death benefit. The Death Benefit will be calculated on all contracts associated with this client the day we receive the certified death certificate. Once the Death Benefit is calculated, the benefit amount remains invested and is subject to market fluctuation until complete settlement instructions are received. Contractual and prospectus provisions will be the sole and final determinant of all Death Benefits.

Premium Enhancement Since Inception $1,098.40

VALUE BY INVESTMENT CHOICE

	Future Contribution Allocation	Quarterly Performance	Units	X	Unit Value	=	Total Value
AMR Global Growth	15%	317.38	539.163		13.157006		7,093.77
AIM Aggr Growth	10%	123.63	3,351.364		1.279230		4,287.17
AMR Blue Chip	15%	194.41	6,198.054		1.039903		6,445.37
AMR Growth	15%	360.34	546.620		13.080072		7,149.83
F-T Income Sec	15%	-147.13	474.100		13.381956		6,344.39
MFS New Discovery	15%	198.14	493.774		12.371868		6,108.91
MFS Value Series	15%	85.50	464.035		14.522416		6,738.91
Total	**100%**	**$1,132.27**					**$44,168.35**

SERVICE OPTIONS

	Established Date	Enrollment Status	Amount Or Date	Frequency	Next Occurrence
Dollar Cost Averaging Programs		Not Enrolled			
Asset Allocation		Not Enrolled			
Automatic Income		Not Enrolled			
InvestEase Contribution		Not Enrolled			
Asset Protection Death Benefit		Elected			

Please review the information on this statement carefully and report any discrepancies within 30 days.

TRANSACTION DETAIL

Date	Transaction	Units	Unit Value	Investment Choice Amount	Total Amount
12/29/05	Annual Maintenance Fee				-30.00
	AIM Aggr Growth	-2.264	1.285477	-2.91	
	MFS New Discovery	-0.342	12.467868	-4.26	
	F-T Income Sec	-0.320	13.409376	-4.29	
	AMR Blue Chip	-4.163	1.044799	-4.35	
	MFS Value Series	-0.313	14.570135	-4.56	
	AMR Global Growth	-0.363	13.218869	-4.80	
	AMR Growth	-0.368	13.134489	-4.83	

John Hancock
WORLDWIDE SPONSOR

Venture Annuity
Annual Portfolio Review

Provided by John Hancock Life Insurance Company (U.S.A.)
For the period of January 1 - December 29, 2006

Prepared For:
REF 2135 C1-1-1
FRANK R WOLF

Your Investment Representative:
Edward T. Britton
Citigroup Global Markets Inc
1850 K Street N.W
Suite 900
Washington DC 20006

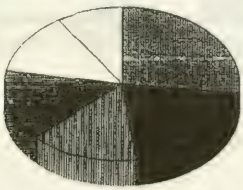
Important Contact Information:
Telephone: 1-800-344-1029
www.johnhancockannuities.com

Venture Annual Activity Summary

	Year to Date	Since Inception
Value on December 31, 2005	$74,073.18	
Total Investments	$0.00	$52,800.00
Total Withdrawals	$0.00	$0.00
Net Change	$8,525.15	
Value on December 29, 2006	**$82,598.33**	
Death Benefit Value as of December 29, 2006:		**$95,924.48**

Your Current Investment Allocation

Your account is currently allocated among the portfolios specified in the legend on the right. Totals may not be exact due to rounding.



- **27% Lord Abbett Mid Cap Value**
- **21% Templeton International Value**
- **15% DeAM Dynamic Growth**
- **15% T. Rowe Price Health Sciences**
- **13% GMO U.S. Core Trust**
- **9% PIMCO Total Return**

100% Total Value

For a list of your portfolios by Portfolio Manager, their current unit values, and your future allocation selections, see the Venture Holdings Summary on Page 2.

For the period of January 1 - December 29, 2006 *Investment Representative: Edward T. Britton*

Your Venture Holdings Summary

Account	Future Allocation*	Number of Units	Unit Value as of 12/29/06	Market Value as of 12/29/06
Deutsche Asset Management				
053 Dynamic Growth	15.0%	2,313.4235	5.511946	$12,751.47
Grantham, Mayo, Van Otterloo & Co.,LLC				
017 U.S. Core Trust	15.0%	320.4557	32.899218	$10,542.74
Lord, Abbett & Co.				
071 Mid Cap Value	25.0%	1,104.5903	20.561531	$22,712.07
Pacific Investment Management Company				
045 Total Return	15.0%	457.4271	17.100323	$7,822.15
T. Rowe Price Associates, Inc.				
062 Health Sciences	15.0%	718.7810	17.448755	$12,541.83
Templeton Investment Counsel, INC.				
039 International Value	15.0%	797.5734	20.346806	$16,228.07
Total Account Value as of December 29, 2006	**100.0%**			**$82,598.33**

**Future Allocation:* Percentage of allocation of each investment option for future contributions

Important Information

Please carefully review the Holdings Summary and any transactions that are reported on this statement to ensure that all instructions were acted on properly. It is important that any errors or omissions relating to transactions, Riders and Special *Programs be communicated to John Hancock within 60 days of the requested transaction effective date.*

This statement is issued on behalf of John Hancock Distributors LLC acting as agent for John Hancock Life Insurance Company (U.S.A.) and on behalf of the general agent and agent shown on the first page.

Annuities are not deposits or obligations of, or guaranteed by, any bank or financial institution. Annuities are not insured by the FDIC or any other agency and are subject to risk, including the possible loss of principal.

Your Annual Transaction Detail

Transaction Type Date Fund	Transaction Type	Tax Year	Number of Units	Transaction Unit Value	Transaction Amount
Annual Fee					
01/10/06 GMO Growth & Income	Annual Fee		- 0.1252	31.621345	-$3.96
01/10/06 Templeton International Value	Annual Fee		- 0.3119	16.542310	-$5.16
01/10/06 PIMCO Total Return	Annual Fee		- 0.1788	16.779998	-$3.00
01/10/06 DeAM Dynamic Growth	Annual Fee		- 0.9034	5.291128	-$4.78
01/10/06 T. Rowe Price Health Sciences	Annual Fee		- 0.2811	17.075393	-$4.80
01/10/06 Lord Abbett Mid Cap Value	Annual Fee		- 0.4317	19.224668	-$8.30
Total Annual Fee					**-$30.00**

John Hancock
WORLDWIDE SPONSOR

Venture Annuity
Annual Portfolio Review

Provided by John Hancock Life Insurance Company (U.S.A.)
For the period of January 1 - December 30, 2005

Prepared For:
REF 885 C1-2-1
FRANK R WOLF

Your Investment Representative:
Edward T. Britton
Citigroup Global Markets Inc.
1850 K Street N.W
Suite 900
Washington DC 20006

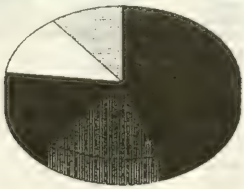
Important Contact Information:
Telephone: 1-800-344-1029
www.johnhancockannuities.com

Venture Annual Activity Summary

	Year to Date	Since Inception
Value on December 31, 2004	$66,798.83	
Total Investments	$2,700.00	$52,800.00
Total Withdrawals	$0.00	$0.00
Net Change	$4,574.35	
Value on December 30, 2005	**$74,073.18**	
Death Benefit Value as of December 30, 2005:		**$95,924.48**

Your Current Investment Allocation

Your account is currently allocated among the portfolios specified in the legend on the right. Totals may not be exact due to rounding.



- 28% Lord Abbett Mid Cap Value
- 17% Templeton International Value
- 16% DeAM Dynamic Growth
- 16% T. Rowe Price Health Sciences
- 13% GMO Growth & Income
- 10% PIMCO Total Return

100% Total Value

For a list of your portfolios by Portfolio Manager, their current unit values, and your future allocation selections, see the Venture Holdings Summary on Page 2.

For the period of January 1 - December 30, 2005 *Investment Representative: Edward T. Britton*

Your Venture Holdings Summary

Account	Future Allocation*	Number of Units	Unit Value as of 12/30/05	Market Value as of 12/30/05
Deutsche Asset Management				
053 Dynamic Growth	15.0%	2,314.3269	5.034225	$11,650.84
Grantham, Mayo, Van Otterloo & Co.,LLC				
017 Growth and Income	15.0%	320.5809	30.558120	$9,796.35
Lord, Abbett & Co.				
071 Mid Cap Value	25.0%	1,105.0220	18.571906	$20,522.36
Pacific Investment Management Company				
045 Total Return	15.0%	457.6059	16.738625	$7,659.69
T. Rowe Price Associates, Inc.				
062 Health Sciences	15.0%	719.0621	16.326945	$11,740.09
Templeton Investment Counsel, INC.				
039 International Value	15.0%	797.8853	15.921898	$12,703.85
Total Account Value as of December 30, 2005	**100.0%**			**$74,073.18**

**Future Allocation:* Percentage of allocation of each investment option for future contributions.

Important Information

Please carefully review the Holdings Summary and any transactions that are reported on this statement to ensure that all instructions were acted on properly. It is important that any errors or omissions relating to transactions, Riders and Special Programs be communicated to John Hancock within 60 days of the requested transaction effective date.

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Your Annual Transaction Detail

Transaction Type Date	Fund	Transaction Type	Tax Year	Number of Units	Transaction Unit Value	Transaction Amount
Investments						
01/05/05	Fidelity Overseas	Investment		3.5873	12.544112	$45.00
01/05/05	Wellington Mgmt Growth & Income	Investment		1.5175	29.654360	$45.00
01/05/05	PIMCO Total Return	Investment		2.7250	16.513995	$45.00
01/05/05	DeAM Dynamic Growth	Investment		10.3816	4.334605	$45.00
01/05/05	T. Rowe Price Health Sciences	Investment		3.1689	14.200405	$45.00
01/05/05	Lord Abbett Mid Cap Value	Investment		4.4926	16.694135	$75.00
02/05/05	Fidelity Overseas	Investment		3.5919	12.528256	$45.00
02/05/05	Wellington Mgmt Growth & Income	Investment		1.4968	30.063857	$45.00
02/05/05	PIMCO Total Return	Investment		2.7054	16.633180	$45.00
02/05/05	DeAM Dynamic Growth	Investment		9.8409	4.572769	$45.00
02/05/05	T. Rowe Price Health Sciences	Investment		3.1372	14.344064	$45.00
02/05/05	Lord Abbett Mid Cap Value	Investment		4.3578	17.210591	$75.00
03/05/05	Fidelity Overseas	Investment		3.4087	13.201423	$45.00
03/05/05	Wellington Mgmt Growth & Income	Investment		1.4746	30.517388	$45.00
03/05/05	PIMCO Total Return	Investment		2.7179	16.557023	$45.00
03/05/05	DeAM Dynamic Growth	Investment		9.4265	4.773789	$45.00
03/05/05	T. Rowe Price Health Sciences	Investment		3.3421	13.464466	$45.00
03/05/05	Lord Abbett Mid Cap Value	Investment		4.2189	17.776999	$75.00
04/05/05	Fidelity Overseas	Investment		3.5791	12.573079	$45.00
04/05/05	Wellington Mgmt Growth & Income	Investment		1.5261	29.486552	$45.00
04/05/05	PIMCO Total Return	Investment		2.7325	16.468726	$45.00
04/05/05	DeAM Dynamic Growth	Investment		9.7822	4.600174	$45.00
04/05/05	T. Rowe Price Health Sciences	Investment		3.4059	13.212372	$45.00
04/05/05	Lord Abbett Mid Cap Value	Investment		4.3311	17.316642	$75.00
05/05/05	Wellington Mgmt Growth & Income	Investment		1.5201	29.603655	$45.00

Your Annual Transaction Detail continued

Transaction Type Date	Fund	Transaction Type	Tax Year	Number of Units	Transaction Unit Value	Transaction Amount
Investments continued						
05/05/05	Templeton International Value	Investment		3.0883	14.571120	$45.00
05/05/05	PIMCO Total Return	Investment		2.6931	16.709429	$45.00
05/05/05	DeAM Dynamic Growth	Investment		10.1440	4.436118	$45.00
05/05/05	T. Rowe Price Health Sciences	Investment		3.2859	13.694885	$45.00
05/05/05	Lord Abbett Mid Cap Value	Investment		4.4506	16.851606	$75.00
06/05/05	Wellington Mgmt Growth & Income	Investment		1.4872	30.259109	$45.00
06/05/05	Templeton International Value	Investment		3.1028	14.503052	$45.00
06/05/05	PIMCO Total Return	Investment		2.6633	16.896491	$45.00
06/05/05	DeAM Dynamic Growth	Investment		9.6293	4.673219	$45.00
06/05/05	T. Rowe Price Health Sciences	Investment		3.2875	13.688383	$45.00
06/05/05	Lord Abbett Mid Cap Value	Investment		4.3233	17.347939	$75.00
07/05/05	Wellington Mgmt Growth & Income	Investment		1.4868	30.266143	$45.00
07/05/05	Templeton International Value	Investment		3.1084	14.476880	$45.00
07/05/05	PIMCO Total Return	Investment		2.6740	16.828920	$45.00
07/05/05	DeAM Dynamic Growth	Investment		9.3962	4.789165	$45.00
07/05/05	T. Rowe Price Health Sciences	Investment		3.1536	14.269477	$45.00
07/05/05	Lord Abbett Mid Cap Value	Investment		4.1889	17.904660	$75.00
08/05/05	GMO Growth & Income	Investment		1.4773	30.460213	$45.00
08/05/05	Templeton International Value	Investment		2.9643	15.180710	$45.00
08/05/05	PIMCO Total Return	Investment		2.6928	16.711472	$45.00
08/05/05	DeAM Dynamic Growth	Investment		9.1579	4.913769	$45.00
08/05/05	T. Rowe Price Health Sciences	Investment		2.9975	15.012412	$45.00
08/05/05	Lord Abbett Mid Cap Value	Investment		4.1050	18.270223	$75.00
09/05/05	GMO Growth & Income	Investment		1.4765	30.476964	$45.00
09/05/05	Templeton International Value	Investment		2.8531	15.772219	$45.00
09/05/05	PIMCO Total Return	Investment		2.6535	16.958615	$45.00
09/05/05	DeAM Dynamic Growth	Investment		9.0155	4.991412	$45.00
09/05/05	T. Rowe Price Health Sciences	Investment		2.8981	15.527350	$45.00
09/05/05	Lord Abbett Mid Cap Value	Investment		4.0637	18.455885	$75.00
Total Investments						**$2,700.00**
Annual Fee						
01/10/05		Annual Fee				-$30.00*

*This transaction has resulted in a reduction of the number of units in this contract's holdings deducted on a pro-rata basis. Please review your Venture Holdings Summary above to see the current number of units.

Transfers and Exchanges						
04/29/05	Fidelity Overseas	Exchange From		- 899.8359	12.469156	-$11,220.19
04/29/05	Templeton International Value	Exchange To		782.7684	14.333984	$11,220.19

UNITED STATES HOUSE OF REPRESENTATIVES

2007 FINANCIAL DISCLOSURE STATEMENT

FORM A
For use by Members, officers, and employees

LEGISLATIVE RESOURCE CENTER
2007 MAY 15 PM 5:35
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
HAND DELIVERED
(Office Use Only)

Lynn C Woolsey
(Full Name)
923 B Street
Petaluma CA 94952
(Mailing Address)
Daytime Telephone: 202-225-5161

Filer Status	[X] Member of the U.S. House of Representatives — State: CA District: 06	[ ] Officer or Employee	Employing Office:
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination — Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [ ] No [X]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ] No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ] No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes [X] No [ ]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X] No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ] No [X]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X] No [ ]	IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ] No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [ ] No [X]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ] No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ] No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, § 104 and 18 U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	Lynn C Woolsey	5/15/07

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Name Lynn Woolsey | Page 2 of 6

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the name of the business, the nature of the business, and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts, any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

Check all columns that apply.
Check "None" if asset did not generate any income during the calendar year.

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was received.

BLOCK E — Transaction

Indicate if the asset had purchases (P), sales (S), or exchanges (E) exceeding $1000 in reporting year.

	Block A		Block B: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	Block C: NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	Block D: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	Block E: P, S, E
SP	Examples:	SP Mega Corp. Stock						X							X										X								P
DC		Simon & Schuster		Indefinite																	Royalties									X			
JT		1st Bank of Paducah, KY accounts						X									X									X							
		AGILENT STOCK			X										X							X											P
IRA		Apple Computer STOCK				X									X							X											S
IRA		AT+T STOCK			X											X							X										
		AutodeSK STOCK				X									X							X											P
		Cisco Systems STOCK			X										X							X											PS
IRA		Fair Isaac STOCK			X										X							X											

For additional assets and unearned income, use next page.

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name

SP, DC, JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction P, S, E
IRA	Nordstrom STOCK				X										X								X									
	*Verigy STOCK		X											X							X											
	Tellabs STOCK			X										X							X											
IRA1	Calvert Social INV Fund			X										X																		P
IRA2	Calvert Capital Accumulation Fund				X									X																		P
IRA2	Calvert Large Cap Growth Fund					X								X																		P
IRA2	Calvert Mod Allocation Fund					X									X								X									P
IRA2	Calvert World Values Int'l Fund					X									X								X									P
IRA1	Capital Income Builder Fund				X										X								X									P
IRA1	Columbia Mid cap Value Fund				X										X							X										
IRA2	Oppenheimer Int'l Bond Fund				X										X									X								
IRA2	Templeton Global Bond Fund					X									X										X							
IRA1	CASH IRA (MMK)				X												X						X									
529	Putnam College Adv Fund			X										X							X											
529	Growth Fund America				X									X							X											P
529	WASHINGTON Mutual WV Fund				X									X							X											P

* Spinoff from Agilent

IRA1 = EJ cust FBO Lynn Woolsey Sep IRA

IRA2 = EJ cust FBO David Woolsey c/o Lynn Woolsey (Inherited Decedent IRA)

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SCHEDULE IV — TRANSACTIONS

Name LYNN WOOLSEY

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10-12-06		X								
	Agilent Technologies Stock	X			5·16·06	X									
	Autodesk Inc. Stock	X			5·16·06	X									
	Calvert Capital Accumulation Fund	X			5·16·06		X								
	Calvert Large Cap Growth Fund	X			5·16·06			X							
	Calvert Moderate Allocation Fund	X			5·22·06		X								
	Calvert Moderate Allocation Fund	X			11·13·06	X									
	Calvert World Values International Fund	X			5·16·06		X								
	Chicos Stock	X			5·16·06	X									
	Chicos Stock		X		11·10·06	X									
	Cisco Sys Inc. Stock	X			5·16·06	X									
Inherited IRA 2	Franklin Flex Cap Growth Fund		X		5·16·06	X									
	Franklin Mutual European Fund		X		5·16·06	X									
	Pimco High Yield Fund		X		5·16·06			X							
	Templeton Foreign Fund		X		5·16·06		X								
	Templeton World Fund		X		5·16·06		X								
	Van Kampen Equity & Income Fund		X		5·16·06		X								

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SCHEDULE IV — TRANSACTIONS

Name

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10–12–06		X								
529	Washington Mutual Inv. Fund	X			9-15-06		X								
529	Growth Fund America	X			9-15-06		X								
	Cisco Systems		X		8-1-06	X									
	Apple Inc. stock		X		2-6-06	X									
	Calvert Social Inv Fund	X			1-13-06	X									
	Capital Income Builder Fund	X			11-13-06	X									
	~~Verizy stock (spinoff)~~		~~X~~		~~11-1-06~~	~~X~~									
	Vodafone Group stock		X		11-10-06	X									
	Oppenheimer Intl Bond Fund (inherited)														
	Templeton Global Bond Fund (inherited)														
	US Treasury Bond (matured)														

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Name Lynn Woolsey | Page 6 of 6

SCHEDULE VII —TRAVEL PAYMENTS AND REIMBURSEMENTS

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than $305 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were paid directly by the sponsor or were paid by you and reimbursed by the sponsor.

Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

	Source	Date(s)	City of Departure—Destination—City of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Number of days not at sponsor's expense
Examples:	Chicago Chamber of Commerce	Mar. 2	DC—Chicago—DC	N	N	N	None
	Roycroft Corporation	Aug. 6–11	DC—Los Angeles—Cleveland	Y	Y	Y	2 Days
	Peace Action, Inc.	July 15	DC - Detroit - DC	N	N	N	None
	Alliance For A Healthier Generation	July 30-31	DC - Little Rock - SFrancisco	Y	N	N	None
	Coalition For Peace Action	Nov 11-13	SFrancisco - Princeton NJ - DC	Y	Y	N	None

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UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2006

FORM A
For use by Members, officers, and employees

LEGISLATIVE RESOURCE CENTER
2007 MAY 15 PM 1:14
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
MC HAND DELIVERED
(Office Use Only)

(6)

7128

David Wu
(Full Name)

2338 Rayburn HOB Washington, DC 20515
(Mailing Address)

202-225-0855
Daytime Telephone:

Filer Status ☑ Member of the U.S. House of Representative — State: OR — District: 1 ☐ Officer Or Employee — Employing Office:

Report Type ☑ Annual (May 15) ☐ Amendment ☐ Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? Yes ☐ No ☑
If yes, complete and attach Schedule I.

II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? Yes ☐ No ☑
If yes, complete and attach Schedule II.

III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? Yes ☑ No ☐
If yes, complete and attach Schedule III.

IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? Yes ☑ No ☐
If yes, complete and attach Schedule IV.

V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? Yes ☑ No ☐
If yes, complete and attach Schedule V.

VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? Yes ☐ No ☑
If yes, complete and attach Schedule VI.

VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? Yes ☐ No ☑
If yes, complete and attach Schedule VII.

VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? Yes ☑ No ☐
If yes, complete and attach Schedule VIII.

IX. Did you have any reportable agreement or arrangement with an outside entity? Yes ☐ No ☑
If yes, complete and attach Schedule IX.

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

Trusts- Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? Yes ☐ No ☑

Exemptions-- Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Yes ☑ No ☐

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and wilfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.		5/15/7

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name David Wu

	BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.	BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
SP	1602 SE Flavel St. Portland, OR - rental house	$100,001 - $250,000	RENT	$5,001 - $15,000	
	Agere Systems SEP-IRA	$1 - $1,000	NONE	N/A	
	Ameritrade cash acct SEP-IRA (formerly Bidwell & Co.)	$1 - $1,000	INTEREST	$1,001 - $2,500	S
SP	Capitol Hill Kitchens (Interior design, Washington, DC)	$15,001 - $50,000	Sales of custom cabinetry and design services	Gross: $52,000; Net:$0	
	Cisco Systems Stock SEP-IRA	$1,001 - $15,000	NONE	N/A	
	Fog Cutter Capital Group SEP-IRA	$1 - $1,000	DIVIDENDS	$1 - $200	

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name David Wu

	General Electric Co. Stock SEP-IRA	$1 - $1,000	DIVIDENDS	$1 - $200	
	Hershey Stock SEP-IRA	$1,001 - $15,000	DIVIDENDS	$201 - $1,000	
	Intel Stock SEP-IRA	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	Loan to David Wu for Congress	$15,001 - $50,000	Loan Repayment	$2,501 - $5,000	
	Merrill Lynch Cash Acct IRA	$1,001 - $15,000	DIVIDENDS	$1 - $200	
	Microsoft Corp Stock SEP-IRA	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
	NVR Inc Stock SEP-IRA	$15,001 - $50,000	NONE	NONE	P
	Pfizer Inc Stock SEP-IRA	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
	Vanguard Health Care Fund SEP-IRA	$15,001 - $50,000	DIVIDENDS	$201 - $1,000	
SP	Wright-Patman Congressional Federal Credit Union IRA (not self directed)	$1,001 - $15,000	INTEREST	$201 - $1,000	

SCHEDULE IV - TRANSACTIONS

Name David Wu

Report any purchase, sale or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction or series of transactions exceeded $1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. Provide a brief

SP, DC, JT	Asset	Type of Transaction	Date	Amount of Transaction
	Ameritrade cash Acct SEP-IRA	S	1218/06	$1,001 - $15,000
	NVR Inc Stock SEP-IRA	P	12/18/06	$1,001 - $15,000

SCHEDULE V - LIABILITIES

Name David Wu

Report liabilities of over $10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report "revolving charge accounts" (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability
SP	Washington Mutual Bank, Milwaukee, WI and George Reinmiller	Home Equity Loan on 1602 SE Flavel St., Portland, OR rental property to Capitol Hill Kitchens	$15,001 - $50,000
SP	Washington Mutual Bank, Milwaukee, WI	Mortgage on 1602 SE Flavel St., Portland, OR rental property	$50,001 - $100,000
SP	Wright Patman Visa	Revolving Charge Acct.	$15,001 - $50,000

SCHEDULE VIII - POSITIONS

Name David Wu

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Director	Washington County Community Action

UNITED STATES HOUSE OF REPRESENTATIVES

2007 FINANCIAL DISCLOSURE STATEMENT

FORM A
For use by Members, officers, and employees

LEGISLATIVE RESOURCE CENTER
2007 MAY 15 PM 12: 17
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

Albert R. Wynn
(Full Name)

[illegible] Enterprise Road
Mitchellville, MD 20721
(Mailing Address)

202-225-8699
Daytime Telephone

MC HAND DELIVERED
(Office Use Only)

[X] Member of the U.S. House of Representatives — State: MD District: [illegible]
[X] Annual (May 15)
[ ] Officer or Employee — Employing Office:
[ ] Amendment
[ ] Termination — Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

[illegible] spouse have "earned" income (e.g., salaries or [illegible] more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes [X] No [ ]	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ] No [X]
[illegible] contribution to charity in [illegible] or income in the [illegible] Schedule II.	Yes [ ] No [X]	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes [ ] No [X]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X] No [ ]	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [ ] No [X]
IV. Did you, your spouse, or a dependent child purchase, sell or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes [ ] No [X]	IX. Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes [ ] No [X]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [X] No [ ]	**Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.**	

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXCLUSION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

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	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and the attached schedules are true, complete and correct to the best of my knowledge and belief.	Albert R. Wynn	5-15-07

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SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples:	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	Prince Georges' County Public Schools	Spouse Salary	n/A

For payments to charity in lieu of honoraria, use Schedule II.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the name of the business, the nature of the business, and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.
If you use a valuation method other than fair market value, please specify the method used.
If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

Check all columns that apply.
Check "None" if asset did not generate any income during the calendar year.

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was received.

BLOCK E — Transaction

Indicate if the asset had purchases (P), sales (S), or exchanges (E) exceeding $1000 in reporting year.

Block A		Block B: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	Block C: NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	Block D: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	Block E: P, S, E
SP.	SP Mega Corp. Stock					X								X										X								P
DC, *Examples:*	Simon & Schuster			Indefinite																Royalties									X			
JT	1st Bank of Paducah, KY accounts						X									X										X						
	Maryland College Fund			X													X						X									

For additional assets and unearned income, use next page.

SCHEDULE V — LIABILITIES

Report liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out), loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000.

SP, DC, JT	Creditor	Type of Liability	Amount of Liability										
			B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
	Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
	Congressional Credit Union (VISA)	Debt	X									

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $305 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $122 or less need not be added towards the $305 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$325

Use additional sheets if more space is required.

UNITED STATES HOUSE OF REPRESENTATIVES

2007 FINANCIAL DISCLOSURE STATEMENT

FORM A
For use by Members, officers, and employees

LEGISLATIVE RESOURCE CENTER
2007 MAY 15 PM 2:04
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U.S. HOUSE OF REPRESENTATIVES

HAND DELIVERED
(Office Use Only)

John A. Yarmuth
(Full Name)
5008 Nitta Yuma Dr. Harrods Creek KY 40027 202-225-5401
(Mailing Address) Daytime Telephone

Filer Status	[X] Member of the U.S. House of Representatives — State: KY District: 3rd	[ ] Officer or Employee	Employing Office:
Report Type	[X] Annual (May 15)	[ ] Amendment	[ ] Termination — Termination Date:

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	Yes [ ]	No [X]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? **If yes, complete and attach Schedule II.**	Yes [ ]	No [X]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	Yes [X]	No [ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? **If yes, complete and attach Schedule IV.**	Yes [X]	No [ ]
V. Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? **If yes, complete and attach Schedule V.**	Yes [X]	No [ ]
VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	Yes [ ]	No [X]
VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	Yes [ ]	No [X]
VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	Yes [X]	No [ ]
IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	Yes [ ]	No [X]

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

TRUSTS—Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes [ ]	No [X]
EXEMPTION—Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes [ ]	No [X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, §104 and 18 U.S.C. §1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I **CERTIFY** that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	[signature]	5-15-07

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SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the name of the business, the nature of the business, and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.

BLOCK B — Value of Asset

at close of reporting year.

If you use a valuation method other than fair market value, please specify the method used.

If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

Check all columns that apply.

Check "None" if asset did not generate any income during the calendar year.

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was received.

BLOCK E — Transaction

Indicate if the asset had purchases (P), sales (S), or exchanges (E) exceeding $1000 in reporting year.

	Block A	Block B												Block C							Block D											Block E
		A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP, DC, JT	Examples: SP Mega Corp. Stock					X								X										X								P
	Simon & Schuster			Indefinite																Royalties								X				
	1st Bank of Paducah, KY accounts						X									X										X						
	Sonny's Stock (Orlando, FL restaurants)									X										Income & royalties										X		
	Almost Family (common stock)									X				X							X											S
SP	BBT (common stock)								X						X											X						S
	Northwestern Life (variable annuity)					X												X						X								
	Fifth Third Bank (checking account)					X											X								X							
	Rental property (Doonbeg, Ireland)									X						X								X								P

For additional assets and unearned income, use next page.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name John A. Yarmuth

SP. DC. JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset	BLOCK C Type of Income	BLOCK D Amount of Income	BLOCK E Transaction
		A None; B $1 – $1,000; C $1,001 – $15,000; D $15,001 – $50,000; E $50,001 – $100,000; F $100,001 – $250,000; G $250,001 – $500,000; H $500,001 – $1,000,000; I $1,000,001 – $5,000,000; J $5,000,001 – $25,000,000; K $25,000,001 – $50,000,000; L Over $50,000,000	NONE; DIVIDENDS; RENT; INTEREST; CAPITAL GAINS; EXCEPTED/BLIND TRUST; Other Type of Income (Specify)	I None; II $1 – $200; III $201 – $1,000; IV $1,001 – $2,500; V $2,501 – $5,000; VI $5,001 – $15,000; VII $15,001 – $50,000; VIII $50,001 – $100,000; IX $100,001 – $1,000,000; X $1,000,001 – $5,000,000; XI Over $5,000,000	P, S, E
	Blue & Grey Film ventures (Louisville, KY - movie production)	C	NONE	I	
	Langtry, Inc. (Louisville, KY - restaurants)	D	NONE	I	
	Sports Revenue & Enterprises (Tampa, FL) (lunch clubs)	E	NONE	I	
	Blairmore Pictures (Louisville, KY - movie production)	C	NONE	I	

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SCHEDULE IV — TRANSACTIONS

Name John A. Yarmuth

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10-12-06		X								
	Time Warner Corp common stock		X		4-18-06		X								
	BBT Inc. Common Stock		X		4-27-06		X								
	Almost Family common stock		X		6-21-06		X								
	Almost Family common stock		X		6-22-06		X								
	Almost Family common stock		X		6-23-06		X								
	Almost Family common stock		X		6-26-06	X									
	Almost Family common stock		X		6-28-06		X								
	Almost Family common stock		X		7-6-06		X								
	Almost Family common stock		X		7-7-06	X									
	Almost Family common stock		X		7-10-06		X								
	Almost Family common stock		X		7-11-06		X								
	Almost Family common stock		X		9-29-06		X								
	Almost Family common stock		X		11-27-06		X								
	Rental property - Doonbeg, Ireland	X			11-06							X			

This page may be copied if more space is required.

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SCHEDULE V — LIABILITIES

Report Liabilities of over $10,000 owed to any one creditor ***at any time*** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report ***revolving charge accounts*** (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded $10,000.

Creditor	Type of Liability	Amount of Liability										
		B $10,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
Example: First Bank of Wilmington, Delaware	Mortgage on 123 Main St., Dover, Del.				X						
Ulster Bank of Scotland	Rental property - Doonbeg, Ireland - Mortgage -							X			

SCHEDULE VI — GIFTS

Report the source, a brief description, and the value of all gifts totalling more than $305 received by you, your spouse, or a dependent child from any source during the year.

Exclude: Gifts from relatives; gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of $122 or less need not be added towards the $305 disclosure threshold.

Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
Example: Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	$325

Use additional sheets if more space is required.

SCHEDULE VIII — POSITIONS

[illegible] compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, [illegible] employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor [illegible]tion, or any educational or other institution other than the United States.

[illegible] in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
director	Kentucky Golf Association (Louisville, KY)
director	Planned Parenthood of Louisville (Louisville, KY)
director	Society of Professional Journalists (Louisville, KY)
director	The Louisville Forum (Louisville, KY)
director	First Tee of Louisville (Louisville, KY)

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participat on in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement

Use additional sheets if more space is required

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT FOR CALENDAR YEAR 2006

FORM A For use by Members, officers, and employees

LEGISLATIVE RESOURCE CENTER
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U.S. HOUSE OF REPRESENTATIVES
AND DELIVERED
HC
(Office Use Only)

C. W. Bill Young
(Full Name)

2407 Rayburn House Office Building Washington, DC 20515
(Mailing Address)

202-225-5961
Daytime Telephone

Filer Status ☑ Member of the U.S. House of Representative — State: FL District: 10 — Officer Or Employee — Employing Office:

Report Type ☑ Annual (May 15) — Amendment — Termination — Termination Date:

A $200 penalty shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

I.	Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes ☐ No ☑	
II.	Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes ☑ No ☐	
III.	Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any reportable asset worth more than $1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes ☑ No ☐	
IV.	Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes ☐ No ☑	
V.	Did you, your spouse, or a dependent child have any reportable liability (more than $10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes ☐ No ☑	
VI.	Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes ☐ No ☑	
VII.	Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? If yes, complete and attach Schedule VII.	Yes ☐ No ☑	
VIII.	Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes ☐ No ☑	
IX.	Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes ☐ No ☑	

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION – ANSWER EACH OF THESE QUESTIONS

Trusts-	Details regarding "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes ☐	No ☑
Exemptions--	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	Yes ☐	No ☑

CERTIFICATION -- THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person upon written application and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and wilfully fails to file this report may be subject to civil penalties and criminal sanctions (See 5 U.S.C. app. 4, § 104 and U.S.C. § 1001).

Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	C. W. Bill Young	5-15-07

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SCHEDULE II - PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

Name C. W. Bill Young

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Standards of Official Conduct. A green envelope for transmitting the list is included in each Member's filing package. Employees may request a green envelope from the Clerk or use a plain envelope that is appropriately labeled.

Source	Activity	Date	Amount
Veterans of Foreign Wars, Washington, DC	Honorarium to the Armed Forces Foundation	3/6/06	$2,500

SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name C. W. Bill Young

BLOCK A **Asset and/or Income Source** Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self directed IRA (i.e., one where you have the power to select the specific investments) provide information on each asset in the account that exceeds the reporting threshold and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the nature of the business and its geographic location. For additional information, see instruction booklet for the reporting year. Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs. If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left.		BLOCK B **Year-End Value of Asset** at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	BLOCK C **Type of Income** Check all columns that apply. Check "None" if asset did not generate any income during the calendar year. If other than one of the listed categories, specify the type of income by writing a brief description in this block. (For example: Partnership Income or Farm Income)	BLOCK D **Amount of Income** For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was earned.	BLOCK E **Transaction** Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding $1,000 in reporting year.
JT	Wright Patman Federal Credit Union	$15,001 - $50,000	INTEREST	$201 - $1,000	
SP	Wright Patman Federal Credit Union - IRA	$1,001 - $15,000	None	NONE	

January 19, 2006

Honorable C. W. Bill Young
U. S. House of Representatives
Washington, DC 20510

Dear Mr. Young:

I am pleased to inform you that the Veterans of Foreign Wars of the United States National Awards and Citations Committee has selected you as the 2006 VFW Congressional Award recipient. This award is the highest honor our organization can bestow upon a member of Congress and requires confirmation by members of the VFW National Council of Administration. A listing of previous recipients of this award is enclosed for your information. Additionally, I am delighted to let you know that your wife, Beverly, has also been selected for the Commander-in-Chief's Gold Medal and Citation for her strong support of our men and women in uniform.

Assuming your acceptance, Commander-in-Chief James Mueller of O'Fallon, Missouri, will present the VFW Congressional Award during the Opening Session of our National Community Service/Legislative Conference in recognition of your "outstanding service to the nation." Among numerous other accomplishments, your leadership in maintaining a strong national defense and in securing significant increases in funding to assure the well being of our men and women in uniform, and our nation's veterans, will long be remembered and appreciated by our membership. Your wife's award will be presented at the same event noting her exemplary service as a volunteer at Bethesda and Walter Reed Medical Centers. That is an unparalleled example of selfless service in support of those who have sacrificed mightily to keep this nation free.

The Opening Session is scheduled for Monday, March 6, 2006, from 8:30 AM to 12 Noon, at the Omni Shoreham Hotel, 2500 Calvert St., NW, Washington, DC. Commander-in-Chief Mueller will present the award to you at 9:30 AM. Presentation of the VFW Congressional Award is contingent upon the recipient personally appearing at the Opening Session to accept the award. I am hopeful you will place this date on your calendar immediately to avoid any potential conflict.

A $2,500 scholarship accompanies the Congressional Award. The scholarship will be funded by the Veterans of Foreign Wars in your name and is for the purpose of assisting some worthy student in graduate study in the field of political science or government. You are, therefore, requested to select as recipient of the scholarship a university or college that provides a graduate course in political science or government. I am sure there are many universities or colleges in your great state of Florida that meet this criterion.

We would appreciate receiving your written reply to this invitation as soon as possible. In closing let me extend my personal congratulations and best wishes to you and your wife.

Sincerely,

ROBERT E. WALLACE
Executive Director

Enclosure

Veterans of Foreign Wars of the United States

DISBURSEMENT REQUEST

Payee: Armed Forces Foundation

For multiple payees, type "LIST" and itemize in the space below or on a second sheet.

Date: 3/1/2006

Address: 16 North Carolina Avenue, SE
Washington, DC 20003

For Use by Accounting Dept.

General Description

Honorarium issued to Armed Forces Foundation on behalf of Congressman Young recelpient of the 2006 VFW Congressional Award

Itemized Description	Amount
Honorarium - Tax ID #75-3070368	$2,500.00
TOTAL:	2,500.00

Requested Charges

Account No. 100960806000 (12 digits) Department:
Account Name: Awards & Citations Amount: $2,500.00

Account No. (12 digits) Department:
Account Name: Amount:

Account No. (12 digits) Department:
Account Name: Amount:

Prepared by: pac Accounting Clerk Initials: TOTAL: 2,500.00

Signature Requesting Request

Department Manager / Director — Adjutant General / Asst. Adjutant General (see for SIC) — Quartermaster General / Asst. Quartermaster General

COPY

OMB/AC Form #1001 05/96

MAY. 14. 2007 1:27PM VFW ADJUTANT GENERAL NO. 536 P. 2

Ref Nbr	Invc Nbr	Invoice Date	Invoice Amount	Amount Paid	Disc Taken	Net Check Amt
0001103838 HONORARIUM		3/1/2005	2,500.00	2,500.00	0.00	2,500.00

VETERANS OF FOREIGN WARS OF THE UNITED STATES
406 WEST 34TH STREET KANSAS CITY MISSOURI 64111-2736

DATE 3/2/2005 CHECK NO. 684239 15-8 1010

VOID AFTER 90 DAYS

AMOUNT $****2,500.00

PAY Two Thousand Five Hundred Dollars and 00 Cents US Dollars

TO THE ORDER OF ARMED FORCES FOUNDATION
16 NORTH CAROLINA AVE., SE
WASHINGTON, DC 20003-

Lorenzo M. Baker
QUARTERMASTER GENERAL

GENERAL FUND to BANK OF AMERICA of Kansas City, Missouri

⑈684239⑈ ⑆101000035⑆ 0⑆2000007676⑈

"See Reverse Side For Easy Opening Instructions"

VETERANS OF FOREIGN WARS OF THE UNITED STATES
406 WEST 34TH STREET
KANSAS CITY, MISSOURI
64111-2736

ARMED FORCES FOUNDATION
16 NORTH CAROLINA AVE., SE
WASHINGTON, DC 20003-

COPY

#7

This Is Our Duty • This Is Our Honor • This Is Our Privilege

March 10, 2006

Larry Maher
Veterans of Foreign Wars of the United States
406 W. 34th Street
Kansas City, MI 64111

Dear Larry Maher,

On behalf of military men and women around the world and their families here at home, please accept our deepest gratitude for your generous support of our Troops.

As you know, Larry the Armed Forces Foundation has a very simple mission: to make the lives of our military men and women, and their families, a little bit brighter. They sacrifice so much for us – children are separated from their parents, husbands and wives spend months and months apart – all in the defense of liberty.

Every little bit helps and your contribution of $2500 will go a long way to make a difference in the lives of our military families.

Thank you again for your support.

For the troops:

Thank you for helping our families!

Patricia Driscoll
President and Executive Director

The Armed Forces Foundation is a non-profit charity organized under Section 501(c)(3) of the U.S. Internal Revenue Code, and our federal identification number is 75-3070368. All gifts and donations to the Armed Forces Foundation are tax deductible to the full extent of the law. The donor received no goods or services for their donation.

"Serving Those Who Serve"
Armed Forces Foundation • 16 North Carolina Avenue, SE • Washington, DC 20003
Tel. (202) 547-4713 • Fax (202) 547-4293
www.armedforcesfoundation.org • Fed. Tax ID# - 75-3070368

UNITED STATES HOUSE OF REPRESENTATIVES

FINANCIAL DISCLOSURE STATEMENT

FORM A
For use by Members, officers, and employees

LEGISLATIVE RESOURCE CENTER
2007 MAY 15 AM 11:25
OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES

MC HAND DELIVERED (6)
(Office Use Only)

Donald Edwin Young
(Full Name)
2111 Rayburn HOB
(Mailing Address)
Washington, DC 20515

202-225-5765
Daytime Telephone

Status: [X] Member of the U.S. House of Representatives — State: AK At Large District: ____ [ ] Officer or Employee — Employing Office: ____

Report Type: [X] Annual (May 15) [ ] Amendment [ ] Termination — Termination Date: ____

A *$200 penalty* shall be assessed against anyone who files more than 30 days late.

PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

Question	Yes	No
I. Did you or your spouse have "earned" income (e.g., salaries or fees) of $200 or more from any source in the reporting period? **If yes, complete and attach Schedule I.**	[X]	[ ]
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	[ ]	[X]
III. Did you, your spouse, or a dependent child receive "unearned" income of more than $200 in the reporting period or hold any asset worth more than $1,000 at the end of the period? **If yes, complete and attach Schedule III.**	[X]	[ ]
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding $1,000 during the reporting period? If yes, complete and attach Schedule IV.	[X]	[ ]
V. Did you, your spouse, or a dependent child have any reportable liabilities totaling $10,000 during the reporting period? **If yes, complete and attach Schedule V.**	[ ]	[X]
VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than $305 and not otherwise exempt)? **If yes, complete and attach Schedule VI.**	[ ]	[X]
VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than $305 from one source)? **If yes, complete and attach Schedule VII.**	[ ]	[X]
VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? **If yes, complete and attach Schedule VIII.**	[X]	[ ]
IX. Did you have any reportable agreement or arrangement with an outside entity? **If yes, complete and attach Schedule IX.**	[ ]	[X]

Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

Question	Yes	No
Trusts — including "Qualified Blind Trusts" approved by the Committee on Standards of Official Conduct and certain other "excepted trusts" need not be listed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	[ ]	[X]
Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?	[ ]	[X]

CERTIFICATION — THIS DOCUMENT MUST BE SIGNED BY THE REPORTING INDIVIDUAL AND DATED

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The Statement will be available to any requesting person for inspection and will be reviewed by the Committee on Standards of Official Conduct or its designee. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil penalties and criminal sanctions (*See* 5 U.S.C. app. 4, §104 and 18 U.S.C. §1001).

	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and on attached schedules are true, complete and correct to the best of my knowledge and belief.	Don Young	May 15, 2007

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SCHEDULE I — EARNED INCOME

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling $200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding $1,000.

	Source	Type	Amount
Examples	Keene State	Approved Teaching Fee	$6,000
	State of Maryland	Legislative Pension	$9,000
	Civil War Roundtable (Oct. 2nd)	Spouse Speech	$1,000
	Ontario County Board of Education	Spouse Salary	NA
	State of Alaska	Legislative & teaching pension	$4,724
	LAST ITEM		

For payments to charity in lieu of honoraria, use Schedule II.

Name: Donald Edwin Young

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

BLOCK A — Asset and/or Income Source

Identify (a) each asset held for investment or production of income with a fair market value exceeding $1,000 at the end of the reporting period, and (b) any other asset or source of income which generated more than $200 in "unearned" income during the year. For rental property or land, provide an address. Provide full names of any mutual funds. For a self-directed IRA (i.e., one where you have the power to select the specific investments), provide information on *each asset* in the account that exceeds the reporting threshold, and the income earned for the account. For an IRA or retirement plan that is not self-directed, name the institution holding the account and provide its value at the end of the reporting period. For an active business that is not publicly traded, in Block A state the name of the business, the nature of the business, and its geographic location. For additional information, see the instruction booklet for the reporting year.

Exclude: Your personal residence(s) (unless there is rental income); any debt owed to you by your spouse, or by your or your spouse's child, parent, or sibling; any deposits totaling $5,000 or less in personal savings accounts; any financial interest in or income derived from U.S. Government retirement programs.

If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC) or is jointly held (JT), in the optional column on the far left

BLOCK B — Value of Asset at close of reporting year.

If you use a valuation method other than fair market value, please specify the method used.

If an asset was sold and is included only because it generated income, the value should be "None."

BLOCK C — Type of Income

Check all columns that apply.

Check "None" if asset did not generate any income during the calendar year.

BLOCK D — Amount of Income

For retirement plans or accounts that do not allow you to choose specific investments, you may write "NA" for income. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, even if reinvested, should be listed as income. Check "None" if no income was received.

BLOCK E — Transaction

Indicate if the asset had purchases (P), sales (S), or exchanges (E) exceeding $1000 in reporting year.

	Block A	A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify: For Example, Partnership Income or Farm Income)	I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	P, S, E
SP	Examples: Mega Corp. Stock					X								X									X									P
DC	Examples: Simon & Schuster		Indefinite																	Royalties									X			
JT	Examples: 1st Bank of Paducah, KY accounts						X									X									X							
	Putnam Fund for Growth						X								X									X								
	State Farm Insurance				X												X							X								
SP	Wright Putnam Corp FCU			X													X					X										
	Wright Putnam Corp FCU IRA				X												X							X								
	Wright Putnam Corp FCU IRA					X											X							X								
JT	State of NK Perm Div Fund	X													X									X								

For additional assets and unearned income, use next page.

SCHEDULE III — ASSETS AND "UNEARNED" INCOME

Continuation Sheet (if needed)

Name Donald Edwin Young

Page 4 of 6

SP. DC. JT	BLOCK A Asset and/or Income Source	BLOCK B Year-End Value of Asset: A None	B $1 – $1,000	C $1,001 – $15,000	D $15,001 – $50,000	E $50,001 – $100,000	F $100,001 – $250,000	G $250,001 – $500,000	H $500,001 – $1,000,000	I $1,000,001 – $5,000,000	J $5,000,001 – $25,000,000	K $25,000,001 – $50,000,000	L Over $50,000,000	BLOCK C Type of Income: NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify)	BLOCK D Amount of Income: I None	II $1 – $200	III $201 – $1,000	IV $1,001 – $2,500	V $2,501 – $5,000	VI $5,001 – $15,000	VII $15,001 – $50,000	VIII $50,001 – $100,000	IX $100,001 – $1,000,000	X $1,000,001 – $5,000,000	XI Over $5,000,000	BLOCK E Transaction P. S. E
JT	Devon Limited	X													X								X									
	Key Bank Natl Assn			X													X					X										
	NY Life Ins					X											X						X									
SP	Gwitch Yabee Nahte Corp	X													X						X											
	LAST ITEM																															

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Name Donald Edwin Young | Page 5 of 6

SCHEDULE IV — TRANSACTIONS

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded $1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out.

SP, DC, JT	Asset	Type of Transaction: PURCHASE	SALE	EXCHANGE	Date (MO/DAY/YR)	Amount of Transaction: B $1,001–$15,000	C $15,001–$50,000	D $50,001–$100,000	E $100,001–$250,000	F $250,001–$500,000	G $500,001–$1,000,000	H $1,000,001–$5,000,000	I $5,000,001–$25,000,000	J $25,000,001–$50,000,000	K Over $50,000,000
SP	*Example:* Mega Corporation Common Stock	X			10–12–06		X								
	Putnam Fund for Growth	X			Periodic Reinvest		X								
	LAST ITEM														

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SCHEDULE VIII — POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Board of Directors	National Rifle Association
	LAST ITEM

SCHEDULE IX — AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
	NONE	

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Use additional sheets if more space is required.

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